Cortical thinning and flattening in schizophrenia and their unaffected parents

Background: Schizophrenia is a neurodevelopmental disorder with high heritability. Widespread cortical thinning has been identified in schizophrenia, suggesting that it is a result of cortical development deficit. However, the findings of other cortical morphological indexes of patients are inconsistent, and the research on their relationship with genetic risk factors for schizophrenia is rare.

Methods: In order to investigate cortical morphology deficits and their disease-related genetic liability in schizophrenia, we analyzed a sample of 33 patients with schizophrenia, 60 biological parents of the patients, as well as 30 young controls for patients and 28 elderly controls for parents with age, sex and education level being well-matched. We calculated vertex-wise measurements of cortical thickness, surface area, local gyrification index, sulcal depth, and their correlation with the clinical and cognitive characteristics.

Results: Widespread cortical thinning of the fronto-temporo-parietal region, sulcal flattening of the insula and gyrification reduction of the frontal cortex were observed in schizophrenia patients. Conjunction analysis revealed that patients with schizophrenia and their parents shared significant cortical thinning of bilateral prefrontal and insula, left lateral occipital and fusiform regions (Monte Carlo correction, P<0.05), as well as a trend-level sulcal depth reduction mainly in bilateral insula and occipital cortex. We observed comprehensive cognitive deficits in patients and similar impairment in the speed of processing of their unaffected parents. Significant associations between lower processing speed and thinning of the frontal cortex and flattening of the parahippocampal gyrus were found in patients and their parents, respectively. However, no significant correlation between abnormal measurements of cortical morphology and clinical characteristics was found.

Conclusion: The results suggest that cortical morphology may be susceptible to a genetic risk of schizophrenia and could underlie the cognitive dysfunction in patients and their unaffected relatives. The abnormalities shared with unaffected parents allow us to better understand the disease-specific genetic effect on cortical development.

Keywords: schizophrenia, first-degree relatives, cortical thickness, sulcus depth, insula, speed of processing

Introduction

Schizophrenia is a complex neurodevelopmental mental disorder. Significant gray matter deficit, particularly the widespread reduction in volume, provides relatively direct evidence of the impaired cortical neurodevelopment in schizophrenia. Volume is a product of cortical thickness and surface area. Cortical thinning has been previously described in the prefrontal, temporal, parietal and occipital cortices, and limbic areas in both chronic and first-episode schizophrenia patients.
Patterns of cortical thinning may vary across different clinical characteristics of patients with chronic schizophrenia.\(^9\) Cortical thinning of the superior temporal gyrus may be associated with positive symptoms\(^10\) and prefrontal thinning has been linked to negative symptoms in schizophrenia.\(^11\) Surface area reductions, however, have only been sparsely and inconsistently reported in schizophrenia. For example, no significant case-control surface area differences were found between adolescent-onset and first-episode schizophrenia in three vertex-wise studies.\(^12,13\) However, another study with a larger sample size found surface area of the frontal, temporal, parietal and occipital regions were reduced in a more circumscribed pattern, compared with that of cortical thinning, suggesting that reduced cortical thickness and surface area makes distinct and complementary contributions to the cortical volume reduction.\(^14\)

Surface area is related to several measures of gyrification, including sulcal depth and surface features (eg, sulcal and gyral curvature, and gyral complexity).\(^15\) Some measures of gyrification abnormalities have also been reported in schizophrenia, mostly using regions of interest (ROI) analysis. Cortical folding was found to have decreased in the left precentral gyrus, right middle temporal gyrus, and right precuneus,\(^16\) as well as in most prefrontal regions, except the fronto-marginal region showing the hypergynia,\(^17\) while it was found to have increased in the right superior frontal cortex of male patients with schizophrenia.\(^18\) The curvature was found to be significantly more flattened in the sulci and indicated a larger peak in the gyri in the childhood- and adolescent-onset patients with schizophrenia.\(^15\) Another study on first-episode schizophrenia however reported increased curvature of the right parahippocampal-lingual cortex area.\(^19\) Only one study measured the sulcal depth of the bilateral parietal operculum was shallower in schizophrenia patients.\(^20\)

Gray matter abnormalities are a well-established fact in schizophrenia. Schizophrenia has a high heritability rate\(^21,22\) and brain structural abnormalities are observed in both first episode and chronic patients with schizophrenia,\(^5-7\) and unaffected siblings as well.\(^23-25\) Therefore, it is necessary to determine whether these abnormalities are due to the genetic predisposition and/or disease-related factors. Recently, a large-scale genome-wide association study of schizophrenia and of subcortical brain volumes examined the relationship between the genetic variants and brain imaging phenotypes of patients with schizophrenia and controls.\(^26\) However, they found no evidence of genetic overlap between schizophrenia risk, and the volume of seven subcortices (amygdala, caudate nucleus, hippocampus, nucleus accumbens, pallidum, putamen and thalamus) and intracranial volume (ICV). Their findings suggest that it is unlikely that the subcortical volumetric alternation in schizophrenia is due to genetic risk factors.\(^27\) However, evidence of the relationship between cortical measurements and schizophrenic genetic risk still needs to be verified. Only a few studies have investigated cortical measurements, mainly thickness, in siblings of patients with schizophrenia. Widespread cortical thickness reductions have been reported in unaffected siblings, especially in the frontal and temporal cortex.\(^23-25\) Studies on individuals with high genetic risk factors for schizophrenia, such as unaffected first-degree relatives of the patients, can clarify some causes of brain abnormalities observed in patients and help disentangle disease-related genetic risks shared between probands and relatives from other disease-related factors, including illness state and antipsychotic medication.

Therefore, the current study comprehensively investigated cortical measurements, including cortical thickness, surface area, local gyration index (LGI) and sulcal depth, in patients with schizophrenia and their unaffected parents, using high-resolution structural MRI data in a vertex-wise whole brain analysis. The associations between the cortical measures and the clinical and cognitive characteristics were preliminarily explored. We hypothesized that unaffected parents of patients with schizophrenia would share similar characteristics in cortical thickness or even more cortical morphological measures because of the disease-related genetic risks buried in their genes that they shared with the patients.

**Methods**

**Subjects**

In total, 153 Chinese Han subjects were recruited for the current study, including 33 patients with schizophrenia (SZ), 61 of their unaffected biological parents (PA) (30 fathers and 31 mothers), 30 young healthy controls for the patients (HC1) and 29 old healthy controls for the unaffected parents (HC2).

The SZ and PA groups were recruited from the Peking University Sixth Hospital. The diagnosis of schizophrenia was made according to ICD-10 diagnostic criteria for paranoid schizophrenia by at least two trained and skilled psychiatrists. All patients were under antipsychotic medication when they were enrolled in the study, and the dosages were converted to the equivalent dose of chlorpromazine. More than half of the patients were under combination medication. The Positive and Negative Syndrome Scale (PANSS)\(^28\) was
were assessed in the current study: 1) speed of processing assessed using the Trail Making Test (TMT), Part A and Category Fluency Test (CFT): Animal Naming; 2) working memory; Digital Span (DS) forwards and backwards of the Wechsler Memory Scale-Chinese Revised (WMS-CR); 3) episodic memory: Logical Memory (LM) from the WMS-CR. Other than for the TMT, a higher score indicates better cognitive ability. The detailed cognitive assessments and scoring are given in the supplementary material. All subjects completed the cognitive assessments, with the exception of one patient.

Cognitive function assessment

Several commonly impaired cognitive abilities in schizophrenia were assessed in the current study: 1) speed of processing assessed using the Trail Making Test (TMT), Part A and Category Fluency Test (CFT): Animal Naming; 2) working memory; Digital Span (DS) forwards and backwards of the Wechsler Memory Scale-Chinese Revised (WMS-CR); 3) episodic memory: Logical Memory (LM) from the WMS-CR. Other than for the TMT, a higher score indicates better cognitive ability. The detailed cognitive assessments and scoring are given in the supplementary material. All subjects completed the cognitive assessments, with the exception of one patient.

Neuroimaging

MRI data acquisition

Structural magnetic resonance imaging (MRI) scans were conducted at the Department of Radiology, Peking University Third Hospital, using a 3.0-Tesla MAGNETOM Trio MR system (Siemens Medical System, Erlangen, Germany). Head motion was minimized using restraining foam pads. Three dimensional T1-weighted images were acquired at a sagittal orientation, employing a 3D-MPRAGE sequence with the following parameters: time repetition=2350 ms, time echo=3.44 ms, field of view=256×256 mm², flip angle=7°, 192 sagittal slices, slice thickness=1 mm, matrix size=256×256, total acquisition time=363 seconds. Visual quality control was performed to check movement artifacts, brain lesions or significant changes. After extensive quality control of the brain imaging data, the data of 33 SZ, 60 PA (29 fathers, 31 mothers), 30 HC1 and 28 HC2 subjects, amounting to 151 subjects in total, were included in subsequent analyses.

Data analysis

The publicly available FreeSurfer software package, version 5.3.0 (http://surfer.nmr.mgh.harvard.edu/) was used for cortical surface reconstruction. Details of the processing techniques have been described elsewhere. Initially, gray matter/white matter (white) and gray matter/cerebrospinal fluid (pial) boundary surface triangulations were reconstructed. The cortical surfaces generated were then carefully reviewed and manually edited for technical accuracy. Vertex-wise estimates of various morphological measurements, including cortical thickness, surface area, local gyriﬁcation index (LGI) and sulcal depth, were calculated. To be speciﬁc, cortical thickness was deﬁned as the shortest distance between the white and pial surfaces at numerous vertices across the entire cortical mantle. Surface area was calculated by assigning one-third of the area of each triangle to each of its vertices. LGI was calculated using the ratio between the amount of cortex buried within the sulcal folds and the amount of cortex visible on the outer surface of the brain. Sulcal depth was deﬁned as the displacement from each vertex to the sulcal surface. For comparison, all individual reconstructed cortical surfaces were aligned with an average template, using a surface-based registration algorithm.

We used full-width at a half-maximum of 20 mm to smooth the vertex-wise maps.

Statistical analysis

Differences in basic demographics between groups were examined using two-tailed t-tests and chi-square tests, with the aid of PASW Statistics 18.0 (IBM Corporation, Armonk, NY, USA).

Complete vertex-wise analyses of various morphological measurements were performed for the SZ and PA groups using FreeSurfer 5.3.0. We used a general linear model, controlling for the effect of age and sex, in order to estimate differences in each morphological measure.
between group SZ and group HC1, as well as group PA and group HC2, at each vertex of the surface. In order to correct for multiple comparisons, a cluster analysis was performed using a Monte Carlo simulation with 10,000 iterations. A vertex-wise and cluster-forming threshold of $P<0.05$ was used for simulation. These analyses were performed using the “command-line” group analysis stream of FreeSurfer. The anatomical overlap of brain regions with significant impairments between the SZ and PA groups was determined using conjunction analysis, which allows us to summarize two comparisons that show an effect. Specifically, the minimum of the absolute T values across two statistical maps at each vertex is used to create a conjunction map that shows the minimum T values with the true sign of the minimum values. This facilitated the inspection of neuropathological features shared by patients with schizophrenia and their first-degree relatives. The conjunction analyses were performed using FreeSurfer 5.3.0.

The associations between cortical measurements shared by patients and their relatives and clinical characteristics (PANSS scores, course of illness and medication dose), as well as cognitive assessments were investigated using partial correlations controlling for the effect of age and sex, with the aid of MATLAB (R2012a; The MATHWORKS Inc., Natick, MA, USA).

### Results

#### Demographics and cognition

Sociodemographic, psychopathological and cognitive data of the SZ and PA groups are shown in Table 1. No statistically significant differences were noted among the SZ and HC1 groups, or the PA and HC2 groups, in terms of age, sex and years of education.

The patients were associated with cognitive deficits in all tested cognitive domains. Additionally, the PA group showed significantly poorer performance than the HC2 group for the TMT and CFT associated with speed of processing.

#### Group comparisons of morphological measurements of the SZ group

After correction for multiple comparisons at cluster level using Monte Carlo simulation, we observed significant abnormalities in cortical thickness, LGI and sulcal depth in the SZ group, compared with that of the HC1 group. Specifically, widespread cortical thinning was found in SZ patients, involving bilateral frontal, temporal, parietal and occipital regions ($P=0.0002$ after correction) (Figure 1A). Reduced LGI was found bilaterally in the postcentral/precentral gyrus ($P=0.002$

### Table 1 Demographic, cognitive and clinical characteristics of the patients, their unaffected parents and healthy controls

<table>
<thead>
<tr>
<th>Variables</th>
<th>SZ (n=33)</th>
<th>NC1 (n=30)</th>
<th>P</th>
<th>PA (n=60)</th>
<th>NC2 (n=28)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender (male/female)</td>
<td>19/14</td>
<td>18/12</td>
<td></td>
<td>29/31</td>
<td>13/15</td>
<td>0.605*</td>
</tr>
<tr>
<td>Age, years</td>
<td>23.4 (4.0)</td>
<td>23.0 (3.2)</td>
<td>0.600</td>
<td>50.7 (5.6)</td>
<td>51.8 (5.7)</td>
<td>0.393</td>
</tr>
<tr>
<td>Education, years</td>
<td>13.7 (2.1)</td>
<td>14.3 (2.0)</td>
<td></td>
<td>13.3 (2.9)</td>
<td>13.3 (2.6)</td>
<td>0.315b</td>
</tr>
<tr>
<td>Brain size, voxels</td>
<td>154,980 (17,825)</td>
<td>155,573 (10,453)</td>
<td>0.90</td>
<td>150,740 (11,459)</td>
<td>147,733 (13,267)</td>
<td>0.33</td>
</tr>
<tr>
<td>Speed of processing:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TMT</td>
<td>38.4 (11.8) ^c</td>
<td>28.5 (7.5)</td>
<td>7.9×10^{-5}</td>
<td>50.0 (20.0)</td>
<td>38.5 (11.4)</td>
<td>0.001</td>
</tr>
<tr>
<td>CFT</td>
<td>30.6 (8.6) ^c</td>
<td>36.0 (7.8)</td>
<td>0.013</td>
<td>26.4 (7.8)</td>
<td>32.8 (9.3)</td>
<td>0.001</td>
</tr>
<tr>
<td>Working memory: DS</td>
<td>12.1 (3.3) ^c</td>
<td>15.3 (3.9)</td>
<td>0.001</td>
<td>9.6 (3.8)</td>
<td>10.9 (3.7)</td>
<td>0.144</td>
</tr>
<tr>
<td>Episodic memory: LM</td>
<td>9.3 (2.0) ^c</td>
<td>11.0 (1.8)</td>
<td>0.001</td>
<td>8.0 (2.3)</td>
<td>8.9 (1.7)</td>
<td>0.066</td>
</tr>
<tr>
<td>Onset age, years</td>
<td>19.5 (3.4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Course of illness, months</td>
<td>41.3 (32.1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medication dose, mg/day ^d</td>
<td>437.3 (268.9)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medication combination, %</td>
<td>51.5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PANSS_T</td>
<td>67.8 (11.5)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PANSS_P</td>
<td>19.6 (5.5)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PANSS_N</td>
<td>15.9 (4.5)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PANSS_G</td>
<td>32.2 (5.4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Notes: ^aPearson Chi-square test. ^bOne-way ANOVA. ^cData of one patient were missing. ^dChlorpromazine-equivalent dose.

Abbreviations: SZ, patients with schizophrenia; NC1, normal controls for patients with schizophrenia; PA, unaffected parents of patients with schizophrenia; NC2, normal controls for the parents; CFT, Category Fluency Test: animal naming; TMT, Trail Making Test: Part A; DS, Digital Span; LM, Logical Memory; PANSS_T, total score of PANSS; PANSS_P, score of PANSS positive subscale; PANSS_N, score of PANSS negative subscale; PANSS_G, score of PANSS general psychopathology subscale. Two sample t-test was adopted if no specific statement is available.
after correction), extending to the caudal middle/inferior frontal regions (Figure 2). We also found a decrease in the sulcal depth of the left insula ($P=0.020$ after correction) (Figure 3A). No increase in cortical thickness, LGI or sulcal depth was observed among the patients. There was no significant difference in surface area between the SZ group and the HC1 group.

**Group comparisons of morphological measurements of the PA group**

After correction for multiple comparisons, we observed significant abnormalities in cortical thickness and sulcal depth of the PA group, compared with that of the HC2 group. Specifically, cortical thinning was found in bilateral prefrontal and insula, left lateral occipital and fusiform regions of subjects in the PA group (cluster-wise $P=0.0002$ after correction) (Figure 1B). We also found a decrease in the sulcal depth of the right insula (cluster-wise $P=0.023$ after correction) (Figure 3B). No increase in cortical thickness and sulcal depth were observed among the parents. There was no significant difference in surface area and LGI between the PA group and the HC2 group.

**Conjunction analysis of SZ<HC1 and PA<HC2 for cortical thickness and sulcal depth**

Conjunction analysis identified that patients with schizophrenia and their first-degree relatives share regions with significant cortical thinning. These regions include bilateral prefrontal and insula cortices, left lateral occipital and fusiform areas ($P<0.001$ corrected). (Figure 1C and Table 2).

Conjunct regions were not identified for sulcal depth after correction for multiple comparisons. However, we observed a trend towards a shallow sulcus in both patients and their parents, using a more liberal statistical threshold for conjunction analysis of sulcal depth. Group comparisons of sulcal depth were not significant.
depth in the SZ and PA groups are shown in Additional Figure S1A and B (uncorrected \( P<0.05 \)). Conjunction analysis revealed that SZ patients and their first-degree relatives share a shallow sulcus in 7 clusters, involving bilateral insula and occipital, left precuneus, parahippocampus and medial orbitofrontal regions (Additional Figure S1C and Table 2).

**Correlation between abnormal cortical morphology and clinical and cognitive data**

No significant correlation was found in the patients group between any of the abnormal cortical measures and clinical characteristics (PANSS scores, course of illness and medication dose) \( (P>0.05) \). However, we did find that a thinner cortex and shallow sulcus in some brain areas are related to the poor cognitive function of patients and that a shallow sulcus in the left parahippocampal gyrus is related to the poor TMT performance of parents \( (P<0.05, \text{ Table 3}) \). However, only the correlation between the TMT and left middle frontal cortex and left calcarine of the SZ and NC1 groups were able to survive FDR correction.

**Discussion**

In the current study, we investigated abnormalities in cortical morphology and cognitive function of patients with schizophrenia and their unaffected parents. The abnormalities shared with their unaffected parents allowed us to better understand the disease-related genetic effect on

**Table 2** Brain regions with significant abnormality shared between patients with schizophrenia and their unaffected parents

<table>
<thead>
<tr>
<th>Region</th>
<th>BA</th>
<th>Vertex Number</th>
<th>Size (mm²)</th>
<th>Peak Coordinates [x y z]</th>
<th>Peak T value</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cortical thickness</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(( P&lt;0.001 ) corrected)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>L Middle frontal cortex</td>
<td>45</td>
<td>16,310</td>
<td>8932</td>
<td>–40 40 21</td>
<td>3.638</td>
</tr>
<tr>
<td>L Calcarine</td>
<td>18</td>
<td>6068</td>
<td>4376</td>
<td>–17 –95 –4</td>
<td>3.225</td>
</tr>
<tr>
<td>R Insula</td>
<td>48</td>
<td>10,925</td>
<td>4632</td>
<td>36 –18 21</td>
<td>3.457</td>
</tr>
<tr>
<td>R Medial orbitofrontal cortex</td>
<td>11</td>
<td>5009</td>
<td>3427</td>
<td>25 32 –9</td>
<td>3.205</td>
</tr>
<tr>
<td>L Insula</td>
<td>48</td>
<td>1518</td>
<td>598</td>
<td>–32 –21 14</td>
<td>1.985</td>
</tr>
<tr>
<td>L Precuneus</td>
<td>30</td>
<td>165</td>
<td>81</td>
<td>–13 –55 17</td>
<td>1.739</td>
</tr>
<tr>
<td>L Insula</td>
<td>27</td>
<td>206</td>
<td>78</td>
<td>–20 –32 –9</td>
<td>1.716</td>
</tr>
<tr>
<td>L Middle occipital gyrus</td>
<td>18</td>
<td>337</td>
<td>264</td>
<td>–17 –93 2</td>
<td>1.572</td>
</tr>
<tr>
<td>L Rectus</td>
<td>11</td>
<td>77</td>
<td>65</td>
<td>–63 53 –15</td>
<td>1.498</td>
</tr>
<tr>
<td>R Cuneus</td>
<td>17</td>
<td>319</td>
<td>232</td>
<td>13 –97 9</td>
<td>1.850</td>
</tr>
<tr>
<td>R Insula</td>
<td>48</td>
<td>615</td>
<td>245</td>
<td>36 –11 1</td>
<td>1.830</td>
</tr>
</tbody>
</table>

**Sulcal depth**

(\( P<0.05 \) uncorrected)

<table>
<thead>
<tr>
<th>Region</th>
<th>BA</th>
<th>Vertex Number</th>
<th>Size (mm²)</th>
<th>Peak Coordinates [x y z]</th>
<th>Peak T value</th>
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<tbody>
<tr>
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<td>1518</td>
<td>598</td>
<td>–32 –21 14</td>
<td>1.985</td>
</tr>
<tr>
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<td>165</td>
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<td>–13 –55 17</td>
<td>1.739</td>
</tr>
<tr>
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<td>206</td>
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<td>–20 –32 –9</td>
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</tr>
<tr>
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<td>264</td>
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<td>–63 53 –15</td>
<td>1.498</td>
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<tr>
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<td>232</td>
<td>13 –97 9</td>
<td>1.850</td>
</tr>
<tr>
<td>R Insula</td>
<td>48</td>
<td>615</td>
<td>245</td>
<td>36 –11 1</td>
<td>1.830</td>
</tr>
</tbody>
</table>

**Correlation between abnormal brain regions and cognitive characteristics of the patients, their unaffected parents and healthy controls controlling for age and sex**

**Table 3**

<table>
<thead>
<tr>
<th>Region</th>
<th>SZ and NC1</th>
<th>PA and NC2</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CFT (( r/P ))</td>
<td>TMT (( r/P ))</td>
</tr>
<tr>
<td>Cortical thickness (( P&lt;0.001 ) corrected)</td>
<td>L Middle frontal cortex</td>
<td>( -0.597/1.88 \times 10^{-5} )</td>
</tr>
<tr>
<td></td>
<td>L Calcarine</td>
<td>( -0.489/0.0007^* )</td>
</tr>
<tr>
<td></td>
<td>R Insula</td>
<td>( 0.293/0.024 )</td>
</tr>
</tbody>
</table>

**Note:** \( ^* \) FDR corrected, \( P<0.05 \).

**Abbreviations:** SZ, patients with schizophrenia; NC1, normal controls for patients with schizophrenia; PA, unaffected parents of patients with schizophrenia; NC2, normal controls for the parents; CFT, Category Fluency Test: animal naming; TMT, Trail Making Test: Part A; DS, Digital Span; \( r \), correlation coefficient value.

**Abbreviations:** BA, Brodmann area; L, left; R, right.
cortical development and its potential behavioral significance. Our main findings included the finding that patients and their unaffected parents both demonstrate a decrease in cortical thickness and sulcal depth (ie, cortical thinning and flattening), compared with their respective controls, while only patients show decreased LGI. No significant surface area alternation and no increase in cortical morphological measurements were observed in either patients or their unaffected parents. The changes in the cortical morphology of patients and their unaffected relatives are associated with similar deficits in the speed of processing.

Cortical thickness is thought to be a morphological measure of the number of neurons within a cortical layer. Some studies on post-mortem materials and animal models have reported that schizophrenia is associated with a reduction in neuron number of the thalamus, hippocampus and visual cortex. In the current study, we observed widespread cortical thinning of the bilateral frontal, temporal, parietal and occipital regions in patients with schizophrenia, and in a more circumscribed pattern for their unaffected parents. Patients with schizophrenia and their first-degree relatives share significant cortical thinning of bilateral prefrontal and insula, left lateral occipital and fusiform regions. Previous studies have consistently reported of a widespread decrease in cortical thickness of the fronto-temporo-parietal region of schizophrenic patients, compared with controls, which is most pronounced in the frontal lobe and temporal cortex. For the relatives, though there has been no consensus among researchers as yet, a majority of studies on cortical thickness of relatives report a thinner cortex in the prefrontal cortex, anterior cingulate cortex, temporal and occipital regions. In addition, a study with a large sample size of patients with schizophrenia and their unaffected siblings reported a trend-level reduction in thickness for the unaffected siblings. The research findings from the same group suggest that neocortical volume is under genetic control and that the cortical volumetric reductions are related to a familial risk of schizophrenia, but the measurements of subcortical volumes per se did not represent a disease-related intermediate biological phenotype, which was verified in a very recent associated study on the genetic risk of schizophrenia and subcortical volume. Therefore, cortical morphology is probably more of a genetic risk of schizophrenia than subcortical brain structures. Our findings further support evidence that widespread cortical thinning could be a disease-intermediate phenotype of schizophrenia, which undergoes the volumetric changes that have been repeatedly reported in patients and their first-degree relatives.

Abnormalities in sulcal morphology have been previously reported mostly in the cingulate or paracingulate sulcus of schizophrenia patients. Only one study measured vertex-wise sulcal depth of the whole brain and found that patients with schizophrenia have a shallow sulcus in bilateral parietal operculum. In the current study, significantly shallow sulci were observed in the left insula of patients and right insula of unaffected parents. Moreover, patients and parents shared a trend-level sulcal depth reduction mainly in bilateral insula and occipital cortex. An interesting finding was that sulcal depth reduction of the insula was found in both patients and relatives despite a relative liberal threshold. The insular cortex is bilaterally located deep within the lateral fissure. Insula have been shown to play an important role in cognition and their dysfunction underlies many psychiatric disorders, such as schizophrenia. A systematic meta-analysis of insula volume found medium-sized reduction in insula volume in schizophrenia patients, which may suggest its important role in the neuropathology of schizophrenia. Recently, two meta-analyses on structural and functional imaging studies across multiple psychiatric diagnoses have identified bilateral insula as a common neurobiological substrate for mental illnesses, which may relate to executive function deficits observed across diagnoses. It has been suggested that patients with schizophrenia and their biological relatives may have deficits in executive control processing and common activity changes of the insula. Sulcal depth has been reported to decline with age, while the common shallow sulcus in insula of young patients and their unaffected parents might demonstrate that insula flattening could be a disease-intermediate phenotype. However, studies on sulcal morphology in schizophrenia and their relatives are rare, and the current findings need to be verified in other cohorts using a larger sample size.

In addition, we also found that the local gyrification index (LGI) decreased only in the patients group for bilateral post-central/precentral gyrus, extending to the caudal middle/inferior frontal regions. LGI is a measure of the brain folding pattern, which depicts one aspect of brain surface complexity. There have been relatively few studies that have investigated gyrification in schizophrenia patients, especially in a voxel-wise way. These studies have yielded mixed results, including higher, as well as lower gyrification of the frontal and temporal lobe, or no gyrification differences between patients and controls. Although the findings are inconsistent, the
frontal and temporal lobes still seem to be the most susceptible regions in schizophrenia.54 These discrepancies in the findings may point to the heterogeneity of gyrification, or to heterogeneity within populations (medication, demographics) and the methodologies used. A very interesting hypothesis of gyrification is that the tension produced by neuronal connections are involved in the mechanisms of gyrification, which means that changes in structural connectivity between brain regions lead to changes in the cortical folding pattern; while the disconnection hypothesis has been well accepted in the pathogenesis of schizophrenia.54 Recent studies are inclined to confirm that LGI is a neurological marker of schizophrenia.55 Abnormal LGI might represent vulnerability to psychopathology.56 We found that LGI decreased only in the patients group, which indicates that it might be a biomarker of individuals who will actually develop the disorder or be affected by disease progression. Therefore, LGI is a very interesting measurement that needs to be studied further in a prospective manner, with first-episode drug-naïve patients, in order that we can find out its relationship with the neuropathology of disconnection in schizophrenia and other disease-related factors, such as disease-state and medication.

Schizophrenia is associated with cognitive deficits in comprehensive domains.57–59 These deficits have been found not only in patients, but also in their unaffected first-degree relatives.60 In line with previous investigations, we also found significantly poor performance of patients and their unaffected parents in cognitive tests, compared with similar aged controls. These results suggest that these deficits may reflect brain abnormalities that are associated with a genetic risk of schizophrenia.

In the patients group, correlation analysis revealed significant associations between abnormal cortical morphology and cognitive tests, especially in the speed of processing domain, but not with any clinical characteristics, which is partly in line with prior findings.61 These findings further suggest that cognitive dysfunction is an important and stable symptom of schizophrenia patients. Investigation of unaffected parents of patients show the association between reduced speed of processing and a shallower sulcus in the left parahippocampal gyrus, which in a previous study showed less pronounced cortical thinning in unaffected relatives of patients with schizophrenia.62 However, we did not observe similar areas in patients with schizophrenia in the correlation analysis between cortical morphology and cognitive function, which suggests that patients may engage a compensatory network of brain regions other than the normal cortex used for recall. Speed of processing impairment in unaffected relatives of patients may be related to the genetic risk of the disease. However, the neuropathological mechanisms still need to be further investigated.

Several issues need to be considered before understanding our findings. First, unaffected parents of patients, as first-degree relatives of the probands, were mostly living together with the patients and were probably affected by disease-related negative emotions and the stigma attached to the disease. The situation they had been born in might have been worse than that experienced by the siblings of the patients. Adding necessary assessments of emotional status may help exclude confounding factors. Second, some genetic heterogeneity still exists in the parent group, such as that of the presumed obligate carrier who appears to transmit genetic predisposition to their affected children. Third, the small sample size, especially of patients, limits further analysis of this data set, such as that of the gender effect and genetic association analysis of significant measurements. Finally, we used a liberal threshold of the conjunction analysis of sulcal depth, and the validity of our findings remains uncertain without replication in larger samples.

**Conclusion**

In summary, our study revealed widespread cortical thinning and relatively localized cortical flattening in both patients with schizophrenia and their unaffected parents. The abnormal cortical morphology shared between patients and relatives indicate that specific cortical morphological measurements, such as cortical thickness and sulcal morphology, may be intermediate phenotypes of schizophrenia and may explain the impaired speed of processing in patients and their unaffected relatives.

**Ethics approval and consent to participate**

The study was approved by the Medical Ethics Committee of Peking University Sixth Hospital. All available biological parents of the patients were invited to participate in this study, and therefore written consent was given by the patients and their parents, as well as all healthy participants enrolled in this study. This study was conducted in accordance with the Declaration of Helsinki.

**Abbreviation list**

ROI, regions of interest; ICV, intracranial volume; LGI, local gyrification index; SZ, schizophrenia; PA, unaffected
biological parents; HC1, young healthy controls for the patients; HC2, old healthy controls for the unaffected parents; PANSS, Positive and Negative Syndrome Scale.

Data sharing statement
The datasets used and/or analyzed during the current study are available from the corresponding author upon reasonable request.

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Author contributions
All authors contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure
The authors report no conflicts of interest in this work.

References


Supplementary materials

1. Methods for cognitive assessments and scoring

Several commonly impaired cognitive abilities in schizophrenia were assessed in current study: 1) speed of processing assessed with Trail Making Test, Part A (TMT) and Category Fluency Test: Animal Naming (CFT); 2) working memory; Digital Span (DS) forward and backward from the Wechsler Memory Scale-Chinese Revised (WMS-CR); 3) episodic memory: Logical Memory (LM) from the WMS-CR. Except for the TMT, higher rating scores indicated better cognitive abilities. The detailed methods of assessments and scoring were described as follows:

TMT:
Participants were presented some consecutive numbers that are arranged in irregular locations and were asked to draw a line connecting a sequence of 25 numbers in order. Participants need to finish it as quickly as possible while still maintaining accuracy and should not lift the pencil from the paper until they finish this task. We record the completion time. The test can provide information about speed of processing.

CFT:
Participants were asked to produce as many animal words as possible in 1 min. A higher number of correct answers reflects a faster processing speed.

DS:
For Digital Span forward, participants were asked to hear a sequence of numerical digits and tasked to recall the sequence correctly, with increasingly longer sequences being tested in each trial. For Digital Span backward, participants were asked to recall the sequence numbers in reverse order. Two trials for each sequence length are administered. Both trials of an item are administered even if the respondent passes the first trial.

LM:
Participants were presented auditorily two story passages and were asked to recall each story immediately after hearing it using as many of the same words of the original passage as they could remember. There are 50 gists (important story ideas units) in the two story passages. The gist recall was evaluated in each participant. The full score was 25 points (0.5 for each gist), then the raw score was converted to scale score according to converting table in the manual of WMS-CR, which was defined on the basis of the common model of Chinese adults.
Figure S1 Cortical statistical maps displaying sulcal depth abnormalities in patients with schizophrenia (SZ) compared with young healthy controls for the SZ (HC1) (A), and in unaffected biological parents of patients (PA) compared with old healthy controls for the PA (HC2) (B), and the conjunction map for SZ<HC1 and PA<HC2 (C). (D) Mean ± SEM sulcal depth of the 7 clusters identified in the conjunction analysis. A and B show regions with uncorrected P<0.05. The colour bar indicates T-values.