

MicroRNA-34a suppresses aggressiveness of hepatocellular carcinoma by modulating *E2F1*, *E2F3*, and Caspase-3

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Background: Accumulating evidence suggests an antineoplastic role of *MicroRNA-34a* (*miR-34a*) in human cancer. However, its precise biological functions stay largely elusive.

Purpose: Our study was aimed to investigate the impact of *miR-34a* on hepatocellular carcinoma (HCC) and its underlying apoptosis related mechanisms in vitro, as well as the association of *miR-34a*, *E2F1* and *E2F3* expression with patient survival of HCC using publicly accessed datasets.

Methods: The HBV-expressing Hep3B and SNU-449 cell lines with or without enforced expression of *miR-34a* were in vitro cultured for cell proliferation, colony formation, wound healing, cell invasion, and 3D spheroid formation. Quantitative reverse transcription PCR (RT-qPCR) was performed for *E2F1*, *E2F3* expression. Caspase-3 (CASP3) activity was determined using a CaspACE™ Assay System. Kaplan–Meier survival curves were used to analyze the associations of *miR-34a*, *E2F1* and *E2F3* expression and overall survival in HCC. Meta-analysis was performed to examine the differential expression of *E2F1* and *E2F3* between primary HCC vs normal tissues.

Results: The results in vitro showed that enforced *miR-34a* expression significantly inhibited cell proliferation, migration, and invasion of both Hep3B and SNU-449. RT-qPCR results demonstrated that *miR-34a* could significantly suppress *E2F1* and *E2F3* expression, particularly in SNU-449. CASP3 activity in both Hep3B and SNU-449 increased in *miR-34a* treatment group. Overexpressed *E2F1* and *E2F3* were observed in primary HCC vs normal tissues. Survival analyses showed that HCC patients with either high *miR-34a*, or low *E2F1*, or low *E2F3* expression had better survival than their opposite counterparts, respectively.

Conclusion: Our study suggested that *miR-34a* can modulate the expression of *E2F1*, *E2F3*, and CASP3 activity, thereby repressing tumor aggressiveness and expediting apoptosis in liver cancer cells

Keywords: hepatocellular carcinoma, microRNA-34a, E2Fs, Caspase-3, prognosis

Introduction

Liver cancer, one of the major cancer killers worldwide, ranks the top sixth of the most common malignant tumors and of cancer-caused death.^{1,2} In the past two decades, especially the Asia-Pacific region has become a severely afflicted area.³ Hepatocellular carcinoma (HCC) is a predominant type of liver cancer. The established risk factors for HCC include hepatitis virus (HBV and HCV) infection, fungal toxins (eg, aflatoxins), and non-alcohol fatty liver disease, and chronic HBV infection accounts for up to 54% of whole cases of HCC worldwide.⁴ Due to its occult onset and aggressiveness, HCC has a dismal mortality and poor

prognosis.⁵ The currently available clinical treatments for patients with advanced HCC are still suboptimal. Patient who loses the opportunity of surgical resection or transplantation has only a few options for treatment, such as chemotherapy, radiotherapy, and immunotherapy. Taking chemotherapy for example, although extensive clinical trials have been tried to optimize chemotherapy regimens, the clinical benefit from the drugs, such as sorafenib, lenvatinib, and regorafenib, is still mediocre.^{6,7} Thus, the development of novel therapies is still imperative.

MicroRNA-34a (miR-34a) has been shown as a tumor suppressor in various malignant tumors.⁸ Reduced *miR-34a* expression is frequently exhibited in human cancer including HCC,⁹ and its inhibitory action has been observed in several human cancer cell lines including pancreas, prostate, brain, colon, breast, and liver (HuH7 and HCCLM3).^{5,9-12} Efforts have tried to develop *miR-34a* as an agent for the treatment of lymphoma, lung, and prostate cancer.^{11,13,14} The termination of MRX-34 Phase I clinical trial [NCT01829971] due to severe immune-related adverse effects (iREs) suggests that more investigation of the molecular mechanisms underlying the antitumor activities of *miR-34a* is still necessary.¹⁵

The transcription factor E2Fs family is grouped into three subcategories based on their functions and expression patterns: transcriptional activators (*E2F1-3*), transcriptional repressors (*E2F4-6*), and atypical repressors (*E2F7* and *E2F8*).¹⁶⁻¹⁸ These transcription factors are involved in cell cycle and DNA synthesis by choreographing the expression of cell cycle-dependent genes in eukaryotic cells.¹⁹ Besides regulating cell cycle,²⁰ *E2F1* and *E2F3* are also related to the maintenance and self-renewal of cancer stem cells (CSCs), and named as CSC-associated transcription factors.²¹ Furthermore, the deregulation of E2F transcriptional activators exists in many cancer types, including bladder, breast, ovarian, prostate, gastrointestinal, and lung.²²⁻²⁴ Abnormally upregulated expression levels of *E2F1* and *E2F3* were observed in HCC, leading to tumor cell proliferation and invasion.²⁵

Caspase-3 (CASP3), a member of the cysteine aspartic acid-specific protease family, is a well-known executive effector interplaying with caspase-8 and 9 to trigger cell apoptosis by cleaving important cellular substrates.^{26,27} Thus, CASP3 plays a crucial role in cancer development and prognosis.^{28,29} Recent studies report that *miR-34a* can induce cell apoptosis by directly or indirectly modulating *E2Fs* pathway and CASP3 in several types of cancer

cells.^{19,30} However, it is still unclear whether there is a similar mechanism in HCC.

Thus, in this study, we aimed to investigate the effect of *miR-34a* on transcription factors *E2F1* and *E2F3* expression, and CASP3 activity, as well as the cell proliferation and metastasis of HCC in two HCC cell lines of Hep3B and SNU-449, and to evaluate the associations of *miR-34a*, *E2F1*, and *E2F3* expression levels and patient survival in HCC.

Materials and methods

Cell culture

Human HCC cell Hep3B and SNU-449 were both characterized by identity verification with STR (short tandem repeat) analysis for human cell lines in 2018 and purchased from American Type Culture Collection (ATCC, USA). Cells were cultured in Eagle's Minimum Essential Medium (EMEM, ATCC, USA) and RPMI-1640 medium, respectively, with 10% heat-inactivated FBS (Gibco, USA) in a humidified incubator at 37°C with 5% CO₂.

Transfection of miRNA oligonucleotides

Cultured cells (approximately 90% in confluence) were harvested and seeded into each well of 96-well plates at the concentration of 3,000 cells/100 μL, and mixed with 10 μL Opti-MEM, 0.3 μL Lipofectamine RNAiMAX (ThermoFisher, USA), and 0.3 μL RNA sample of either *miR-34a* mimic or control mimic at the final concentration of 0.03 μM. Both *miR-34a* mimic (5'-UGG CAG UGU CUU AGC UGG UUG U-3') and control mimic oligos were purchased from Integrated DNA Technologies (Integrated DNA Technologies, USA). The medium was changed for each well next day.

MTS cell proliferation assay

After the RNA transfection, we performed the cell proliferation MTS assay by adding 20 μL of MTS solution (Promega, USA) per well in a dark hood at the different incubation time points (48, 72, 96, and 120 hrs) and then incubated the plates at 37°C following the manufacturer's instruction. The absorbance was determined at the wavelength of 490 nm by a microplate Spectrophotometer (Biotek, Winooski, USA). Triplicate were conducted for each condition at each time point. The proliferation inhibition rate was calculated using the formula as: inhibition rate=(1-Absorbance of treated sample/Absorbance of control (NC) sample)×100%.

Colony formation assay

Approximately 2,000 cells of either Hep3B or SNU-449 were seeded and scattered equally into each well of 6-well tissue culture plates, and were cultured in 2 mL of the completed medium, respectively. The cells were treated with either *miR-34a* (intervention group) or control mimic (control group). The medium was replaced with a fresh completed medium on the next day of the transfection, and then every other day. The plates were incubated in a humidified incubator at 37°C with 5% CO₂ for 10 days. After each well was gently washed with 1X PBS, the cells were fixed by 4% paraformaldehyde and were dyed with crystal violet. The number of colonies with more than 30 cells was counted.

Wound healing assay

Approximately 1×10⁶ cells were added into each well of 6-well tissue culture plates, the plates were incubated in a humidified incubator at 37°C with 5% CO₂, and the medium was refreshed for each well on the next day. When the cell monolayer reached about 90% confluence, a wound scratch in each well was gently made using a 100-μL pipette tip. Cells were then cultured in 2 mL EMEM or RPMI-1640 with 0.1% FBS at 37°C for another 48 hrs. The RNA transfection was carried out simultaneously as aforementioned in the different groups. Images were taken at 0, 12, and 24 hrs, respectively, for the average of wound closure measurement.

Transwell cell invasion assay

Transwell cell invasion assays were performed using the transwell system with 3.0 μm pore size (Falcon, USA). After the Hep3B and SNU-449 cells were transfected with the oligos for 72 hrs, 1×10⁴ cells were seeded in the upper layer of culture insert with the solution containing Matrigel (Corning, USA) and 0.1% FBS medium for each well. Below the cell permeable membrane, 600 μL of 10% FBS medium was added for each chamber. The incubation periods were 24 hrs for SNU-449 and 48 hrs for Hep3B, respectively.³¹ Cells migrating through the membrane were fixed by 4% paraformaldehyde and were dyed with crystal violet. The upper side of the membrane was cleared softly by neat cotton swab, and the cells in different fields of view on the surface were counted to get an average of cell counts by using an inverted microscope (Olympus, JPN).

Transduction of luciferase lentivirus

Both Hep3B and SNU-449 cells were first transfected CMV-Firefly luciferase-IRES-Puro lentivirus (Cellomics,

USA). Briefly, cells were cultured in a 6-well plate at the concentration of 1×10⁵ cells with 2 mL medium containing 10% heat-inactivated FBS in each well. After 12-hr incubation, polybrene was gently mixed with cells at the concentration of 6 μg/mL for 8 hrs prior to the addition of five multiplicity of infection lentivirus in the fresh medium (EMEM or PRMI-1640) which contains 10% heat-inactivated FBS. Cell selection for both the cell lines was conducted for at least 12 days with puromycin. Stable fluorescence signal was analyzed using a 96 Microplate Luminometer (Promega, USA), and the cells were both characterized by identity verification with short tandem repeat analysis for human cell lines.

3D spheroid formation assay

After the transfection with either *miR-34a* mimic or control mimic into both cell lines carrying a luciferase reporter gene, respectively, we performed 3D spheroid formation by mixing approximately 500 cells with 30 μL of solution which contained phenol-red free matrigel (Corning, USA), and cultured in EMEM or PRMI-1640 medium. One set was used for capturing images from 24 to 96hr incubation time, and another set was used for in vitro bioluminescence signal determination by transferring to a 96-well plate in the presence of D-luciferin (150 μL/mL) (PerkinElmer, USA). Triplicate were conducted for each condition at each time point (24, 48, 72, and 96 hrs). The proliferation inhibition rate was calculated following the formula as: inhibition rate=(1-Absorbance of treated sample [or mock sample]/Absorbance of control sample [NC])×100%.

RNA extraction and quantitative RT-PCR

Total RNA was extracted from the cells of SNU-449 and Hep3B using the RNeasy mini kit (Qiagen, Germany) according to the manufacturer's instructions. The Epoch microplate spectrophotometer (Biotek, USA) was used to determine the concentration and purity of total RNA. Total RNA was subsequently reverse-transcribed to cDNA using an AffinityScript multi-temperature cDNA synthesis kit (Agilent technologies, CA, USA) per the manufacturer's instructions. The expression of *E2F1*, *E2F3*, and *GAPDH* genes was determined using the SYBR Green PCR Kit (Qiagen, Germany) on a 7,500 Fast Real-time PCR System (Life Technologies, USA). All the primer sequences used in this study are shown in Table S1. Each sample was analyzed in triplicate, and the qPCR reaction conditions include one cycle of 95°C for 15 mins, followed by 40 cycles of 95°C for 15 s and

60°C for 1 min. The dissociation curve was run after the PCR amplification in each assay. The relative expression levels of *E2F1* and *E2F3* mRNA are expressed as fold differences relative to *GAPDH* (as an internal control) using the $2^{-\Delta\Delta C_t}$ method.

Differentiated expression and survival analysis

Two publicly accessed datasets were used to evaluate differentiated expression of *E2F1* and *E2F3* and the prognostic values of *miR-34a*, *E2F1*, and *E2F3* in HCC. In OncoPrint® dataset (OncoPrint®, www.oncoPrint.org), we used the parameters of HCC, cancer vs normal, mRNA and either *E2F1* or *E2F3* for the differential expression. The characteristics of included studies are presented in Table S2. The Kaplan–Meier survival curves of *miR-34a*, *E2F1*, and *E2F3* in HCC were generated based on the datasets of liver cancer miRNA (miRpower) and RNA-seq using Kaplan–Meier plotter (<http://kmplot.com/analysis>), respectively.

Caspase-3 activity

The CaspACE™ Assay System was performed in a total volume of 100 μ L in 96-well plates following the manufacturer's protocol. Briefly, for each group, 2×10^6 cells in 2 mL of the medium were prepared. Induced apoptosis groups were treated with 10 μ mol/L *miR-34a* or control mimic for 72 hrs. For inhibited apoptosis samples, 3 μ L Z-VAD-FMK inhibitor was added at the 72 hrs of the transfection. The mock group cells were used as normal control (NC). The plate was incubated for 16 hrs at 37°C in a humidified incubator with 5% CO₂. Cells then were harvested to prepare cell lysates. After the centrifugation, the cell supernatant fractions were collected for CASP3 activity measurement.³² In addition, the protein concentration of each sample was tested using a BCA protein assay kit (ThermoFisher Scientific, USA), and the pNA Calibration Curves were also created by a colorimetric assay system. CASP3 Specific Activity (SA) was calculated as the following formulas:

1. $SA = \frac{\text{pmol pNA liberated per hour}}{\mu\text{g protein}} = \frac{X}{\mu\text{g protein}}$
2. $X = (\Delta A - (Y \text{ intercept of pNA standard curve})) / (\text{incubation time in hours}) \times (100\mu\text{L}(\text{sample volume})) / (\text{slope of pNA standard curve}(A405/\mu\text{mol}/\mu\text{L}))$
3. $\Delta A = \text{induced apoptosis sample A405} - \text{inhibited apoptosis sample A405}$

Statistical analysis

Continuous variables are shown as mean \pm SD. Group comparisons were evaluated by one-way ANOVA. Spearman correlation analyses were performed for the correlation of *miR-34a* with *E2F1/3*, and *CASP3* gene expression. Meta-analysis was performed for the fold-change of *E2F1* and *E2F3* using R package 3.5 (<http://www.r-project.org>) by a random-effects model. Statistical significance was considered when a *p* was <0.05 at two-side. All statistics and figures were generated using GraphPad Prism 7.00 software (www.graphpad.com) if not specified.

Results

miR-34a suppresses proliferation, migration, and invasion of HCC cell lines in vitro

To investigate the biological relevance of *miR-34a* in liver cancer in vitro, we cultured both SNU-449 and Hep3B cells with the transfection of either *miR-34a* mimic or control mimic, respectively. The inhibition rate results showed that the *miR-34a* groups presented significantly reduced cellular viability ($p < 0.05$) as compared to the mock groups, at each time point (72, 96, and 120 hrs) after the transfection in both Hep3B and SNU-449 cells. Hep3B cells treated by *miR-34a* reached up to $24.55 \pm 4.85\%$ ($p = 0.001$), and SNU-449 climbed to $17.34 \pm 5.06\%$ at 120 hrs ($p = 0.009$) (Figure 1A and B). The colony formation assay showed reduced cell colony formation ability in the *miR-34a* group (Figure 1C and D) with $44.42 \pm 6.64\%$ ($p = 0.032$) and $48.78 \pm 6.03\%$ ($p = 0.015$) relative colony formation efficiency in Hep3B and SNU-449, respectively, compared with the control groups (Figure 1E). Moreover, wound healing assay showed that cells moved much slower in the *miR-34a* group than the control and mock group (Figure 2A and C). For Hep3B, the average width of wound gaps in the control group shrunk to $42.15 \pm 3.03\%$ of its initial width at 48 hrs, whereas the *miR-34a* group stayed in $65.35 \pm 9.31\%$ of its initial width ($p = 0.032$). Meanwhile, $37.94 \pm 9.57\%$ of initial wound width in the control group compared to $61.49 \pm 8.24\%$ of initial width in the *miR-34a* group of SNU-449 cell ($p = 0.015$) (Figure 2B and D). Similarly, in transwell cell invasion assay, the cells treated with *miR-34a* displayed much weaker invasive ability than both the mock and control groups (Figure 2E and G). For SNU-449, the average migrating cell counts in the

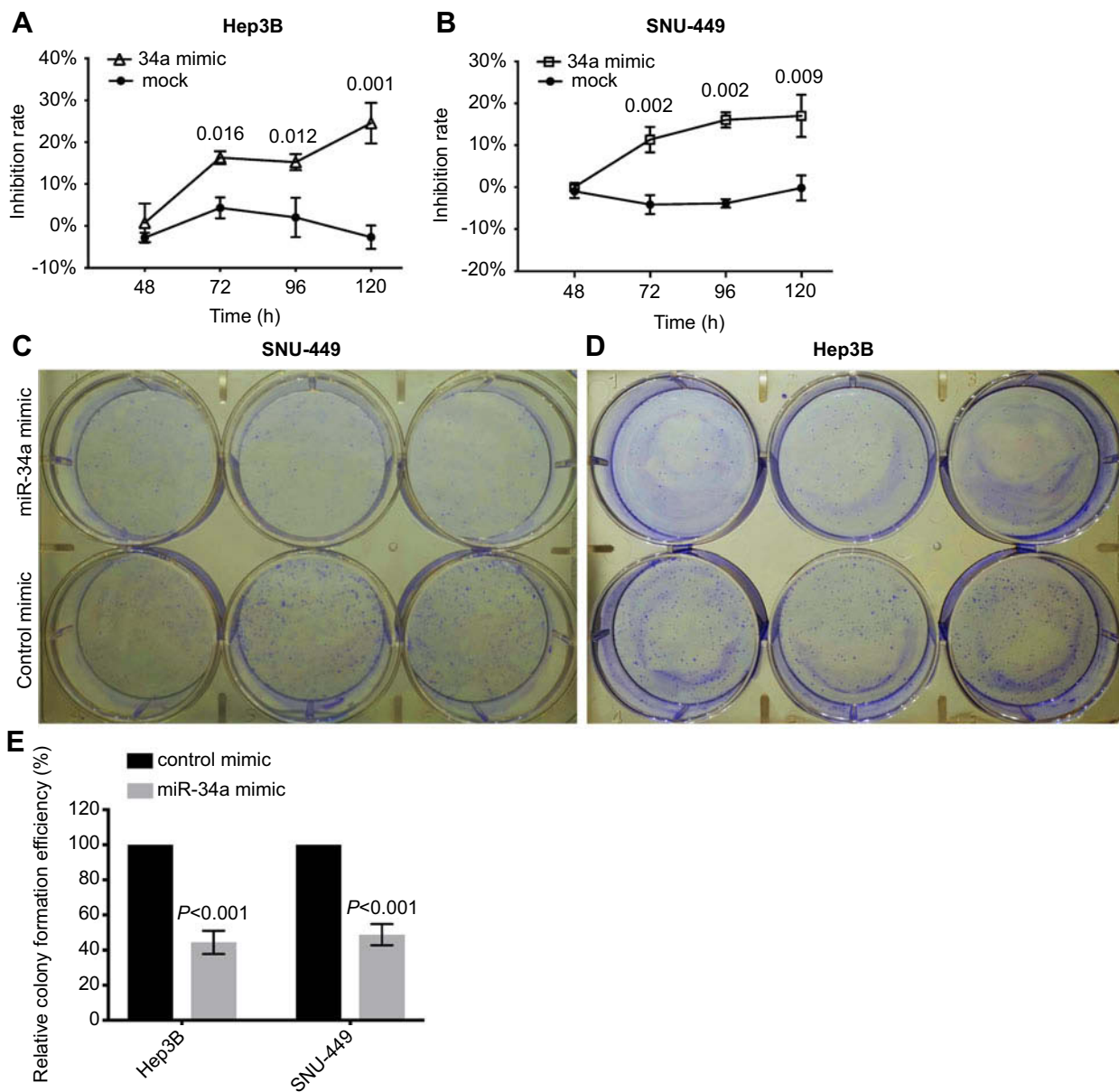


Figure 1 *miR-34a* suppressed the proliferation and colony formation of Hep3B and SNU-449. Relative inhibition rates of Hep3B (A) and SNU-449 (B) in response to *miR-34a*, and mock group were calculated by comparing the OD value of *miR-34a* mimic or mock to NC, at 48, 72, 96, and 120 hrs, respectively. The representative colony formation of SNU-449 (C) and Hep3B (D) cells transfected by *miR-34a* and control mimics. The relative colony formation efficiency also showed significant reduction of amount of colonies of SNU-449 and Hep3B (E) in *miR-34a* mimic groups. Data are presented as the mean±SD (*miR-34a* mimic vs control mimic).

Abbreviation: NS, non-significant.

control group were 239.67 ± 13.32 compared to 144 ± 9.85 of the *miR-34a* group ($p < 0.001$). For Hep3B, the invading cell numbers were 219.67 ± 20.13 of the control group compared to 165.67 ± 11.06 of the *miR-34a* group ($p = 0.025$) (Figure 2F and H).

Inhibition of 3D spheroid formation by *miR-34a*

To investigate the inhibition of 3D spheroid formation by *miR-34a*, we cultured both Hep3B and SNU-449

cells, each of which carries the luciferase reporter gene, in Matrigel. The dynamic changes of cells spheroid formation are shown in Figure 3A and B. For Hep3B, the relative cell cross-sectional area of the *miR-34a* group grew to $106.67 \pm 1.25\%$, and showed a significant difference compared to $192.67 \pm 9.53\%$ ($p < 0.001$) of the control group at 96 hrs. For SNU-449, a significant difference in the average spheroid area between the *miR-34a* group and the control group was shown from 48 to 96 hrs (Figure 3C and D).

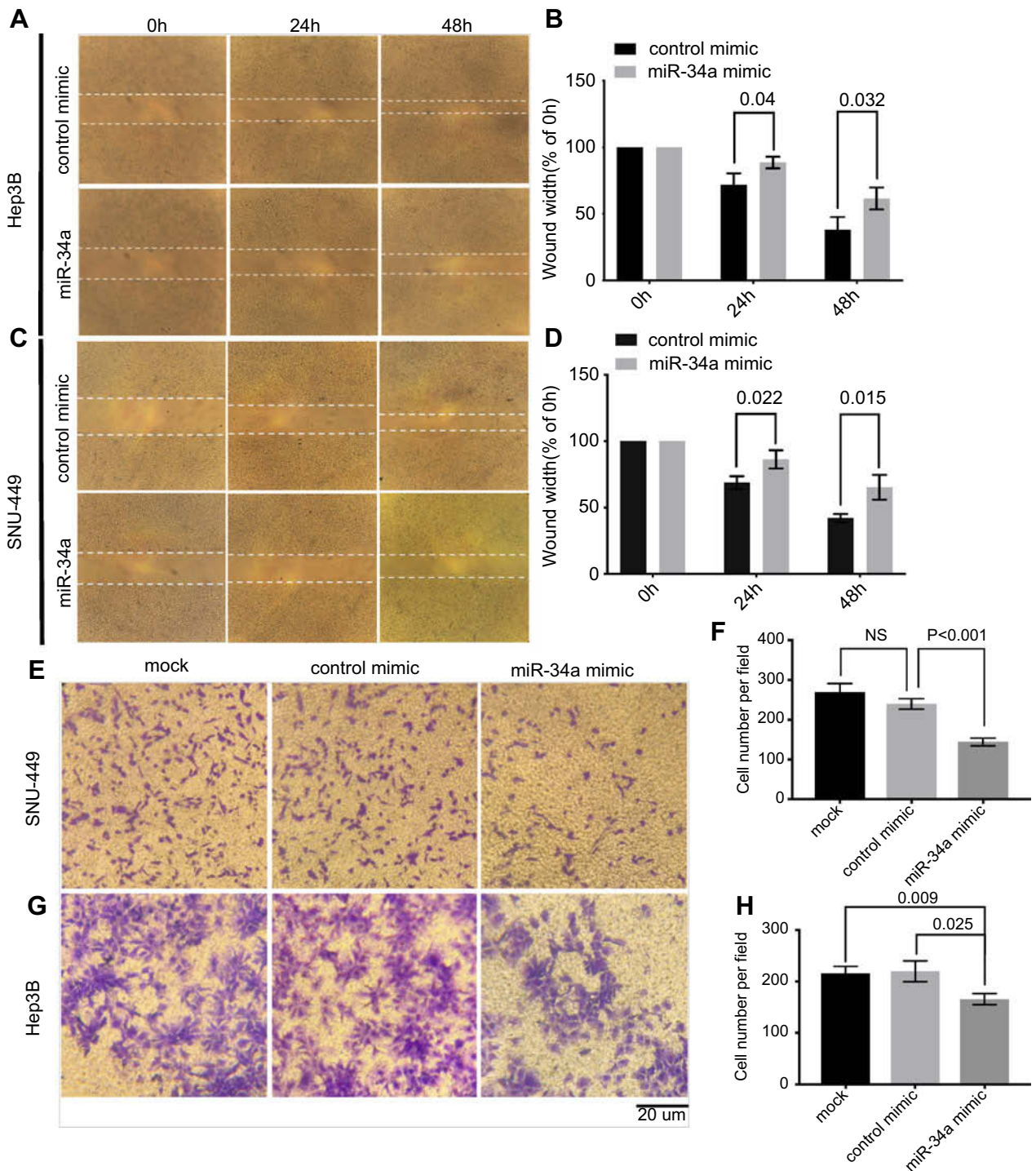


Figure 2 miR-34a inhibited the migration and invasion ability of SNU-449 and Hep3B cells. The wound healing assay was made in Hep3B (A) and SNU-449 (C) transfected with miR-34a mimic or control mimic at 0, 24, and 48 hrs, respectively. The bar graphics present the percentage of wound recovery in Hep3B (B) and SNU-449 (D). We can observe that control mimic stimulated cells to close the wound faster than miR-34a. Transwell invasion assay for SNU-449 (E) and Hep3B (G) cells transfected by miR-34a, control mimic group and mock, and images were taken after 24 and 48-hr incubation, respectively. The average cell number was counted by three randomly chosen different fields in SNU-449 (F) and Hep3B cells (H). Values represent the mean±SD.

Abbreviation: NS, non-significant.

Moreover, the luciferase reporter gene assays in 3D culture system showed that, for Hep3B cells, the inhibition rate increased from 4.44±1.03% (24 hrs) to 40.22±1.56%

(96 hrs) and that for SNU-449 cell, the inhibition rate increased from 3.58±1.57% (24 hrs) to 26.28±1.45% (96 hrs) (Figure 3E).

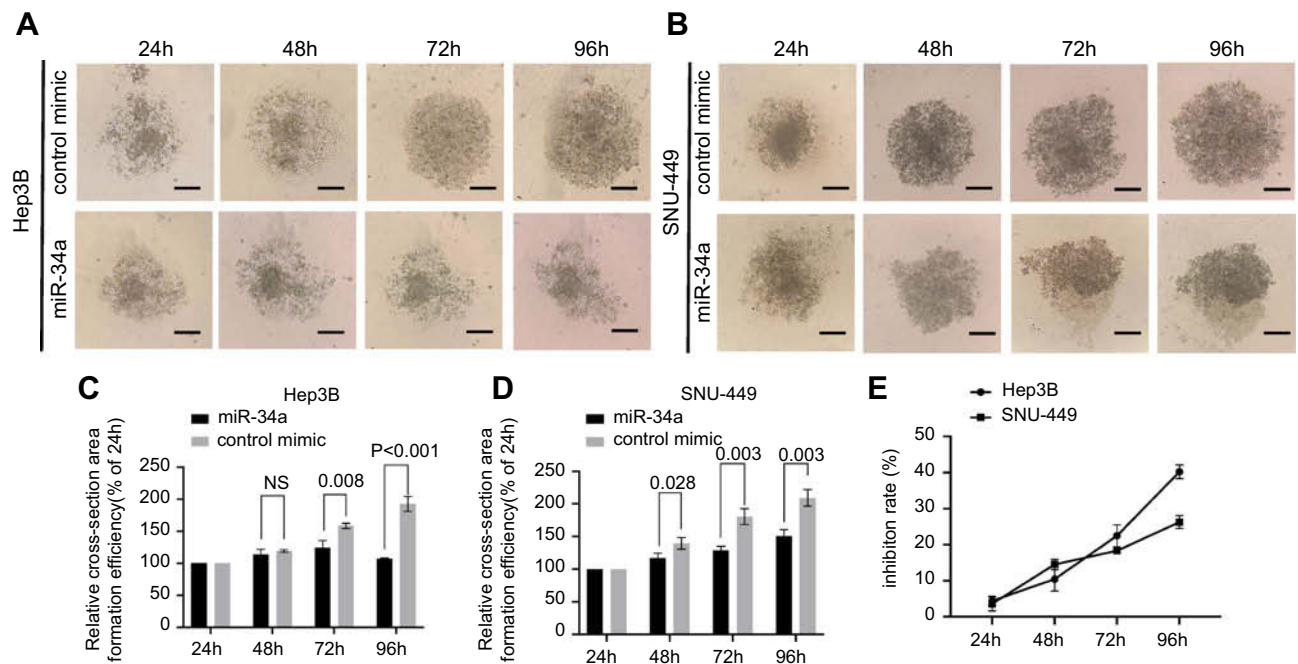


Figure 3 miR-34a inhibited the spheroid formation of Hep3B and SNU-449 cells. The representative 3D spheroid models of Hep3B (A) and SNU-449 cells (B) transfected by miR-34a and control mimics. The relative cross-sectional area showed significant reduction of Hep3B (C) and SNU-449 spheroid (D) in miR-34a mimic groups. Relative inhibition rates of Hep3B and SNU-449 spheroid formation (E) in response to miR-34a were calculated by dividing the fluorescence value of miR-34a mimic by that of control mimic at 24, 48, 72, and 96 hrs, respectively.

Abbreviation: NS, not-significant.

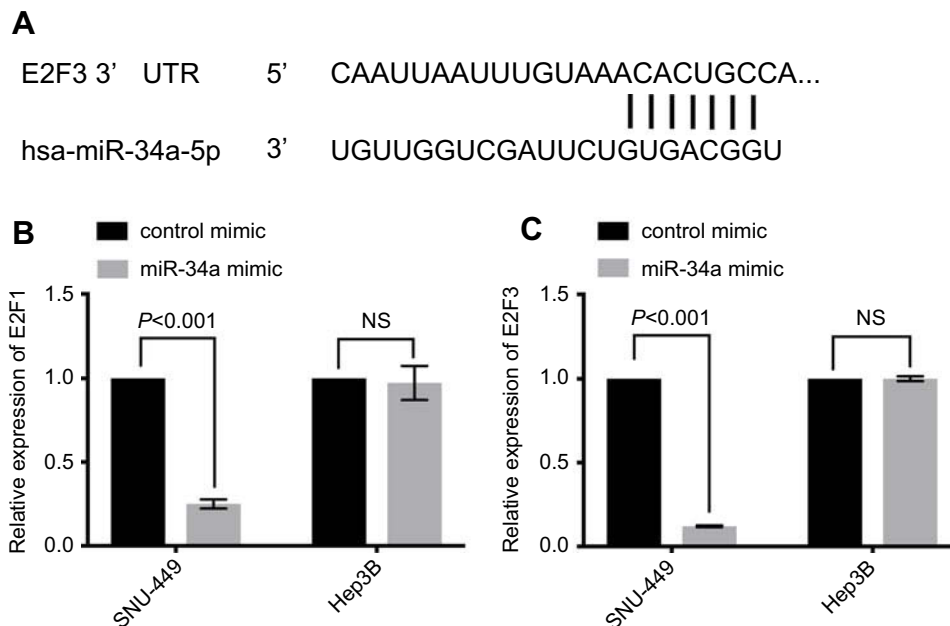


Figure 4 E2F1 and E2F3 were downregulated by miR-34a overexpression. E2F3 3'-UTR contains a predicted binding site of miR-34a (A). The relative expression level of E2F1 (B) and E2F3 (C) in HCC cells.

Abbreviations: HCC, hepatocellular carcinoma; NS, non-significant.

miR-34a reduces the expression of E2F1 and E2F3

Based on the prediction algorithm of TargetScan (www.targetscan.org), E2F3 contains the binding site of miR-34a

(Figure 4A), which has been validated in a previous study.³³ Given that high E2F1 and E2F3 promote liver cancer pathogenesis, we further wondered whether enforced expressed miR-34a in the cell lines could downregulate the expression

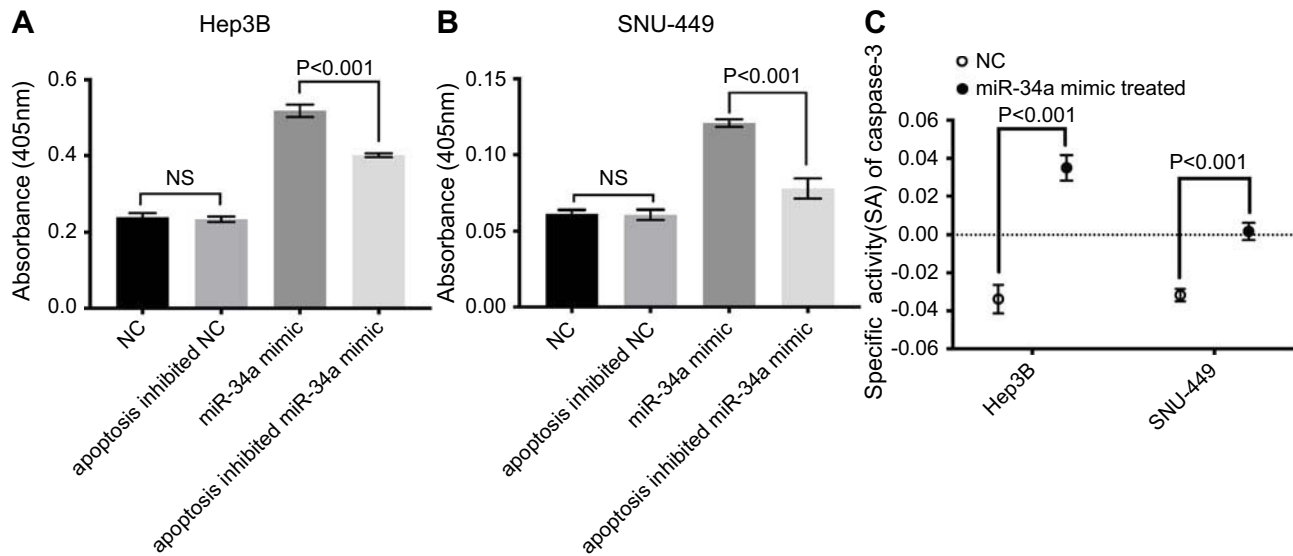


Figure 5 *miR-34a* improved CASP3 activity. The absorbance was higher in the *miR-34a* group than NC, apoptosis inhibited NC and apoptosis inhibited *miR-34a* group in Hep3B (A) and SNU-449 (B), respectively. (C) CASP3-specific activities were significantly higher in *miR-34a* treated group compared to NC in both cell lines. **Abbreviations:** NC, negative control; NS, non-significant.

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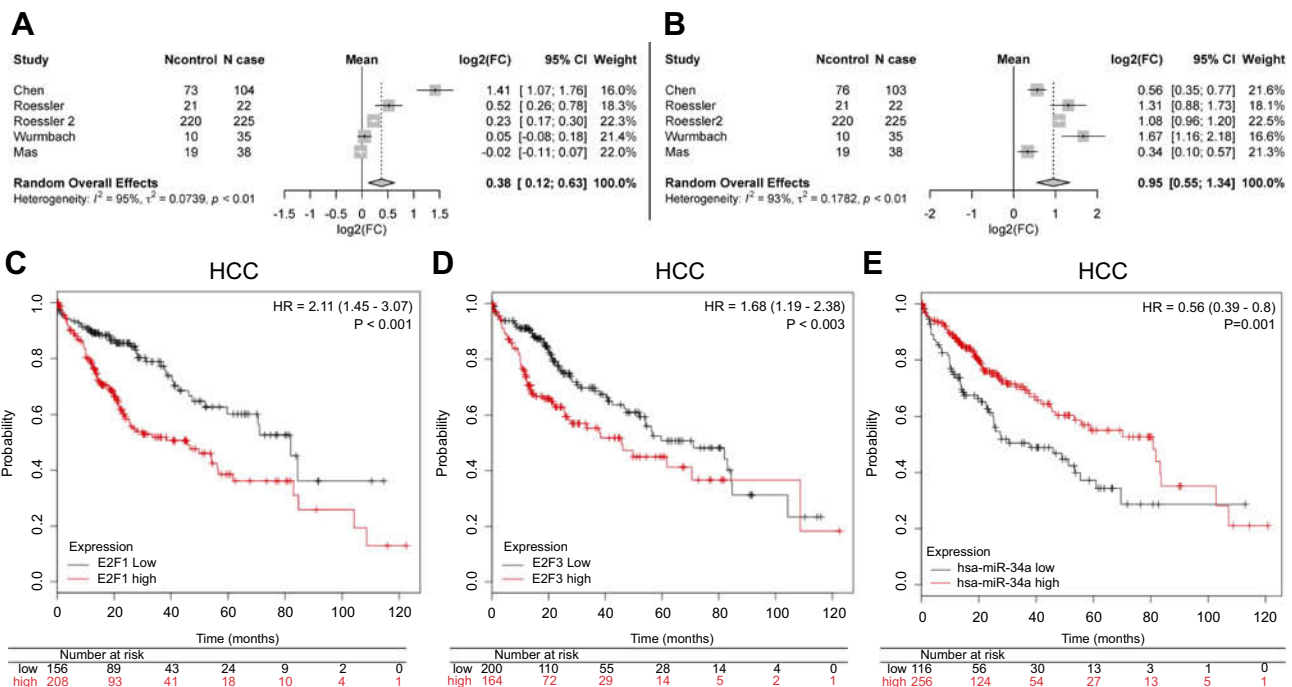


Figure 6 Meta-analysis of the differential expression of *E2F1* and *E2F3*, and the Kaplan–Meier survival curves of *E2F1*, *E2F3*, and *miR-34a* in HCC. Meta-analysis for the differential expression of *E2F1* (A) and *E2F3* (B) in primary HCC tissues (N cases) compared to normal tissues (N control), respectively, with a random-effects model (CI; I-squared measures the degree of heterogeneity in meta-analyses). HCC patients with either high *E2F1* (C), or high *E2F3* (D), or low *miR-34a* (E) had an inferior overall survival compared to those with either low *E2F1*, or low *E2F3*, or high *miR-34a*, respectively. **Abbreviation:** HCC, hepatocellular carcinoma.

levels of *E2F1* and *E2F3* or not. Hence, we conducted RT-qPCR to examine the expression of *E2F1* or *E2F3* in liver cancer cell lines treated with either *miR-34a* or the control

oligos. In SNU-449, the results showed *E2F1* expression in the *miR-34a* group was downregulated to 0.25 ± 0.03 folds of the control group ($p < 0.001$), while *E2F3* was 0.12 ± 0.01 folds

of the control group ($p < 0.001$). The expression levels of *E2F1* and *E2F3* in Hep3B, on the other hand, between the *miR-34a* and the control groups were not significant (Figure 4B and C). Thus, under the same experimental conditions, this result suggests *miR-34a* may possess different efficacies in modulating expression of *E2F1* and *E2F3* in different cell lines of HCC.

miR-34a promotes caspase-3 activity

As displayed by the results, the CASP3 activities of the *miR-34a* group was significantly higher than the inhibited apoptosis group or blank group, in both Hep3B and SNU-449 cells (Figure 5A and B) ($p < 0.05$). The caspase-3 SA also showed a similar trend that the *miR-34a* group held a higher SA value than the control group (Figure 5C) ($p < 0.05$).

E2F1 and E2F3 are overexpressed in primary HCC

To examine the expression of *E2F1* and *E2F3* in primary HCC compared to normal tissues, we retrieved the gene expression data published in literature that are archived in a publicly accessed database of Oncomine® and conducted a random-effects model for a pooled fold-change in log2 using meta-analysis. We found that in primary HCC compared to normal tissues, the expression of *E2F3* was significantly upregulated (log2(fold-change) = 0.95, 95% CI: 0.55–1.34, or fold-change = 1.93, 95% CI: 1.46–2.53), whereas the expression of *E2F1* was significantly but weaker upregulated (log2(fold-change) = 0.38, 95% CI: 0.12–0.63, or fold-change = 1.30, 95% CI: 1.09–1.54) (Figure 6A and B).

Prognostic values of mir-34a, E2F1, and E2F3 in HCC

The overall survival curves of HCC patients were generated by Kaplan-Meier plotter based on the binary category of either *miR-34a*, or *E2F1* and *E2F3*, and the results are illustrated in Figure 6. Patients with a high level of *E2F1* suffered worse prognosis than those with a low one (HR=2.11, 95% CI: 1.45–3.07, $p < 0.001$) (Figure 6C). Similarly, a high *E2F3* level was significantly positively associated with poor prognosis (HR=1.68, 95% CI: 1.19–2.38, $p = 0.003$) (Figure 6D). Oppositely, patients with a high *miR-34a* level had a significantly reduced risk of death compared to those with a low one (HR=0.56, 95% CI: 0.39–0.80, $p = 0.001$) (Figure 6E).

Correlation between miR-34a and E2F1/E2F3, and CASP3 expression in HCC

By combining miRNA and mRNA sequencing data in a HCC TCGA dataset, we performed Spearman correlation analyses. The results showed that there was a significantly negative correlation between *miR-34a* and *E2F3* (correlation coefficient = -0.15, $p = 0.003$), whereas no significant correlation between *miR-34a* and either *E2F1* (correlation coefficient = -0.01, $p = 0.78$) and *CASP3* (correlation coefficient = -0.05, $p = 0.30$) (Figures S1A–C).

Discussion

miRNAs are a potential tool in the treatment of tumors since the dysregulation of miRNA frequently occurs in human cancer.³⁴ Overexpression of *miR-34a* was associated with better prognosis in breast cancer patients.³⁵ The similar relevance has also been observed in HCC patients in recent studies.^{9,36} In the present study, we found that the enforced overexpression of *miR-34a* could significantly inhibit the progression of both HCC cell lines in 2D and 3D cell cultured systems, as expected. These findings of in vitro experiments are consistent with the patient survival analysis in this study, which showed that HCC patients with a high *miR-34a* expression level had better survival than those with a low one.

It has been shown that *miR-34a* could induce cell apoptosis by regulating the expression of *p53*, *bcl-2*, *cyclin D1*, or *IL-2* in human cancer such as lymphoma, lung cancer, prostate cancer, and liver cancer cell lines (MIHA, Huh7, Bel-7404).^{4,11,13,37–39} In HCC, aberrant expression of *miR-34a* has been associated with cancer metastasis and invasion through regulating c-MET pathway, or cell cycle and *p53* pathways.⁴⁰ *miR-34a*/toll-like receptor 4 axis also contributes to the development of HCC.⁴¹ The involvement of *miR-34a*/β-catenin/*CCND1* pathway has been shown in the processes of apoptosis.⁴² The E2Fs play an important role in cell cycle regulation and apoptosis.⁴³ *E2F1* and *E2F3*, especially, are transcriptional activators that can regulate DNA replication, mitosis, apoptosis, and centrosome duplication.^{44,45} Overexpression of E2Fs is frequently observed in advanced cancer diseases and aggravates chemoresistance.⁴⁶ In this study, our results showed the upregulation of *E2F1* or *E2F3* in primary HCC compared to normal tissues. Moreover, our analysis also indicated that a high expression level of either *E2F1* or *E2F3* was significantly associated with poor prognosis in HCC patients.

In line with these in vivo findings, the transfection of suppressor *miR-34a* could significantly downregulate *E2F1* and *E2F3* expression, particularly in SNU-449 cell line. Given that *E2F3* is a target of *miR-34a*, the significant reduction of *E2F3* by *miR-34a* is as expected and in line with the in vivo negative correlation between *miR-34a* and *E2F3*. Interestingly, although *E2F1* is not a predicted target of *miR-34a*, the *miR-34a*-mediated *E2F1* suppression was still observed in vitro. This finding suggests that there may have an indirect mechanism(s) underlying the *miR-34a*-mediated *E2F1* inhibition.^{19,47} Moreover, these findings add evidence in the context of *miR-34a* suppression of tumor development and progression by regulating *E2F1* and *E2F3*.^{25,30,48,49}

Intriguingly, there are different response patterns in the change of *E2F1* and *E2F3* to the enforced overexpression of *miR-34a* between Hep3B and SNU-449 cell lines, although both cell lines showed similar *miR-34a*-mediated suppression phenotypes in 2D and 3D culture systems in this study. The discrepancy of *miR-34a* in targeting *E2F1* and *E2F3* between Hep3B and SNU-449 suggests that multiple pathways may be involved in the antitumor function of *miR-34a*.

CASP3 is a critical factor in cell apoptosis. Its activation as a result of the growth factor withdrawal or initiation of the *Fas/Apo-1* receptor triggers the programmed cell death.^{50,51} Inactivation or low expression level of CASP3 often occurs in many kinds of cancer, and makes cells resistant to microenvironmental stress and treatments, thereby promoting tumorigenesis.^{52,53} The expression of CASP3 is regulated by *miR-34a*.⁵⁴⁻⁵⁶ *miR-34a* induces cellular apoptosis partly by provoking CASP3 activity in both ovarian cancer and PC12 cells.⁵⁵ In line with these observations, we found that the enforced expression of *miR-34a* remarkably improved CASP3 activity in both Hep3B and SNU-449 cells, suggesting that *miR-34a* facilitates the activation of CASP3, thereby activating cell apoptosis. Given that these two cell lines are derived from different genetic backgrounds (Hep3B was from an African patient, and SNU-449 from an Asian patient), this unexpected but intriguing phenomenon that *E2F1*, *E2F3*, and CASP3 displayed different responses to the *miR-34a* overexpression suggested that the efficacy of *miR-34a* might be race-dependent with different mechanisms. The similar phenomenon of gefitinib was reported, which has different therapeutic effects on non-small-cell lung cancer patients with different genetic backgrounds.⁵⁷ Moreover, no significant correlation results in vivo between *miR-34a* and either *CASP3* or *E2F1*

suggest that more complex systems and heterogeneity in vivo exist than in vitro cell line experiments.

HBV-associated HCC has been reported to possess stronger stemness. The underlying mechanisms include that HBx (Hepatitis B virus x protein) functions as an oncogenic transactivator regulating cancer stem-related genes, such as *MDM2*, *CXCR4*, and *OV690*, increasing the activity of *E2F1* via inhibiting pRb, and further inducing DNA methyltransferase 1 (DNMT1) activation.⁵⁸⁻⁶⁰ In addition, the downregulation of *miR-34a* in HBV-associated HCC might result from HBx-induced p53 inhibition. Therefore, the restoration of *miR-34a* may work more efficiently against HBV-associated HCC than other types.

The potential of tumor suppressor *miR-34a* prompted a Phase I clinical trial of MRX34 liposomal injection [NCT01829971] in patients with primary liver cancer or other solid malignancies several years ago. Due to severe iREs, unfortunately, the trial has been terminated.¹⁵ This suggests that *miR-34a* may directly or indirectly off-target other genes, eg, pro-inflammatory or antigen presentation-related molecules, besides those that are involved in tumorigenesis. However, the events of iRE prompt us to design novel approaches to target delivery of *miR-34a* (eg, encapsulated in nanoparticles), restricting iRE without sacrificing its therapeutic effect. Undoubtedly, more investigation of *miR-34a* against tumors from bench to bed is still necessary, including molecular mechanisms, delivery vehicles, and immune response. Better understanding of *miR-34a* pathway(s) may help us to design novel strategies in pharmaceutical targets. For example, E2Fs-based antibodies or RNA-targeting small molecules rather than the application of *miR-34a* mimic which has hundreds of molecule targets may minimize the potential adverse effects induced by MRX-34.

In conclusion, we demonstrated the associations of *miR-34a*, *E2F1*, and *E2F3* with HCC patient survival in vivo. To our best knowledge, it is the first study that revealed the effects of *miR-34a* on the expression of *E2F1* and *E2F3*, as well as CASP3 activity in HCC cells, as well as inhibitive effect of *miR-34a* on 3D spheroid formation. Our findings suggest that suppressor *miR-34a* could regulate *E2F1*, *E2F3*, and *CASP3*, thereby inhibiting HCC proliferation and metastasis.

Abbreviation list

SA, specific activity; CASP3, caspase-3; HCC, hepatocellular carcinoma; E2F1, E2 promoter-binding factor-1;

E2F2, E2 promoter-binding factor-2; E2F3, E2 promoter-binding factor-3; miR-34a, microRNA-34a; HBx, hepatitis B virus x; CSCs, cancer stem cells.

Availability of data and material

Data for survival analysis during the current study is available in KMplotter (<http://kmplot.com/analysis>), and data for differential expression is available in OncoPrint (<https://www.oncoPrint.org/resource/login.html>).

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Author contributions

All authors contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure

The authors report no conflicts of interest in this work.

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Supplementary materials

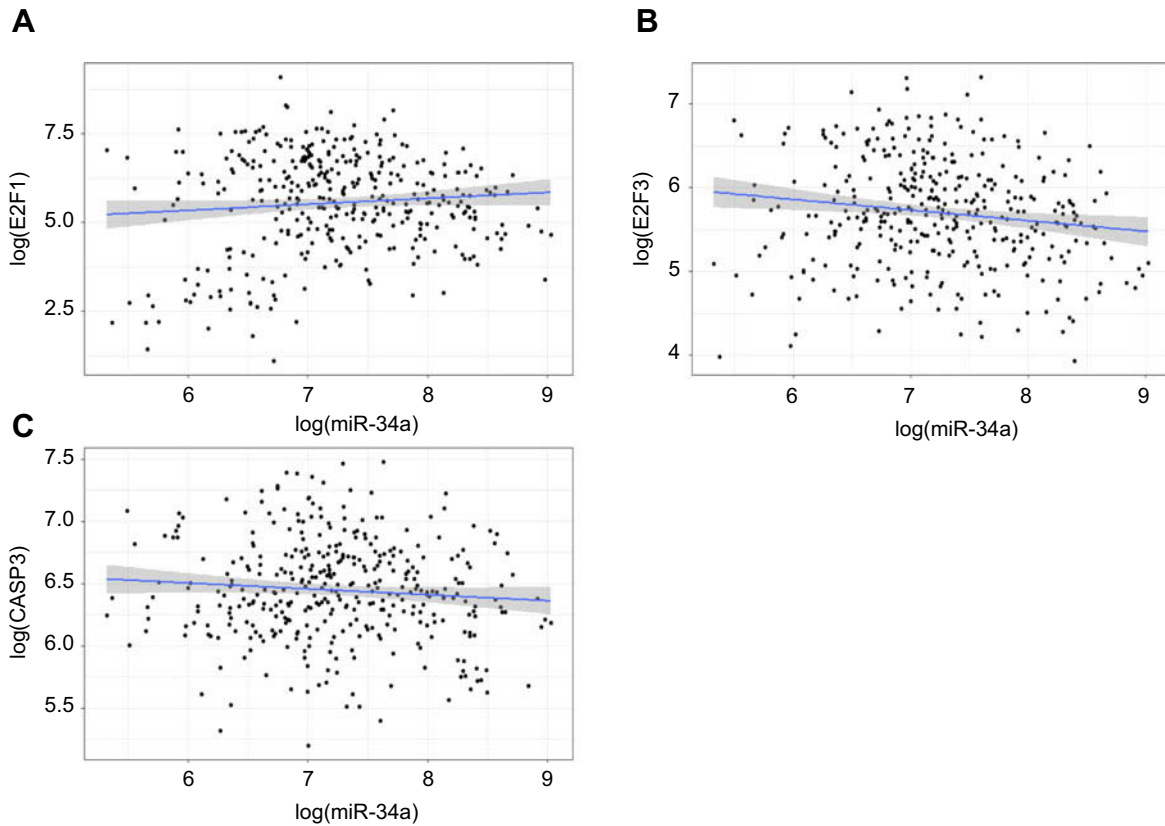


Figure S1 Correlation analyses of the associations between *miR-34a* and *E2F1/3*, *CASP3*. The results show a significantly negative correlation between *miR-34a* and *E2F3* (correlation coefficient = -0.15, p -value=0.003) (B), whereas no significant correlation between *miR-34a* and either *E2F1* (correlation coefficient = -0.01, p -value=0.78) (A) and *CASP3* (correlation coefficient = -0.05, p -value=0.30) (C).

Table S1 Oligo primer sequences of genes in this study

| Gene | Primer | Base sequence |
|-------|---------|---|
| GAPDH | Forward | 5'-GAA GGT GAA GGT CGG AGT C-3' |
| | Reverse | 5'-GAA GAT GGT GAT GGG ATT TC-3' |
| E2F3 | Forward | 5'-AAG AAA TTA GAT GAA CTG ATC CAA AGC-3' |
| | Reverse | 5'TAA CAT AAG CTA ACC TTT GAT TCT CTG AA-3' |
| E2F1 | Forward | 5'-CAT CCA GGA AAA GGT GTG AAA TC-3' |
| | Reverse | 5'-AGG ACG TTG GTG ATG TCA TAG ATG-3' |

Table S2 Characteristics of populations and cancer types of the studies included in the meta-analysis

| Study | Country | Ethnicity | Cancer type | Sample size (cancer/normal) in E2F1 | Sample size (cancer/normal) in E2F3 |
|--------------------------------|-------------|-----------------------------|--------------------------|-------------------------------------|-------------------------------------|
| Chen 2002 ⁽⁶¹⁾ | USA | American | Hepatocellular Carcinoma | 104/73 | 103/76 |
| Roessler 2010 ⁶² | China | Chinese | Hepatocellular Carcinoma | 22/21 | 22/21 |
| Roessler 2. 2010 ⁶² | China, USA | Chinese, European, American | Hepatocellular Carcinoma | 225/220 | 225/220 |
| Wurmbach 2007 ⁶³ | USA, Europe | American, European | Hepatocellular Carcinoma | 35/10 | 35/10 |
| Mas 2009 ⁶⁴ | USA | American | Hepatocellular Carcinoma | 38/19 | 38/19 |

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