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#### ORIGINAL RESEARCH

### Genome-scale analysis identifies SERPINEI and SPARC as diagnostic and prognostic biomarkers in gastric cancer

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**Background:** Gastric cancer (GC) is one of the most common types of malignancy and is associated with high morbidity and mortality rates around the world. With poor clinical outcomes, potential biomarkers for diagnosis and prognosis are important to investigate.

**Objective:** The aim of this study is to investigate the gene expression module of GC and to identify potential diagnostic and prognostic biomarkers.

Method: Microarray data (GSE13911, GSE29272, GSE54129, and GSE79973), including 293 stomach tumor tissues and 196 normal tissues, were analyzed to identify differentially expressed genes (DEGs). DEGs were identified in four profiles by intersecting four overlapping subsets, including 90 downregulated and 45 upregulated DEGs in common. Gene ontology (GO) and Kyoto Encyclopedia of Genes and Genomes pathway analyses have been showed that extracellular matrix was the most enriched signal pathway. Furthermore, hub genes were analyzed by protein-protein interaction network and clinical outcomes were assessed by Kaplan-Meier survival analysis. Two independent datasets were used to validate the differential expression of two hub genes: Serpin Family E Member 1 (SERPINE1) and Secreted Protein Acidic and Cysteine Rich (SPARC).

Results: Validation of independent datasets indicated that SERPINE1 and SPARC expression were drastically increased in gastric tumor tissues and associated with poor outcomes in GC patients. The expression of SERPINE1 was related to race (Asian and White) (P < 0.05).

Conclusion: SERPINE1 and SPARC were significantly upregulated in gastric tissues and associated with poor outcomes. The investigations of SERPINE1 and SPARC may promote their predictive and prognostic value in GC.

Keywords: gastric cancer, SERPINE1, SPARC, diagnosis, prognosis, biomarker

### Introduction

Gastric cancer (GC), a common heterogeneous disease, is a leading cause of cancer associated death worldwide. More than 950,000 new cases and 720,000 deaths from GC occurred in 2012. Incidence and mortality rates of GC are highest in East Asia, especially in China. Diets rich in salt, smoking, and obesity are considered increased risk factor for GC.<sup>2-4</sup> According to the distinct patterns of molecular alterations, gastric adenocarcinoma can be classified into four subtypes: Epstein-Barr virus (EBV), chromosomal instability (CIN), microsatellite instability (MSI), and genomically stable (GS). The four subtypes are associated with patients' prognosis.<sup>5,6</sup> But the cause of GC remains to be further elucidated.

Conventional diagnostic methods for GC largely consists of endoscopy or histological detection, which lack specificity and sensitivity. The major clinical

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treatment is gastrectomy combined with chemotherapy or radiotherapy as adjuvant or neoadjuvant management.<sup>7</sup> GC is asymptomatic in early stage and most patients are diagnosed at an advanced stage with poor prognosis. New biomarkers of gastric carcinomas to determine prognosis and predict the clinical outcomes are vital in the future.

Microarray analysis can reveal primary genes associated with GC progression and outcomes.8 Gene expression microarray technology provided a wealth of functional information to investigate tumor progression and identify novel targets for early diagnosis for GC.9 Four independent GC transcriptome microarray datasets were used in this research. A total of 135 common differentially expressed genes (DEGs) were identified by the four datasets. Proteinprotein interaction (PPI) network analysis was performed to find common hub genes. Additional GC datasets were examined to validate those results. We found that the expression of Serpin Family E Member 1 (SERPINE1) and Secreted Protein Acidic and Cysteine Rich (SPARC) were drastically increased in gastric tumor tissues and were also associated with poor survival in GC patients by Kaplan-Meier analysis. These results indicated that SERPINE1 and SPARC may be regarded as potential biomarkers to diagnose and inform the clinical outcomes of GC. Patients prognosis may be improved in the future by targeting SERPINE1 or SPARC in GC patients.

#### **Methods**

### Stomach adenocarcinoma datasets

Four gene expression profiles (GSE13911, GSE29272, GSE54129, and GSE79973) were obtained from NCBI Gene Expression Omnibus. GPL96 [HG-U133A] Affymetrix Human Genome U133A Array was performed for gene expression profiling (GSE29272). GSE13911, GSE54129, and GSE79973 datasets were performed by GPL570 [HG-U133\_Plus\_2] Affymetrix Human Genome U133 Plus 2.0 Array. GSE26899 and GSE81948 were used as independent datasets to verify the correctness of the conclusions. The platform of GSE26899 is GPL6947 (Illumina HumanHT-12 V3.0 expression beadchip). GSE81948 dataset was generated from GPL6244 [HuGene-1\_0-st] Affymetrix Human Gene 1.0 ST Array (transcript [gene] version). All datasets were classified into normal and tumor tissues. In GSE29272 and GSE79973 datasets, GC tumor tissues and adjacent normal tissues were matched. A total of 443 stomach cancer patient's clinical data as part of the Cancer Genome Atlas (TCGA) were downloaded from the Genomic Data Commons Data Portal and were used for survival analysis.

## Data preprocessing and identification of DEGs

The raw probe-level data were downloaded in CEL files. Gene expression matrices were extracted with expression set of bioconductor biobase package in R. Empirical Bayes statistics in limma package were applied in differential expression between normal and tumor tissues. <sup>10</sup> In our study, the value of all logFC in those datasets accorded with the normal distribution. The notion of medical reference value was used to define cutoff. We used cutoff and *P*-value to identify the DEGs. The formula for cutoff is shown as the following

$$Cutoff = \overline{logFC} + 2\sqrt{\frac{\sum_{i=1}^{n} (logFC - \overline{logFC})^{2}}{n-1}}$$

Genes with P < 0.05 and |logFC| > cutoff were considered to be DEGs between tumors and normal tissues.

### Gene functional enrichment analysis

Venn diagram was implemented to show a combination of all gene expression profiles (GSE13911, GSE29272, GSE54129, and GSE79973), both higher and lower DEGs. <sup>11</sup> The cluster profiler package in R was used to perform clustering analysis followed by Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment for DEGs and was used as a visualization module for displaying analysis results. <sup>12</sup> The number of core genes ("count"), divided by number of total genes is gene ratio. The sizes of the dots represent the number of core genes, and the color means adjust *P*-value. Only pathways with *P*-values <0.01 were eligible for enriched biological processes.

# PPI network construction and identification of hub genes

STRINGdb package in R was utilized to analyze PPI networks. The interactions were analyzed by Cytoscape v3.4.0 software and the molecular complex detection (MCODE) clustering algorithm. MCODE with three stage, vertex weighting, complex prediction, and optional post-processing, which detects densely connected regions in large PPI networks.<sup>13</sup> The clusters were generated by the MCODE clustering algorithm at K-core = 4, node score cutoff = 0.3, and max depth up to 100 along with interacting gene partners.<sup>14</sup> The genes with the highest 100 MCODE score were identified as hub genes. Four datasets had 13 common interaction hub genes.

### Statistical analysis

SPSS statistic software (version 24.0, IBM Corporation, Armonk, NY, USA) was used for general statistical analysis. The Student's *t*-test was performed in gene expression comparisons of normal and tumor tissue. The significance of clinic characteristics and gene expression among groups was analyzed by the chi-squared test and Fisher's exact test. Kaplan–Meier estimates and the log-rank test were applied for comparisons. Two-sided *P*-value <0.05 was considered as statistically significant.

### Results

### Identification of DEGs

DEGs were identified by tumor tissue and normal stomach tissue samples from four separate gene expression profile datasets (GSE13911, GSE29272, GSE54129, and GSE79973). The four discovery datasets included 196 normal tissues and 293 tumor samples, which were from multiple research sites. The detailed information in each dataset is described in Table S1. In order to investigate gene expression alteration associated with GC progression, the common DEGs across datasets were explored. The measured parameter of assuming normal distribution is used to estimate the 95% interval. The 95% reference range is defined as the mean minus 1.96 standard deviations to mean plus 1.96 standard deviations (often rounded up to 2). 15 According to our research criterion of P < 0.05 and |logFC| > cutoff, a total of 1,626 genes (487 upregulated and 1,139 downregulated genes) in GSE13911, 755 genes (448 upregulated and 307 downregulated genes) in GSE29272, 2,032 genes (975 upregulated and 1,057 downregulated genes) in GSE54129, 1,610 genes (447 upregulated and 1,163 downregulated) in GSE79973 were found. All DEGs were viewed by volcano plots between tumor tissues and normal tissues (Figure 1A-D).

# GO and KEGG functional enrichment analysis

The four datasets were intersected to find common DEGs using Venn diagram. The intersecting part of the four subsets consisted of 135 common DEGs, including 90 downregulated genes (Figure 2A) and 45 upregulated genes (Figure 2B). All stomach tumor samples were analyzed and were believed to be relevant in the progression of GC. GO analysis indicated that of 15 enriched pathways, the main terms were extracellular matrix (ECM) and collagen (Figure 2C). The ECM pathway has been enriched in GO terms, indicating that the pathway might play an important role in GC progression.

KEGG analysis indicated that focal adhesion, protein digestion, and absorption and ECM-receptor interaction pathway were the most significantly enriched pathways (Figure 2D). KEGG terms indicated that cancer progression might be influenced by metabolism.

# PPI network construction and identification of hub gene

The genes with the 100 highest of MCODE scores were identified as hub genes. Then common hub genes were defined by interacting GSE13911, GSE29272, GSE54129, and GSE79973 datasets. A total of 13 hub genes were found, including carbonic anhydrase 2 (CA2), fibronectin 1 (FN1), C-X-C motif chemokine ligand 8 (CXCL8), TIMP metallopeptidase inhibitor 1 (TIMP1), SERPINE1, Collagen Type I Alpha 1 Chain (COL1A1), SPARC, secreted phosphoprotein 1 (SPP1), thrombospondin 1 (THBS1), GPNMB (Glycoprotein Nmb), CA9, matrix metallopeptidase 7 (MMP7), and COL1A2 chain. Those hub genes were located at cluster 1 and cluster 2, both are the most informative clusters in PPI analysis. A PPI network was drawn by Cytoscape (Figure 3A-H). We identified 13 hub genes in common across the four datasets. The score of MCODE and cluster of those networks were listed (Table 1).

# Association of hub genes with patient outcomes in the cancer genome atlas

Kaplan–Meier survival analysis was performed using TCGA clinical data (368 samples). The 13 hub genes were divided into low and high groups by median expression. Overall survival (OS) analysis was used to estimate the potential significance for hub genes. Survival analysis indicated that SERPINE1 and SPARC high expression was associated with poor OS (P-values: 0.0002 and 0.0246, respectively) (Figure 4A and B). The other 11 hub genes were not significantly associated with OS (P > 0.05). This indicated that the expression of SERPINE1 and SPARC might be viewed as potential markers of clinical outcomes for GC patients.

## Validation of hub genes in independent stomach adenocarcinomas

The expression of SERPINE1 and SPARC were significantly increased in tumor tissues compared to normal (Figure 5A–H) (P < 0.05). GSE26899 and GSE81948 were viewed as two validation profiles to further verify those hub genes expression. The expression of SERPINE1 and SPARC were remarkably increased in gastric tumor

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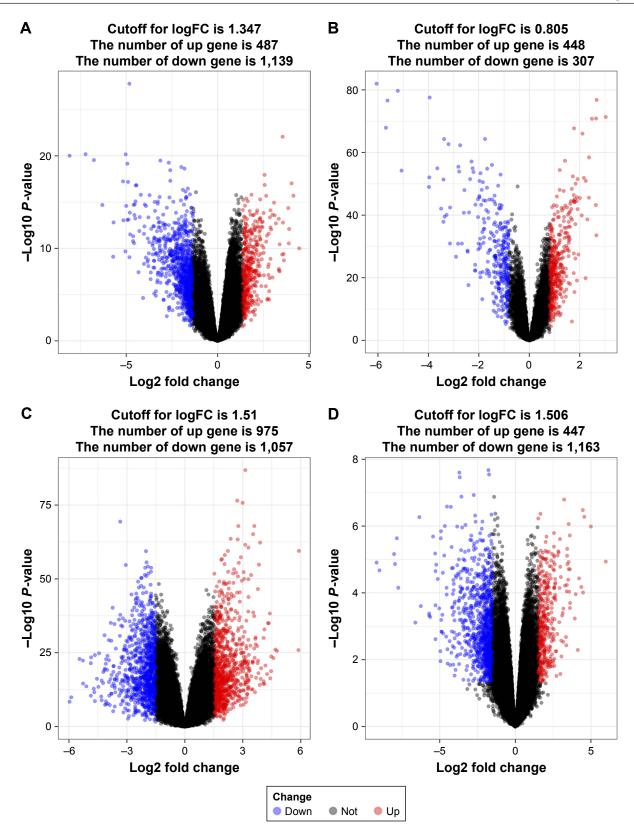


Figure 1 Identify differentially expressed genes.

Notes: Visualization of the identified differentially expressed genes (DEGs) were performed by volcano plots. Dots represent genes with color coding: red indicates upregulated, blue indicates downregulated and black indicates not DEGs. (A) A total of 1,626 genes (487 upregulated and 1,139 downregulated genes) in GSE13911 were detected. (B) There were 755 genes (448 upregulated and 307 downregulated genes) in GSE29272. (C) A total of 2,032 genes (975 upregulated and 1,057 downregulated genes) in GSE54129 were filtered as DEGs. (D) A total of 1,610 genes (447 upregulated and 1,163 downregulated genes) in GSE79973 were found.

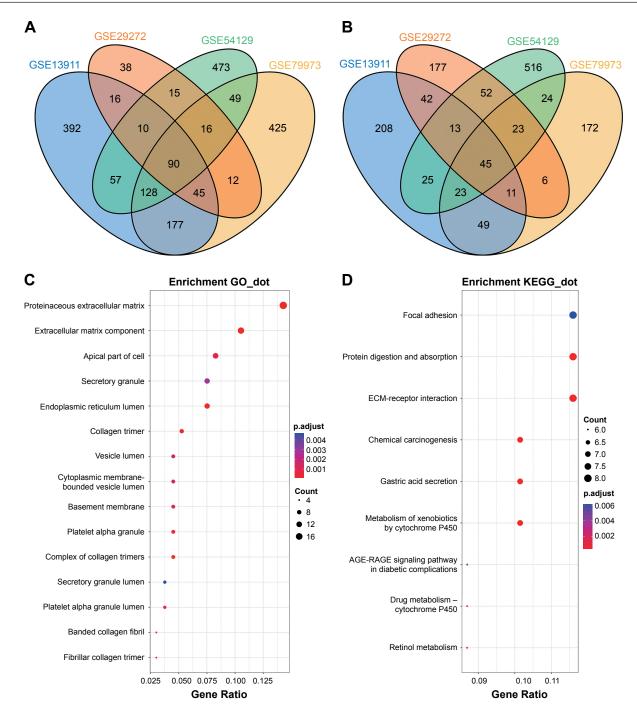


Figure 2 Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) functional enrichment analysis.

Notes: Visualization of the common DEGs including Venn diagram and enriched pathway was constructed by GO and KEGG terms. (A) A total of 90 common downregulated genes were intersected. (B) A total of 45 common upregulated genes were detected. (C) GO pathways significantly enriched, with P < 0.05. (D) KEGG pathways significantly enriched in focal adhesion, protein digestion, and absorption and ECM-receptor interaction pathway.

tissues both in GSE26899 and GSE81948 (Figure 6A–D) (P < 0.05). The expression of SERPINE1 and SPARC had no significant association with age, gender, grade, or pathologic stage. These results indicated that SERPINE1 and SPARC expression were drastically increased in gastric tumor tissues and directly associated with poor survival

in GC patients. A chi-squared test demonstrated showed that the expression of SERPINE1 was significantly correlated with race (Asian or White) (P < 0.05) (Table 2). A multivariable cox-regression analysis with TCGA was performed to evaluate the impact of chemotherapy on OS in the context of multiple factors, such as gender, age,

race, stage, TNM (the TNM Classification of Malignant Tumors), SERPINE1, and SPARC expression (Table 3). Age was significantly associated with OS (HR = 0.525, 95% CI 0.320–0.859, P = 0.010). Stage was significantly

associated with OS (HR = 0.597, 95% CI 0.357-0.999, P = 0.050). SERPINE1 was significantly associated with OS (HR = 0.584, 95% CI 0.367 - 0.930, P = 0.024). It is interesting to note that the expression of SERPINE1 was associated

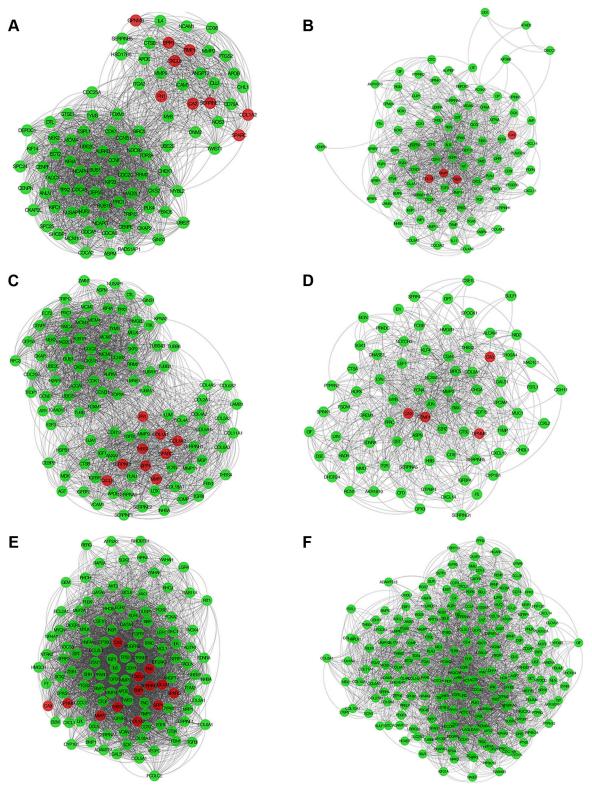


Figure 3 (Contimued)

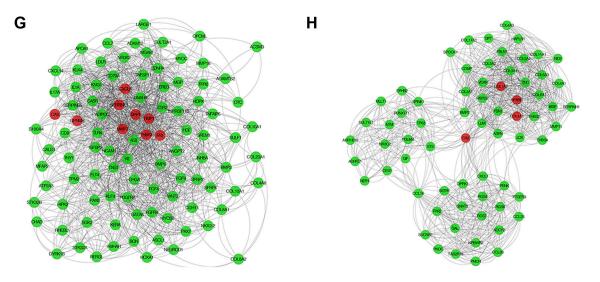


Figure 3 Clusters of genes in co-expression network in gastric cancer.

Notes: (A) Cluster one and (B) cluster two in GSE13911. (C) Cluster one and (D) cluster two in GSE29272. (E) Cluster one and (F) cluster two in GSE54129. (G) Cluster one and (H) cluster two in GSE79973. Common hub genes were drawn with red circle, others were used green circle.

with race, which was analogous with geographic variation in molecular subtype for gastric adenocarcinoma.

#### **Discussion**

The research of molecular genetics and signal pathways can promote understanding of GC pathogenesis and potentially, which may help diagnosis early stage in GC. Therefore, identification of genes differentially expressed in GC by transcriptome microarray datasets may facilitate early diagnosis and develop effective treatment approaches. However, the cutoff criterion of |logFC| is arbitrary and is often set as 1, 1.5, or 2. <sup>16–18</sup> In our study, the value of all logFC in the examined datasets accorded

**Table I** MCODE clusters of hub genes in co-expression networks in GC

Datasets	Score	Gene
GSE13911		
Cluster I	47.079	GPNMB, SPP1, TIMP1, CXCL8, FN1, CA2, SERPINE1, COL1A2, SPARC
Cluster 2 GSE29272	27.644	CA9, COLIAI, MMP7, THBSI
Cluster I	46.453	FNI, COLIAI, COLIA2, THBSI, SPARC, SPPI, SERPINEI, MMP7, CXCL8
Cluster 2 GSE54129	21.948	CA9, CA2, TIMPI, GPNMB
Cluster I	65.702	CA2, FNI, CXCL8, TIMPI, SERPINEI, COLIAI, SPARC, SPPI, THBSI, GPNMB, CA9, MMP7, COLIA2
Cluster 2 GSE79973	32.488	
Cluster I	26.474	CA9, GPNMB, SERPINE I, CXCL8, SPPI, TIMPI, THBS I, FNI, MMP7
Cluster 2	23.91	COLIA2, SPARC, COLIAI, CA2

Abbreviations: GC, gastric cancer; MCODE, molecular complex detection.

with the normal distribution. The notion of reference range was used to define cutoff. We used cutoff and *P*-value to identify the DEGs. Any fold-change threshold would not be absolute. Gene expression may vary by environmental stimuli, genetic modifications, disease state, or array process. A significant benefit of the reference range approach is improved interpretability and comparability. In our study, we defined DEGs across four datasets and further examined the top ranking common DEGs. Once we have such a reference set, we can plot sensitivity (ie, the fraction of genes from the set that are found) as function of the rank of the gene by volcano plots in order verify whether these DEGs indeed make sense.

SERPINE1 is a protein coding gene that regulates the adhesion balance of cells to the ECM. This process is correlated with tumor cell migration.<sup>19</sup> Mazzoccoli et al reported that the high expression of SERPINE1 may promote colorectal cancer invasiveness and aggressiveness.<sup>20</sup> Klimczak-Bitner et al demonstrated that the expression of SERPINE1 may be one of the key prognostic genes in esophageal cancer.<sup>21</sup> Pavón et al reported that overexpression of SERPINE1 enhances tumor cell migration and invasion.<sup>22</sup> We found that SERPINE1 was significantly upregulated in gastric tissues and SERPINE1 high expression associated with poor survival. The expression of SERPINE1 was associated with race, which was analogous with geographic variation in molecular subtype for gastric adenocarcinoma, such as the four molecular subtypes: EBV, CIN, MSI, and GS. However, further research is needed to determine these relationship and underlying mechanisms.

SPARC codes for an ECM protein that regulates matrix remodeling during tumor progression. Tumor

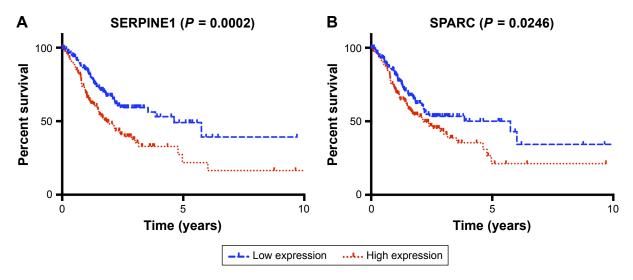


Figure 4 Overall survival curves based on SERPINE1 and SPARC expression.

Notes: The expression of SERPINE1 and SPARC was divided into low and high groups according to the median and the overall survival was assessed by Kaplan–Meier analysis. (A) SERPINE1 high expression was significantly associated with poor survival (P = 0.0002). (B) SPARC high expression was significantly associated with poor survival (P = 0.0246).

microenvironment was mediated by SPARC in different cancers.<sup>23</sup> Thomas et al reported that the expression of SPARC was elevated in metastatic prostate cancers.<sup>24</sup> Zhao et al found that SPARC could be potential biomarker for survival in GC.<sup>25</sup> Yusuf et al demonstrated that SPARC was overexpressed in endometrial cancer and enhanced the level of FN expression and migration activity.<sup>26</sup> Yang et al showed that suppression SPARC expression was correlated with poor colon cancers clinical outcomes.<sup>27</sup> Botti et al found that SPARC was not expressed in lung metastases of

gastrointestinal and urogenital cancer, low expressed in lung metastases of breast cancer, and SPARC was associated with lung metastases from melanoma.<sup>28</sup> We found that SPARC was significantly upregulated in gastric tissues and SPARC high expression associated with poor survival.

GO and KEGG analysis indicated that focal adhesion, protein digestion and absorption, and ECM-receptor interaction pathway were the most significantly enriched pathways. The ECM provides structure to support cells and is composed of collagens, proteoglycans, and several other glycoproteins.

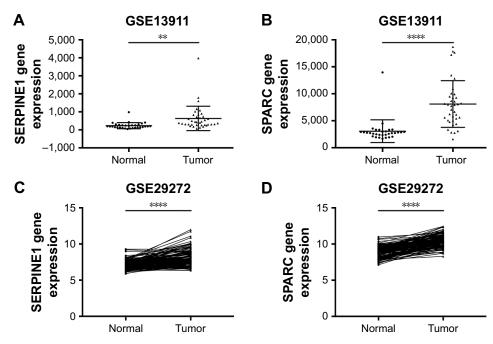


Figure 5 (Continued)

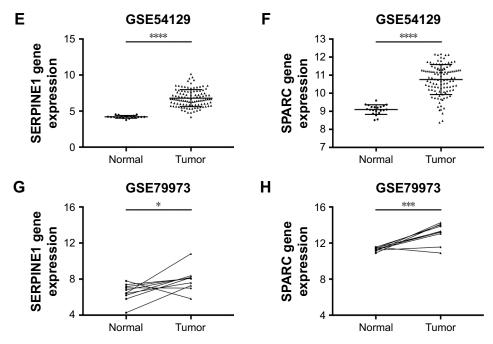


Figure 5 Differential expression of SERPINE1 and SPARC in GSE13911, GSE29272, GSE54129, and GSE79973.

Notes: (A) Elevated expression of SERPINE1 in gastric tumor tissues (GSE13911). (B) Elevated expression of SPARC in gastric tumor tissues (GSE13911). (C) Elevated expression of SERPINE1 in gastric tumor tissues (GSE29272). (D) Elevated expression of SPARC in gastric tumor tissues (GSE29272). (E) Elevated expression of SPARC in gastric tumor tissues (GSE54129). (F) Elevated expression of SPARC in gastric tumor tissues (GSE54129). (F) Elevated expression of SPARC in gastric tumor tissues (GSE79973). (H) Elevated expression of SPARC in gastric tumor tissues (GSE79973). Samples in GSE29272 and GSE79973 were pairs of adjacent normal and tumor tissue samples. \*P < 0.05, \*\*P < 0.01, \*\*\*\*P < 0.001, \*\*\*\*P < 0.0001.

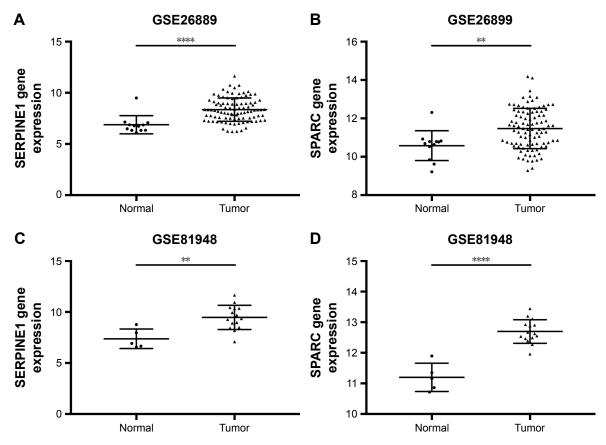


Figure 6 Validation of differential expression of SERPINE1 and SPARC in GSE26899 and GSE81948.

Notes: (A) Elevated expression of SERPINE1 in gastric tumor tissues (GSE26899). (B) Elevated expression of SPARC in gastric tumor tissues (GSE26899). (C) Elevated expression of SPARC in gastric tumor tissues (GSE81948). \*\*P < 0.01, \*\*\*\*\*P < 0.001.

Table 2 Association between SERPINE and SPARC expression and clinicopathologic characteristics of gastric cancers

Characteristics	N	SERPINEI		P-value	SPARC		P-value
		Low expression	High expression		Low expression	High expression	
Age				0.94			0.41
<60	110	54	56		59	51	
≥60	255	128	127		123	132	
Gender				0.91			0.17
Female	133	66	67		73	60	
Male	235	118	117		110	125	
Race				0.01			0.09
Asian	73	46	27		42	31	
White	235	106	129		106	129	
Pathologic stage				0.09			0.07
I + II	215	116	99		116	99	
III + IV	153	68	85		67	86	

Cell surface receptors transduce signals into cells from the ECM. These signals regulate diverse cellular functions, such as tumor growth and migration, and are vital for maintaining normal homeostasis.<sup>29</sup> Therefore, ECM macromolecules appear to be important for the progression of GC and the further research for it will help us to understanding the mechanism and potential targets of ECM in GC. SERPINE1 and SPARC are components of the ECM. The ECM has been linked to the tumor microenvironment,<sup>30</sup> regulation of cell proliferation, migration, and oncogenic transformation. In certain circumstances, ECM may promote cancer growth and migration.<sup>31</sup> In our research, the ECM-receptor interaction pathway stood out as enriched among the identified DEGs. Therefore, we speculate that the ECM-receptor interaction pathway plays a critical role in GC progression.

Significant geographic variation in disease features, including MSI, EBV, and GS subgroups, has been observed across countries. At the same time, morbidity of people with

**Table 3** Multivariable Cox regression analysis of factors affecting GC overall survival

Covariate	P-value	HR	95% CI of HR
Gender (female vs male)	0.300	0.785	0.496-1.241
Onset age (<60 vs ≥60)	0.010	0.525	0.320-0.859
Race (Asian vs others)	0.532	1.244	0.627-2.468
Stage ( $<$ 3 vs $\ge$ 3)	0.050	0.597	0.357–0.999
T (<3 vs ≥3)	0.180	0.701	0.417–1.178
$M (< l \ vs \ge l)$	0.569	0.827	0.431-1.588
N ( $<$ 3 vs $\ge$ 3)	0.531	0.790	0.379-1.650
SERPINEI (L vs H)	0.024	0.584	0.367-0.930
SPARC (L vs H)	0.324	0.793	0.501-1.257

**Notes:** T means size or direct extent of the primary tumor; N means degree of spread to regional lymph nodes; M means presence of distant metastasis. **Abbreviation:** GC, gastric cancer.

GC in Eastern Asia is more significant compared to other high-income countries, especially with the increasing westernization of diet, obesity, lifestyle (alcohol and smoking) in East Asia. More importantly, there is a great deal of variation in reported incidence and mortality within the individual countries.<sup>32</sup> These rates are markedly higher in developing than developed nations, yet very scarce published data evaluating etiology, prevention, or management exists. It will be of great importance and interest to assess GC molecular subtype patterns worldwide and, also, intriguing to compare with Asian and Caucasian GC patients to make GC personalized medicine globally. In our study, we found that SERPINE1 expression is associated with race (Asian and White). The molecular subtype can be offer opportunities for epidemiologists and cancer biologists to ascertain molecular and environmental risk factors behind the specific subtypes.

#### Conclusion

In summary, SERPINE1 and SPARC were viewed as potential prognostic biomarker for GC. These potential markers (SERPINE1 and SPARC) were significantly upregulated in gastric tissues and high expression associated with poor survival. However, further research is needed to understand the underlying mechanisms.

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### **Disclosure**

The authors report no conflicts of interest in this work.

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### Supplementary material

Table SI List of gastric adenocarcinoma datasets used in this study

Dataset	Sample		Platform	Country
	Normal	Tumor		
GSE13911	Adjacent normal tissue	Tumor biopsy	Affymetrix	Italy
	31	38	HG-UI33_Plus_2	
GSE29272	Adjacent normal tissue	Tumor tissue	Affymetrix	China
	134	134	HG-UI33A	
GSE54129	Normal tissue	Tumor tissue	Affymetrix	China
	21	111	HG-UI33_Plus_2	
GSE79973	Gastric mucosa	Gastric adenocarcinoma	Affymetrix	China
	10	10	HG-UI33_Plus_2	
GSE26899	Surrounding normal tissue	Tumor tissue	Illumina	Korea
	96	12	HumanHT-12 V3.0 expression beadchip	
GSE81948	Normal tissue	Tumor tissue	Affymetrix	Italy
	5	15	HuGene-I_0-st	

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