

# Clinical efficacy and survival analysis of apatinib combined with docetaxel in advanced esophageal cancer

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**Background and aim:** Standard chemotherapy has limited clinical efficacy in patients with esophageal cancer and there is a significant and unmet clinical need for effective treatment options for these patients. The aim of this study was to compare the clinical efficacy of the novel, targeted drug apatinib combined with docetaxel, and docetaxel combined with S-1 as second- or further-line treatment for patients with advanced esophageal cancer.

**Methods:** We enrolled 33 patients with advanced esophageal cancer in chemotherapy group or apatinib combined with chemotherapy group in this retrospective study. Apatinib (500 mg) was taken orally once daily; docetaxel was administered at a dose of 75 mg/m<sup>2</sup>; and S-1 was optional at a dose of 40–60 mg, based on body surface area. The primary endpoint of this study was progression-free survival (PFS). Secondary endpoints included objective response rate (ORR), disease control rate (DCR), and the incidence and severity of adverse events (AEs).

**Results:** No complete response was observed in the two groups. However, two and five patients achieved partial response in the chemotherapy group and the apatinib combined with chemotherapy group, respectively. The ORR and DCR for the chemotherapy group was 11.1% and 33.3%, respectively. In the apatinib combination group, ORR and DCR was 88.9% and 93.3%, respectively. Anemia (11.1%) and neutropenia (5.6%) were the most frequent grade III/IV AEs observed in the chemotherapy group. In the apatinib combination group, the most frequent grade III/IV AEs were anemia (13.3%), hypertension (6.7%), and proteinuria (6.7%). Median PFS was significantly longer in the apatinib combination group than in the chemotherapy group (175 days vs 85 days,  $P=0.01$ ).

**Conclusion:** The combination of apatinib and docetaxel has a manageable toxicity profile and may prolong survival. Therefore, this combination may be used as second- or further-line treatment for patients with advanced esophageal cancer.

**Keywords:** apatinib, esophageal carcinoma, vascular endothelial growth factor, survival analysis

## Introduction

As one of the deadliest cancers worldwide, esophageal carcinoma, mainly divided into squamous cell carcinoma and adenocarcinoma, ranks sixth in mortality and in incidence, with 5-year survival rates of less than 20%.<sup>1</sup> Although diagnostic techniques have improved, some patients with esophageal cancer (ESC) are still initially diagnosed with late stage disease. Platinum-based chemotherapy and chemoradiation are standard-of-care treatments for patients with advanced disease not cured by surgery. However, overall patient survival remains limited despite numerous efforts to improve the clinical efficacy of chemotherapy. This deficiency highlights the urgent need for novel treatment strategies. Fortunately, the treatment of advanced cancer has entered

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a new era with the advent of targeted drugs in recent years. Targeted therapies have shown significant improvements in clinical efficacy and have also prolonged patient survival.

Angiogenesis has been demonstrated to be a multi-step hallmark of cancer. It is regulated by various factors and has been shown to be an important anti-cancer target.<sup>2,3</sup> VEGF is the most critical driver of angiogenesis and there are three primary receptors, VEGFR-1, VEGFR-2, and VEGFR-3, which cooperate to initiate a signal transduction cascade in response to VEGF ligand binding.<sup>4</sup> Previous studies have indicated that mice lacking VEGFR-2 were unable to form blood vessel systems and had fewer endothelial cells,<sup>5,6</sup> revealing that VEGFR-2 may be essential in angiogenesis. VEGFR-2 has also been shown to regulate endothelial cell growth, differentiation, migration, and “tubulogenesis”.<sup>7</sup>

Bevacizumab, the VEGFR-2-targeting antibody, has exhibited significant antitumor activity in clinical trials and has been approved by the US Food and Drug Administration for the treatment of metastatic colorectal cancer (CRC) in combination with chemotherapy.<sup>8</sup> Other VEGF-targeting agents, such as aflibercept,<sup>9</sup> DW10075, are also being evaluated in ongoing clinical trials.<sup>10</sup> In addition, small molecule tyrosine kinase inhibitors, such as sorafenib<sup>11</sup> and sunitinib,<sup>12</sup> have been applied to advanced cancers in succession. As a novel, selective inhibitor of VEGFR-2,<sup>13</sup> apatinib (AiTan™, YN968D1, Hengrui Pharmaceutical Co. Jiangsu, People’s Republic of China) has been verified as efficacious in a phase III clinical trial<sup>14</sup> and was quickly approved to further-line treatment for advanced gastric cancer in 2014 by the China Food and Drug Administration. Subsequently, research has also indicated that apatinib alone or in combination with chemotherapy has potential antineoplastic activity in other malignancies, such as non-small-cell lung cancer, metastatic breast cancer, anaplastic thyroid cancer, CRC, and hepatocellular carcinoma.<sup>15–18</sup> VEGFR has been shown to be expressed in approximately 53.8% of ESC patients and is associated with poor survival.<sup>19</sup> However, clinical studies on the efficacy of apatinib treatment in ESC are really rare. In this study, compared to chemotherapy alone, we explored the relative clinical efficacy of apatinib combined with docetaxel in the treatment of ESC.

## Materials and methods

### Eligibility

Patients with advanced ESC who were not eligible for surgery or radiotherapy, had failed first-line chemotherapy, had an Eastern Cooperative Oncology Group (ECOG) performance status (PS) of  $\leq 1$ , had no obvious abnormalities

in heart, lung, liver, and kidney function, and can tolerate chemotherapy again were eligible for this study. The content and processes of the study have been reviewed and approved by the Ethics Committee of Zhengzhou University which is guided by international and national ethical requirements concerning biomedical research. This study was a retrospective study, which involved review of patients’ medical histories. Therefore, patients’ informed consent could not be obtained. In compliance with the Declaration of Helsinki, patient data were maintained with strict confidentiality.

### Study design

Thirty-three patients were selected for this study between January 12, 2016 and February 16, 2018, at the First Affiliated Hospital of Zhengzhou University, 18 in the chemotherapy group, which received docetaxel (Qilu Pharmaceutical Co., Jiangsu, People’s Republic of China) plus S-1 (Hengrui Pharmaceutical Co.), and 15 in the apatinib combination group, which received apatinib combined with docetaxel. Treatment continued until disease progression or intolerable toxicities were observed. Progression-free survival (PFS) was measured from the first day of administration to disease progression or death from any cause. Follow-up was carried out for all patients. The study endpoints were PFS, disease control rate (DCR), objective response rate (ORR), and the incidence and severity of adverse events (AEs). It is necessary to note that all 33 patients were previously treated with one of the following: cisplatin, carboplatin, oxaliplatin or other platinum and fluorouracil as first-line chemotherapy. Apart from the 33 patients who were included in the analysis, other patients were excluded from the study due to poor treatment compliance or economic hardship.

### Dose modification

Patients received 500 mg apatinib orally daily, and the dose of docetaxel and S-1 was calculated according to institutional standards based on body surface area (BSA). Docetaxel was administered intravenously at the standard Chinese dose of 75 mg/m<sup>2</sup> every 3 weeks. Oral administration of dexamethasone at 8 mg twice daily for 3 days was recommended as pre-medication for docetaxel infusion. S-1 was administered twice daily for 14 days followed by a 7-day drug holiday for each treatment cycle at the following doses based on BSA: 40 mg (BSA <1.25 m<sup>2</sup>), 50 mg (BSA 1.25–1.5 m<sup>2</sup>), and 60 mg (BSA  $\geq 1.5$  m<sup>2</sup>). Doses were adjusted to 40 mg, 50 mg, 60 mg, or 75 mg, according to the patient’s condition. Treatment continued until disease progression or the incidence of intolerable toxicities.

## Efficacy and safety assessment

Tumors were evaluated regularly by computed tomography scans every two cycles according to Response Evaluation Criteria in Solid Tumors and classified as complete response, partial response, stable disease, or progressive disease. AEs were graded according to the Common Terminology Criteria for Adverse Events version 4.0.

## Statistical analysis

SPSS 21.0 software was used for statistical analysis. Chi-squared test was used to compare the relationship between clinical characteristics of the two groups. The correlations between PFS and clinical characteristics were determined using the multivariate Cox-proportional hazards model. The Kaplan–Meier method was utilized to depict the survival curves.  $P$ -value  $<0.05$  was considered statistically significant.

## Results

### Patient characteristics

As shown in Table 1, no significant differences were observed between the clinical features of the 33 patients in the two groups.

## Clinical efficacies

Two partial responses were observed in the chemotherapy group, and five were observed in the apatinib combination group. Fourteen patients in the chemotherapy group and nine in the apatinib combination group also achieved stable disease. No complete response was observed in either group. Overall response rates of 11.1% and 33.3% were achieved in the chemotherapy and apatinib combination groups, respectively. Additionally, DCR was 88.9% in the chemotherapy group and 93.3% in the apatinib combination group. These results are summarized in Table 2.

Our results showed a significant difference in median PFS between the chemotherapy group (85 days) and the apatinib combination group (175 days) ( $P=0.01$ ). Based on multivariate Cox-proportional hazards regression analysis, the factor of treatment line may have prognostic significance ( $P=0.008$ ). In contrast, other factors such as gender ( $P=0.697$ ), age ( $P=0.154$ ), ECOG PS ( $P=0.518$ ), location of tumor ( $P=0.477$ ), pathologic type ( $P=0.375$ ), TNM stage ( $P=0.519$ ), and pleural effusion ( $P=0.093$ ) showed no significant correlation with PFS, as shown in Table 3.

**Table 1** Clinical features of patients in the two treatment groups

Characteristics	Total	Chemotherapy group	Apatinib with chemotherapy group	P-value
Gender				0.722
Male	13	8	5	
Female	20	10	10	
Age (years)				0.482
$\leq 60$	14	9	5	
$> 60$	19	9	10	
ECOG score				0.731
0	21	12	9	
I	12	6	6	
Location of tumor				0.917
Upper third	9	5	4	
Middle third	12	7	5	
Lower third	12	6	6	
Pathologic types				0.512
Squamous cell carcinoma	23	13	10	
Adenocarcinoma	10	5	5	
TNM stage				0.703
IIla	5	3	2	
IIlb	4	1	3	
IIlc	4	2	2	
IV	20	12	8	
Treatment line				0.732
Second	23	10	7	
Further	10	8	8	
Pleural effusion				0.541
Yes	8	4	4	
No	24	14	11	

**Abbreviation:** ECOG, Eastern Cooperative Oncology Group.

**Table 2** Comparison of the short-term effects in the two treatment groups

Group	n	CR	PR	SD	PD	ORR	DCR
Chemotherapy							
Squamous cell carcinoma	11	0	1	9	1	1 (9.1)	10 (90.9)
Adenocarcinoma	7	0	1	5	1	1 (14.3)	6 (85.7)
Apatinib and chemotherapy							
Squamous cell carcinoma	10	0	5	4	1	5 (50.0)	9 (90.0)
Adenocarcinoma	5	0	0	5	0	0 (0.0)	5 (100.0)

**Abbreviations:** CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; ORR, objective response rate; DCR, disease control rate.

## Evaluation of toxicity

One patient in the chemotherapy group discontinued treatment after two cycles because of intolerable neutropenia, which resolved after treatment discontinuation. In the apatinib combination group, hypertension and proteinuria in two patients resulted in apatinib dose reduction from 500 to 250 mg.

The current study illustrated that the incidence of grade III/IV AEs was 22.2% and 46.9% in the chemotherapy and apatinib combination groups, respectively. In the chemotherapy group, grade III/IV AEs were seen in four patients and included neutropenia (n=1), anemia (n=2), and gastrointestinal hemorrhage (n=1). In the apatinib combination group, eight patients experienced the following AEs: neutropenia (n=1), thrombocytopenia (n=1), anemia (n=2), hypertension (n=1), proteinuria (n=1), and gastrointestinal hemorrhage (n=1). The incidence and severity of AEs are detailed in Table 4.

## Discussion

ESC is one of the most common gastrointestinal tumors, with particularly high incidence in Linzhou, People's Republic of

**Table 4** Toxicity profile based on treatment

Toxicity	Chemotherapy (n=18), n (%)		Apatinib and chemotherapy (n=15), n (%)	
	I-II	III-IV	I-II	III-IV
Neutropenia	10 (55.6)	1 (5.6)	7 (46.7)	1 (6.7)
Thrombocytopenia	9 (50.0)	0 (0)	5 (33.3)	1 (6.7)
Anemia	8 (44.4)	2 (11.1)	6 (40.0)	2 (13.3)
Hypertension	1 (5.6)	0 (0)	3 (20.0)	1 (6.7)
Proteinuria	1 (5.6)	0 (0)	2 (13.3)	1 (6.7)
Hand-foot syndrome	0 (0.0)	0 (0)	1 (6.7)	0 (0)
Vomiting/diarrhea	8 (44.4)	0 (0)	4 (26.7)	0 (0.0)
Fatigue	4 (22.2)	0 (0)	3 (20.0)	0 (0.0)
Gastrointestinal hemorrhage	7 (38.9)	1 (5.6)	3 (20.0)	1 (6.7)

China. Numerous studies have illustrated that the occurrence, development, and progression of ESC involve an intricate multi-step process, which is regulated by several genes. Surgical treatment, radiotherapy, and chemotherapy are the main treatment methods for most cancers, including ESC. However, ESC is prone to recurrence, and hence, patients tend to have poor clinical outcomes. Consequently, there is currently no clinical consensus on treatment options for patients who have failed first-line chemotherapy or who have advanced or metastatic ESC.

Given the significance of angiogenesis in the development and progression of cancers, and the integral role of VEGFRs in pathological angiogenesis, VEGF-targeting has emerged as a viable therapeutic strategy for several malignancies.<sup>20–22</sup> VEGFR-2 is functionally activated through autophosphorylation of its carboxyl terminus and subsequently stimulates PI3K-mediated cell survival and proliferation of endothelial cells. VEGFR-2 has also been shown to activate Src kinases to regulate a variety of biological responses, including cell proliferation, migration, differentiation, tumor microvascular density, and cell survival.<sup>7,23</sup> Additionally, overexpression of VEGFR-2 has been demonstrated in several solid tumors.<sup>24</sup>

Apatinib, an oral, small-molecule tyrosine kinase inhibitor, is highly selective to bind to VEGFR-2 and exerts potent antitumor activity. The clinical efficacy of apatinib as monotherapy has been demonstrated in patients with: advanced melanoma with median PFS (mPFS) of 7.5 months,<sup>25</sup> hepatocellular carcinoma with median time to progression of 4.8 months,<sup>26</sup> metastatic CRC with mPFS of 3.82 months,<sup>18</sup> and gastric cancer with mPFS of 2.8 months.<sup>14</sup> Importantly, significant enhancement of overall survival (OS) and PFS was demonstrated in gastric cancer patients treated with a combination of apatinib and chemotherapy.<sup>27</sup> Despite these

**Table 3** Cox multivariate analysis of clinical characteristics and PFS

Characteristics	HR (95% CI)	P-value
Gender	1.238 (0.423–3.621)	0.697
Age	0.439 (0.141–1.363)	0.154
ECOG score	0.642 (0.168–2.457)	0.518
Location of tumor	1.279 (0.649–2.522)	0.477
Pathologic type	1.639 (0.551–4.875)	0.375
TNM stage	0.859 (0.540–1.365)	0.519
Treatment line	5.760 (1.501–22.108)	0.011*
Pleural effusion	0.263 (0.055–1.249)	0.093

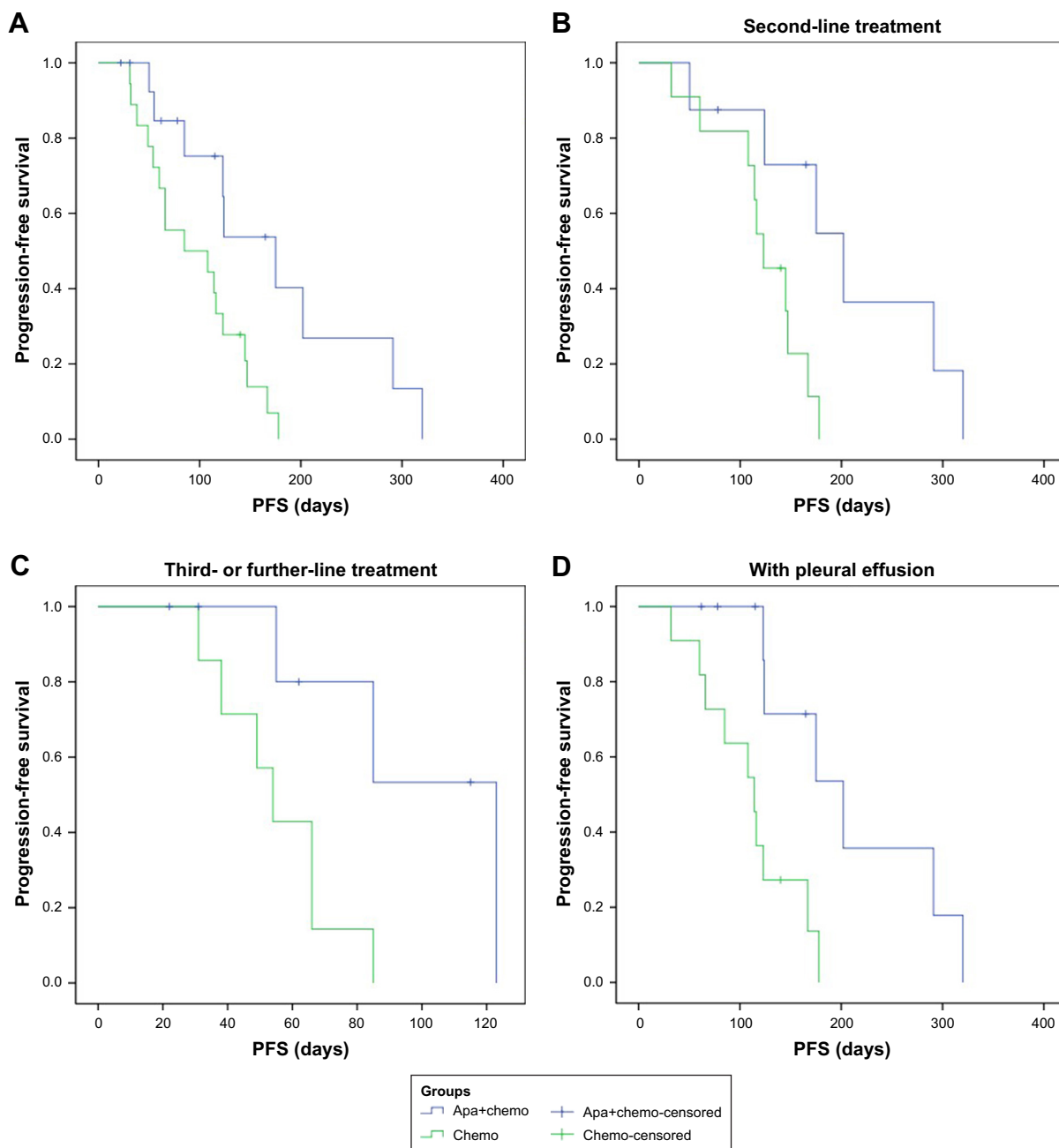
**Note:** \*P<0.05.

**Abbreviations:** PFS, progression-free survival; ECOG, Eastern Cooperative Oncology Group.

recent successes, there are very few studies detailing the clinical efficacy of apatinib in patients with ESC. Therefore, we originally investigated the possibilities of antineoplastic activity caused by the combination of apatinib and chemotherapy for the treatment of patients with advanced ESC, who have failed first- or second-line chemotherapy.

Although no complete responses were observed in this study, ORR of 11.1% and 33.3% was observed in the

chemotherapy and apatinib combination groups, respectively. Additionally, DCR was 88.9% in the chemotherapy group and 93.3% in the apatinib combination group. Of note, mPFS was significantly higher in the apatinib combination group (175 days; 95% CI 105.3–244.7 days) than in the chemotherapy group (85 days; 95% CI 62.7–108.5 days) ( $P=0.010$ , Figure 1A). A retrospective analysis<sup>28</sup> of patients with advanced esophageal squamous cell carcinoma treated



**Figure 1** Progression-free survival (PFS) curves drawn by Kaplan–Meier method.

**Notes:** (A) The overall comparison of PFS in the two treatment groups ( $P=0.010$ ). (B) The comparison of PFS in the two groups regarding second-line treatment ( $P=0.021$ ). (C) The comparison of PFS in the two groups regarding third- or further-line treatment ( $P=0.024$ ). (D) The comparison of PFS in the two groups regarding patients with and without pleural effusion ( $P=0.005$ ).

**Abbreviations:** Apa, apatinib; chemo, chemotherapy.



with apatinib alone showed mPFS of 115 days and OS of 209 days. The discrepancies might be due to the small sample size and the fact that patients enrolled were in better condition with lower ECOG scores, thus, our dates are slightly higher than those previously reported.

In addition, our study uncovered that the addition of apatinib to chemotherapy, regardless of second- or third-line regimen, could significantly prolong mPFS (202 days vs 123 days,  $P=0.021$ ; 123 days vs 54 days,  $P=0.024$ ; Figure 1B and C). There was also a significant improvement in mPFS in patients without pleural effusion in comparison to patients with pleural effusion (202 days vs 114 days,  $P=0.005$ ; Figure 1D; 55 days vs 54 days,  $P=0.892$ ), indicating that earlier treatment with apatinib may be more beneficial for patients with advanced ESC, particularly for those without pleural effusion.

In the current study, there were differences observed in the incidence of grade III/IV AEs observed in both groups. In particular, anemia (11.1%), neutropenia (5.6%), and gastrointestinal hemorrhage (5.6%) were most frequent in the chemotherapy group. In contrast, hypertension (6.7%), proteinuria (6.7%), and gastrointestinal hemorrhage (6.7%) were frequently observed in the apatinib combination group. These data are consistent with previous reports.<sup>29</sup> It has been shown that hypertension and hand-foot skin reaction were correlated with longer mPFS and OS, and may serve as predictors of the clinical efficacy of apatinib in patients with breast cancer.<sup>30</sup> This result has not been validated in other clinical trials, and our study was not powered to conduct in-depth analysis.

In summary, apatinib was investigated for valuable clinical advantages with a tolerable safety profile in patients with advanced ESC in the present study. The results suggest that apatinib might become an alternative and viable approach for second- or further-line regimens and it might be particularly beneficial, to a large extent, for the survival of patients with advanced ESC. Large-scale, prospective, randomized clinical studies are needed to validate and expand the findings of our study.

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## Disclosure

The authors report no conflicts of interest in this work.

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