Hexyl alginate derivative, an amphiphilic innovative buccal film-forming material of promising mechanical and release characteristics for the improvement of repaglinide bioavailability

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Ahmed Khames 1,2

¹Department of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Beni-Suef University, Beni-Suef 62514, Egypt; ²Department of Pharmaceutics and Industrial Pharmacy, College of Pharmacy, Taif University, Taif 21974, Saudi Arabia **Background:** Association of long hydrocarbon chain with alginate molecule imparts surface active properties and increases chain flexibility.

Purpose: This work studied the efficacy of synthesized hexyl alginate derivative as a film-forming material with unique amphiphilic and mechanical properties for the preparation of rapidly disintegrating repaglinide oral films with higher drug release rate and improved bioavailability. **Methods:** Alginate hexyl amide derivative was prepared and used in the formulation of oral films by solvent casting technique. Using Box–Behnken experimental design, formulations were optimized at different polymer, plasticizer, and disintegrant levels as independent variables for maximum drug release rate, higher tensile strength, and shortest disintegration time as responses. Optimized film formulae were fully evaluated and subjected to further in vivo bioavailability studies in rabbits.

Results: Higher dependency of response results on the selected variables was observed. Optimized formula showed satisfactory tensile strength (145.862 g/cm²), rapid disintegration (22.2 seconds), and higher drug release rate (97.799% within 30 minutes). The drug bioavailability was significantly improved in comparison with plain drug and conventional alginate oral films, where the AUC and C_{max} values reached 296.072 μ g.h/mL and 116.932 μ g/mL in comparison with 164.917 μ g.h/mL and 56.568 μ g/mL for alginate film and 95.368 μ g.h/mL and 31.925 μ g/mL for plain drug, respectively. T_{max} also showed significant reduction to be only 30 minutes in comparison with 60 minutes for other forms.

Conclusion: This led to the final conclusion that the synthesized alginate derivative is an innovative promising film-forming material with unique mechanical and drug release properties for application in buccal drug delivery especially of Biopharmaceutics Classification System (BCS) class II drugs to increase solubility and improve bioavailability.

Keywords: amphiphilic derivatives of alginate, Box–Behnken, BCS class II drugs, oral films, repaglinide

Introduction

Revolution in the field of polymer chemistry played a significant role in the production of new biopolymers and macromolecular complexes of great importance in the pharmaceutical/biomedical area including drug delivery systems, ^{1,2} and recently, modification, design, and synthesis of new biomedical polymers as carriers for drug delivery with tissue targeting ^{3–5} and/or drug release control ^{6–8} characteristics represent a promising research interest in the pharmaceutical field, especially biocompatible and

Correspondence: Ahmed Khames Faculty of Pharmacy, Beni-Suef University, Salah Salem Str, Beni-Suef 62514, Egypt Tel +20 122 770 6985 Email dr_akhames@yahoo.com biodegradable polymers that are easily eliminated by normal metabolic pathways into safe byproducts.^{9–11}

Polysaccharides are biodegradable, swellable, mucoadhesive, and physiologically compatible. In addition, they are liable to different chemical and enzymatic reactions. 12-15 This strongly supports their use as precursors for chemical modification and production of new derivatives of versatile pharmaceutical applications. 16,17 Polysaccharides of marine origin especially from seaweeds (alginate, agar, and carrageenan) show expandable potential application in pharmaceutical field due to flexibility of the production process, easy control on product yield, and rapid progression of in vitro production techniques, which also encouraged the discovery of new polysaccharides and development of many chemical and physical derivatives of interesting properties. 18,19

Alginate is a polymeric molecule composed of 1,4-linked α -L-guluronic (G) and β -D-mannuronic (M) residues irregularly arranged in block-wise manner along the linear polysaccharide chain derived mainly from cell wall of brown seaweed algae. It has many pharmaceutical applications due to its unique properties in comparison with other polysaccharides and carbohydrates. Presence of hydroxyl and carboxylic function groups within the alginate molecule attracted many chemists and polymer designers to pay more attention to the chemical modification on the polymer backbone through substitution of a hydrophobic long alkyl chain on the partially esterified carboxylic groups for the synthesis of many amphiphilic alginate derivatives of significant pharmaceutical values especially in drug delivery. 22

Although the conventional oral route is the most popular drug administration route, ²³ it suffers many drawbacks mainly due to the interaction with gastrointestinal tract content and first pass metabolism of liable drugs that significantly affects their bioavailability. ²⁴ Patients with difficulty in swallowing, unconscious, and non-cooperatives add more complexity to these drawbacks. ^{25,26} The buccal cavity is considered an optimum substituent route for drug administration which bypasses hepatic degradation and allows faster drug absorption due to high vascularity, relatively thin membrane, low degree of keratinization, and higher drug permeability of the lining mucosa. ²⁷

Fast dissolving film is a new drug delivery system that mainly uses a hydrophilic polymer as drug carrier, when located on the tongue, it instantly disintegrates/dissolves in saliva. It is a simple, convenient, and rapid means of buccal administration without any fear of accidental chewing and swallowing.²⁸

Repaglinide (REP), meglitinide phenylalanine analog, is an oral short-acting antidiabetic drug used for type II diabetes patients. REP acts by selective blockage of ATP-dependent K channel cellular membrane in pancreatic beta cells with subsequent stimulation of insulin secretion. It is extensively metabolized by hepatic cytochromes into inactive metabolites with poor bioavailability (60%). It is a BCS class II drug, where dissolution is the absorption rate determining step with significant effect on drug bioavailability.²⁹

In a previous study,³⁰ amphiphilic alginate hexyl amide derivative (AHAD) was synthesized and its surface active properties were indicated, also its positive effect on drug release was studied. In this work, the efficacy of the synthesized alginate derivative as an innovative film-forming material with unique amphiphilic and mechanical properties for the preparation of rapidly disintegrating oral film was investigated using REP as a drug model.

Materials and methods

Materials

REP (kindly supplied from EIPICO, 10th Ramadan City, Egypt), AHAD (synthesized according to the published work), N,N'-diisopropylcarbodiimide (DIC; Fluka, Switzerland), sodium alginate (Hipure, Genzyme, England), diazepam, starch sodium glycolate (SSG), hexyl amine, and aspartame (Sigma-Aldrich Co., St Louis, MO, USA). All other solvents are HPLC grade (Sigma-Aldrich Co.), animals: albino male rabbits (purchased from animal house of Taif University, Taif, KSA).

Methodology Synthesis of AHAD

AHAD of alginate was prepared according to the method applied by Khames et al.³⁰ Briefly, DIC (4.41 g, 0.035 M) was added to an aqueous sodium alginate solution (10.40 g/500 mL, 0.05 M) after neutralization with nitric acid (1.5 M, 100 mL) while stirring. After 2 hours, hexyl amine (7.07 g, 0.07 M) was added, and the pH was raised to 6 by using sodium hydroxide solution (2.0 M) and left for 2 hours. The pH was further raised to 9 and left overnight. Finally, nitric acid (100 mL, 1.5 M) and acetone (1,000 mL) were added to terminate the reaction by precipitation. The precipitate was filtered and thoroughly washed with suitable volume of acetone and ethanol. The resulting white AHAD polymer was dried in a desiccator.

Preparation of REP-AHAD oral films

REP oral films were prepared by solvent casting technique. For that, AHAD polymer was finely grounded and sieved through 40 mesh screen to remove any large, hard particles that may retard polymer dissolution during preparation. REP (10 mg) was dispersed in 50 mL distilled water containing

plasticizer (glycerol) in the specified amount. Weighed amount of AHAD polymer was added, and the mixture was slowly stirred at 60°C on water bath until complete dissolution of the polymer. Away from water bath, SSG (as disintegrant) and aspartame (as sweetener) were added and the stirring was continued for further 5 minutes. The solution was slowly and continuously casted onto glass plates of diameter 6 cm and dried in oven at 40°C for 24 hours. Films were removed from the plates, cut into 2×2 cm dimension strips and stored in desiccator until further evaluation.

Experimental design

For the optimization of the prepared REP-AHAD oral films, three level, three factor Box–Behnken statistical design (Design Expert, Version 8.0.3, Stat-Ease Inc., Minneapolis, MN, USA) was applied to evaluate the main, interaction, and quadratic effects of AHAD concentration (A), plasticizer concentration (B), and disintegrant concentration (C) as independent variables at three different levels on the characteristics of the prepared formulae including cumulative drug release (R_1), tensile strength (R_2), and disintegration time (R_3) as dependent variables (responses).

The independent variables with their low, medium, and high levels together with the responses are presented in Table 1. Experimental design matrix of 17 runs (REP-AHAD oral film formulae) including five center points was constructed.

Optimization and data analysis

According to the experimental design matrix, the collected response values were fitted to linear, linear two-factor interaction (2FI), quadratic, and also cubic models, and mathematical polynomial equations were derived. The appropriate, highly fitted model was suggested based on higher determination coefficients and significance value at determined probability level. ANOVA (available in the software) was

Table 1 Formulation variables and their levels in Box–Behnken design

Factor	Level			
	-I	0	I	
Independent variables				
A: AHAD concentration (% w/w)	1	2	3	
B: Plasticizer concentration (% w/w)	10	20	30	
C: Disintegrant concentration (% w/w)	5	10	15	
Dependent variables	Constraint			
R ₁ : Cumulative drug release rate (% w/v)	Maximize			
R ₂ : Tensile strength (g/cm ²)	Maximize			
R ₃ : Disintegration time (seconds)	Minimize			

Abbreviation: AHAD, alginate hexyl amide derivative.

applied to statistically validate the derived polynomial model equations, and three dimensional (3D) graphs and perturbation plots were also generated and studied.

Validation of the method and derived equations

To assess and validate the capability of the derived polynomial equations and plots for accurate prediction of the collected responses, checkpoint analysis was performed. For that, five optimized formulations were randomly selected depending on the calculated desirability factor. The checkpoints (optimized formulae) were formulated according to the proposed optimal composition and evaluated for the selected responses (observed). The observed response values were compared to the predicted values, prediction errors (%) were calculated, and correlation plots were also constructed and compared.

Evaluation of the prepared REP-AHAD films

Determination of tensile strength

The tensile strength of the prepared oral films was measured using Brookfield CT-3 Texture Analyzer equipped by TA-DGA fixture probe (CT3-10,000 load cell model; Brookfield Engineering Lab. Inc., Middleboro, MA, USA). For measurement, the tested film sample (2×2 cm) was positioned between the two clamps of the probe separated by 2 cm distance. The lower clamp was held stationary while the film was stretched apart by moving the upper clamp at a speed of 2.0 mm/s until the film tore. According to the test parameters fed to TexturePro-CT Software (V1.3 Build 14) at the suitable mode, the stretching force (maximum stress) applied onto the film at the point when it broke was recorded by the system as the tensile strength.³¹

Disintegration time of films

The disintegration time of the prepared oral films was determined using the petri dish. For that, three randomly selected (2×2 cm) film samples of each formula were separately added on to the surface of 2 mL phosphate buffer (pH 6.8) in a petri dish at 37°C \pm 0.5°C. Dishes were occasionally subjected to gentle swirling for 5 seconds until complete film breaking up. The time elapsed until complete film breaking was recorded as the disintegration time.²³

In vitro dissolution studies

The drug release rate from the prepared oral films was studied in the United States Pharmacopeia XXIV dissolution testing apparatus II (UDT-804 paddle dissolution apparatus; United States Pharmacopeia, North Bethesda, MD, USA). For that, film sample (2×2 cm) of each formula was added to 250 mL of phosphate buffer (pH 6.8) dissolution medium maintained at 37°C±0.5°C and stirred at 50 rpm. At predetermined time intervals (0, 5, 10, 15, 20, and 30 minutes), 5 mL sample was withdrawn with replacement. The absorbance of the drug was spectrophotometrically measured at 281 nm which was experimentally determined as the drug $\lambda_{\rm max}$ (Shimadzu UV/Vis double beam spectrophotometer) after filtration on 0.45 μ m membrane filter. The cumulative percentage of drug release was calculated using an equation obtained from previously constructed standard calibration curve. The comparison, the dissolution rate of plain drug and its release rate from conventional alginate films (prepared using the same excipients) were also determined. The mean of six determinations was considered.

Drug content

Three randomly selected films of each optimized formula were separately transferred to 250 mL stoppered conical flask and 100 mL methanol was added. Solutions were shaken for 24 hours followed by sonication for 15 minutes. The drug absorbance was spectrophotometrically measured at $\lambda_{\rm max}$ 281 nm (Shimadzu UV/Vis double beam spectrophotometer) after filtration on 0.45 membrane filter and the drug content was calculated using an equation obtained from previously constructed standard calibration curve in methanol. Average value of drug content in the three tested samples was recorded with standard deviation.

Folding endurance

Three film samples of each optimized formula were repeatedly folded at the same place until the sample was broken. The number of times the film was folded in the same plane before breaking was recorded, and the average of the three film determinations was considered as the value of the film folding endurance.³¹

Percentage elongation

As previously described the tensile strength was determined using Brookfield CT-3 Texture Analyzer equipped by TA-DE fixture probe (CT3-10,000 load cell model; Brookfield Engineering Lab. Inc.). The percentage elongation was determined by the TexturePro-CT Software (V1.3 Build 14) as the maximum film length just before it breaks.²³ The recorded value was also an average of three determinations.

Film pH determination

In this work both film solution pH²³ and surface pH³³ were measured. For film solution pH, three film samples of each

optimized formula were separately dissolved in 10 mL of distilled water and the pH of the resulting solution was measured using a standardized pH meter (SevenExcellence pH/mV meter; Mettler Toledo, Columbus, OH, USA). For the pH determination of the film surface, films were wetted with minimum water volume (5 μ L) and left for 1 minute. The pH electrode was placed in close contact with the wetted film surface at different points. The recorded values were an average of three determinations.

Determination of moisture content

Moisture content (%) of the optimized formulations was determined using Karl Fisher titration method using Karl Fischer 787 KF Titrino (Metrohm AG, Herisau, Schweiz, Switzerland). Water content of each film was determined based on the titration volume (mL).

Solid state characterizations and compatibility studies

Depending on the previous optimization and evaluation results, one REP-AHAD oral film formula was selected and subjected to solid state characterizations and compatibility studies in comparison with plain drug, and the main formula components are as follows.³⁴

Differential scanning calorimetric (DSC) studies

Suitable amounts of the tested samples were separately purged with continuous nitrogen gas in aluminum pan of differential scanning calorimeter (PerkinElmer DSC4; PerkinElmer Inc., Waltham, MA, USA) calibrated with ultrapure indium standard over a temperature range of (25°C–300°C) with a heating rate of 10°C/min. DSC thermograms were recorded and analyzed.

Infrared (IR) spectroscopy

Suitable amounts of the tested samples were scanned in the range of 4,000 to 500 cm⁻¹ at room temperature using IR spectrophotometer (Shimadzu IR-435; Shimadzu, Kyoto, Japan) after compression with dry potassium bromide powder under pressure of 10,000–15,000 psi.² IR spectra were recorded and analyzed.

Surface morphological studies

The surface morphology of the optimized formula was examined by SEM (model JEOL JSM-6360; JEOL, Tokyo, Japan) in comparison with alginate oral film (prepared with same excipients). Samples were located onto adhesive carbonized tape on aluminum stubs and coated with a thin gold layer

(30Å) using POLARON-E 3000 sputter coater. At suitable KV, images were recorded under suitable magnification with direct data capture onto a computer.

In vivo and bioavailability studies

The study protocol was reviewed and approved by the research ethics committee of Taif University (approval #38-38-0028). All experimental procedures in animals complied with Taif University ethical guidelines for biomedical research.

The optimized REP-AHAD film formula (treatment A) was subjected to further in vivo evaluation in comparison with the prepared conventional alginate film (treatment B) and oral plain drug (treatment C) in rabbits.

Study design

In this work, a single-dose bioavailability study was applied on three phases according to a randomized crossover design to discuss the study protocol and information about drug bioavailability in 18 healthy male albino rabbits (2.5–3.0 kg) divided into three groups (n=6). Animals were kept on the same diet during the study, with overnight fasting before drug administration with free access to water. All rabbits were cannulated through the marginal ear vein to allow easy blood collection and received the suitable animal dose as calculated with reference to Paget and Barnes table.³⁵ Plasma sample (2 mL) was withdrawn as a control from each rabbit before drug administration.

For administration of treatments A and B, 36 animals were an esthetized according to the guidelines on anesthesia and an algesia in rabbits 37 and placed on a flat bench supporting the lower jaw in a fixed position. The tested film was placed on the animal's tongue after slight wetting with 30 μ L of water. A suitable weight of plain REP (treatment C) equivalent to the calculated animal dose was orally administered to the animal after suspension in minimal water volume by the aid of gastric gavage.

Blood samples (2 mL) were collected at 5 (0.0834), 10 (0.167), 15 (0.25), 30 (0.5), 60 (1), 90 (1.5), 120 (2), 150 (2.5), 180 (3), 240 (4), 360 (6) and 480 (8) minutes (hours) post dose in heparinized tubes and immediately centrifuged at 3,000 rpm for 10 minutes. Plasma was transferred to another pre-labeled polypropylene screw-cap tubes and frozen at -20° C until analysis.

Determination of REP in plasma

Drug concentration in the collected plasma samples was determined using a validated, sensitive liquid chromatography/tandem mass spectrometry (LC-MS/MS) method, briefly described as follows.³⁸

Chromatographic conditions

A 70:30 v/v acetonitrile:ammonium acetate buffer (0.01 M, pH 6.8) mobile phase was injected into the liquid chromatography unit equipped by Zorbax Eclipse XDB-C18 column (100 A, 5 μ m, 4.6×150 mm) after degasing at a flow rate of 1.2 mL/min at 35°C. The mass spectrometer's electrospray ionization chamber operated in positive ion mode (API 4000 mass spectrometer; Applied Biosystems Sciex, Ontario, Canada). The ion spray voltage was set at 4,000 V, nebulizer pressure 45 psi, and drying gas (nitrogen) flow rate of 10 L/min, at 450°C source temperature. Quantitation was achieved using diazepam as an internal standard on a QT mass detector.

Sample preparation

All frozen plasma samples were thawed at ambient temperature and prepared for work by solvent extraction method as follows: In 5 mL glass tube, 25 μ L plasma sample was mixed with 25 μ L methanolic internal standard solution, 25 μ L methanol: water (50:50 v/v) and 3 mL diethyl ether–dichloromethane (60:40 v/v). The mixture was vortexed for 1 minute then centrifuged at 4,000 rpm for further 5 minutes, and the supernatant layer was separated into a clean glass tube and gently dried under nitrogen at 40°C. The dried residue was reconstituted in 100 μ L mobile phase, and 20 μ L sample was directly injected into the Liquid Chromatography Mass Spectrometry apparatus system using the autosampler. Drug concentration was calculated with reference to the data obtained from the constructed calibration curve in plasma.

Pharmacokinetics calculations

Plasma concentration—time curves were constructed, and the pharmacokinetic parameters [namely C_{max} (µg/mL), T_{max} (hour, h), AUC₀₋₈ and AUC_{0-∞} (µg.h/mL), K_{el} (hour⁻¹, h⁻¹), and $t_{1/2}$ (hour, h)] for each rabbit were calculated and manipulated using WinNonlin Professional 4.0.1 software (Pharsight Corp., Cary, NC, USA).

Statistical analysis of pharmacokinetic data

The mean pharmacokinetic parameters were statistically analyzed using post hoc one-way ANOVA test (Tukey mode) at *P*-value >0.05, and the confidence intervals were calculated (IBM Incorporation, Armonk, NY, USA).

Results and discussion

Statistical analysis of experimental data

The experimental design describes the applied statistical planning of different experiments to determine the main variables that significantly affect and control a certain targeted response and also assess their suitable level. It also gives the advantage of reducing process variation and maximizes the obtained results without need for further inspection.³⁹

Box–Behnken statistical experimental design was applied in this study because it is an optimum design to study the interactive (quadratic) effects between factors and deriving second-order polynomial equations with relatively few experimental runs in comparison with other similar designs. 40 Response surface models may contain only two-level main effects (additive – linear) that are expressed by linear and linear 2FI models or may also include nonadditive, three-level (quadratic) and four-level (cubic) interactive effects that represent curvature or cubic plots; the equation describes the non-linear quadratic model and is expressed as:

$$R = b0 + b1 A + b2 B + b3 C + b12 AB + b13 AC + b23 BC + b11 A2 + b22 B2 + b33 C2$$
(1)

where R is response, b0 is intercept, and b1–b33 are regression coefficients. A, B, and C are the independent variables. The terms AB, AC, and BC and A², B², and C² represent the interaction and quadratic terms, respectively.⁴¹

According to Box—Behnken design, 17 REP-AHAD oral film formulae were prepared by solvent casting technique. As presented in Table 2, results showed that the interactive effect of different combinations of the selected independent factors at different levels resulted in different observed responses for release rate, tensile strength, and disintegration

time that reflected high dependency of responses on the selected independent factors values.

Fitting of data to different mathematical model equations suggested the quadratic model to describe the three responses with higher R² coefficients (for both adjusted and predicted) and significant terms at the selected *P*-value when compared to other models. The small value of SD for the calculated coefficients indicates the strength of the proposed nonlinear correlation between the dependent factors and the observed responses (Table 3).

Factors affecting experimental results may be controllable or uncontrollable, the latter may be a causative agent for variability and usually described as noise.³⁹ In Box–Behnken design, noise is defined in terms of adequate precision; value >4 is desirable and indicates low noise signal. According to the results presented in Table 3, the recorded values were 8.24, 44.023, and 12.323 for R₁, R₂, and R₃, respectively, indicating an adequate signal and confirm the suitability of the suggested model to describe the proposed experimental design.

According to the statistical ANOVA results presented in Table 4, the derived polynomial equations showed model F-values of 8.23, 161.67, and 11.44 with probability of <0.05 for R_1 , R_2 , and R_3 , respectively, indicating validity of these equations and significance of the suggested quadratic model for all responses. The small P-values for the three responses (0.54%, 0.01%, and 0.2%, respectively) support the previously deduced results of adequate precision and confirm low noise signal.

Table 2 Box-Behnken experimental design and their observed responses

Formula	A AHAD	B plasticizer	C disintegrant	R ₁ release rate	R ₂ tensile	R ₃ disintegration
	(% w/w)	(% w/w)	(% w/w)	(% w/v)	strength (g/cm²)	time (seconds)
FI	2.00	20.00	10.00	88.42	117.18	20
F2	1.00	30.00	10.00	89.88	101.92	23
F3	1.00	20.00	15.00	90.98	105.76	18
F4	2.00	20.00	10.00	91.93	116.83	20
F5	2.00	20.00	10.00	88.27	116.92	19
F6	1.00	20.00	5.00	91.62	96.99	27
F7	2.00	20.00	10.00	90.79	117.24	21
F8	2.00	10.00	15.00	93.11	126.88	19
F9	3.00	20.00	15.00	97.24	142.86	23
FI0	2.00	20.00	10.00	90.19	116.79	22
FII	2.00	30.00	5.00	94.41	114.68	25
FI2	3.00	30.00	10.00	96.95	137.98	23
FI3	2.00	30.00	15.00	92.71	119.23	20
FI4	1.00	10.00	10.00	88.84	112.87	24
FI5	2.00	10.00	5.00	89.28	124.91	27
FI6	3.00	20.00	5.00	97.98	135.92	24
FI7	3.00	10.00	10.00	97.86	147.82	23

Abbreviation: AHAD, alginate hexyl amide derivative.

Table 3 Regression analysis results for the response surface quadratic model

Formula	REP-AH	AD oral film form	nulae				Remarks
	R ²	Adjusted R ²	Predicted R ²	SD	CV%	Adequate precision for ANOVA	
R,							
Linear model	0.5837	0.4877	0.3415	2.41			
2FI model	0.6311	0.4097	-0.0108	2.59			
Quadratic model	0.9145	0.8046	0.4143	1.49	1.61	8.240	Suggested
Cubic model	0.9458	0.7833	_	1.57			Aliased
R_2							
Linear model	0.9461	0.9337	0.9091	3.6			
2FI model	0.9470	0.9152	0.8189	4.07			
Quadratic model	0.9952	0.9891	0.9242	1.46	1.21	44.023	Suggested
Cubic model	0.9999	0.9998	_	0.21			Aliased
R ₃							
Linear model	0.5702	0.4710	0.2820	1.97			
2FI model	0.7283	0.5652	0.3587	1.78			
Quadratic model	0.9364	0.8545	0.6231	1.03	4.64	12.323	Suggested
Cubic model	0.9556	0.8223	_	1.14			Aliased

Note: Underlined entries refer to "the best fit model terms".

Abbreviations: REP, repaglinide; AHAD, alginate hexyl amide derivative; 2FI, two-factor interaction.

For further exploring the quantitative effect of different factors on the desired response, 3D response surface and perturbation plots were constructed (Figures 1–3). These plots allow the study of interactive effect of two factors on specified response at constant level of the third factor.

REP release rate studies

According to the results in Table 2, and presented in Figure 1, the percentage drug release ranged from 88.27% (F5) to 97.98% (F16) within 30 minutes depending on the different factor levels. The derived quadratic equation that describes the effect of different factors on drug release rate is:

$$R_1 = 89.92 + 3.59 A + 0.61 B + 0.094 C$$

- 0.49 AB - 0.025 AC - 1.38 BC + 2.77 A² (2)
+ 0.69 B² + 1.77 C²

ANOVA results showed that only A, A^2 , and C^2 were the model terms that significantly affect the drug release rate from the prepared oral films with P-values <5%. A factor coefficient with a positive value indicated proportional relation between the factor level (concentration) and the corresponding response value.

It was observed that increasing AHAD concentration causes a nonlinear significant rise in the drug release rate from 88.84% to 97.86% (at least B level) and 89.88% to 96.95% (at highest B level) when C is constant (Figure 1A) in comparison with the rate of increase from 91.62% to 97.98% (at least C level) and 90.98% to 97.24% (at highest C level) when B is hold constant (Figure 1B). This could be explained by the surface active nature of the AHAD that increases the drug subdivision and wettability within the prepared film.³⁰ Simultaneous increase of disintegrant or

Table 4 ANOVA results for different formula variables according to the best fit model

Source	Quadratic mo	Quadratic model									
	Release rate (I	₹,)	Tensile stren	gth (R ₂)	Disintegration	on time (R ₃)					
	F-value	P-value	F-value	P-value	F-value	P-value					
Model	8.23	0.0054*	161.67	<0.0001*	11.44	0.0020*					
Α	44.43	0.0002*	1,266.73	<0.0001*	0.12	0.7419					
В	1.33	0.2866	87.61	<0.0001*	0.47	0.5151					
С	0.032	0.8638	28.95	0.0010*	62.13	0.0001*					
AB	0.043	0.5337	0.14	0.7152	0.23	0.6427					
AC	1.127E-003	0.9742	0.39	0.5509	15.03	0.0061*					
BC	3.45	0.1058	0.78	0.4065	2.11	0.1893					
A^2	14.56	0.0066*	24.96	0.0016*	9.50	0.0177*					
B^2	0.91	0.3719	41.74	0.0003*	6.69	0.0362*					
C^2	5.91	0.0453*	0.054	0.8223	4.36	0.0751					
Lack of fit	1.9	0.5675	116.44	0.0002*	0.58	0.6603					

Note: *Significant model terms.

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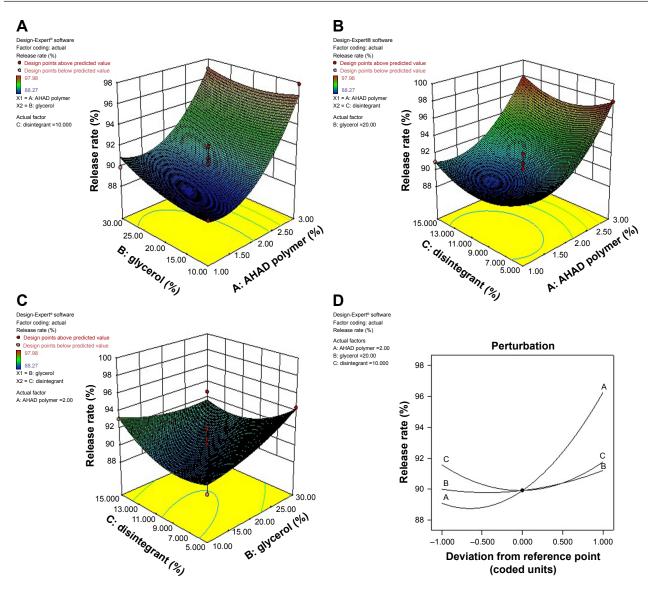


Figure I Three dimensional response surface (**A–C**) and perturbation plot (**D**) showing the effect of different independent variables on REP release rate (R₁). **Abbreviations:** REP, repaglinide; AHAD, alginate hexyl amide derivative.

plasticizer concentration with AHAD concentration showed negligible effect on drug release rate as confirmed by their insignificant coefficient terms. The high coefficient value of A reflects that increasing polymer concentration had more significant effect on drug release rate while the small coefficient value of C² reflects the least significant action. Further investigation of the perturbation plot to compare the effect of the three factors at specified point (Figure 1D) showed that AHAD concentration (A) had a major effect on drug release rate followed by minor disintegrant effect (C) and finally negligible effect of plasticizer concentration (B).

Tensile strength studies

Non-brittle, soft, and stretchable characteristics are major targets during oral film preparation.²⁷ For the prepared oral

film formulae, the tensile strength ranged from 101.92 g/cm² (F2) to 147.82 g/cm² (F17). The quadratic equation generated to correlate the main and interaction effects of different factors to the recorded tensile strength is:

$$R_2 = 116.99 + 18.38 \text{ A} - 4.83 \text{ B} + 2.78 \text{ C} + 0.28 \text{ AB} -0.46 \text{ AC} + 0.64 \text{ BC} + 3.56 \text{ A}^2 + 4.60 \text{ B}^2 - 0.17 \text{ C}^2$$
 (3)

Only the model terms A, B, C, A^2 , and B^2 were significant according to the AVOVA results with *P*-values <5% (Table 3).

AHAD concentration showed positive effect on tensile strength as indicated by the positive value of its coefficient in the quadratic nonlinear model equation. The far higher value of its coefficient in comparison with other significant factor coefficients is an indication that A has the major

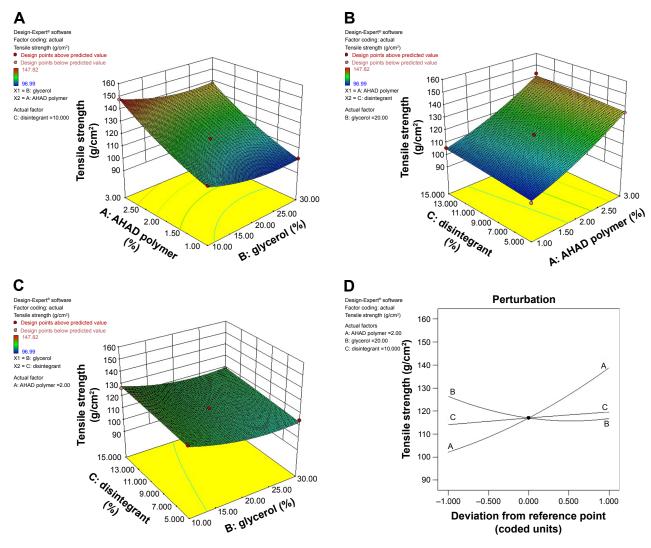


Figure 2 Three dimensional response surface (A–C) and perturbation plot (D) showing the effect of different independent variables on tensile strength (R₂). Abbreviations: REP, repaglinide; AHAD, alginate hexyl amide derivative.

significant effect on tensile strength that can be referred to the high elasticity nature of the AHAD as film forming polymer, where the polymer chain flexibility improved due to decreasing carboxyl moieties by hexyl amidation.⁴² Figure 2A shows that the tensile strength was increased from 112.87 to 147.82 g/cm² (at least B level) and 101.92 to 137.98 g/cm² (at highest B level) when C is hold constant. Figure 2B shows that tensile strength increased from 96.99 to 135.92 g/cm² (at least C level) and 105.76 to 142.86 g/ cm² (at highest C level) when B is hold constant. Results also indicated that the disintegrant concentration had minor positive effect on tensile strength that increased from 96.99 to 105.76 g/cm² (at least A level) and from 135.92 to 142.86 g/cm² (at highest A level) when B is kept constant (Figure 2B) and from 124.91 to 126.88 g/cm² (at least B level) and from 114.86 to 119.23 g/cm² (at highest B level) when A is kept constant (Figure 2C). The small positive value of the C coefficient confirms the previous results. These results could be correlated to increasing film thickness due to disintegrant addition.

The liquid nature of glycerin (as plasticizer) and may be the use of inappropriate amount had negative effect on the tensile strength. Figure 2A and C show that increasing plasticizer concentration led to lowering the tensile strength as indicated by the negative sign of its coefficient in the equation model, and these results are in accordance with those obtained by Liew et al,⁴³ where incorporation of plasticizer reduced tensile strength but increased elasticity of the orodispersible films (ODF) formed. Perturbation plot (Figure 2D) confirmed that AHAD concentration (A) still had the major effect on film properties including tensile strength followed by plasticizer concentration (B) and that disintegrant concentration (C) also still keeps minor and least significant effect on film properties.

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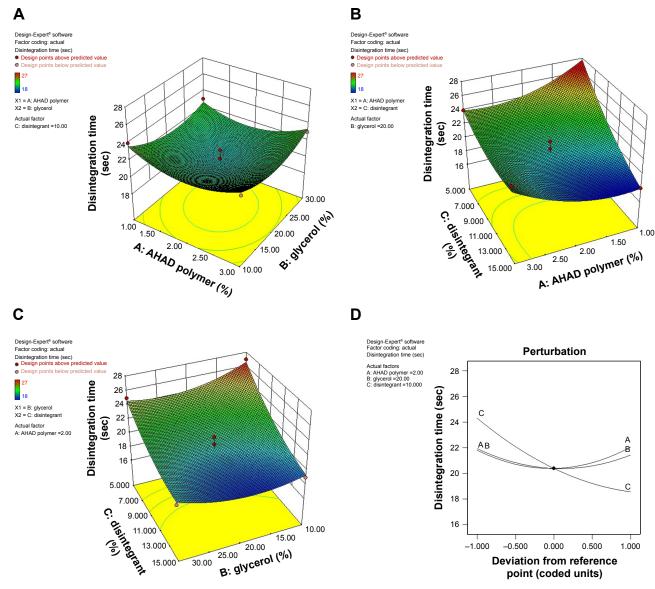


Figure 3 Three dimensional response surface (A–C) and perturbation plot (D) showing the effect of different independent variables on disintegration time (R₃). Abbreviations: REP, repaglinide; AHAD, alginate hexyl amide derivative.

Disintegration time studies

The quadratic equation that describes the disintegration time of the prepared oral film is:

$$R_3 = 20.40 + 0.13 \text{ A} - 0.25 \text{ B} - 2.88 \text{ C} + 0.25 \text{ AB} + 2.00 \text{ AC} + 0.75 \text{ BC} + 1.55 \text{ A}^2 + 1.30 \text{ B}^2 + 1.05 \text{ C}^2$$
 (4)

The recorded disintegration time was rapid in the range of 18 seconds (F3) to 27 seconds (F15) which reflects the accuracy of the proposed disintegrant concentrations and suitability of the prepared films for buccal use. Results in Table 3 show that only C, AC, A², and B² represent the significant model terms with F-values <0.05.

The negative value of C coefficient indicated that in vitro disintegration time of the prepared oral films significantly

decrease at higher super-disintegrant levels. Figure 3B shows the interactive effect AC on disintegration time, where at low AHAD concentration, increasing C from the low to high level caused shortening of disintegration time from 27 to 18 seconds. This effect was attenuated with increasing AHAD concentration, where only slight shortening of disintegration time from 24 to 23 seconds was observed with increasing disintegrant concentration at the maximum AHAD concentration, this could be explained by the resulted increase in film thickness due to higher AHAD concentrations.²⁷

The effect of plasticizer concentration on disintegration time was also controlled by disintegrant concentration. Figure 3C shows that at low disintegrant concentration, the prepared films disintegrated rapidly at higher plasticizer level

due to the hygroscopic nature of glycerin and increased film elasticity; the same results were observed by Liew et al,⁴³ where disintegration time of film decreased with decreasing tensile strength of the film and increasing elasticity. This action is almost unnoticeable at higher disintegrant concentration that shortened the disintegration time to lower level and increased the plasticizer effect. The smallest coefficient of B² reflected these results with least significant action observed. Results on the perturbation plot (Figure 3D) showed major significant positive effect for disintegrant concentration (C) on film disintegration time followed by minor AHAD concentration effect (A) and plasticizer concentration (B). Disintegration time of film decreased corresponding to decrease in tensile strength of the film.

Optimization and validation of the collected data

To test for the validity of the collected data and based on the higher desirability factor probed by design expert software, five oral film formulae attaining the optimum responses within the proposed constraints (maximum release rate and tensile strength, shortest disintegration time) were selected as check points by applying numerical point prediction optimization method available in the software. Results in Table 5 show that the collected results for the three responses were within the proposed constraint limits with excellent agreement with the predicted values as confirmed by the low prediction error percentage. Linear correlation was observed between the predicted and observed responses with high R² (0.9435, 0.9656, and 0.99702) for release rate, tensile strength, and disintegration time, respectively. These results

indicate the validity of the proposed regression equation models and confirm the high ability of the experimental design to predict the optimum response at the selected variable levels.

Evaluation of the prepared REP oral films

Results in Table 6 show that the optimized film formulae were uniform, smooth, and flexible. The diameter ranged from 0.11 to 0.13 mm with low standard deviation indicating homogeneity and uniformity. Folding endurance and percentage elongation indicated film elasticity and resistance to brittleness,²⁷ and the recorded data indicated that the optimized formulae had a very good flexibility to be bent from 139 to 142 times and high elongation capability to be stretched up to 16.23% of its original length mainly due to the positive effect of chemical modification on alginate molecule chain elasticity. The optimized oral films were almost neutral to eliminate any possibility of irritation to the buccal mucosa during administration. Results of moisture content indicated efficiency of the applied drying conditions. The high drug content (97.776%-98.353%) could be correlated to the surface active nature of the film-forming polymer (AHAD) with solubilizing effect on the drug within the prepared film matrix.30

Figure 4 illustrates the dissolution profiles of REP from optimized AHAD films in comparison with plain drug and conventional alginate film. The release rate exceeded 80% from all formulae within 10 minutes up to about 90% within 15 minutes in comparison with 8.166%, 19.65% and 12.73%, 29.67% for plain REP and alginate film within the same time, respectively. Maximum drug release was observed within 30 minutes (96.47%, 96.99%, 96.29%, 97.79%, and 96.68%)

Table 5 Composition of selected check points comparing predicted and observed values for different responses and prediction error percentage

Formula	Composi	Composition			Predicted	Observed	Prediction	Desirability
	Α	В	С				error (%)	
FI	2.77	10	15	R _i	97.98	96.47	1.54	0.916
				R ₂	144.01	140.42	2.49	
				R ₃	21.723	20.8	4.25	
F2	2.81	10.53	14.95	R _i	98.14	96.99	1.17	0.915
				R ₂	144.24	142.72	1.05	
				R ₃	21.797	21.3	2.28	
F3	2.83	10.68	14.64	R	97.98	96.29	1.73	0.914
				R ₂	144.45	144.29	0.11	
				R ₃	21.858	22	-0.65	
F4	2.85	10.95	15	R	98.44	97.79	0.65	0.913
				R ₂	144.72	145.86	-0.79	
				R ₃	21.916	22.2	-1.29	
F5	2.87	11.00	14.34	R	97.98	96.68	1.33	0.912
				R ₂	144.90	146.33	-0.99	
				R ₃	21.972	22.5	-2.41	

Table 6 Characterization of the optimized REP-AHAD oral films

F	Drug content	Film thickness	Folding	Percentage	Moisture	рН	
	(%)*	(mm)*	endurance (n)*	elongation (%)*	content (%)	Surface	Solution
FI	98.353±6.23	0.12±0.023	139±8.12	15.84±1.15	3.71	7.1	6.9
F2	97.776±7.33	0.11±0.017	142±9.27	16.23±2.32	4.02	6.9	6.8
F3	98.118±4.99	0.11±0.042	140±7.91	15.91±1.87	3.97	6.9	6.7
F4	98.218±5.12	0.12±0.072	140±7.11	15.77±0.93	4.12	7.2	7.1
F5	97.924±7.21	0.13±0.033	141±9.52	15.89±1.59	3.81	7.1	7.0

Note: *Data are presented as mean±SD.

Abbreviations: n, times; REP, repaglinide; AHAD, alginate hexyl amide derivative.

from optimized formulae F1 to F5, respectively, while the percentage drug released from alginate film reached 52.78% in comparison with only 22.981% for plain REP within the same period. These results are in accordance with the previously discussed regression polynomial model equations, and the 3D plots showed the significant positive effect for AHAD polymer and disintegrant concentration within the proposed constraint levels on the drug release rate from the prepared oral films. The improvement of drug release from alginate film can be attributed to the drug distribution within the film matrix and glycerin co-solvent effect.

Depending on the previous optimization results, optimized F4 film formula with highest drug release rate and least prediction error was selected for further evaluation.

Solid state characterization studies

Figure 5 shows the results of solid state characterization of the selected oral film formula (F4). The DSC thermogram of REP shows a sharp single endothermic melting peak at 136.28°C in accordance with the literature data,⁴⁴ indicating sample purity. This peak completely disappeared in DSC thermogram of

the selected film formula (F4), indicating loss of drug crystallinity and uniform dissolution within the formula matrix. Retaining the SSG melting peak at 296.09°C without any additional thermal event changes within the thermogram indicated formula mixture compatibility (Figure 5A). The IR spectrum of REP showed the main characteristic functional group stretching peaks at 3,307.99 cm⁻¹ (N–H amide), 3,200–2,805.56 cm⁻¹ (broad carboxylic O–H), 1,687.88 cm⁻¹ (carboxylic C=O) and 1,636.81 cm⁻¹ (amide C=O) that were all retained in the spectrum of oral film formula to eliminate the possibility of any chemical incompatibility between the formula components (Figure 5B).

Surface morphological studies

Scanning electron micrographs (SEM) of the synthesized AHAD and alginate polymer showed that the chemical medication changed the alginate molecular filamentous shape to be irregular spheres with larger size due to the self-aggregation of the synthesized AHAD due to hydrophobic association between the substituted alkyl groups.⁴² The SEM image of the AHAD oral film shows complete homogeneity of the film

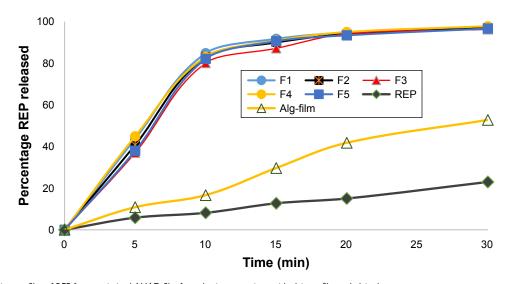


Figure 4 Dissolution profiles of REP from optimized AHAD film formulae in comparison with alginate film and plain drug. Abbreviations: AHAD, alginate hexyl amide derivative; REP, repaglinide; Alg, alginate.

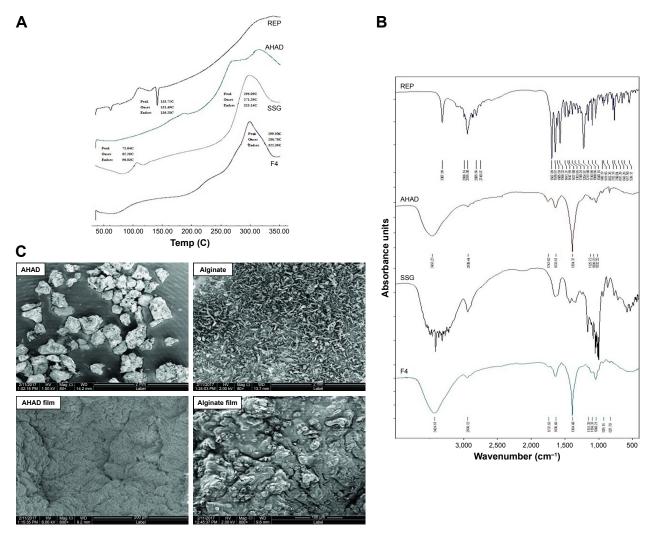


Figure 5 Characterization of optimized film formula (F4) using (A) DSC, (B) FTIR, and (C) scanning electron microscopy. Abbreviation: DSC, differential scanning calorimetry; FTIR, Fourier-transform infrared spectroscopy.

mixture in comparison with the traditional alginate film that clearly shows precipitated drug particles on the film surface (Figure 5C). This confirms the effect of the chemical modification on the physical properties of alginate and decreasing surface tension with subsequent increase of drug subdivision within the film matrix.

In vivo characterization studies

To investigate the effect of improvement of REP release rate from the prepared AHAD oral film on its absorption rate and bioavailability, further in vivo studies were applied to the optimized film formula (F4) in comparison with plain drug and conventional alginate oral film.

The non-keratinized nature of rabbit's mucosal membranes with close similarity to human sublingual mucosa suggested it as an animal model for the bioavailability study. 45 Table 7 summarizes the mean pharmacokinetic parameters of

REP from different forms, and Figure 6 illustrates the mean plasma concentration—time curves.

The calculated pharmacokinetic parameters clearly showed more than threefold increase in AUC value for AHAD film (296.072 μ g.h/mL) in comparison with plain drug (95.368 μ g.h/mL) and about twofold increase when compared

Table 7 The mean pharmacokinetic parameters of REP in different forms after administration to rabbits

Pharmacokinetic	Plain REP	Alginate film	AHAD film
parameter			
C _{max} (μg/mL)	31.925±1.62	56.568±0.598	116.932±1.563
T _{max} (h)	I±0.143	1±0.181	0.5±0.078
$K_{el}(h^{-l})$	0.528±0.032	0.462±0.047	0.408±0.038
t _{1/2} (h)	1.31±0.117	1.51±0.132	1.69±0.177
AUC ₀₋₈ (μg.h/mL)	93.379±5.613	160.035±4.731	285.895±8.211
AUC ₀ (μg.h/mL)	95.368±7.216	164.917±6.548	296.072±6.947

Abbreviations: REP, repaglinide; AUC, area under the curve; AHAD, alginate hexyl amide derivative.

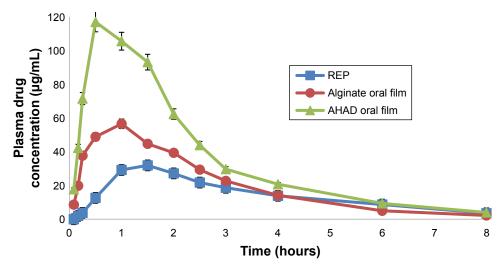


Figure 6 Mean plasma concentration-time curves for optimized film formula (F4) in comparison with conventional alginate film and plain drug in rabbits. Abbreviations: REP, repaglinide; AHAD, alginate hexyl amide derivative.

with alginate film (164.917 μ g.h/mL), also C $_{max}$ value increased to reach 116.932 μ g/mL in comparison with 31.925 and 56.568 μ g/mL for plain drug and alginate film, respectively. REP reached maximum plasma concentration with faster rate from AHAD oral films compared with alginate film and plain drug as indicated by the 50% reduction of T_{max} to be only 30 minutes in comparison with 60 minutes for other forms. The drug bioavailability is usually expressed in terms of extent and rate of drug absorption. Area under plasma concentration—time curve and maximum drug plasma concentration reflects the amount of drug absorption while the time for maximum plasma concentration is a valuable indicator for adsorption rate. These results clearly confirm the significant improvement of REP bioavailability from the prepared AHAD oral film formula.

REP is a BCS class II drug of low solubility and high permeability, its absorption is mainly controlled by drug dissolution rate as a limiting step. As previously discussed,³⁰

it was proven that the synthesized AHAD polymer material has surfactant properties that cause improvement in the solubility of the loaded drug and release rate with subsequent increase in the amount of drug available for absorption. Dispersion of REP within the alginate matrix also causes decrease of drug crystallinity as indicated by the DSC results (data not shown) explains improvement of drug solubility with subsequent improvement of its rate and extent of absorption from conventional alginate film. Improvement of drug bioavailability is also augmented by the selected administration route that allows drug escaping from liver degradative enzymes (CYP3A4 and CYP2C8) that significantly cause drug metabolism through oxidation and direct conjugation to pharmacologically inactive metabolites.

Statistical analysis of data

Results of post hoc one-way ANOVA statistical analysis are presented in Table 8, indicating a significant difference

Table 8 One-way ANOVA results for pharmacokinetic data from different forms in rabbits

Parameter	Source	Sum of squares	df	Mean square	F	Significance
C _{max} (μg/mL)	Between groups	22,954.319	2	11,477.159	6,344.637	<0.001
Time	Within groups	27.134	15	1.809		
	Total	22,981.453	17			
$K_{el}(h^{-l})$	Between groups	0.042	2	0.02	41.630	
	Within groups	0.008	15	0.01		
	Total	0.05	17			
t _{1/2} (h)	Between groups	0.424	2	0.212	35.080	
	Within groups	0.091	15	0.006		
	Total	0.514	17			
AUC ₀₋₈ (μg.h/mL)	Between groups	114,692.838	2	57,346.419	927.002	
	Within groups	927.933	15	61.862		
	Total	115,620.771	17			
AUC ₀ (μg.h/mL)	Between groups	124,231.434	2	62,115.717	779.448	
	Within groups	1,195.378	15	79.692		
	Total	125,426.812	17			

Abbreviation: AUC, area under the curve.

Table 9 Post hoc statistical analysis of the calculated means

Parameter	Group	P-value	Mean difference	CI		
	AHAD film			Lower	Upper	
C _{max} (µg/mL)	Plain REP	<0.001	85.006667	82.98968	87.02366	
THE	Alginate film		60.363333	58.34634	62.38032	
$K_{el}(h^{-l})$	Plain REP		-0.0118	-0.15172	-0.08428	
-	Alginate film		-0.05	-0.08372	-0.01628	
t _{1/2} (h)	Plain REP		0.37562	0.25909	0.40215	
	Alginate film		0.17387	0.06184	0.29491	
AUC ₀₋₈ (μg.h/mL)	Plain REP		192.516	180.7209	204.3112	
	Alginate film		125.8616	114.0665	137.6567	
AUC _n (μg.h/mL)	Plain REP		200.4061	187.0186	213.7935	
	Alginate film		130.7956	117.4082	144.1831	

Abbreviations: REP, repaglinide; AUC, area under the curve; AHAD, alginate hexyl amide derivative.

between the calculated pharmacokinetic parameters of REP from the different forms with P-value of <0.001, and post hoc (Tukey mode) statistical analysis results confirmed significance of the recorded variance in drug pharmacokinetic means between AHAD oral films and the other compared drug form. Further result confirmation was applied through studying the 95% CI (Table 9). Significance of CI for all calculated parameters added more trust to the results as it means that there is 95% expectation to obtain the same calculated mean within the observed range if the experiment is repeated under the same conditions. Absence of zero value within the calculated CIs with narrow range implies significance, strength, and precision of the results and supports rejection of null hypothesis.

Conclusion

The optimized AHAD oral film formulae were neutral, homogenous, and rapidly disintegrated with high drug release rate. The AHAD oral film also had satisfactory mechanical properties with high flexibility and elasticity. The drug bioavailability was significantly improved from optimized oral film formula in comparison with plain drug and conventional alginate films. Depending on the previous results, it could be concluded that the synthesized hexyl alginate derivative is an innovative film-forming polymer with unique flexible, amphiphilic properties suitable for the preparation of rapidly disintegrating oral films with improved bioavailability especially for poorly water soluble BCS class II drugs. These findings also confirms that amphiphilic alginate derivatives represent promising tools of expected significant pharmaceutical value due to increased chain flexibility and surfactant properties.

Disclosure

The author reports no conflicts of interest in this work.

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