

# Identification of tumor-educated platelet biomarkers of non-small-cell lung cancer

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**Background:** Lung cancer is a severe cancer with a high death rate. The 5-year survival rate for stage III lung cancer is much lower than stage I. Early detection and intervention of lung cancer patients can significantly increase their survival time. However, conventional lung cancer-screening methods, such as chest X-rays, sputum cytology, positron-emission tomography (PET), low-dose computed tomography (CT), magnetic resonance imaging, and gene-mutation, -methylation, and -expression biomarkers of lung tissue, are invasive, radiational, or expensive. Liquid biopsy is non-invasive and does little harm to the body. It can reflect early-stage dysfunctions of tumorigenesis and enable early detection and intervention.

**Methods:** In this study, we analyzed RNA-sequencing data of tumor-educated platelets (TEPs) in 402 non-small-cell lung cancer (NSCLC) patients and 231 healthy controls. A total of 48 biomarker genes were selected with advanced minimal-redundancy, maximal-relevance, and incremental feature-selection (IFS) methods.

**Results:** A support vector-machine (SVM) classifier based on the 48 biomarker genes accurately predicted NSCLC with leave-one-out cross-validation (LOOCV) sensitivity, specificity, accuracy, and Matthews correlation coefficients of 0.925, 0.827, 0.889, and 0.760, respectively. Network analysis of the 48 genes revealed that the *WASF1* actin cytoskeleton module, *PRKAB2* kinase module, *RSRC1* ribosomal protein module, *PDHB* carbohydrate-metabolism module, and three intermodule hubs (*TPM2*, *MYL9*, and *PPP1R12C*) may play important roles in NSCLC tumorigenesis and progression.

**Conclusion:** The 48-gene TEP liquid-biopsy biomarkers will facilitate early screening of NSCLC and prolong the survival of cancer patients.

**Keywords:** tumor-educated platelet, TEP, liquid biopsy, minimal redundancy, maximal relevance, MRMR, incremental feature selection, IFS, non-small-cell lung cancer, NSCLC

## Introduction

Lung cancer is a severe cancer with a high death rate.<sup>1,2</sup> Early detection of lung cancer is the most effective way to increase survival time, since survival time is directly associated with lung cancer stage and early-treatment patients will have better diagnoses.<sup>3</sup> The 5-year survival rates for stage I and stage III lung cancer patients are 67% and 23%, respectively.<sup>3</sup> The survival difference between early-stage and late-stage lung cancer is huge. Therefore, early screening of lung cancer is the key to lung cancer prevention and therapy.

Conventionally, lung cancer is detected through chest X-rays, sputum cytology, positron-emission tomography (PET), low-dose computed tomography (CT), and magnetic resonance imaging.<sup>4</sup> However, many diagnosed patients are already in late stages.<sup>5</sup> Although PET and CT are developing progressively higher resolutions and can detect smaller tumors, they are radiational and expensive.

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In recent years, sequencing technologies have developed rapidly. It has been found that tumor tissue can release small numbers of tumor cells, DNA, RNA, or exosomes into blood. These tumor cells in blood are called circulating tumor cells (CTCs).<sup>6</sup> Nowadays, CTCs can be isolated and DNA and RNA with CTCs sequenced accurately.<sup>7</sup> Other types of liquid-biopsy components include ctDNA, ctRNA, exosomes, and tumor-educated platelets (TEPs).<sup>8</sup> Tumor-derived exosomes contain various molecules, such as dsDNA and small RNA, and can reflect the status of tumor cells.<sup>9</sup> TEPs are blood platelets that contain tumor RNAs.<sup>10</sup> They are a great source of tumor-derived RNAs. There have been several studies showing that TEP RNAs can be cancer biomarkers.<sup>10–12</sup> Liquid biopsy has become ever more important in early lung cancer detection and is the one of the foundations of personalized medicine.<sup>13</sup> It can reflect early-stage dysfunctions of tumorigenesis and enable early detection and intervention.

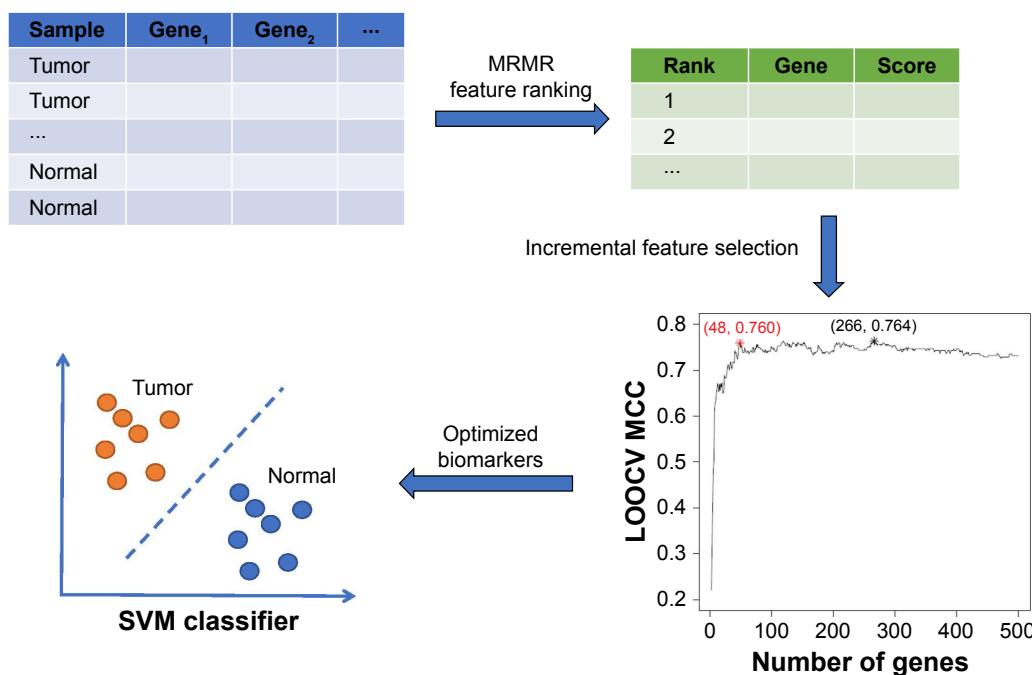
In this study, we analyzed RNA-sequencing data of TEPs in 402 non-small-cell lung cancer (NSCLC) patients and 231 healthy controls. By comparing their expression differences with the minimal redundancy, maximal relevance (MRMR) method, differentially expressed genes were ranked. Then, with incremental feature selection (IFS), optimal biomarkers were selected. Finally, a support vector machine (SVM) classifier based on the optimal biomarkers was constructed

and evaluated. TEP biomarkers could be a useful way to enable early intervention in lung cancer patients and prolong their survival.

## Methods

### Blood gene-expression profiles of NSCLC

Blood gene-expression profiles of NSCLC patients were downloaded from the Gene Expression Omnibus with accession number GSE89843<sup>14</sup> (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE89843>). There were 402 NSCLC samples and 231 healthy control samples. Samples with chronic pancreatitis, epilepsy, multiple sclerosis, insignificant atherosclerosis, pulmonary hypertension, stable angina pectoris, and unstable angina pectoris were excluded. Expression levels of 4,722 genes in TEPs were measured using RNA sequencing. We considered the 402 NSCLC samples as positive samples, the 231 healthy control samples as negative samples, and the expression levels of the 4,722 genes as classification features. The goal was to identify the differentially expressed genes between NSCLC and healthy controls and construct an effective TEP-biomarker-based NSCLC classifier. The workflow of TEP-biomarker-based NSCLC-classifier construction is shown in Figure 1. First, TEP data were preprocessed as a matrix with rows of samples



**Figure 1** Workflow of TEP biomarker-based NSCLC classifier construction.

**Notes:** First, TEP data were preprocessed as a matrix with rows of samples and columns of genes. Then, genes were ranked with the MRMR method. After MRMR, genes were all ranked. Then, with the ranked-gene list, incremental feature selection was adopted to optimize the biomarker-gene set. Finally, biomarkers were determined and the final SVM classifier constructed.

**Abbreviations:** TEP, tumor-educated platelet; NSCLC, non-small-cell lung cancer; MRMR, minimal redundancy, maximal relevance; SVM, support vector machine; LOOCV, leave-one-out cross-validation; MCC, Matthews correlation coefficient.

and columns with genes. Then, genes were ranked with the MRMR method.<sup>15</sup> After MRMR, the genes were all ranked. Then, with the ranked-gene list, the IFS method<sup>18–23</sup> was used to optimize the biomarker-gene set. Finally, biomarkers were determined and the final SVM classifier constructed. Each step is illustrated in the following sections.

## Biomarker-gene selection based on MRMR and IFS methods

We used the MRMR method<sup>15</sup> to rank the genes based on their relevance with sample labels (NSCLC or healthy controls) and redundancy between genes. To illustrate this method clearly, let us use  $\Omega$ ,  $\Omega_s$ , and  $\Omega_t$  to represent the complete set of candidate genes for biomarker ranking, the selected  $m$  biomarker genes, and the to-be-selected  $n$  genes, respectively. The relevance of gene  $g$  from  $\Omega_t$  with sample type  $t$  can be measured with mutual information ( $I$ ).<sup>16,17</sup>

$$D = I(g, t) \quad (1)$$

After we defined mutual information, the redundancy ( $R$ ) of the gene  $g$  with the selected biomarker genes in  $\Omega_s$  can be calculated:

$$R = \frac{1}{m} \left( \sum_{g_i \in \Omega_s} I(g, g_i) \right) \quad (2)$$

To select the best gene  $g_j$  from  $\Omega_t$  that can maximize its relevance with sample type  $t$  and minimize its redundancy with the selected biomarker genes in  $\Omega_s$ , we need to maximize the MRMR function:

$$\max_{g_j \in \Omega_t} \left[ I(g_j, t) - \frac{1}{m} \left( \sum_{g_i \in \Omega_s} I(g_j, g_i) \right) \right] \quad (j = 1, 2, \dots, n) \quad (3)$$

After  $n$  rounds of evaluation, a ranked-gene list can be obtained:

$$S = \{g'_1, g'_2, \dots, g'_h, \dots, g'_N\} \quad (4)$$

The position of a gene in this ranked list ( $h$ ) reflects the trade-off between relevance with sample classes, ie, whether a sample is NSCLC, and redundancy with selected biomarker genes, ie, genes with smaller index values. The genes on the top are better than the genes on the bottom.

To reduce computation complexity, we analyzed only the top 500 MRMR genes. To determine how many genes should be selected to form the optimal biomarkers, we adopted the

IFS method<sup>18–23</sup> and constructed 500 SVM classifiers. In this study, we used the SVM function with default parameters from R package e1071 (<https://cran.r-project.org/web/packages/e1071>) to build the SVM classifier. Each time, a candidate gene set  $S_k = \{g'_1, g'_2, \dots, g'_k\}$  ( $1 \leq k \leq 500$ ) of the top  $k$  genes in the MRMR list was used to build the SVM classifier. The performance of the top  $k$ -gene classifier was evaluated with leave-one-out cross-validation (LOOCV). Finally, an IFS curve was plotted, with the top genes used as the  $x$ -axis and the LOOCV Matthews correlation coefficients (MCCs) of classifiers as the  $y$ -axis. Based on the IFS curve, we can decide how many genes should be used to build a classifier with great performance and little complexity. Usually, the peak or the change point of the IFS curve was chosen.

## Prediction-performance evaluation of SVM classifier

As mentioned, LOOCV,<sup>24,25</sup> also known as jackknife testing, was used to evaluate the prediction performance of each SVM classifier. LOOCV continues for  $n$  rounds to test all samples one by one. In each round of LOOCV, one sample was tested while the other samples were trained. After  $n$  rounds, all samples were tested one at a time. LOOCV is widely used to evaluate prediction performance.<sup>26</sup> Although the independent test has also been widely used, the selection of independent-test samples is arbitrary, and sometimes the choice of different validation cohorts may lead to totally different conclusions, as the validation samples may have different distributions from the training samples.<sup>26</sup> Cross-validation can overcome these problems.<sup>26</sup>

By comparing the predicted sample classes with the actual sample classes, sensitivity (Sn), specificity (Sp), accuracy (ACC), and MCC were calculated to evaluate prediction performance:

$$Sn = \frac{TP}{TPFN} \quad (5)$$

$$Sp = \frac{TN}{TNFP} \quad (6)$$

$$ACC = \frac{TPTN}{TPTNFPFN} \quad (7)$$

$$MCC = \frac{TP \times TN - FP \times FN}{\sqrt{(TPFP)(TPFN)(TNFP)(TNFN)}} \quad (8)$$

where TP, TN, FP, and FN stand for true positive (NSCLC), true negative (healthy control), false positive (NSCLC), and false negative (healthy control), respectively. Since the

sizes of positive (NSCLC) and negative (healthy control) samples were imbalanced in this study, MCC was a better measurement than ACC. MCC considered both sensitivity and specificity.<sup>27</sup>

## Results and discussion

### Genes showing different expression patterns between NSCLC and healthy controls

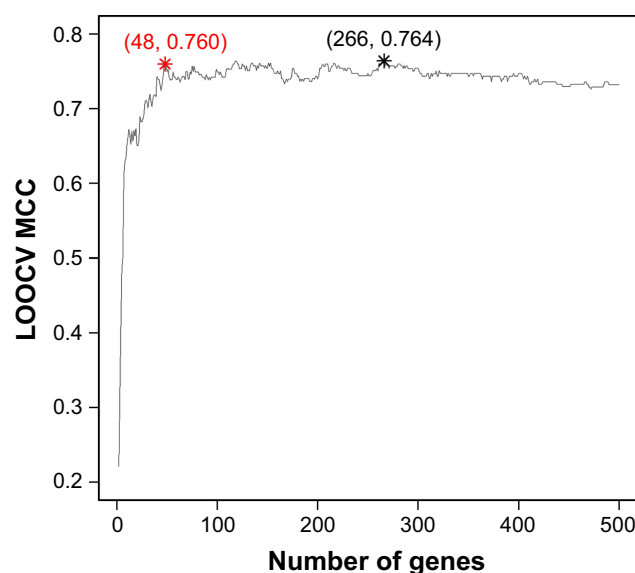
We obtained the top 500 most discriminative genes of NSCLC and healthy control samples using the MRMR method. The MRMR method is based on information theory. Mutual information is used to measure relevance and redundancy. It has been widely used in the bioinformatics field.<sup>28–32</sup> We used a C/C++ version of MRMR software (<http://home.penglab.com/proj/mRMR/>) to apply the gene-ranking process. Unlike statistical test methods, such as the *t*-test for case-control experiment design and ANOVA for multiple-group design, MRMR not only considers the relevance between genes and sample classes but also redundancy between genes.

### Optimal biomarkers identified from MRMR gene list with IFS methods

After MRMR analysis, we applied the IFS procedure to select the optimal number of top MRMR genes to form the biomarker-gene set. The relationship between the number of genes and prediction MCCs was plotted as an IFS curve (Figure 2). It can be seen that when 266 genes were used, the LOOCV MCC was the highest – 0.764, but even early, when only 48 genes were used, the MCC was 0.760. To consider both using fewer genes and achieving higher prediction MCC, we chose the 48 genes as the optimal biomarker-gene set, since increasing the number of genes beyond 48 would not significantly increase the MCC any more. The 48 genes are shown in Table 1.

### Prediction performance of the 48-gene classifier

The 48 genes were chosen based on MRMR and IFS methods. To evaluate their prediction power objectively, we calculated LOOCV sensitivity, specificity, accuracy, and MCC. The confusion matrix of predicted sample classes and actual sample classes is shown in Table 2. LOOCV sensitivity, specificity, accuracy and MCC of the 48-gene classifier were 0.925, 0.827, 0.889, and 0.760, respectively.



**Figure 2** IFS curve showing how prediction performance improved when more and more genes were used to construct the classifier.

**Notes:** The IFS curve explained the relationship between the number of genes and prediction performance, assessed by MCCs in this study. The x-axis denotes the number of top genes that were used to construct the SVM classifier, and the y-axis denotes the LOOCV MCCs of the classifiers. The highest MCC was achieved when 266 genes were used. However, after 48 genes were used, the IFS curve entered the plateau area and did not increase much, even when increasing numbers of genes were included. To consider both model complexity and model performance, we chose the 48 genes as the optimal biomarker-gene set.

**Abbreviations:** IFS, incremental feature selection; MCC, Matthews correlation coefficient; SVM, support vector machine; LOOCV, leave-one-out cross-validation.

To demonstrate more intuitively the discriminative power of these 48 genes for NSCLC and healthy control samples, we draw a heat map using these 48 genes (Figure 3). It can be seen that even without an advanced machine-learning algorithm, such as SVM, the simple hierarchical clustering can group most NSCLC and healthy control samples into the right clusters. Upregulation and downregulation patterns of these 48 genes were very clear between NSCLC and healthy control samples.

### Biological significance of the 48 biomarker genes

To explore the regulatory mechanisms of the 48 genes, we mapped them onto Search Tool for the Retrieval of Interacting Genes/Proteins (STRING),<sup>33</sup> a comprehensive and widely used protein functional association network.<sup>34–39</sup> The subnetwork of these 48 genes extracted from STRING is shown in Figure 4, with selected genes highlighted in red. It can be seen that there were several modules on the network that were circled together.

On the bottom left is the *WASF1* module which included *MYO5A* and *WASF1*. These two genes both interacted with

**Table 1** The 48 genes selected by MRMR and IFS methods

Order	Gene ID	Symbol	Score	Order	Gene ID	Symbol	Score
1	ENSG00000081154	PCNP	0.104	25	ENSG00000101335	MYL9	-0.007
2	ENSG00000238683	SNRD13	0.047	26	ENSG00000120963	ZNF706	-0.008
3	ENSG00000163703	CRELD1	0.045	27	ENSG00000106034	CPED1	-0.007
4	ENSG00000111371	SLC38A1	0.034	28	ENSG00000227165	WDR11-AS1	-0.011
5	ENSG00000198467	TPM2	0.034	29	ENSG00000167100	SAMD14	-0.01
6	ENSG00000101849	TBL1X	0.026	30	ENSG00000103316	CRYM	-0.01
7	ENSG00000130948	HSD17B3	0.027	31	ENSG00000154146	NRGN	-0.013
8	ENSG00000142089	IFITM3	0.021	32	ENSG00000168291	PDHB	-0.013
9	ENSG00000156738	MS4A1	0.021	33	ENSG00000067836	ROGDI	-0.014
10	ENSG00000151575	TEX9	0.024	34	ENSG00000112290	WASF1	-0.015
11	ENSG00000125534	PPDPF	0.022	35	ENSG00000266356	AC090615.1	-0.013
12	ENSG00000125503	PPP1R12C	0.018	36	ENSG00000128731	HERC2	-0.017
13	ENSG00000248242	AC004053.1	0.019	37	ENSG00000156639	ZFAND3	-0.017
14	ENSG00000173598	NUDT4	0.019	38	ENSG00000136108	CKAP2	-0.017
15	ENSG00000172037	LAMB2	0.012	39	ENSG00000213465	ARL2	-0.017
16	ENSG00000185909	KLHDC8B	0.006	40	ENSG00000174891	RSRC1	-0.019
17	ENSG00000248309	MEF2C-AS1	0.007	41	ENSG00000173083	HPSE	-0.019
18	ENSG00000163359	COL6A3	0.006	42	ENSG00000119684	MLH3	-0.02
19	ENSG00000197535	MYO5A	0.005	43	ENSG00000172543	CTSW	-0.02
20	ENSG00000166311	SMPD1	0.001	44	ENSG00000131791	PRKAB2	-0.022
21	ENSG00000162664	ZNF326	-0.001	45	ENSG00000125821	DTD1	-0.022
22	ENSG00000113761	ZNF346	-0.001	46	ENSG00000137312	FLOT1	-0.023
23	ENSG00000108010	GLRX3	-0.004	47	ENSG00000259483	AL355073.1	-0.022
24	ENSG00000108679	LGALS3BP	-0.003	48	ENSG00000076043	REXO2	-0.025

**Abbreviations:** MRMR, minimal redundancy, maximal relevance; IFS, incremental feature selection.

*NCKAP1*, *CYFIP2*, and *CYFIP1*. In this *WASF1* module, four genes (*CYFIP1*, *CYFIP2*, *NCKAP1*, and *WASF1*) were involved in hsa04810: regulation of actin cytoskeleton. It has been reported that actin cytoskeleton was associated with lung cancer migration and invasion.<sup>40</sup>

The *WASF1* module interacted with the *PRKAB2* module and *PDHB* module through the intermodule hubs. There were three intermodule hubs as follows: *TPM2*, *MYL9*, and *PPP1R12C*. They connected the *WASF1* actin-cytoskeleton module, the *PRKAB2* kinase module, and the *PDHB* carbohydrate-metabolism module. Interestingly, these intermodule hubs ranked significantly higher than the intramodule

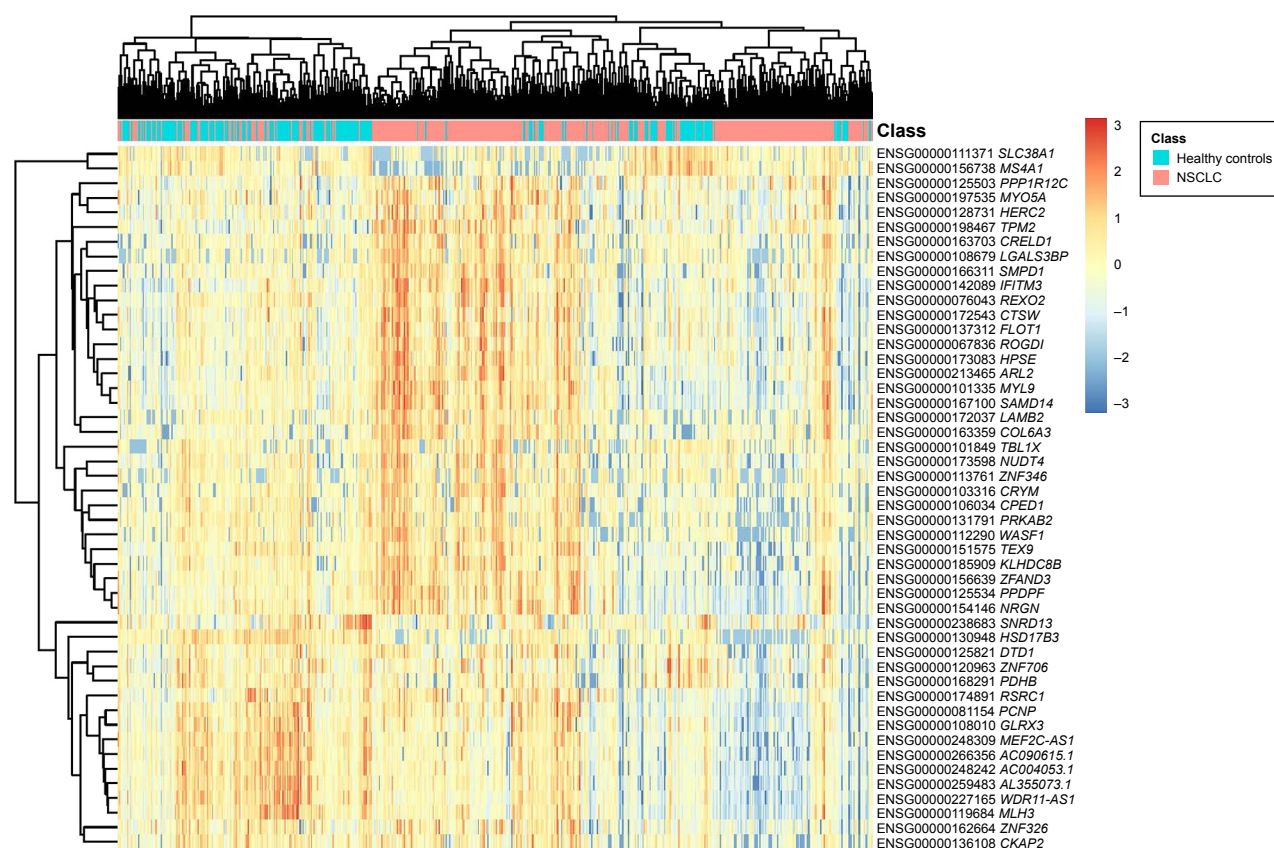
genes. *TPM2*, *PPP1R12C*, and *MYL9* ranked fifth, 12th, and 25th, respectively (Table 1). These intermodule hubs are understudied. Only one study has suggested that *MYL9* is downregulated in NSCLC and may be associated with tumorigenesis of NSCLC.<sup>41</sup> Unlike traditional lung cancer-tissue analysis, these intermodule hubs may reflect an earlier dysfunction in NSCLC and worth further investigation.

In the *PRKAB2* module, *PRKAB2* is a family member of *AMPK*. *AMPK* is a key pathway in NSCLC and engages in cross talk with the EGFR pathway to sensitize the response of NSCLC cells to lung cancer therapeutics, such as erlotinib treatment.<sup>42</sup> In the *PDHB* module was *PDHB*, *MLH3*, and *SLC38A1*. Functional analysis of these modules using GATHER<sup>43</sup> suggested that seven members (*ACLY*, *CS*, *DLAT*, *DLST*, *OGDH*, *PDHA2*, and *PDHB*) were involved in GO:0006092 main pathways of carbohydrate metabolism, with  $P < 0.0001$  and Bayes factor of 21. As we know, one of the hallmarks of cancer is cellular energy metabolism.<sup>44</sup> Cancer cell growth and proliferation need a lot of energy. The module was significantly enriched in carbohydrate

**Table 2** Confusion matrix of predicted sample classes and actual sample classes using 48 genes

	Actual NSCLC	Actual healthy controls
Predicted NSCLC	372	40
Predicted healthy controls	30	191

**Abbreviation:** NSCLC, non-small-cell lung cancer.



**Figure 3** Heat map of NSCLC and healthy control samples using the selected 48 genes.

**Notes:** The NSCLC and healthy control samples were hierarchically clustered using the 48 selected genes. There were very clear clusters of NSCLC and healthy controls. Most samples were grouped into the right cluster.

**Abbreviation:** NSCLC, non-small-cell lung cancer.

metabolism. *MLH3* and *SLC38A1* were less connected with these carbohydrate metabolism genes than *PDHB*. Also, it has been reported that the haplotype *MSH3* was associated with lung cancer<sup>45</sup> and *SLC38A1* significantly overexpressed in NSCLC.<sup>46</sup>

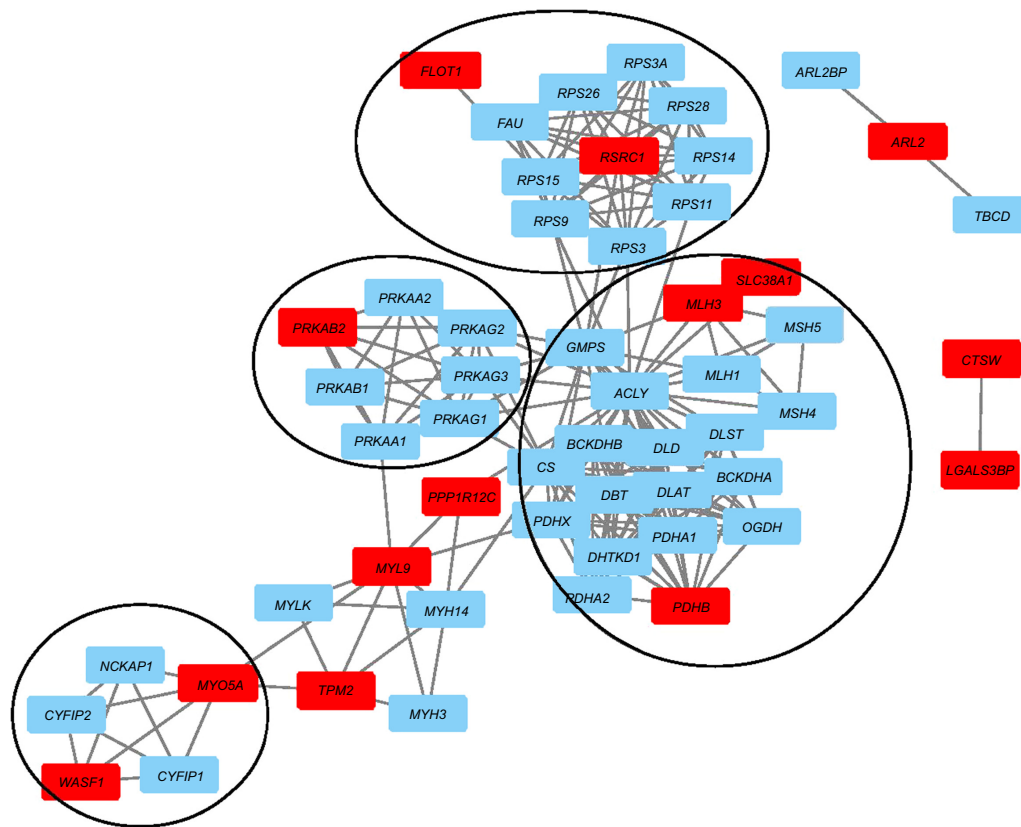
At the top middle was the *RSRC1* module, which included *RSRC1* and *FLOT1*. Within this module, eight genes (*RPS11*, *RPS14*, *RPS15*, *RPS26*, *RPS28*, *RPS3*, *RPS3A*, and *RPS9*) that *RSRC1* interacted with were ribosomal protein genes. Ribosome is important for protein biosynthesis, and there have been several reports that downregulation of ribosomal protein can inhibit or attenuate NSCLC growth and migration.<sup>47–49</sup> Also, they have been considered oncogenes of NSCLC.<sup>49</sup> Another gene was *FLOT1*. It has been reported that in NSCLC, the expression of *FLOT1* was abnormal and correlated with tumor progression and poor survival.<sup>50</sup>

To summarize, the possible biological mechanism of the NSCLC TEP biomarkers is shown in Figure 5. The inter-module hub genes, including *TPM2*, *MYL9*, and *PPP1R12C*, stitched together the *WASF1* module, which regulated actin cytoskeleton, the *PRKAB2* module, which was involved in the

AMPK–EGFR pathway, and the *PDHB* module, which was involved in carbohydrate metabolism. The *PDHB* module interacted with the *RSRC1* module, which was associated with protein biosynthesis, growth, and migration.

## Conclusion

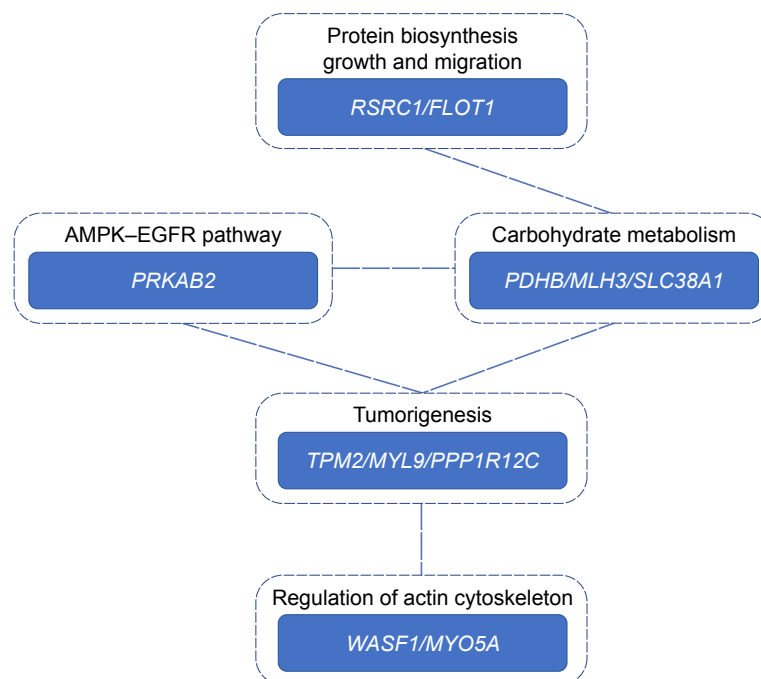
Early detection of lung cancer is critical for NSCLC patients, since early-stage patients have much longer survival than late-stage patients. Unfortunately, conventional lung cancer screening, such as chest X-rays, sputum cytology, PET, CT, and magnetic resonance imaging, are invasive, radiational, or expensive. Liquid biopsy makes early detection possible, since CTC, ctDNA, ctRNA, exosomes, and TEP reflect early changes during tumorigenesis. By analyzing TEP RNA-sequencing data of NSCLC patients and healthy controls, we identified 48 TEP biomarkers. These biomarkers can accurately predict NSCLC. In-depth biological network analysis suggested that there were four modules and three intermodule hubs that may trigger NSCLC. Our results provided novel insights into tumorigenesis and a useful tool for early detection and treatment of NSCLC.



**Figure 4** Modules and intermodule hubs of biomarker genes on STRING network.

**Note:** Four modules (*WASF1* module, *PRKAB2* module, *RSRC1* module, and *PDHB* module) and three intermodule hubs (*TPM2*, *MYL9*, and *PPP1R12C*) were revealed on the biomarker subnetwork.

**Abbreviation:** STRING, Search Tool for the Retrieval of Interacting Genes/Proteins.



**Figure 5** Possible biological mechanism of the NSCLC TEP biomarkers.

**Notes:** Intermodule-hub genes, including *TPM2*, *MYL9*, and *PPP1R12C*, stitched together the *WASF1* module, which regulated actin cytoskeleton, the *PRKAB2* module, which was involved in the AMPK-EGFR pathway, and the *PDHB* module, which was involved in carbohydrate metabolism. The *PDHB* module interacted with the *RSRC1* module, which was associated with protein biosynthesis, growth, and migration.

**Abbreviations:** NSCLC, non-small-cell lung cancer; TEP, tumor-educated platelet.

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## Disclosure

The authors report no conflicts of interest in this work.

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