

# Short- and long-term outcomes after postsurgical acute kidney injury requiring dialysis

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**Objective:** Prompt assessment of perioperative complications is critical for the comprehensive care of surgical patients. Acute kidney injury requiring dialysis (AKI-D) is associated with high mortality, yet little is known about how long-term outcomes of patients have evolved. The association of AKI-D with postsurgical outcomes has not been well studied.

**Methods:** We investigated patients from the National Health Insurance Research Database and validated by the multicenter Clinical Trial Consortium for Renal Diseases cohort. All patients with AKI-D 18 years or older undergoing four major surgeries (cardiothoracic, esophagus, intestine, and liver) were retrospectively investigated (N=106,573). Patient demographics, surgery type, comorbidities before admission, and postsurgical outcomes, including the in-hospital, 30-day, and long-term mortality together with dialysis dependence were collected.

**Results:** AKI-D is the top risk factor for 30-day and long-term mortality after major surgery. Of 1,664 individuals with AKI-D and 6,656 matched controls, AKI-D during the hospital stay was associated with in-hospital (adjusted hazard ratio [aHR]=3.04, 95% CI 2.79–3.31), 30-day (aHR=3.65, 95% CI 3.37–3.94), and long-term (aHR=3.22, 95% CI 3.01–3.44) mortality. Patients undergoing cardiothoracic surgery (CTS) showed less in-hospital (aHR=0.85, 95% CI 0.75–0.97), 30-day (aHR=0.79, 95% CI 0.70–0.89), and long-term (aHR=0.80, 95% CI 0.72–0.90) mortality compared with non-CTS patients with AKI-D. CTS patients had a high risk of 30-day dialysis dependence (subhazard ratio [sHR]=1.67, 95% CI 1.18–2.38), but the risk of long-term dialysis dependence was similar (sHR=1.38, 95% CI 0.96–2.00) after AKI-D by taking mortality as a competing risk. Non-CTS patients had more comorbidities of sepsis, azotemia, hypoalbuminemia, and metabolic acidosis compared with CTS patients.

**Conclusion:** AKI exhibits paramount effects on postsurgical outcomes that extend well beyond discharge from the hospital. The goal of the perioperative assessment should include the reassurance of enhancing renal function recovery among different surgeries, and optimized follow-up is warranted in attenuating the complications after postsurgical AKI has occurred.

**Keywords:** major surgery, acute kidney injury, postsurgical complication, dialysis dependence, mortality

## Introduction

Acute kidney injury (AKI) is a severe perioperative complication.<sup>1,2</sup> Although the incidence of postsurgical AKI depends immensely on the type of the surgery, up to 40% of hospital-acquired AKI is related to the perioperative setting.<sup>3,4</sup> It is associated with prolonged hospital stay, increased costs, and both short- and long-term mortality.<sup>5–8</sup> Among the patients with the most severe forms of AKI, those requiring dialysis (AKI-D) experience higher mortality<sup>9</sup> and incur greater resource use.<sup>10,11</sup> Additionally,

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postsurgical AKI is associated with long-term adverse events including mortality and the development of chronic kidney disease.<sup>12–14</sup> AKI can be a reflection of the general insult of critical illness while also being an independent contributor to that illness; postsurgical AKI is of particular interest as a significant and measurable event of perioperative harm and a plausible target for intervention. Severe AKI after a major surgery is associated with a high risk of mortality and increased costs of care; this adverse impact might extend beyond the discharge of such surgical patients from the hospital.<sup>15</sup>

Recently, the American College of Surgeons National Surgical Quality Improvement Program (ACS-NSQIP)<sup>16</sup> was established based on a “surgery-target” approach for improving risk-adjusted surgical outcomes and allowing highly detailed evaluations of performance and zero in on preventable complications.<sup>17,18</sup> Because a growing movement aims to tie reimbursements to outcomes, the performance related to the influence of postsurgical AKI among different surgeries to in-hospital, 30-day, and long-term mortality as well as dialysis dependence is important for enhancing quality initiatives. To our knowledge, the association between surgical type and 30-day or long-term dialysis dependence together with the clinical significance of AKI episodes with respect to clinically relevant outcomes has not been comprehensively studied in a surgical patient population.

This study aimed to evaluate the influence of AKI-D on postsurgical in-hospital, 30-day, and long-term outcomes, including mortality and dialysis dependence, among patients who were undergoing different major surgeries. We investigated the association between AKI-D and outcomes while adjusting for surgery procedures, patient demographics, and comorbidities, using a large nationwide database and validated by prospectively enrolled nationwide cohort.

## Methods

### Data source

The National Health Insurance Research Database (NHIRD) of the National Health Insurance (NHI) program is mandatory and universal, offering comprehensive medical care coverage to more than 99% of the country's population of 23 million people. The NHI system contains all original claims data, including demographic information and detailed orders, for all clinic visits and hospitalizations. Disease diagnoses for all individuals are classified according to the ICD, Ninth Revision, Clinical Modification (ICD-9-CM). Taiwan's NHI records are regularly inspected, and physicians who violate the standards of clinical practice are subject to financial

penalties.<sup>19</sup> The accuracy of diagnoses registered in the NHIRD has been validated.<sup>20,21</sup>

For this study, patients (aged  $\geq 18$  years) who were admitted to the hospital from January 2001 to December 2007 for major surgery and who developed AKI-D during their index admission were identified in the NHI databases. Surgical procedures were considered major if the length of the intensive care unit (ICU) stay for patients in a given diagnosis-related group exceeded 2 days.<sup>22</sup> Major surgery procedures were further classified into cardiothoracic, esophageal, intestinal, and hepatic as the primary diagnosis codes.<sup>4</sup> We further divided all major surgeries into cardiothoracic surgery (CTS) and non-CTS. Because the identification numbers of all individuals in the NHIRD were encrypted to protect the privacy of the individuals and informed consent was waived due to the secondary nature of the patient data, this study underwent limited ethical review by the Institutional Review Board of National Taiwan University Hospital (approval reference no. 201212021RINC).

### Validation cohort

The Taiwan Consortium for Acute Kidney Injury and Renal Diseases (CAKS) from the National Research Program for Biopharmaceuticals has launched a nationwide epidemiology and prognostic program for tracking AKI-D, which prospectively enrolls critically ill patients with AKI-D in ICUs. CAKS includes 30-member hospitals that are widely distributed throughout the four geographical regions (north, middle, south, and east) of Taiwan. The CAKS member hospitals have a 1:1 ratio of medical centers to regional hospitals in each region and in the four seasonally sampled months (October 2014 and January, April, and July 2015).<sup>23</sup> Patients undergoing dialysis after major surgery were selected to be in the validation cohort. This cohort included 79 CTS patients and 154 non-CTS patients. We used this cohort to validate different clinical manifestations and laboratory data between CTS patients and non-CTS patients during AKI-D. Detailed laboratory data and scoring systems were compared in each group of patients at ICU admission and the initiation of renal replacement therapy (RRT).

### Data collection and outcome measures

Patient demographics, surgery type, Charlson comorbidity index, comorbidities before admission, and postsurgical outcomes including the in-hospital, 30-day, and long-term mortality together with dialysis dependence were reviewed from the database. The primary outcomes were in-hospital,

30-day, and long-term mortality after hospital discharge. The secondary outcomes were 30-day and long-term dialysis dependence defined as the requirement for dialysis for at least 3 months after hospital discharge, with mortality treated as a competing risk. Composite outcomes combined the outcomes of mortality and dialysis dependence. Each patient was monitored from the date of discharge to 30 days after discharge for 30-day mortality and was censored at either death or the end of the study (December 31, 2010) for long-term outcome, whichever occurred first.

## Statistical analyses

We followed the STROBE (Strengthening the Reporting of Observational Studies in Epidemiology) recommendations for this study. After discharge, the status of dialysis dependence was integrated as a time-varying risk.

We performed a propensity score matching (PSM) to reduce the selection bias due to the baseline differences between the patients of AKI with dialysis and those of AKI without dialysis. First, we conducted multivariate logistic regression analysis to identify the predictors for the prescription of dialysis. Using logistic regression model, factors during the index hospitalization as predictors of AKI-D including age, sex, surgical type, hospital stay length, Charlson comorbidity index score, comorbidities, and postsurgical complications were identified as parameters for further PSM (Table S1 and Figures S2). Then, we used the “Match()” function in the “Matching” library of the R statistical software to do PSM based on the default value of “caliper” (ie, caliper = 0.25) and the Mahalanobis distance without replacement. After the matching, the standardized mean difference of all variables was <0.1 (Figure S2).

Patients who did not undergo acute dialysis during their index hospitalization but had a major surgery were matched to the study group at a 1:4 ratio after matching for age, sex, Charlson comorbidity index, and propensity score. We first modeled 30-day and long-term mortality using the occurrence of AKI-D after the surgery as the primary covariate of interest and adjusted for surgery type and preoperative covariates, including age, sex, Charlson comorbidity index, and individual comorbidities. However, cardiac surgery had the highest postoperative AKI risk.<sup>24</sup> In addition, acute renal failure after cardiac surgery is known to be associated with significant short-term morbidity and mortality.<sup>25</sup> In light of this, we constructed a second model stratifying AKI-D patients into CTS and non-CTS while adjusting the same preoperative covariates as the first model. In further parametric modeling with regard to factors associated with

outcome, we adopted three modeling methods: simple Cox regression, multivariable Cox regression, and the competing risk regression. A Cox proportional regression model was used to calculate the adjusted hazard ratios (aHRs) and 95% CIs for the outcomes including in-hospital, 30-day, and long-term mortality and dialysis dependence. Because of high mortality and dialysis dependence rates in surgical patients with AKI-D, competing risk regression applying Fine and Gray modeling for consideration of the subdistribution hazard was performed to model dialysis dependence while treating mortality as a competing risk.<sup>26</sup> Furthermore, the validation cohort was analyzed by PSM at a 1:1 ratio after matching for comorbidities and baseline demographics including age, sex, and severity scores. A bivariate analysis was performed using the *t*-test for normally distributed continuous variables and Wilcoxon rank-sum test for non-normally distributed continuous variables, and a chi-squared analysis was used for categorical variable comparisons and outcome events. Missing or invalid data on age, sex, and related variables were noted in 1.2% of the patients, and these patients were excluded from the analyses. We used R software, version 2.8.1 (Free Software Foundation, Inc., Boston, MA, USA); competing risk analysis was performed with Stata MP, version 12 (StataCorp, College Station, TX, USA). A two-sided *P*-value <0.05 was considered statistically significant.

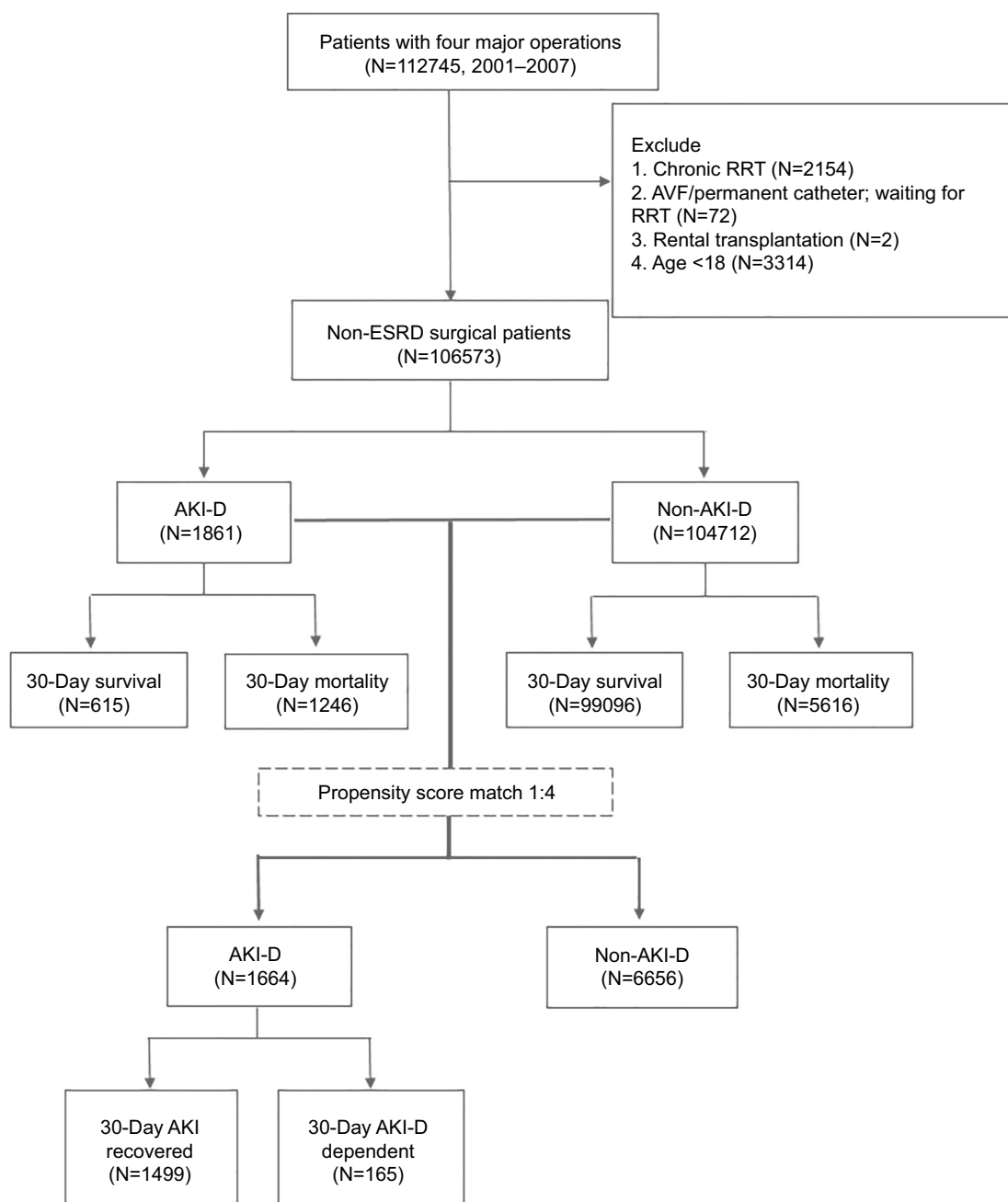
## Results

### Data source and participants

From 2001 to 2007, 112,745 patients underwent major surgeries and 1,861 surgical patients with AKI-D during their index hospitalization were enrolled in the study (Figure 1). The incidence of AKI-D after major surgeries increased during this period, and the aHR of 30-day and long-term mortality also increased simultaneously (Figure S1 and Table S2). Compared with all the risk factors, AKI-D was the top risk factor for 30-day and long-term mortality (Table S3). With PSM at a 1:4 ratio, 8,320 surgical patients, 1,664 with AKI-D and 6,656 without dialysis were further analyzed.

### Demographic characteristics, comorbidities, postsurgical complications, and outcomes

Baseline characteristics stratified by the AKI-D, surgery type, and comorbidities before and after the PSM are presented in Table 1. Of all patients undergoing major surgeries, the AKI-D group had the most underlying diseases and



**Figure 1** Study flow diagram.

**Abbreviations:** AKI, acute kidney injury; AKI-D, acute kidney injury requiring dialysis; AVF, arteriovenous fistula; ESRD, end-stage renal disease; RRT, renal replacement therapy.

postsurgical comorbidities compared with the non-AKI-D group (Table 1). Besides, the surgical patients with AKI-D had higher in-hospital mortality compared with the non-AKI-D surgical patients (66.95% vs 5.37%). After the PSM (Table S1 and Figures S2), the surgical patients with AKI-D still had higher in-hospital mortality compared with the non-AKI-D surgical patients (68.87% vs 22.64%).

## All-cause mortality after postsurgical AKI-D

After PSM, 8,320 surgical patients were analyzed using time-dependent Cox proportional hazard modeling, with dialysis dependence after hospital discharge as the time-varying risk. Similar results were obtained before and after PSM. After matching with the adjustment of baseline

**Table 1** Basic demographic characteristics, comorbidities, complications, and outcomes in surgical patients stratified by AKI-D during the hospital stay

Characteristic	All n=106,573	AKI-D n=1,861	Non-AKI-D n=104,712	SMD	Propensity score-matched		
					AKI-D n=1,664	Non-AKI-D n=6,656	SMD
<b>Male sex</b>	60,847 (57.09%)	1,200 (64.48%)	59,647 (56.96%)	0.154	1,075 (64.60%)	4,440 (66.71%)	-0.044
<b>Age at admission</b>	58.72±16.88	65.91±15.33	58.59±16.88	-0.454	65.67±15.62	66.56±14.71	0.058
<b>Surgical type</b>							
Cardiothoracic	20,335 (19.08%)	859 (46.15%)	19,476 (18.60%)	-0.276	723 (43.45%)	3,033 (45.57%)	0.021
Esophagus	18,268 (17.14%)	347 (18.65%)	17,921 (17.11%)	-0.026	331 (19.89%)	1,239 (18.61%)	-0.021
Intestine	27,657 (25.95%)	404 (21.71%)	27,253 (26.03%)	0.077	372 (22.36%)	1,329 (19.97%)	-0.043
Liver	40,313 (37.83%)	251 (13.49%)	40,062 (38.26%)	0.575	238 (14.30%)	1,055 (15.85%)	0.042
<b>Comorbidities (before admission)</b>							
Myocardial infarction	1,658 (1.56%)	119 (6.39%)	1,539 (1.47%)	0.255	95 (5.71%)	394 (5.92%)	-0.009
Congestive heart failure	3,678 (3.45%)	283 (15.21%)	3,395 (3.24%)	0.423	226 (13.58%)	835 (12.55%)	0.031
Peripheral vascular disease	807 (0.76%)	35 (1.88%)	772 (0.74%)	0.101	29 (1.74%)	166 (2.49%)	-0.052
Cerebrovascular disease	4,984 (4.68%)	141 (7.58%)	4,843 (4.63%)	0.124	119 (7.15%)	506 (7.60%)	-0.017
Dementia	1,074 (1.01%)	34 (1.83%)	1,040 (0.99%)	0.071	32 (1.92%)	155 (2.33%)	-0.028
COPD	9,318 (8.74%)	244 (13.11%)	9,074 (8.67%)	0.143	219 (13.16%)	929 (13.96%)	-0.023
Rheumatologic disease	612 (0.57%)	27 (1.44%)	585 (0.56%)	0.090	21 (1.26%)	96 (1.44%)	-0.016
Peptic ulcer disease	18,919 (17.75%)	326 (17.52%)	18,593 (17.76%)	-0.006	289 (17.37%)	1,166 (17.52%)	-0.004
Mild liver disease	8,185 (7.68%)	175 (9.40%)	8,010 (7.65%)	0.063	153 (9.19%)	612 (9.19%)	0.000
Diabetes	14,127 (13.26%)	546 (29.34%)	13,581 (12.97%)	0.409	452 (27.16%)	1,965 (29.52%)	-0.052
Hemiplegia or paraplegia	409 (0.38%)	8 (0.43%)	401 (0.38%)	0.007	6 (0.36%)	28 (0.42%)	-0.010
Malignancy	4,405 (4.13%)	80 (4.30%)	4,325 (4.13%)	0.008	76 (4.57%)	275 (4.13%)	0.021
Moderate or severe liver disease	897 (0.84%)	54 (2.90%)	843 (0.81%)	0.156	49 (2.94%)	176 (2.64%)	0.018
AIDS	15 (0.01%)	0 (0.00%)	15 (0.01%)	-0.017	0 (0.00%)	3 (0.05%)	-0.030
Charlson comorbidity index score	0.89±1.39	1.74±1.81	0.88±1.37	-0.537	1.59±1.72	1.69±1.87	0.057
CKD	1,197 (1.12%)	218 (11.71%)	979 (0.93%)	0.454	130 (7.81%)	447 (6.72%)	0.042
<b>Comorbidities (during index hospitalization)</b>							
<b>Pulmonary complications</b>							
Reintubation	157 (0.14%)	40 (2.15%)	117 (0.11%)	0.194	29 (1.74%)	87 (1.31%)	0.036
Acute respiratory distress syndrome	4,402 (4.13%)	738 (39.66%)	3,664 (3.50%)	0.979	603 (36.24%)	2,241 (33.67%)	0.054
Pleural effusion	1,927 (1.81%)	78 (4.19%)	1,849 (1.77%)	0.143	71 (4.27%)	298 (4.48%)	-0.010
Chest tube insertion	3,536 (3.32%)	315 (16.93%)	3,221 (3.08%)	0.474	253 (15.20%)	952 (14.30%)	0.025
<b>Cardiac complications</b>							
Hemopericardium	693 (0.65%)	37 (1.99%)	656 (0.63%)	0.120	33 (1.98%)	144 (2.16%)	-0.013
Hypovolemic shock	2,277 (2.14%)	268 (14.40%)	2,009 (1.92%)	0.468	223 (13.40%)	831 (12.48%)	0.027
Cardiac arrest	51 (0.05%)	9 (0.48%)	42 (0.04%)	0.087	7 (0.42%)	16 (0.24%)	0.031
Heart block	274 (0.26%)	11 (0.59%)	263 (0.25%)	0.053	8 (0.48%)	31 (0.47%)	0.002
Atrial fibrillation	2,984 (2.80%)	92 (4.94%)	2,892 (2.76%)	0.114	81 (4.87%)	355 (5.33%)	-0.021
ECMO	5,050 (4.74%)	509 (27.35%)	4,541 (4.34%)	0.664	414 (24.88%)	1,692 (25.42%)	-0.012
<b>Infectious complications</b>							
Pneumonia	4,377 (4.11%)	355 (19.08%)	4,022 (3.84%)	0.493	293 (17.61%)	1,150 (17.28%)	0.009
Urinary tract infection	2,504 (2.35%)	100 (5.37%)	2,404 (2.30%)	0.161	80 (4.81%)	386 (5.80%)	-0.044
Severe sepsis	2,533 (2.38%)	387 (20.80%)	2,146 (2.05%)	0.617	319 (19.17%)	1,220 (18.33%)	0.022
<b>Others</b>							
Delirium	180 (0.17%)	5 (0.27%)	175 (0.17%)	0.022	5 (0.30%)	22 (0.33%)	-0.005
Stroke	1,198 (1.12%)	48 (2.58%)	1,150 (1.10%)	0.110	39 (2.34%)	157 (2.36%)	-0.001
GI bleeding	2,733 (2.56%)	142 (7.63%)	2,591 (2.47%)	0.237	123 (7.39%)	515 (7.74%)	-0.013

**Note:** Data presented as n (%) or mean ± SD.

**Abbreviations:** AKI-D, acute kidney injury requiring dialysis; CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; ECMO, extracorporeal membrane oxygenation; GI, gastrointestinal; SMD, standardized mean difference.

demographics, surgery type, Charlson comorbidity index, and comorbidities, postsurgical AKI-D augmented in-hospital (aHR: 3.04, 95% CI 2.79–3.31), 30-day (aHR:

3.65, 95% CI 3.37–3.94), and long-term (aHR: 3.22, 95% CI 3.01–3.44) mortality after a median follow-up of 294.5 days (Table 2).



**Table 2** Comparison of the in-hospital, 30-day, and long-term mortality in AKI-D vs non-AKI-D in surgical patients before and after propensity score matching<sup>a</sup>

	Mortality	Events	Person-years	Incidence (per 1,000 person-years)	Events	Person-years	Incidence (per 1,000 person-years)	Crude hazard ratio (95% CI)	Adjust hazard ratio <sup>b</sup> (95% CI)
		<b>AKI-D</b>				<b>Non-AKI-D</b>			
Before matching	In-hospital	1,084	90,201	12	4,066	1,707,808	2.4	3.64 (3.39–3.91)	3.37 (3.13–3.62)
	30-day	1,246	109,626	11.4	5,616	4,698,184	1.2	7.63 (7.13–8.16)	6.03 (5.64–6.45)
	Long-term	1,439	503,341	2.9	24,197	104,893,286	0.2	8.72 (8.26–9.20)	5.79 (5.48–6.11)
		<b>AKI-D</b>				<b>Non-AKI-D</b>			
After matching	In-hospital	1,006	74,417	13.5	1,161	256,992	4.5	3.00 (2.76–3.27)	3.04 (2.79–3.31)
	30-day	1,146	90,744	12.6	1,507	415,220	3.6	3.58 (3.31–3.87)	3.65 (3.37–3.94)
	Long-term	1,300	436,476	3	3,065	4,179,988	0.7	3.08 (2.88–3.29)	3.22 (3.01–3.44)

**Notes:** <sup>a</sup>This model showed good validity, with the adjusted generalized  $R^2$  and the concordance index being 0.4142 and 0.86, respectively. <sup>b</sup>Adjusted by age, sex, surgery type, Charlson comorbidity index, and individual comorbidities.

**Abbreviation:** AKI-D, acute kidney injury requiring dialysis.

## Competing risk models of the outcomes

The competing risk model for stratifying AKI-D patients into CTS and non-CTS patients was additionally adjusted for age, sex, Charlson comorbidity index, individual comorbidities, and propensity score. We found similar results before and after PSM. After the matching, patients who underwent CTS had lower in-hospital (aHR: 0.85, 95% CI 0.75–0.97), 30-day (aHR: 0.79, 95% CI 0.70–0.89), and long-term mortality (aHR: 0.80, 95% CI 0.72–0.90); higher 30-day dialysis dependence (competing subhazard ratio [sHR]: 1.67, 95% CI 1.18–2.38); and similar long-term dialysis dependence (sHR: 1.38, 95% CI 0.96–2.00) compared with non-CTS patients after accounting for mortality as a competing risk (Table 3 and Figure 2). Furthermore, CTS patients had better 30-day (aHR: 0.85, 95% CI 0.76–0.96) and long-term (aHR: 0.81, 95% CI 0.73–0.91) composite outcome than non-CTS patients (Table 3).

## Clinical manifestations of postsurgical AKI

More detailed laboratory data of CTS and non-CTS patients were compared across all hospitals in the CAKS cohort<sup>23</sup> for the validation of different clinical manifestations at postsurgical AKI during hospitalization. After PSM at a 1:1 ratio (Table S4), 144 patients (72 CTS patients, 72 non-CTS patients) were further analyzed. Non-CTS patients had more comorbidities of sepsis and azotemia during index hospitalization, together with more hypoalbuminemia and more metabolic acidosis compared with CTS patients (Table 4). Furthermore, non-CTS patients tend to receive intermittent hemodialysis, while CTS patients tend to receive continuous venovenous hemofiltration during acute kidney injury requiring dialysis (Table 4).

## Discussion

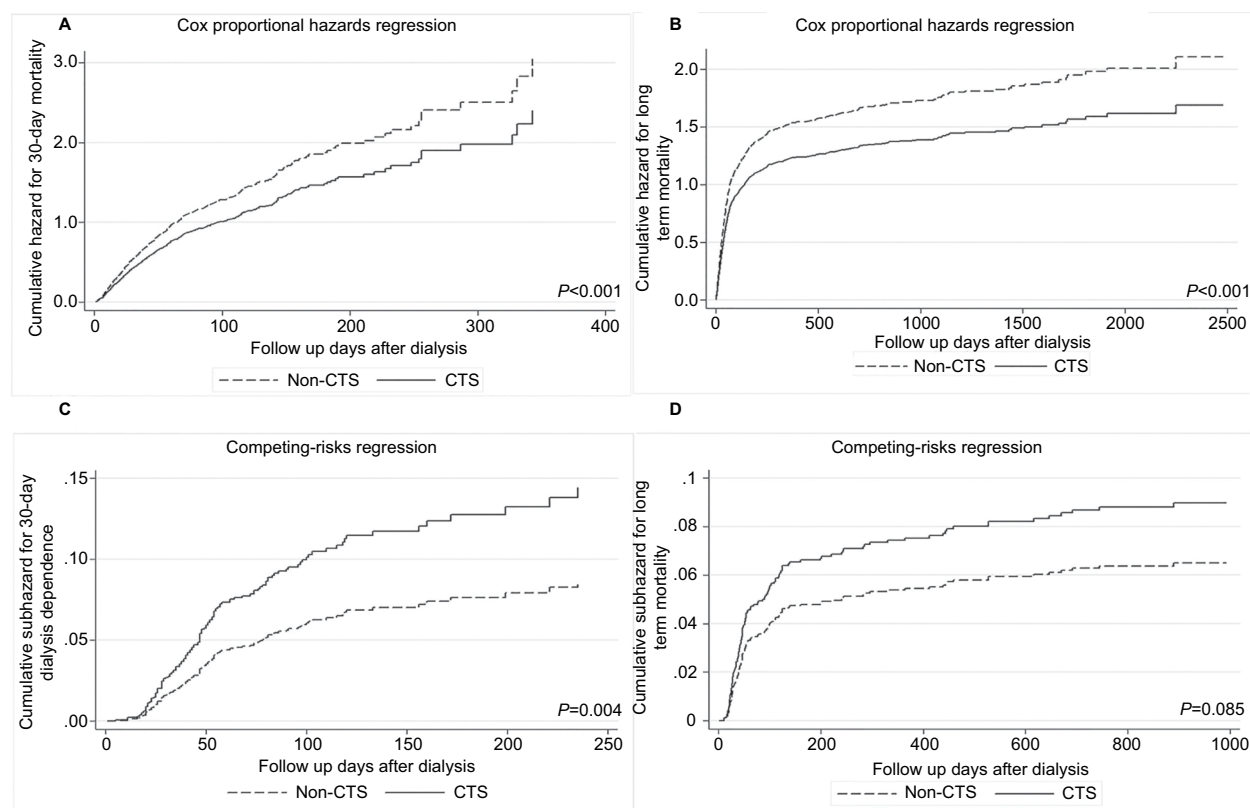
Postsurgical AKI-D was associated with in-hospital, 30-day, and long-term mortality in patients undergoing major surgeries. In the analysis of surgery type, AKI-D patients who underwent CTS had lower in-hospital, 30-day, and long-term mortality compared with patients who had other types of surgeries. However, CTS patients with AKI-D had a higher risk of 30-day dialysis dependence but a similar risk of long-term dialysis dependence compared with non-CTS patients. This study showed that AKI-D in CTS and non-CTS patients had different clinical manifestation, mortality, and dialysis dependence patterns during hospitalization and even after hospital discharge, and thus should have different multidisciplinary teams to facilitate the implementation of enhanced recovery pathways.<sup>27</sup> Because the incidence of AKI-D after major surgeries has increased in recent years,<sup>28</sup> these findings provide a future direction of study for solving the unmet need of improving the mortality and outcomes in patients undergoing major surgeries with AKI-D. Furthermore, these results have significant implications for considering prevention strategies regarding different pathophysiology in the perioperative care of the patient undergoing major surgeries who has AKI-D.

In a study using data from the Ontario, Canada, universal health care databases to examine consecutive patients who had major elective surgery between 1995 and 2009, the incidence of AKI-D increased from 0.2% in 1995 to 0.6% in 2009 while 42% died within 90 days of surgery with no change in 90-day survival overtime and no change in chronic dialysis overtime among the patients surviving beyond 90 days.<sup>29</sup> Lenihan et al examined AKI-D trends in a nationwide inpatient sample of individuals 75 years or

**Table 3** Comparison of the in-hospital, 30-day, and long-term mortality and dialysis dependence in AKI-D patients undergoing CTS vs non-CTS before and after propensity score matching

Outcome	Events	Person-years	Incidence (per 1,000 person-years)	Events	Person-years	Incidence (per 1,000 person-years)	Crude hazard ratio (95% CI)	Adjust hazard ratio <sup>a</sup> (95% CI)	Compete subhazard ratio (95% CI)
<b>Non-CTS</b>									
Before matching									
In-hospital mortality	435	42,204	10.3	649	47,997	13.5	0.77 (0.68–0.87)	0.79 (0.70–0.89)	NA
30-day dialysis	98	50,940	1.9	76	54,404	1.4	1.33 (0.99–1.80)	1.29 (0.95–1.75)	1.53 (1.13–2.08)
30-day mortality	500	53,395	9.4	746	56,231	13.3	0.72 (0.65–0.81)	0.74 (0.66–0.83)	NA
30-day composite outcome	595	50,940	11.7	818	54,404	15	0.79 (0.71–0.88)	0.80 (0.72–0.89)	NA
Long-term dialysis	85	204,271	0.4	75	185,973	0.4	1.07 (0.78–1.46)	0.99 (0.72–1.36)	1.28 (0.93–1.75)
Long-term mortality	605	258,081	2.3	834	245,260	3.4	0.74 (0.67–0.82)	0.77 (0.69–0.86)	NA
Long-term composite outcome	661	204,271	3.2	885	185,973	4.8	0.76 (0.68–0.84)	0.78 (0.70–0.86)	NA
<b>CTS</b>									
After matching									
In-hospital mortality	380	31,598	12	626	42,819	14.6	0.84 (0.74–0.95)	0.85 (0.75–0.97)	NA
30-day dialysis	72	38,732	1.9	59	48,684	1.2	1.51 (1.07–2.13)	1.49 (1.05–2.11)	1.67 (1.18–2.38)
30-day mortality	433	40,590	10.7	713	50,154	14.2	0.77 (0.69–0.87)	0.79 (0.70–0.89)	NA
30-day composite outcome	503	38,732	13	769	48,684	15.8	0.84 (0.75–0.94)	0.85 (0.76–0.96)	NA
Long-term dialysis	62	174,374	0.4	58	176,277	0.3	1.15 (0.80–1.64)	1.10 (0.77–1.59)	1.38 (0.96–2.00)
Long-term mortality	512	211,117	2.4	788	225,359	3.5	0.77 (0.69–0.86)	0.80 (0.72–0.90)	NA
Long-term composite outcome	551	174,374	3.2	828	176,277	4.7	0.79 (0.70–0.87)	0.81 (0.73–0.91)	NA

**Notes:** <sup>a</sup>Adjusted by age, sex, Charlson comorbidity index, and individual comorbidities.**Abbreviations:** CTS, cardiothoracic surgery; NA, not applicable.



**Figure 2** The mortality and competing risks regression of cumulative subhazard for dialysis dependence among different surgeries in 8,320 propensity score-matched surgical patients.

**Notes:** The hazard of short- and long-term mortality in different surgeries was shown in (A) and (B). The subhazard of short- and long-term dialysis dependence was shown in (C) and (D), while taking mortality as a competing risk.

**Abbreviation:** CTS, cardiothoracic surgery.

older admitted for cardiac surgery. They found that the risk of AKI-D was significantly higher in 2008 than in 1999 (OR, 2.23; 95% CI 1.78–2.80), and the attributable risks for in-hospital mortality associated with AKI-D increased from 5% in 1999 to 14% in 2008.<sup>30</sup> Compared with these studies, we studied longer-term outcomes than in-hospital or 90-day mortality and found an increased chance of post-surgical AKI-D together with increased long-term mortality overtime.<sup>29,30</sup> The growing incidence of AKI-D may be due to a heightened awareness and better detection of AKI with the introduction of the RIFLE (risk, injury, failure, loss, end-stage renal disease) criteria in 2003<sup>31</sup> and the AKIN (acute kidney injury network) criteria<sup>32</sup> for AKI or the postsurgical cooperation of new RRT technology such as continuous RRT. However, even with adjustment for the higher burden of comorbid conditions and complications, the hazard ratio for mortality increased over the years, and this may be due to the shift toward more complex and higher risk procedures on sicker patients.<sup>33</sup>

The novel finding of our study is that post-CTS patients with AKI-D have lower in-hospital, 30-day, and long-term mortality than non-CTS patients. This finding fills important gaps in previous research. Because AKI-D is a perilous postsurgical complication associated with increased risk of mortality, dialysis dependence, resource utilization, and cost,<sup>8,34</sup> action is urgently required to increase awareness of and to prevent AKI in patients undergoing different major surgeries and to facilitate renal recovery as early as possible to decrease mortality. Our study extends this finding by providing an overview of all surgical patients including CTS and non-CTS patients with the most severe form of AKI, AKI-D. CTS increases the likelihood of ischemia–reperfusion injury that temporarily compromises renal perfusion and causes renal dysfunction,<sup>35</sup> the so-called cardiorenal syndrome type 1 in which patients are more likely to be weaned from dialysis.<sup>36</sup> Additionally, our study confirms recent findings that a substantial percentage of AKI-D survivors stay dialysis-dependent after the acute phase of their



**Table 4** The comparison between CTS and non-CTS patients after propensity score matching in NEP-AKI-D study<sup>23</sup> database

	CTS n=79	Non-CTS n=154	SMD	Propensity score-matched		
				CTS n=72	Non-CTS n=72	SMD
Comorbidities (during index hospitalization)						
Sepsis	40 (50.63%)	129 (83.77%)	0.750	36 (50.00%)	62 (86.11%)	0.834
Azotemia	26 (32.91%)	78 (50.65%)	0.364	22 (30.56%)	35 (48.61%)	0.402
Fluid overload	54 (68.35%)	113 (73.38%)	0.110	48 (66.67%)	52 (72.22%)	0.089
Electrolyte imbalance	25 (31.65%)	47 (30.52%)	0.024	22 (30.56%)	21 (29.17%)	0.030
Acid–base imbalance	26 (32.91%)	74 (48.05%)	0.311	25 (34.72%)	31 (43.06%)	0.170
Uremia	3 (3.80%)	6 (3.90%)	0.005	3 (4.17%)	2 (2.78%)	0.075
Oliguria	55 (69.62%)	109 (70.78%)	0.002	52 (72.22%)	57 (79.17%)	0.128
Laboratory data						
At initiation of RRT						
Glasgow coma scale	8.63±4.22	8.31±3.90	0.079	8.65±4.36	8.32±3.78	0.108
PaO <sub>2</sub> /FiO <sub>2</sub>	272.49±180.28	295.34±190.09	0.123	281.89±184.93	296.17±196.90	0.122
Mean arterial pressure	80.59±17.96	82.16±21.17	0.080	80.38±18.64	81.20±22.18	0.065
Serum PH	7.37±0.11	7.34±0.12	0.261	7.37±0.11	7.36±0.12	0.089
Serum HCO <sub>3</sub>	20.60±5.06	17.74±6.18	0.507	20.41±5.16	18.20±5.20	0.403
Albumin	2.95±0.65	2.58±0.66	1.123	2.96±0.67	2.64±0.72	1.382
Total bilirubin	2.19±3.70	3.38±6.65	0.220	2.25±3.86	3.37±5.83	0.229
Aspartate aminotransferase	457.30±1,227.30	593.83±1,625.58	2.535	473.88±1,277.13	429.89±1,009.50	2.626
Na	142.57±7.53	139.72±8.41	0.357	142.48±7.65	139.04±8.16	0.405
K	4.42±0.97	4.62±1.08	0.193	4.45±0.95	4.62±1.01	0.131
White blood cell count	12,777.22±6,311.08	14,052.93±8,926.50	0.165	12,990.97±6,397.34	14,055.69±8,219.88	0.137
Blood urine nitrogen	62.50±43.55	79.56±45.49	0.383	61.17±44.46	78.17±41.37	0.408
Creatinine	3.53±2.04	5.04±2.94	0.597	3.44±1.77	4.92±2.28	0.700
Urine output	547.44±671.81	504.50±872.12	0.055	536.08±683.60	417.81±561.45	0.115
Lactic acid	5.35±4.95	5.90±6.31	1.749	4.64±4.68	5.78±7.13	1.703
RRT modalities			0.260			0.334
CVVH	42 (85.71%)	48 (31.17%)		37 (51.39%)	18 (25.00%)	
SLEDD/SLEDD-f	4 (5.06%)	7 (4.55%)		4 (5.56%)	5 (6.94%)	
IHD	26 (32.91%)	84 (54.55%)		24 (33.33%)	40 (55.56%)	
Mix methods	7 (8.86%)	15 (9.74%)		7 (9.72%)	9 (12.50%)	
Hospital stay length	42.20±35.57	44.13±34.78	0.055	41.51±36.15	45.58±36.98	0.127
Short-term mortality	42 (53.16%)	93 (60.39%)	0.146	37 (51.39%)	43 (59.72%)	0.139
Long-term mortality	46 (58.23%)	104 (67.53%)	0.193	41 (56.94%)	48 (66.67%)	0.170
Long-term survival with renal function recovery	26 (32.91%)	38 (24.68%)	0.182	25 (34.72%)	16 (22.22%)	0.245

**Note:** Data presented as n (%) or mean ± SD.

**Abbreviations:** CTS, cardiothoracic surgery; CVVH, continuous venovenous hemofiltration; IHD, intermittent hemodialysis; NEP-AKI-D, nationwide epidemiology and prognosis of dialysis-requiring acute kidney injury; non-CTS, noncardiothoracic surgery; RRT, renal replacement therapy; SLEDD, sustained low-efficiency daily dialysis; SLEDD-f, sustained low-efficiency daily dialysis; SMD, standardized mean difference.

illness has resolved.<sup>37,38</sup> Even with lower mortality in CTS patients, this finding serves as an impetus to develop greatly needed measures that protect and restore kidney function as soon as possible after AKI-D.

This study provides updated evidence of the epidemiology of AKI in surgical patients together with mortality and dialysis dependence data, which is the missing puzzle piece for the quality control of surgical efficacy and performance in public health. Moreover, the results support the renal angina concept that AKI can be stratified into different surgery type

categories.<sup>39,40</sup> Efforts of hemodynamic manipulations and close attention to intravenous resuscitation strategies including goal-directed therapy cannot be neglected to reduce AKI following major surgeries and its influence on postsurgical complications.<sup>41</sup>

These results provide direction for more personalized evaluation and optimized follow-up, taking into account surgery-specific characteristics. These findings also reinforce the importance of perioperative risk stratification for kidney injury and the implementation of strategies available to help

prevent postsurgical AKI and long-term dialysis dependence. Furthermore, the findings indicate the potential for therapeutic interventions to attenuate the high burden of death and dialysis dependence after postsurgical AKI has occurred.

## Strengths and limitations

A major strength of this study is the use of a large nationally representative database with exceptionally long renal follow-up; this gives us the ability to generalize and extrapolate the data with greater confidence. The NHIRD data are trustworthy because the database undergoes periodic detailed medical chart reviews and medical charge audits by professionals.<sup>42</sup> The main limitation of our study is the lack of laboratory results for the national administrative dataset, leading to the possibility of residual confounding. Stricter control for estimated glomerular filtration rate or chronic kidney disease stage is crucial to derive more meaningful information. Besides, the CAKS database only included patients from ICU and that might bias our finding. Nevertheless, we validated the results in a different database with detailed laboratory data, and the results were similar in proving different manifestations in CTS and non-CTS patients in AKI. Furthermore, because this study was a retrospective observational analysis, a causal inference could not be derived. We did not assess the effect of the hospital- and surgeon-related factors such as hospital volume, location, emergent or elective surgery, prescribing physician, and level of experience. For the avoidance of the clustering effect, we used PSM together with multivariate adjustments and evaluated the model discrimination; we also attempted to increase the internal validity by using competing risk models.<sup>43,44</sup> Because a high percentage of patients die after surgical AKI, we used competing risk analysis to examine the potential long-term dialysis dependence that would avoid confounding due to the “Will Rogers effect” of stage migration.<sup>45</sup> Ours is also the first study to provide valuable long-term information related to kidney recovery following postsurgical AKI, given that follow-up for renal function recovery is almost nonexistent in recent clinical practice. Finally, while other investigators focused on the mortality issues in the specified surgical field having AKI, our results may provide data useful for generalized policy and practice frameworks for the proper perioperative care and future medical resource allocation.<sup>46</sup>

## Conclusions

As the public health and economic burdens of surgery-related complications continue to grow, recognizing the entity of outpatient target-organ dysfunction is critical. Outpatient

dialysis dependency is a pivotal clinical entity of postsurgical complications. In this nationwide population cohort of 106,573 surgical patients with AKI-D, we demonstrated an independent association between AKI-D and in-hospital, 30-day, and long-term mortality risk. Furthermore, CTS patients who have a high incidence of AKI-D have a lower risk of in-hospital, 30-day, and long-term mortality. Nonetheless, patients with CTS-related AKI-D had a higher risk of 30-day dialysis dependency, although their potential for long-term dialysis dependence was similar to that of patients with non-CTS AKI-D. In an era of increasing focus on patient-centered care, as well as continued reimbursement and quality improvement pressure, increased consideration for the management of renal function recovery toward an optimal team-based coordinated care after postsurgical AKI as an outpatient is warranted. The appropriate transition of patients undergoing surgery to follow-up in the outpatient setting with an emphasis on the renal function recovery and mitigation of mortality risk is justifiable in enhancing the care of the high-risk patients undergoing major surgeries. Physicians and policymakers should be aware of these results to access possible benefits of earlier initiation of recovery pathways and identify how to effectively reduce mortality and dialysis dependence for better perioperative management and thereby improve quality and reduce costs.

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## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary materials

**Table S1** Factors identified as predictors of AKI-D during index admission for the propensity score matching<sup>a</sup>

Predictive variables	Odds ratio (95% CI)	P-value
Advanced CKD	32.740 (20.191–53.509)	<0.001 <sup>b</sup>
Cardiac arrest	5.115 (1.966–12.221)	<0.001 <sup>b</sup>
Use of ECMO	4.993 (4.236–5.890)	<0.001 <sup>b</sup>
Acute respiratory distress syndrome	4.860 (4.240–5.567)	<0.001 <sup>b</sup>
Hypovolemic shock	3.472 (2.944–4.083)	<0.001 <sup>b</sup>
Hospital stay length	3.320 (2.961–3.722)	<0.001 <sup>b</sup>
Repeat intubation	1.733 (1.123–2.622)	0.011 <sup>b</sup>
Chest tube insertion	1.691 (1.443–1.976)	<0.001 <sup>b</sup>
Severe sepsis	1.624 (1.341–1.963)	<0.001 <sup>b</sup>
Rheumatologic disease	1.621 (1.023–2.466)	0.031 <sup>b</sup>
Hemopericardium	1.449 (0.973–2.099)	0.058
Prolonged mechanical ventilation	1.397 (1.254–1.556)	<0.001 <sup>b</sup>
GI bleeding	1.382 (1.120–1.694)	0.002 <sup>b</sup>
Charlson comorbidity index score	1.307 (1.235–1.383)	<0.001 <sup>b</sup>
Diabetes	1.279 (1.112–1.471)	0.001 <sup>b</sup>
Surgical type (CVS vs others)	1.200 (1.034–1.389)	0.016 <sup>b</sup>
Sex	1.101 (0.989–1.226)	0.079
Age	1.009 (1.005–1.012)	<0.001 <sup>b</sup>
Pneumonia	0.832 (0.692–0.998)	0.049 <sup>b</sup>
Pleural effusion	0.734 (0.560–0.950)	0.022 <sup>b</sup>
Myocardial infarction	0.693 (0.539–0.884)	0.004 <sup>b</sup>
Atrial fibrillation	0.687 (0.530–0.879)	0.004 <sup>b</sup>
Cerebrovascular disease	0.623 (0.502–0.767)	<0.001 <sup>b</sup>
Chronic obstructive pulmonary disease	0.563 (0.474–0.666)	<0.001 <sup>b</sup>
Dementia	0.557 (0.373–0.806)	0.003 <sup>b</sup>
Hemiplegia or paraplegia	0.330 (0.135–0.698)	0.007 <sup>b</sup>
Malignancy	0.328 (0.236–0.452)	<0.001 <sup>b</sup>

**Notes:** <sup>a</sup>Goodness of fit test: variance inflation fraction of all factors (all <2); adjust generalized  $R^2=0.295$ ; area under the receiver operating characteristic curve=0.906 (0.899–0.913); this model does not pass the modified Hosmer–Lemeshow test. <sup>b</sup> $P<0.05$ .

**Abbreviations:** AKI-D, acute kidney injury requiring dialysis; CKD, chronic kidney disease; CVS, cardiovascular surgery; ECMO, extra-corporeal membrane oxygenation; GI, gastrointestinal.

**Table S2** Incidence of AKI-D and its related crude mortality in 106,573 surgical patients stratified by four major surgeries

Patients	Surgery type	2001	2002	2003	2004	2005	2006	2007	Total	P for trend
Patient numbers	All	14,424	14,886	13,615	15,328	15,775	16,212	16,333	106,573	
	Cardiothoracic	2,037	2,120	1,937	2,969	3,738	3,753	3,781	20,335	
	Esophagus	2,964	3,123	2,909	2,663	2,315	2,194	2,100	18,268	
	Intestine	3,644	3,962	3,700	3,920	4,082	4,142	4,207	27,657	
AKI-D, n (%)	Liver	5,779	5,681	5,069	5,776	5,640	6,123	6,245	40,313	
	All	167 (1.16)	196 (1.32)	194 (1.43)	249 (1.62)	344 (2.18)	376 (2.32)	335 (2.05)	1,861 (1.75)	
	Cardiothoracic	30 (1.47)	60 (2.83)	50 (2.58)	120 (4.04)	188 (5.03)	212 (5.65)	199 (5.26)	859 (4.22)	<0.001
	Esophagus	53 (1.79)	43 (1.38)	57 (1.96)	37 (1.39)	55 (2.38)	58 (2.64)	44 (2.10)	347 (1.90)	0.008
Mortality in AKI-D, n (%)	Intestine	54 (1.48)	61 (1.54)	56 (1.51)	54 (1.38)	53 (1.30)	73 (1.76)	53 (1.26)	404 (1.46)	0.661
	Liver	30 (0.52)	32 (0.56)	31 (0.61)	38 (0.66)	48 (0.85)	33 (0.54)	39 (0.62)	251 (0.62)	0.378
	All	133 (79.64)	132 (67.35)	134 (69.07)	161 (64.66)	224 (65.12)	244 (64.89)	218 (65.07)	1,246 (66.95)	
	Cardiothoracic	22 (73.33)	30 (50.00)	30 (60.00)	70 (58.33)	110 (58.51)	122 (57.55)	116 (58.29)	500 (58.21)	0.789
	Esophagus	46 (86.79)	33 (76.74)	46 (80.70)	27 (72.97)	46 (83.64)	49 (84.48)	37 (84.09)	284 (81.84)	0.771
	Intestine	43 (79.63)	44 (72.13)	38 (67.86)	37 (68.52)	37 (69.81)	49 (67.12)	39 (73.58)	287 (71.04)	0.385
	Liver	22 (73.33)	25 (78.13)	20 (64.52)	27 (71.05)	31 (64.58)	24 (72.73)	26 (66.67)	175 (79.72)	0.435

**Abbreviation:** AKI-D, acute kidney injury requiring dialysis.

**Table S3** The risk factors of short- and long-term mortality in surgical patients

Risk factors	Short-term mortality		Long-term mortality	
	Parameter estimate	Hazard ratio (95% CI)	Parameter estimate	Hazard ratio (95% CI)
AKI-D	1.7638	5.83 (5.38–6.32)	1.2845	3.61 (3.37–3.87)
Acute respiratory distress syndrome	1.2702	3.56 (3.17–4.00)	1.0597	2.89 (2.64–3.16)
Repeat intubation	1.1378	3.12 (2.48–3.92)	0.6363	1.89 (1.54–2.31)
Hypovolemic shock	0.932	2.54 (2.27–2.84)	0.7595	2.14 (1.95–2.34)
Chest tube insertion	0.4487	1.57 (1.40–1.75)	0.2963	1.34 (1.23–1.47)
Stroke	0.3789	1.46 (1.16–1.83)	0.3096	1.36 (1.15–1.62)
Hemopericardium	0.3428	1.41 (1.08–1.84)	–	–
GI bleeding	0.1861	1.20 (1.06–1.37)	–	–
Severe sepsis	0.1387	1.15 (1.04–1.26)	–	–
Rheumatologic disease	–	–	0.2498	1.28 (1.00–1.64)
Charlson comorbidity index score	0.1399	1.15 (1.13–1.18)	0.1365	1.15 (1.12–1.18)
Malignancy	–	–	0.1681	1.18 (1.02–1.37)
Liver disease	–	–	0.1105	1.12 (1.00–1.24)
Sex	0.091	1.10 (1.01–1.19)	0.105	1.11 (1.04–1.18)
Age	0.0142	1.01 (1.01–1.02)	0.0205	1.02 (1.02–1.02)

**Abbreviations:** –, not applicable; AKI-D, acute kidney injury requiring dialysis; GI, gastrointestinal.

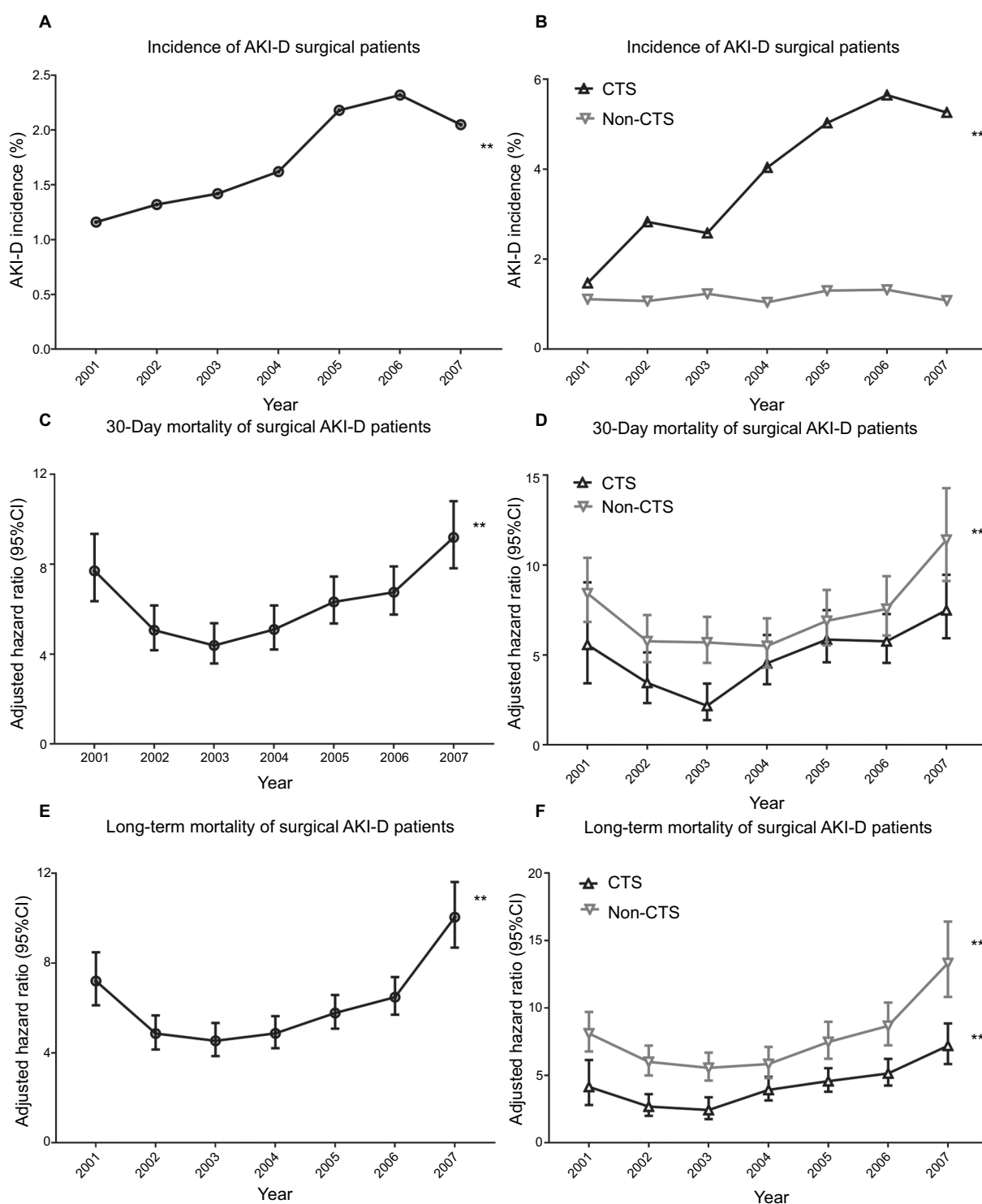
**Table S4** CTS and non-CTS patients matched

The matched parameters	CTS n=79	Non-CTS n=154	SMD	Propensity score-matched		
				CTS n=72	Non-CTS n=72	SMD
Male sex	51 (64.56%)	109 (70.78%)	0.063	48 (66.67%)	47 (65.28%)	0.029
Age	66.36±14.59	65.41±15.75	0.133	65.50±14.59	66.89±15.21	0.093
Charlson comorbidity index score	6.20±3.04	6.31±3.20	0.033	6.14±3.10	6.24±3.22	0.031
APACHE II score	21.81±7.61	23.01±6.31	0.171	21.86±7.89	22.14±6.38	0.039
SOFA score	12.53±3.96	12.31±3.92	0.056	12.29±3.87	12.25±3.55	0.011

**Note:** Data presented as n (%) or mean ± SD.

**Abbreviations:** APACHE II, acute physiology and chronic health evaluation II; CTS, cardiothoracic surgery; SMD, standardized mean difference; SOFA, sequential organ failure assessment.

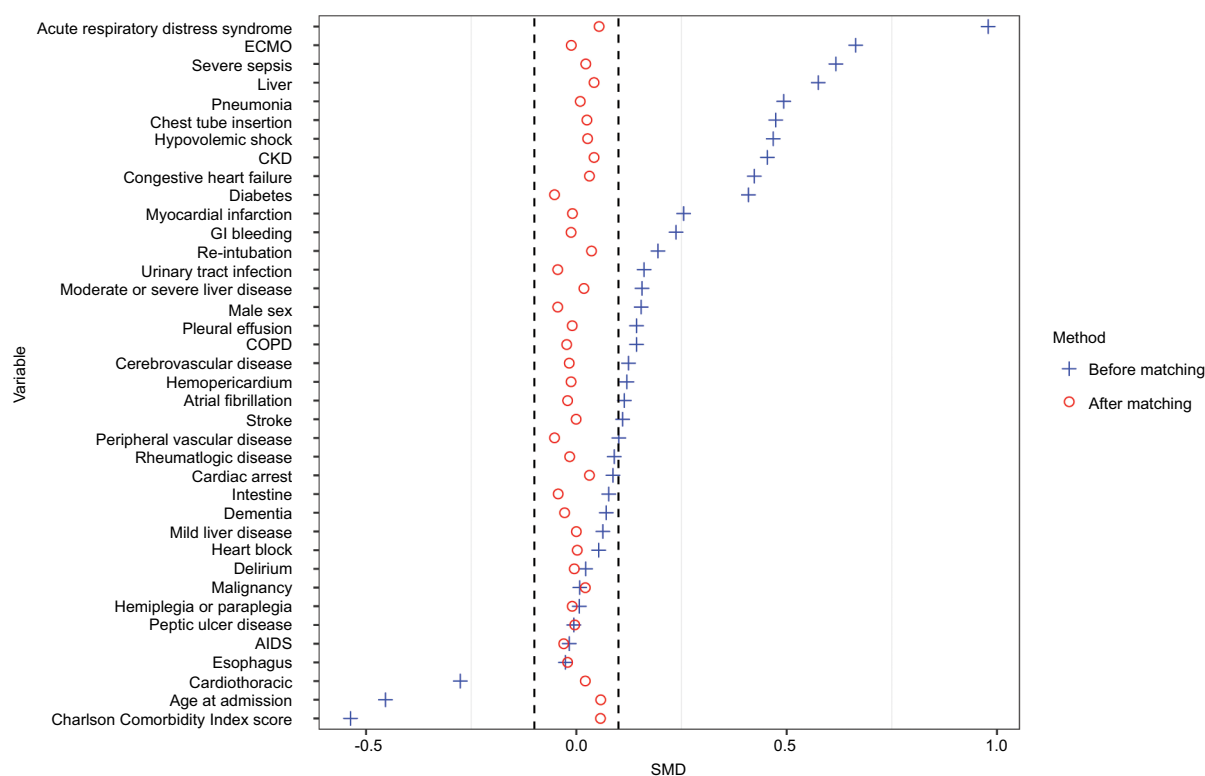




**Figure S1** The trend of yearly AKI-D incidence in 106,573 surgical patients and an annually adjusted hazard ratio of 30-day and long-term mortality in 1,861 surgical AKI-D patients, stratified by the surgical type.

**Notes:** The annual incidence of AKI-D was shown in (A) and was compared in different surgical types in (B). The adjusted hazard ratio of 30-day mortality of surgical AKI-D patients was shown in (C) and was compared in different surgical types in (D), while the adjusted hazard ratio of long-term mortality of surgical AKI-D patients was shown in (E) and was compared in different surgical types in (F). The incidence of AKI-D increased from 1.16% in 2001 to 1.75% in 2007 (trend  $P < 0.001$ ) (Figure S1A). The adjusted hazard ratio for 30-day mortality increased from 7.7 (95% CI 6.35–9.35) in 2001 to 9.19 (95% CI 7.82–10.9) in 2007 (Figure S1C), and long-term mortality increased from 7.2 (95% CI 6.12–8.48) in 2001 to 10.04 (95% CI 8.69–11.61) in 2007 (Figure S1E). Post-CTS patients had a higher incidence of AKI-D compared with non-CTS patients (Figure S1B). However, the 30-day and long-term mortality were higher in non-CTS patients (Figure S1D and F). \*\* $P < 0.001$ .

**Abbreviations:** AKI-D, acute kidney injury requiring dialysis; CTS, cardiothoracic surgery.



**Figure S2** The standardized mean difference (SMD) of the variables before and after the propensity score matching. After the matching, the SMD of all variables was  $<0.1$ .  
**Abbreviations:** CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; ECMO, extracorporeal membrane oxygenation; GI, gastrointestinal.

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