

Oral microbiota and gastrointestinal cancer

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Abstract: The microbiota inhabiting the oral cavity is a complex ecosystem and responsible for resisting pathogens, maintaining homeostasis, and modulating the immune system. Some components of the oral microbiota contribute to the etiology of some oral diseases. Accumulating evidence suggests that the human oral microbiota is implicated in the development and progression of gastrointestinal cancer. In this review, we described the current understanding of possible roles and mechanisms of oral microbiota in the gastrointestinal cancers studied to date. The perspectives for oral microbiota as the biomarkers for early detection and new therapeutic targets were also discussed.

Keywords: oral microbiota, gastrointestinal cancer, etiology

Introduction

The oral microbiota is perhaps one of the most complex ecosystems in the body. Teeth, gingival sulcus, cheeks, palates, tongue, and tonsils are different oral habitats which are colonized by different oral microorganisms.¹ The roles of these commensal microorganisms include resisting pathogens, maintaining homeostasis, and modulating the immune system,² but they are responsible for a variety of oral diseases³ such as dental caries and periodontal diseases (the two common oral diseases) and oral cancer.⁴ Mounting evidence suggests that the microbiota may amplify or mitigate carcinogenesis, responsiveness to cancer therapeutics, and cancer-associated complications.^{5,6} A great deal of evidence indicates that oral microbiota plays important roles in gastrointestinal cancers. The aim of this review is to give an overview of oral microbiota and analyze different lines of evidence for the role of oral microbiota in gastrointestinal cancers studied to date. Possible mechanisms regarding the connection between oral microbiota and gastrointestinal cancers are discussed. The perspectives for future therapeutic and prophylactic modalities based on oral microbiota are also discussed.

Oral microbiota

The human mouth is heavily colonized by microorganisms, including viruses, protozoa, fungi, archaea, and bacteria.⁷ Up to now, there are 770 taxa in the expanded Human Oral Microbiota Database (eHOMD).¹ Among them, 57% are cultivated and officially named, 13% are cultivated but unnamed, and 30% are known as uncultivated phylotypes.¹ Bacteria, the most abundant taxonomic group of oral microbiota, have been deeply studied.⁸ The major phyla of oral bacteria comprise Firmicutes, Bacteroidetes, Proteobacteria, Actinobacteria, and Fusobacteria.⁸ In the oral cavity, there are only a small number of archaea including *Methanobrevibacter oralis*, *Methanobacterium curvum/congolesse*, and *Methanosarcina mazei*.⁸ The prevalence

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of archaea seems to increase in patients with periodontitis and endodontic infections.⁸ And they may play a role in the oral mucosal diseases by favoring the growth of certain bacterial group.⁸ Fungi represent a small minority within oral microbiota,⁸ in which *Candida* species in the oral cavity may serve as a bridge between the oral mucosa and bacteria and present the potential capacities to modulate the oral microbiota.⁸ Oral protozoa, such as *Entamoeba gingivalis* and *Trichomonas tenax*, are usually nonpathogenic commensals.⁸ Food debris and bacteria are nutritional sources for these protozoa.⁸ Most oral viruses are bacteriophages which can regulate the microbial diversity and work as reservoirs of pathogenic gene function.⁸ In addition, there are also some other viruses, such as Herpes Simplex 1 or 2, Epstein–Barr virus, hepatitis A, B, and C, HIV, which are reported in saliva or oral swabs obtained from infected patients.⁸

Some components of the oral microbiota contribute to the etiology of several oral diseases. Oral microbiota-associated diseases including dental caries and periodontal diseases have been certainly caused by oral bacteria.² Oral squamous cell carcinoma (OSCC) is the most common cancer of the oral cavity.² Recently, there has been more and more evidence suggesting that oral bacteria may play a role in oral cancer.⁸ Some systemic diseases, such as cardiovascular diseases, adverse pregnancy outcomes, are also found to be linked with some oral pathogens.⁸ In addition, it has been suggested that the composition of the oral microbiota may be linked to carcinogenesis of distant organs, particularly the gastrointestinal tract.

Involvement of oral microbiota in gastrointestinal cancers

Increasing number of studies provide evidence that oral microorganisms are associated with gastrointestinal cancers,^{9–11} such as esophageal cancer, colorectal cancer (CRC), and pancreatic cancer.

Esophageal cancer

Esophageal cancer, affecting 456,000 people each year and leading to around 400,000 death per year, is the eighth most common cancer and the sixth most common cause of cancer-related death in the world.^{12,13} A recent study, a sub-study of a case–control study on upper gastrointestinal cancers, shows that there is a correlation between altered salivary bacterial microbiota and esophageal adenocarcinoma (ESCC) risk.⁹ Another study, a sub-study of a case–

control study on upper gastrointestinal cancers, demonstrates that the periodontal pathogen *Tannerella forsythia* may be associated with higher risk of esophageal adenocarcinoma (EAC) and the abundance of the periodontal pathogen *Porphyromonas gingivalis* trended with higher risk of ESCC.¹² *Porphyromonas gingivalis* is detected immunohistochemically in 61% of the cancerous tissues from ESCC patients, 12% of the adjacent tissues, and it is undetected in normal esophageal mucosa,¹⁴ similarly to what is observed by Yuan et al.¹⁵ Furthermore, the serum levels of IgG and IgA for *Porphyromonas gingivalis* are significantly higher in ESCC patients than healthy controls.¹⁶ The quantity of *Streptococcus anginosus* DNA is higher in esophageal cancer tissues than in oral cancer tissues.¹⁷ These results suggest that *Streptococcus anginosus* has a close relationship with esophageal cancer, but is not closely associated with oral cancer.¹⁷ Yamamura et al find that there are significantly more *Fusobacterium nucleatum* DNA in esophageal cancer tissues than matched normal mucosa.¹⁸ They also provide the evidence that *Fusobacterium nucleatum* DNA positivity is significantly associated with tumor stage and cancer-specific survival.¹⁸ Although these results indicate that oral microbiota may play an important role in esophageal cancer, case sample sizes remained small, limiting statistical power to detect significant associations. Thus, the causal relationship between them still needs to be clarified.

Colorectal cancer

As one of the most common gastrointestinal cancers worldwide, CRC is affecting 1.4 million people and responsible for approximately 610,000 deaths each year.^{13,19} The microbial community structure of the tongue coating is different between CRC patients and healthy people.^{10,20} CRC-associated oral bacteria, such as *Peptostreptococcus*, *Parvimonas*, and *Fusobacterium* are more abundant in CRC than in healthy controls.²¹ *Fusobacterium nucleatum*, a periodontal pathogen, has been found to be overabundant in CRC. Castellarin et al find that the abundance of *Fusobacterium nucleatum* DNA was 415 times greater in CRC tissues than adjacent normal tissues.²² There are several similar results that the abundance of *Fusobacterium nucleatum* are higher in CRC tissues than in normal mucosa.^{23–32} Furthermore, *Fusobacterium nucleatum* promoted CRC resistance to chemotherapy.³³ *Fusobacterium nucleatum* in colorectal tissue could induce inflammatory response and promote CRC development.^{32–34} Introduction of *Fusobacterium nucleatum* to *Apc^{Min/+}* mice results in

accelerated small intestinal and colonic cancerogenesis.²³ However, in a recent study, the difference in *Fusobacterium nucleatum* expression between CRC and adjacent normal tissues is not statistically significant, while the original study²² reported a significant increase in *Fusobacterium nucleatum* expression in CRC.³⁵ Moreover, in some more recent studies, *Fusobacterium nucleatum* may play a role in the early stage of tumorigenesis.^{36,37} Other groups of oral bacteria, such as *Porphyromonas*, *Peptostreptococcus*,^{21,38} *Prevotella*, *Parvimonas*,^{21,38} and *Gemella* genera, are also found associated with colon cancer.³⁹ *Treponema denticola* and *Prevotella intermedia* are associated with increased CRC risk.⁴⁰ In addition, a metacommunity on gut mucosa predominated by oral microbiota is primarily related to CRC.⁶ The relationship between oral microbiota and CRC is deeply investigated. Although these observations show a relationship between oral microbiota and CRC, we still need larger studies to clarify the relationship and additional investigations are needed to determine the possible mechanisms.

Pancreatic cancer

Pancreatic cancer, a relatively less common cancer, is the fourth leading causes of cancer-related deaths.^{11,13,41,42} Poor oral hygiene plays an important role in the development of pancreatic cancer.⁴³ The composition of the salivary microflora is significantly different between pancreatic cancer patients and healthy controls.^{11,44,45} *Neisseria elongata* and *Streptococcus mitis* in saliva can distinguish patients with pancreatic cancer from healthy subjects.⁴⁶ In a prospective nested case-control study, including 361 pancreatic cancer cases and 371 matched controls, *Porphyromonas gingivalis* and *Aggregatibacter actinomycetemcomitans* in the oral cavity are positively correlated with pancreatic cancer.⁴⁷ This study is large enough to provide evidence that the oral microbiota may play a role in the etiology of pancreatic cancer. The abundance ratio of *Leptotrichia* to *Porphyromonas* in the saliva of patients with pancreatic cancer is significantly higher than controls.⁴⁸ The oral microbial diversity of pancreatic ductal adenocarcinoma (PDAC) patients does not differ from that of healthy controls, but the mean relative proportions of Firmicutes are higher in PDAC cases.⁴⁹ Individuals who have high levels of antibodies against *Porphyromonas gingivalis* ATCC 53,978 are at higher risk of pancreatic cancer.⁵⁰ Mitsuhashi et al find that *Fusobacterium*, an anaerobic, oral bacterium, can be detected in pancreatic cancer tissues and the presence of

Fusobacterium colonization means shorter survival.⁵¹ But Yamamura et al report an inconsistent result that *Fusobacterium nucleatum* cannot be detected in pancreatic cancer tissues.⁵² Oral microbiota may serve as a non-invasive biomarker for early detection of pancreatic cancer, though better characterization of oral bacterial dysbiosis through disease course would be necessary. Because most of these studies are small sample sizes, much larger patient population studies are needed to verify their predictive utility.

Possible oral microbiota mechanisms in gastrointestinal cancers

There are several potential ways that bacteria may induce carcinogenesis (shown in Figure 1): induction of chronic inflammation, immune regulation, interference with signaling pathways and cell cycles, and local metabolism of carcinogens.^{3,53,54}

Chronic inflammation

Chronic inflammation has been verified as the most important preventable cause of cancer.^{3,41,53,55–57} Almost 20% of the human malignancies can be related to infectious agents.⁵⁷ On the one hand, chronic infection is believed to contribute to the initiation of several cancer types. Some inflammatory cytokines can activate oncogenes.⁵³ On the other hand, inflammation can promote cancer progression and accelerate the process of invasion and metastasis.^{53,57} Chronic infection contributes to cancer progression by activating cancer-promoting signaling pathways which augment the production of anti-apoptotic proteins, growth factors, and cytokines that foster cancer growth and dissemination.⁵⁷ The inflammation evoked by periodontitis could result in low-grade systemic inflammation.⁵⁸ *Porphyromonas gingivalis*, and other oral bacteria, may have a significant role in the diseases of distant organs by causing inflammation and promoting tissue degenerative processes.⁵⁹ *Porphyromonas gingivalis* can enhance local inflammation that contributes to carcinogenesis.^{59,60} Lipopolysaccharide (LPS) of *Porphyromonas gingivalis* can specifically activate host response through Toll-like receptors (TLRs), including TLR2 and TLR4, which can inhibit apoptosis and promote tumor growth.⁵⁹ TLR signaling plays an important role in pancreatic tumors, thereby providing a potential mechanistic link between direct microbial stimulation of *Porphyromonas gingivalis* and pancreatic carcinogenesis.⁵⁹ LPS and cell extracts of *Fusobacterium nucleatum* can increase inflammatory cytokines and chemokine and generate a proinflammatory microenvironment that

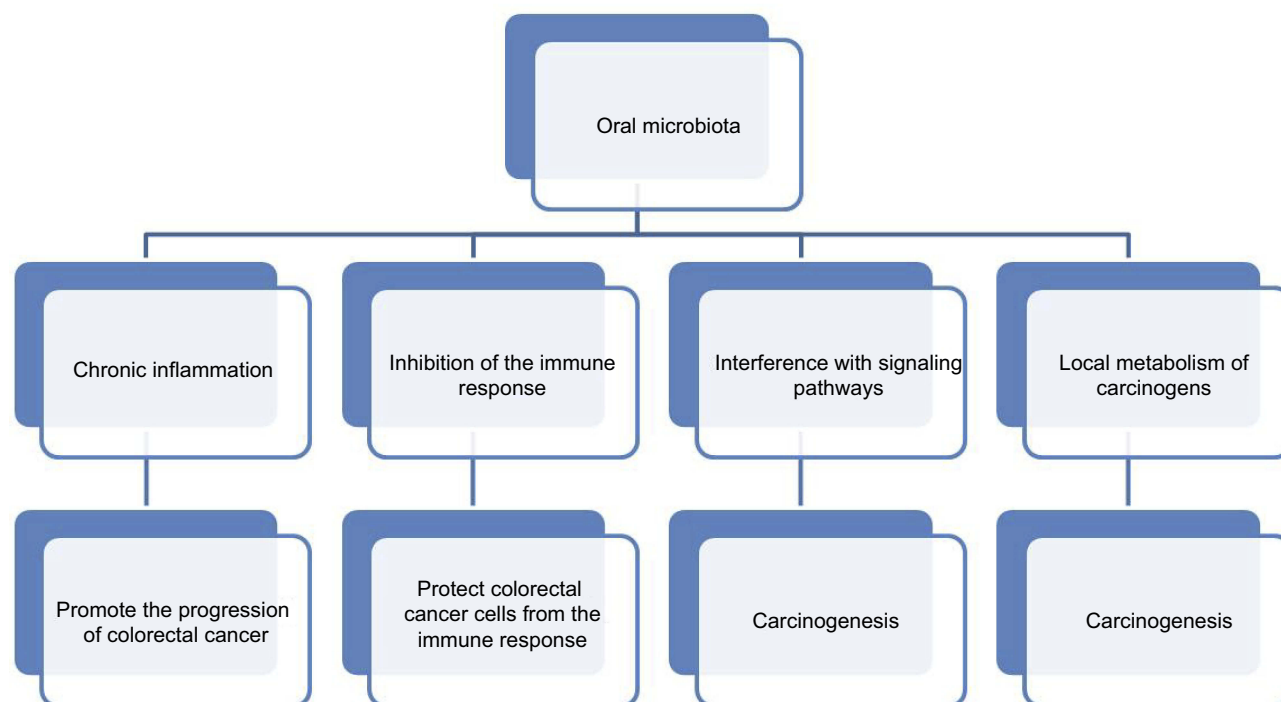


Figure 1 Possible Oral microbiota mechanisms in gastrointestinal cancer.

Abbreviation: CRC, colorectal cancer.

promotes cancer progression.⁵⁴ Therefore, one way that we can prevent CRC is to reduce periodontal pathogen including *Porphyromonas gingivalis* and *Fusobacterium nucleatum* by preventing and treating periodontitis.

Inhibition of the immune response

Fusobacterium nucleatum has been shown to expand myeloid-derived immune cells, which inhibit T-cell proliferation and induce T-cell apoptosis in CRC.³⁰ *Fusobacterium nucleatum* can also protect tumor cells from NK-mediated killing and immune cell attack by the interaction between its Fap2 protein and the inhibitory immunoreceptor TIGIT (T cell immunoreceptor with Ig and ITIM domains) on natural killer (NK) and T cells.⁶¹ In addition, *Porphyromonas gingivalis* could also induce inhibition of the host's immune system.⁶⁰ These findings suggested that reducing *Fusobacterium nucleatum* and *Porphyromonas gingivalis* may reduce the inhibition of the immune response.

Interference with signaling pathways

Fusobacterium nucleatum can bind to both normal and cancerous epithelial cells via FadA binding to epithelial (E)-cadherin.⁶² But this binding can only lead to growth

stimulation of human CRC cells.^{62,63} Furthermore, this binding activates β -catenin-regulated transcription, resulting in increased expression of oncogenes cyclin D1 and c-Myc, Wnt (wingless-related integration site) signaling genes Wnt7a, Wnt7b, and Wnt9a, and inflammatory genes nuclear factor- κ B, interleukin-6 (IL-6), IL-8, and IL-18, all of which are responsible for carcinogenesis.^{62,63} Thus, we can block the oncogenic, Wnt, and inflammatory gene expression by preventing *Fusobacterium nucleatum* from binding and invasion of CRC cells.⁶²

Local metabolism of carcinogens

Oral microbiota may affect gastrointestinal cancer risk by activating alcohol and smoking-related carcinogens.⁵⁶ High salivary levels of acetaldehyde have been found in alcohol drinkers and smokers.⁶⁴ This carcinogenic compound has been validated as a major causing factor in the upper digestive tract cancer.⁶⁴ Although acetaldehyde can be produced from alcohol by mucosal alcohol dehydrogenases (ADH) in the upper digestive tract, much higher levels derive from the bacterial oxidation of alcohol by the oral microflora.⁶⁴ Some species of oral microbiota have been shown to be capable of converting ethanol to acetaldehyde.^{55,65–67} Genus *Neisseria* has extremely high ADH activity.⁶⁶ The ability of *Neisseria*

to produce acetaldehyde is extremely higher than other genera. Furthermore, alcohol can increase the proportion of *Neisseria* in oral microflora. These findings suggest that this microbe can be a source of acetaldehyde and thus potentially play an important role in alcohol-related carcinogenesis.⁶⁶ *Candida glabrata* (a non-*Candida albicans* species) which can produce carcinogenic amounts of acetaldehyde from both ethanol and glucose may be another source of acetaldehyde in oral cavity and gastrointestinal tract.³ In addition, oral bacteria may play a role in increased activation of carcinogenic nitrosamines from tobacco smoke.^{56,68} Smoking also contributes to alcohol–tobacco interactions in carcinogenesis by increasing the alcohol-related acetaldehyde production of oral bacteria.⁵⁶ Taken together, these findings suggest that oral microbiota may play a role in oral and gastrointestinal carcinogenesis by local metabolism of alcohol and smoking-related carcinogens.^{3,56}

Oral microbiota as a novel biomarker or therapeutic target

Studies of the relationship between oral microbiota and gastrointestinal cancer seem to be important not only for better understanding of cancer growth regulation but also for clinical practice. The mechanistic studies identified novel diagnostic and therapeutic targets. As a unique protein of *Fusobacterium nucleatum*, fadA may be an ideal diagnostic marker for early detection of CRC.⁶² The inhibitory peptide against fadA may be used to treat CRC or reduce CRC risk by specifically eradicating *Fusobacterium nucleatum*.⁶² Fusobacterial elimination might improve treatment outcome of CRC.⁶⁹ There is a correlation between the shift of salivary bacterial microbiota and ESCC risk.⁹ Furthermore, the composition of tongue coating was different between gastrointestinal cancer patients and healthy people.^{9,10,20,70} These results suggested that the detection of samples derived from oral cavity may be a simple method to screen gastrointestinal cancer. Flemer et al assess the suitability of oral microbiota as a screening tool for identifying subjects with CRC and find that some oral microbiota operational taxonomic units (OTUs) can distinguish individuals with CRC from healthy controls.²¹ However, the causal relationship between oral microbiota and gastrointestinal cancer was not clear enough. The role and mechanisms that the oral microbiota involved in gastrointestinal cancers remain to be elucidated.

CRCs arise with genomic and epigenomic alterations through interactions between neoplastic cells, immune cells, and microbiota.⁷¹ Bacterial microbiota contribute to carcinogenesis in many ways, and the complete understanding of it will provide new ways for diagnosis, prevention, and treatment.⁷² Molecular pathological epidemiology (MPE) is an integrative transdisciplinary field that addresses heterogeneous effects of exogenous and endogenous factors, including microorganisms, on disease occurrence and consequence utilising molecular pathological signatures of the disease.⁷³ It addresses etiologic heterogeneity according to subgroups of CRC classified by tumor tissue microbial profiling.⁷⁴ Using this approach, we can examine how lifestyle factors, dietary patterns, medications, environmental exposures, and germline genetics influence cancer development and progression through impacting the microbial communities in the human body.⁷³ Prudent diets rich in whole grains and dietary fiber are associated with a lower risk for *Fusobacterium nucleatum*-positive CRC but not *Fusobacterium nucleatum*-negative cancer, supporting a potential role for intestinal microbiota in mediating the association between diet and colorectal neoplasms.⁷⁵ Dietary interventions could be useful for cancer prevention and precision medicine.⁷⁴ MPE research combined with oral microbiota analyses might play a role in providing rationales and discovering insights into precision medicine for gastrointestinal cancer.

Conclusion

Oral microbiota may play an important role in different gastrointestinal cancers. Validating the association of the oral microbiota with gastrointestinal cancers may lead to significant advances in understanding the etiology of gastrointestinal cancers. Some species of oral microbiota or the shift of the oral ecosystem may also serve as readily accessible, noninvasive biomarkers for the identification of high risk for gastrointestinal cancers. Therefore, a comprehensive understanding of the underlying mechanisms will be necessary for the prevention and/or treatment of gastrointestinal cancers.

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Disclosure

The authors report no conflicts of interest in this work.

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