Positive metacognitions about alcohol mediate the relationship between FKB5 variability and problematic drinking in a sample of young women

Background: Previous research has shown that polymorphisms in the FKB5 gene are related to some psychiatric conditions, including alcohol dependence. These relationships are moderated by the level of adverse childhood experiences that one has undergone. Maladaptive metacognition, associated with symptoms of psychiatric disorders and disturbed emotional self-regulation, is also a strong predictor of problematic alcohol use. Recent studies suggest that maladaptive metacognitions may be part of the developmental pathway from childhood abuse to drinking problems. This study attempted to identify relationships between FKB5 polymorphisms and metacognitions about the positive effects of alcohol use and problematic drinking in a group differing in levels of childhood trauma.

Methods: The sample studied was composed of 502 female participants aged 18–25 years (M=21.78; SD=1.84). Positive metacognitions about alcohol use were measured with the Positive Alcohol Metacognitions Scale (PAMS) and problematic drinking was gauged using the WHO Alcohol Use Disorders Identification Test. Levels of childhood adverse experiences were determined with the use of the Childhood Questionnaire. A total of 18 single-nucleotide polymorphisms (SNPs) in the FKB5 gene were genotyped.

Results: We did not find any interaction between the gene and childhood trauma on problematic drinking or metacognitions. However, we identified a strong main effect of two SNPs of the FKB5 gene – rs755658 and rs1334894 – on the PAMS subscale measuring positive metacognitive beliefs about emotional self-regulation. We also found nominally significant relations of several other SNPs with metacognitions and problematic drinking. Additionally, we showed that positive alcohol metacognitions mediate the relationship between problematic drinking and both rs755658 and rs1334894.

Conclusion: Our results may shed some light on the biological underpinnings of the developmental pathway leading to problematic drinking through maladaptive metacognitions.

Keywords: maladaptive metacognition, FKB5, problematic drinking, adverse childhood experiences

Introduction

The hypothalamic–pituitary–adrenal (HPA) axis is a primary component of the stress response system. Glucocorticoids – major substances released by the HPA axis – as well as their receptors and binding proteins are critical for the regulation of the neuroendocrine system.¹ The dysregulation of the HPA axis is implicated in the pathophysiology of alcohol use disorders.²

FK506-binding protein 5 (FKB5) is a co-chaperone of heat-shock protein 90, which regulates glucocorticoid receptor (GR) sensitivity to cortisol and the efficiency of negative feedback in the HPA axis.³ Increased expression of the Fkbp5 gene has

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been observed in the hippocampus following chronic social defeat in mice. Moreover, changes in Fkbp5 expression can be induced by administering alcohol.

FKBP5 variation has been shown to interact with exposure to childhood abuse to increase the risk of posttraumatic stress disorder (PTSD) and major depression. A recent meta-analysis showed that individuals who carry the T allele of rs1360780, the C allele of rs3800373, or the T allele of rs9470080 who had been exposed to environmental risk had higher risks for both disorders. Alcohol dependence has been reported to be comorbid with PTSD and major depression. Interestingly, Xie et al found that polymorphisms in FKB5 are associated with greater comorbidity of alcoholism with PTSD. Moreover, FKB5 variability was related to heroin dependence and severity of alcohol withdrawal. Recently, Qiu et al reported that two FKB5 polymorphisms (rs1360780 and rs3800373) are related to lifetime alcohol use disorder symptoms and quantity of alcohol drinking among college students.

Wells’s metacognitive model is a model of psychopathology which describes the mechanisms of the development of disorders in terms of non-adaptive and disturbed self-regulation. Based on its general assumptions, Spada et al proposed a metacognitive model of cognitive-emotional regulation in addictive behaviors. This model assumes, inter alia, that specific maladaptive beliefs about alcohol use and negative affect lead to alcohol use as a means of affect regulation. These beliefs are positive metacognitions conceptualized as a specific form of expectancy relating to the use of alcohol as a means of controlling and regulating cognition and emotion, for example, “drinking will help me reduce my worrying” or “drinking will improve my mood”. Spada et al emphasize that although both metacognitive beliefs about alcohol use and alcohol expectancies can describe motivations for alcohol use, a crucial difference is that positive alcohol expectancies do not explicitly distinguish between cognitive and metacognitive belief domains. However, according to metacognitive theory, the key markers of psychopathology are beliefs pertaining to the metacognitive domain. Spada et al assume that positive metacognitions about alcohol use are particularly crucial in the initial stage of development of alcohol-related problems, while in further stages other processes increase in significance (such as negative metacognitive beliefs about the uncontrollability of emotions and thoughts). Furthermore, Spada and Wells found that positive metacognitive beliefs about both cognitive and emotional self-regulation are related to problem drinking behavior, as measured by the Alcohol Use Disorders Identification Test (AUDIT).

The concept of positive alcohol expectancies is similar to positive metacognitions about alcohol. Both concern emotional self-regulation. Additionally, positive metacognitions refer to the impact of alcohol on cognitive processes (problem-solving, thought control, attention regulation, and self-image control). Importantly, a recent twin study by Young-Wolff et al revealed that genetic influences explain positive alcohol expectancies to a greater extent the longer one has been drinking. Moreover, Handley et al reported that children with a specific haplotype of FKB5 who experienced maltreatment are more prone to develop alcohol use disorders. The developmental pathway from childhood abuse to drinking problems includes symptoms of internalizing behaviors and alcohol expectancies. Taken together, these findings suggest that FKB5 variation is implicated in the modulation of self-regulation processes related to proneness to alcohol use disorders. From previous studies, we know that some variants of FKB5 confer the risk of several forms of psychopathology, including alcohol use disorders. We also know that variability in this gene can influence psychological factors related to risk of psychopathology – that is, personality traits and alcohol expectancies. Positive alcohol metacognitions representing the broad spectrum of beliefs about the impact of alcohol on cognitive and emotional self-regulation may alter drinking behaviors. Therefore we anticipate that polymorphisms in FKB5 (especially those which have previously been associated with PTSD and major depression – that is, rs1360780, rs3800373, and rs9470080) will be related to positive alcohol metacognitions and consequently to problem drinking. Previous studies have clearly shown that individuals carrying some of the FKB5 alleles are vulnerable to adverse environments. Therefore we also expect that the relationship between FKB5 and positive alcohol metacognitions and problem drinking will be moderated by exposure to childhood trauma – that is, the relation between gene variation and alcohol-use-related variables would be present in the subsample with high levels of childhood trauma. To address these issues, we performed a series of association analyses using data gathered in a non-clinical sample of young women. We have focused on this group for several reasons. First, there are significant gender differences in alcohol-drinking patterns, and some risk factors seem to be more significant for women than men. Moreover, alcohol-drinking patterns crystallize at a young age and early adulthood is a period of life with a heightened risk of drinking among women, whose drinking tends to decline sharply later in life. Early adulthood is also associated with heightened...
risk of general psychopathology. Moreover, in the case of women, adverse childhood experiences are among the main risk factors for problem drinking (see, eg, Brady et al.\textsuperscript{22}). Therefore, it can be assumed that drinking to self-regulate might be an important, or even primary, mechanism related to problem drinking in this demographic.

**Materials and methods**

**Participants**

A non-clinical sample of 502 young women was recruited via advertisements distributed mainly at academic sites and, additionally, through a social networking service (the Facebook pages of academic departments). Being a student of psychology was an exclusion criterion; inclusion criteria were gender (female) and age (18–25 years). A total of 54.6% of participants reported having at least secondary education and the vast majority (96%) were single. The mean age was M=21.78 years (SD=1.84). All participants were Caucasian (99% were Polish nationals). Participation in the study was voluntary and participants gave their informed consent in written form to the researchers. They completed the questionnaires individually and, after completing them, the participants were paid the equivalent of 10 USD. The study was approved by the Research Ethics Committee of the Faculty of Psychology, University of Warsaw.

**Measures**

Positive metacognitions about alcohol use were measured using the Positive Alcohol Metacognitions Scale (PAMS). The PAMS is a measure developed to assess positive metacognitions about alcohol use—a specific form of alcohol expectancy relating to the beliefs individuals hold about the effects of alcohol on cognition and emotion.\textsuperscript{16} It consists of two subscales: PAMS1, which concerns positive metacognitions about emotional self-regulation through drinking (eight items—eg, “Drinking reduces my anxious feelings” or “Drinking makes me more sociable”); and PAMS2, which concerns positive metacognitions about cognitive self-regulation through drinking (four items—eg, “Drinking makes my negative thoughts more bearable” or “Drinking makes me think more clearly”). The measure is scored using a 4-point likelihood scale which ranges from “do not agree” to “agree very much.” Participants are asked to indicate to what extent they agree with the statements given. Results from validation studies\textsuperscript{23} suggest that the PAMS possesses good psychometric properties. In the current study, the total score and both subscales were used as indexes of positive metacognitions about alcohol use. Cronbach’s alpha for the total PAMS score was 0.85, for PAMS1 it was 0.85, and for PAMS2 it was 0.64.

In order to determine the intensity of problem drinking related to positive metacognitions about alcohol use, the widely known WHO AUDIT\textsuperscript{24} was used. The most common criterion used in research for determining problem drinking with this tool is a score of 8 or more. In the current study, Cronbach’s alpha for the total AUDIT score was 0.81.

Childhood abuse was measured using the Childhood Questionnaire (CQ).\textsuperscript{25} The CQ retrospectively measures the occurrence of 14 types of adverse experiences before the age of 14 years (eg, sexual abuse, physical abuse, poverty, the death of a parent). Some experiences were assessed separately for each parent, so the scale has a total of 18 possible experiences. For this study, we used the total CQ score, which is the sum of all adverse experiences indicated by the individual. The Cronbach’s alpha for the total CQ score for this sample was 0.71. The median of the total CQ score was used to distinguish participants with low vs high levels of adverse childhood experiences.

**Genotyping**

DNA was isolated from all participants. The biological material was obtained from saliva using the Oragene\textsuperscript{®} saliva collection system (DNA Genotek Inc, Ottawa, ON, Canada) and extracted using the provided protocol. On the basis of existing literature and the HapMap database, 18 single-nucleotide polymorphisms (SNPs) in the FKBP5 gene were selected for individual genotyping: rs3800374, rs3800373, rs7757037, rs755658, rs9296158, rs9380524, rs7748266, rs1360780, rs4713902, rs1334894, rs9394309, rs4713904, rs9470079, rs9470080, rs4713916, rs3800372, rs10456432, and rs7751598. A MALDI-TOF mass spectrometry-based SNP genotyping technique was used (Sequenom MassARRAY iPLEX platform, Sequenom, San Diego, CA, USA). Only FKBP5 SNPs with minor allele frequency (MAF) \( \geq 5\% \) and completeness \( \geq 75\% \) across the pooled data were included in further statistical analyses.

**Statistical analyses**

In order to examine the relationship between the selected SNPs and alcohol-use-related variables, we performed a series of association analyses based on a generalized linear model. First, we tested simple genetic effects using intensity of problem drinking (measured by AUDIT) and positive metacognitions about alcohol use (measured by PAMS) as dependent variables and age as a covariate. Second, we repeated all analyses using an interaction term (childhood abuse level: low vs high). All calculations were performed...
using the SNPassoc package\(^26\) for R. Analyses in which simple genetic effects were tested were made using five genetic models: codominant, dominant, recessive, over-dominant, and log-additive. Analyses with an interaction term were done for four genetic models: codominant, dominant, recessive, and overdominant. The Akaike Information Criterion (AIC) produced by SNPassoc was used to identify the best-fitting model. The corrected level of significance was set at 0.00244 and was drawn from Li and Ji’s \( V_{	ext{crit}} \)\(^27\) based on a Spearman correlation matrix produced by pairwise exclusion of missing observations. SNPassoc was also used to estimate the Hardy–Weinberg equilibrium (HWE) \( P \)-value for all selected SNPs.

When a significant association between a SNP and both problem drinking and positive alcohol metacognition was detected, a mediation model was tested. Mediation analyses were performed using IBM SPSS software and the PROCESS macro for IBM SPSS.\(^28\) Power analysis was performed with the use of Quanto software.

## Results

The mean result for PAMS was \( M=25.72 \) (SD=6.62, min=12, max=45). The mean result on the AUDIT was \( M=7.04 \) (SD=4.63, min=0, max=24). The results of 158 participants (31.5%) exceeded the AUDIT cutoff of 8 points. Pearson’s correlation coefficient between PAMS and AUDIT scores was \( r=0.47 \), significant at \( P<0.001 \). The mean result for CQ was \( M=2.18 \) (SD=2.17, min=0, max=11), the median was 2. Table 1 shows the descriptive statistics of AUDIT and PAMS in subgroups distinguished using the median value of the CQ.

Genotype distributions for most of the polymorphisms were in line with the HWE. Exceptions were rs7757037 and rs3800372 (see Supplementary materials). The power analysis indicated that our study had 62% power to detect genetic effects and 32% power to detect interaction effects (alpha=0.05).

Simple genetic effects were tested in the first association analysis step. We identified a significant association between SNP rs755658 and the total PAMS score. The two best-fitted models were the dominant (\( P=0.00188 \), AIC=3226) and log-additive (\( P=0.0014 \), AIC=3225) models. This association was mainly due to the relation of the SNP with the PAMS1 score (dominant model: \( P=0.001812 \), AIC=3040; log-additive model: \( P=0.00126 \), AIC=3040). We also identified a significant association between SNP rs1334894 and the total PAMS score. The two best-fitted models were the dominant (\( P=0.00166 \), AIC=3226) and log-additive (\( P=0.00131 \), AIC=3225) models. Again, this association was due to the relation of the SNP with the PAMS1 score (dominant model: \( P=0.00167 \), AIC=3040; log-additive model: \( P=0.00117 \), AIC=3039). We did not identify any significant relations between PAMS2 score and either rs755658 or rs1334894.

The medians and standard errors of total PAMS and PAMS1 scores for groups distinguished on the basis of rs755658 and rs1334894 are presented in Table 2 and Figure 1. We also identified a significant relation between SNP rs4713916 and PAMS2 score. The best-fitted model was log-additive (\( P=0.00225 \), AIC=1984). The medians and standard errors of PAMS2 scores for groups distinguished on the basis of rs4713916 are presented in Table 2 and Figure 1. We also found nominally significant (not significant after multiple testing correction) associations of:

- rs3800373 with PAMS2 score (dominant model: \( P=0.024 \), AIC=1999);
- rs7751598 with PAMS2 score (dominant model: \( P=0.014 \), AIC=1998);
- rs1360780 with PAMS2 score (dominant model: \( P=0.014 \), AIC=1998);

### Table 1 Descriptive statistics of AUDIT and PAMS in subgroups differing in levels of CA

<table>
<thead>
<tr>
<th>Genotype</th>
<th>AUDIT</th>
<th>PAMS</th>
<th>PAMS1</th>
<th>PAMS2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low level of CA</td>
<td>High level of CA</td>
<td>t-test results</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N=234</td>
<td>N=268</td>
<td>AUDIT</td>
<td>M=6.11</td>
<td>M=7.85</td>
</tr>
<tr>
<td>PAMS</td>
<td>M=24.46</td>
<td>M=26.82</td>
<td>( t(498) = -4.02 )</td>
<td></td>
</tr>
<tr>
<td>PAMS1</td>
<td>M=18.93</td>
<td>M=20.61</td>
<td>( t(498) = -3.47 )</td>
<td></td>
</tr>
<tr>
<td>PAMS2</td>
<td>M=5.55</td>
<td>M=6.19</td>
<td>( t(500) = -3.96 )</td>
<td></td>
</tr>
</tbody>
</table>

**Abbreviations:** AUDIT, Alcohol Use Disorders Identification Test; CA, childhood abuse; PAMS, Positive Alcohol Metacognitions Scale.

## Table 2 Descriptive statistics of PAMS total score and PAMS1 in subgroups differing in genotype for rs755658 and rs1334894

<table>
<thead>
<tr>
<th>SNP</th>
<th>Genotype</th>
<th>PAMS total score</th>
<th>PAMS1</th>
</tr>
</thead>
<tbody>
<tr>
<td>rs755658</td>
<td>g/g</td>
<td>405 25.31 0.32</td>
<td>405 19.49 0.266</td>
</tr>
<tr>
<td></td>
<td>a/g</td>
<td>74   27.47 0.86</td>
<td>74  21.26 0.694</td>
</tr>
<tr>
<td></td>
<td>a/a</td>
<td>9    29.44 1.676</td>
<td>9  23.11 1.504</td>
</tr>
<tr>
<td>rs1334894</td>
<td>c/c</td>
<td>404 25.30 0.321</td>
<td>404 19.49 0.267</td>
</tr>
<tr>
<td></td>
<td>c/t</td>
<td>75   27.49 0.846</td>
<td>75  21.27 0.68</td>
</tr>
<tr>
<td></td>
<td>t/t</td>
<td>9    29.44 1.676</td>
<td>9  23.11 1.504</td>
</tr>
<tr>
<td></td>
<td>c/c-t/t</td>
<td>84   27.70 0.774</td>
<td>84  21.46 0.629</td>
</tr>
</tbody>
</table>
Positive metacognitions about alcohol, FKBP5 and problematic drinking

We also identified nominally significant associations of rs755658 and rs1334894 with problematic drinking measured by AUDIT. In both the cases, dominant and log-additive models fit best (respectively, for rs755658: $P=0.048$, AIC=2887 and $P=0.024$, AIC=2885; and for rs1334894: $P=0.032$, AIC=2886 and $P=0.016$, AIC=2885).

In the second step, we tested interaction effects. We did not identify significant relations between any of the tested SNPs and metacognitive beliefs or alcohol use. However, the analysis revealed nominally significant associations of AUDIT score with:

- rs7757037 (dominant model, $P=0.02$);
- rs4713902 (recessive model, $P=0.047$); and
- rs10456432 (dominant model, $P=0.004$).

Detailed results for all SNPs are presented in the Supplementary materials.

Finally, we performed mediation analysis. We tested a model in which metacognitive beliefs mediated the relation between SNPs (rs755658 and rs1334894) and problematic drinking. Although, the log-additive model of inheritance was best fitted to the data, we grouped genotypes according to the dominant model as this was more useful in the mediation analyses. Mediation analyses with 5,000 bootstrapped
resamples were performed using model 4 of PROCESS for IBM SPSS with age as a covariate. For rs755658 as predictor and PAMS total score as a mediator, we observed neither total nor direct effects of SNP on the outcome. However, we observed a significant indirect effect, which suggests mediation. The final model explained almost 22% of variance – $R^2=0.217$, $F(3,484)=44.88$, $P<0.001$.

For rs755658 as a predictor and PAMS1 score as a mediator, we also did not observe a total or direct effect of SNP on problematic drinking. However we observed an indirect effect. The final model explained almost 20% of variance – $R^2=0.196$, $F(3,484)=39.32$, $P<0.001$.

For rs1334894 as a predictor and PAMS total score as a mediator, we observed total and indirect effects of SNP on the outcome variable. We did not observe a direct effect, which indicates full mediation. The final model explained almost 22% of variance – $R^2=0.218$, $F(3,484)=44.97$, $P<0.001$. The same effect pattern was observed for rs1334894 as a predictor and PAMS1 score as a mediator. The final model explained almost 20% of variance – $R^2=0.196$, $F(3,484)=39.43$, $P<0.001$. Results of the mediation analyses are shown in Table 3.

### Discussion

The metacognitive model of psychopathology, which has also been applied to addictive behaviors, underlines the role of meta-cognitive mechanisms in disturbed self-regulation. Identifying the genetic bases of self-regulation disorders seems to be critical for understanding the mechanisms underlying their development. The present study aimed at identifying relations of polymorphisms in the FKBP5 gene with positive alcohol metacognitions and problematic drinking. We also expected that the level of childhood trauma would moderate these associations. We identified strong associations between positive metacognitive beliefs about emotional self-regulation and two SNPs – rs755658 and rs1334894 – and between positive metacognitive beliefs about cognitive self-regulation and rs4713916. We also identified nominally significant (not significant after multiple comparison adjustment) relations of positive alcohol metacognition with rs3800373, rs7751598, rs1360780, rs3994309, rs4713904, rs9470080, and rs4713916. Moreover, problematic drinking was related to rs755658 and rs1334894 at a nominally significant level. We tested mediation models and found that positive metacognitive beliefs about emotional self-regulation mediate the relationship of rs755658 and rs1334894 with problematic drinking. As mentioned previously, a meta-analysis recently showed that three polymorphisms in FKBP5 (rs1360780, rs3800373, and rs9470080) are related to major depression and PTSD. Moreover, Handley et al recently showed that the specific developmental pathway leading to alcohol dependence through positive expectancies toward alcohol use is influenced by FKBP5 variability. Our findings are in line with the results of Handley et al, showing that FKBP5 may have an impact on the development of problematic drinking through emotional self-regulatory processes. As mentioned above, positive expectancies toward alcohol and positive alcohol metacognitions shared the content of emotional self-regulation.

### Table 3 Analyses of mediation of the relationship between genotype and AUDIT total score

<table>
<thead>
<tr>
<th>Mediator</th>
<th>PAMS total score</th>
<th>PAMS1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Effect</td>
<td>SE</td>
</tr>
<tr>
<td>Total effect</td>
<td>1.08</td>
<td>0.554</td>
</tr>
<tr>
<td>Direct effect</td>
<td>0.293</td>
<td>0.499</td>
</tr>
<tr>
<td>Indirect effect</td>
<td>0.79</td>
<td>0.289</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Mediator</th>
<th>PAMS total score</th>
<th>PAMS1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Effect</td>
<td>SE</td>
</tr>
<tr>
<td>Total effect</td>
<td>1.17</td>
<td>0.55</td>
</tr>
<tr>
<td>Direct effect</td>
<td>0.376</td>
<td>0.496</td>
</tr>
<tr>
<td>Indirect effect</td>
<td>0.79</td>
<td>0.28</td>
</tr>
</tbody>
</table>

Abbreviations: AUDIT, Alcohol Use Disorders Identification Test; PAMS, Positive Alcohol Metacognitions Scale.
(we found that only the PAMS1 score, which covers this aspect of metacognition, mediated the relation between FKB5 and problematic drinking). Interestingly, FKB5 variability was related to maladaptive regulation of the HPA axis resulting in hypercortisolism. Alcoholic patients show blunted stress-induced cortisol responses and increased negative emotions. There are several possible pathways leading from heightened secretion of cortisol to emotional dysregulation and the development of beliefs about the positive effects of alcohol as a means of affect regulation. One of them includes amygdala hyperactivation which creates a negative response bias to emotional stimuli. Another one incorporates hippocampal shape alterations which may influence memory formation. Beresford et al showed that hypercortisolism in alcohol dependence is related to hippocampal volume loss. FKB5 variability is also related to the temperamental trait of harm avoidance, which may be the basis of the development of positive expectancies toward alcohol use.

As in previous studies, we expected that the level of childhood adverse experiences would moderate the relation between FKB5 and positive alcohol metacognition and problematic drinking. Likely due to the sample size and insufficient power of the study, we were not able to detect significant interaction effects. However, we found nominally significant associations of three SNPs (rs7757037, rs4713902, and rs10456432) and problematic drinking among women who had experienced elevated levels of childhood adverse experiences.

Despite some obvious limitations of this study (eg, the relatively small and gender-homogeneous sample) which restrict the interpretations of our results, we believe that these results can contribute to the understanding of processes related to the development of maladaptive metacognition associated with problematic alcohol use. Although this problem is not yet sufficiently researched, it is assumed that early stress and social processes related to the development of self-regulation skills are among the most significant factors associated with alcohol abuse. Our study shows that genetic factors might also play an important role, increasing the risk of disturbances in self-regulation.

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Disclosure
The authors report no conflicts of interest in this work.

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