

# Decompression nerve surgery for diabetic neuropathy: a structured review of published clinical trials

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**Aim:** To assess lower extremity decompression nerve surgery (DNS) to treat the consequences of diabetic distal symmetric peripheral neuropathy (DPN).

**Research design and methods:** MEDLINE, PubMed, and related registries were searched through December 2017 to identify randomized, quasi-randomized or observational trials that evaluated the efficacy of lower extremity DNS on pain relief (primary outcome) or other secondary outcomes. Observational studies were included, given investigators' reluctance to use sham surgery controls. Outcome effect size was estimated, and a weighted average was calculated.

**Results:** Eight of 23 studies evaluated pain relief, including a double-blind randomized controlled trial (with a sham surgery leg), an unblinded trial with a nonsurgical control leg, and 6 observational studies. All reported substantial pain relief post-DNS with average effect sizes between two and five. Unexpectedly, the double-blind trial showed improvement in the sham leg comparable to the DNS leg and exceeding the improvement observed in the nonsurgical leg in the unblinded study. Sensory testing showed generally favorable results supporting DNS, and nerve conduction velocities increased post-DNS relative to deterioration in controls. Ultrasound revealed fusiform nerve swelling near compression sites. Morphological results of DNS were generally favorable but inconsistent, whereas hemodynamic measures showed a positive effect on arterial parameters, as did transcutaneous oximetry (improved microcirculation). The incidence of initial and recurrent neuropathic diabetic foot ulcers appeared reduced post-DNS relative to the contralateral foot (borderline significant).

**Conclusion:** The data remain insufficient to recommend DNS for painful DPN, given conflicting and unexpectedly positive results involving sham surgery relative to unblinded controls. The generally supportive sensory and nerve conduction results are compromised by methodological issues, whereas more favorable results support DNS to prevent new or recurrent neuropathic foot ulcers. Future studies need to clarify subject selection vis-à-vis DPN vs superimposed compressed nerves, utilize appropriate validated instruments, and readdress use of sham surgical controls in light of recent results.

**Keywords:** diabetic neuropathy, painful neuropathy, neuropathy treatment

## Introduction

Diabetic neuropathy in its most typical form is a distal symmetric peripheral neuropathy (diabetic peripheral neuropathy [DPN]). This dying-back length-dependent neuropathy is a common source of morbidity, disability, and reduced quality of life. A subset of patients with a DPN experience painful prickling, stabbing, and burning sensations that likely reflect small-fiber involvement and ultimately result in persistent neuropathic pain affecting about 20% of diabetic patients.<sup>1</sup> The mechanisms producing DPN are

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undoubtedly multifactorial, and at present, the only proven treatment shown to effectively delay the onset or progression of DPN is intensive glycemic control.<sup>2</sup>

In the last decade, several attempts have been made to evaluate the utility of decompression nerve surgery (DNS) in patients with diabetes, especially those with a painful DPN. In 2006, the American Academy of Neurology (AAN) issued a practice advisory, summarizing their review of eleven studies.<sup>3</sup> All were considered Class IV evidence (uncontrolled studies, case series, case reports, or expert opinions). The authors emphasized that no studies relied on typical bedside neurological testing, and noted that it was unclear to what extent the reported benefits relate to the release of focally compressed nerves or a treatment of DPN. In 2007, Dellon reviewed 15 studies.<sup>4</sup> In each, diabetic patients had symptomatic DPN, no history of ulceration or amputation, and a positive Tinel sign at the tarsal tunnel, a sign purported to demonstrate the site of compression and suggesting the surgery was performed to release a compressed nerve as opposed to the treatment of a diffuse DPN. He noted reduced pain and improved sensation in the majority of DNS intervention subjects. No patient who underwent surgery developed an incident diabetic foot ulcer (DFU), and the rate of ulceration in patients with previous ulcers was lower than expected. Overall, he concluded that pain and restoration of sensation can be expected in about 80% of patients with DPN who undergo DNS.

In 2008, a Cochrane review addressed the utility of lower limb DNS in DPN.<sup>5</sup> The primary outcome measure was the magnitude of the change in pain as measured by a visual analog scale (VAS). Ultimately, only one of eight studies investigated used a VAS as a primary endpoint and no randomized trials were available for inclusion. The role of DNS was deemed unproven. Baltodano et al reviewed the effects of lower extremity DNS in diabetics in 2013.<sup>6</sup> This group focused on those patients with lower extremity nerve compression, and ten studies were included. Most studies relied on a positive Tinel sign to diagnose nerve compression, but three relied on nerve conduction study (NCS) results. Benefits with regards to pain, sensibility, and risk of ulceration were noted. The authors highlighted that inclusion of only those studies examining patients with compressed nerves strengthened their meta-analysis.

Tu et al reviewed the impact of DNS in 2016, taking into account both upper extremity (carpal tunnel) procedures and lower extremity decompression.<sup>7</sup> Two studies each looked at VAS and two-point sensory discrimination in the lower extremities, and pooled analyses demonstrated a benefit in

both outcomes. Lower extremity decompression was thought to be effective based on these data. Also, in 2016, Tannemaat et al discussed the current state of the literature.<sup>8</sup> They noted that all studies were observational and there was no proven benefit to DNS. They suggested the possibility of a double-blinded study employing both nerve decompression and contralateral sham surgery.

Many consider the use of DNS to treat DPN as unproven.<sup>3,5</sup> Proponents view the surgery as a promising therapy for properly selected cases of DPN, noting that unproven is not equivalent to unnecessary and unnecessary does not equate to ineffective or harmful.<sup>9</sup> Despite evidence, much of which is widely acknowledged as inadequate to justify DNS to treat painful DPN, the procedure continues to be performed. The objective of our review was to extend previous structured reviews to identify interval clinical studies describing the use of DNS to treat the consequences of DPN. The consequences we evaluated included pain (primary outcome), as well as sensation, nerve function (electrophysiology, morphology, and hemodynamics), and the incidence of initial and recurrent neuropathic DFUs (all secondary outcomes). We also hoped to clarify the evaluation criteria important to the selection of DNS candidates.

## Research design and methods

We included published human studies in which the efficacy of DNS was used to alleviate or prevent symptoms, signs, or other adverse consequences of DPN. At times, it remained unclear if subjects were included who had compressive mono-neuropathies superimposed on a DPN. Our initial intent was to review only randomized or quasi-randomized-controlled trials, but we expanded our search to all cohort trials. We defined cohort studies according to Strengthening the Reporting of Observational Studies in Epidemiology guidelines to include any trials in which participants underwent a common exposure (DNS) and were followed over time.<sup>10</sup>

## Electronic searches

We searched MEDLINE, PubMed (which includes Pre-MEDLINE), ArticlesPlus, EMBASE, CENTRAL (Cochrane Library), PUBMED, LILACS, SCOPUS, and Google Scholar through December 2017 to identify citations involving lower extremity DNS or neurolysis and DPN. The searches were based on the following search strategy: 1) diabetes mellitus, diabetic neuropathies, diabetic peripheral neuropathy, diabetic polyneuropathy, or diabetic foot AND 2) treatment with DNS, surgical decompression, or neurolysis. The titles and abstracts of the resulting articles were reviewed to identify

those potentially relevant. Those articles were obtained, and their bibliographies reviewed to identify additional articles. Our search was restricted by language to the extent that English abstracts were required. In a few instances, we contacted the trial authors for additional information. An example of the electronic search strategy (MEDLINE) is attached as Supplementary material.

## Participants

We included adult participants of either sex with type 1 or type 2 diabetes undergoing DNS for some consequence of a DPN. The DPN could be painful or non-painful, although relief of pain attributed to a DPN was the primary outcome of interest. DFUs had to be attributed to the DPN (neuropathic DFUs), not to vascular disease.

## Interventions

We included all relevant articles describing DNS in one or both legs. DNS involved decompression of all, one, or some combination of the following nerves: 1) common peroneal (fibular) nerve (at the fibular neck), 2) deep peroneal (fibular) nerve (at the dorsum of the foot), and 3) tibial nerve (at the lateral ankle) and its medial plantar, lateral plantar, and calcaneal branches (at the foot) (the four medial ankle tunnels). Decompression of the common peroneal, deep peroneal, and the four medial ankle tunnels, with or without internal neurolysis depending on the intraoperative observation of scar tissue formation, is often referred to as the “Dellon technique”, in recognition of his pioneering work involving this procedure.

## Outcome measures

The primary outcome measure was change in pain, as measured by a global subjective outcome scale. We included pain scores using a VAS (eg, an 11-point scale ranging from 0 being “no perceptible pain” to 10 being “intolerable pain”) or a Likert scale, as the results show significant correlation and similar validity.<sup>11</sup> We were interested in early and late results after DNS, and all outcomes were considered during any interval after surgery from days to 60 months. When multiple intervals were reported, the ones nearest to 3 months (early) and 18 months (late) were selected for the primary pain measure.

Secondary outcome measures included quantitative or semi-quantitative sensory test results; NCS results (sensory or motor response amplitude, conduction velocity [CV], or distal latency); ultrasonography (US) nerve caliber measurements, microcirculation evaluations, and development of

initial or recurrent neuropathic DFUs or amputations (studies involving patients with diabetic peripheral vascular disease were excluded). The sensory measures potentially included tests of two-point discrimination (2PD; foot), touch-pressure (eg, using Semmes Weinstein monofilaments), or vibration sensation (great toe).

## Study selection

Each paper was classified by study type to identify all randomized or quasi-randomized-controlled trials or observational case series. Given the reluctance of some investigators to include a sham surgical control, and ignoring the ethical controversy surrounding such trials, we included results from controlled studies that were not randomized or blinded. We defined cohort studies to include any trials in which patients underwent a common exposure (eg, DNS for DPN) and were followed over time.<sup>10,12,13</sup> Using this definition, a comparison group was not required, although many studies performed DNS on one side and used the contralateral limb as a control.<sup>14</sup> Both authors evaluated studies for eligibility, serially abstracted data, and independently evaluated risk bias. The selected studies are summarized in Table 1.

## Data extraction and management

Both authors assessed each study. Data extraction was performed by one author and confirmed by the other. A consensus was obtained for any discrepancies.

## Assessment of risk bias

Risk bias was assessed using validated means of risk assessment that included the Delphi list score (0–9)<sup>15,16</sup> (Table 1) and the Cochrane tool<sup>17</sup> for randomized studies (Table 2).

## Data synthesis and analysis

Few studies using paired samples or repeated measures provided the raw data or the paired sample correlations, and several used analyses that assumed independent samples. These problems and differences in methodologies made it difficult in most cases to combine the results and assess the statistical significance of pre- and post-DNS changes. Because of these obstacles, we estimated the effect size (standardized mean difference) for common outcomes as an index that could be compared across studies. When the paired-sample *t*-statistic or correlation between repeated measures was unavailable, we used several methods to estimate the effect size, including Hedge's *g* for paired samples or procedures suggested by Morris and DeShon (2008)<sup>20</sup> or incorporated in R (MBESS), in which case the *r* values were estimated using results

**Table 1** Characteristics of studies of lower extremity DNS for diabetic peripheral neuropathy

Author (year) Study type; setting; study length; Delphi score (0–9) <sup>16</sup>	Participants	Intervention	Outcome(s)
Aszmann et al (2000) <sup>34,37</sup> (duplicates) Prospective, consecutive, unblinded, contralateral limb control; single-center; follow-up $\geq 12$ months (mean: 23.3); Delphi score: 3	T1DM (n=14) or T2DM (n=6); painful DPN; +Tinel (ankle or over other nerves decompressed)	DNS tibial (ankle); 6 of 20 had bilateral surgery; (8 subjects had DNS of median and/or ulnar nerves)	Sensation: 2PD
Aszmann et al (2004) <sup>50</sup> Retrospective, contralateral limb control; single-center; follow-up $\geq 24$ months (mean: 54); Delphi score: 2	T1DM or T2DM (n=50); DPN	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels	Ulcer or amputation
Dellon (1992) <sup>41</sup> Prospective, consecutive, unblinded, contralateral limb control (some); single-center; follow-up $\geq 6$ months (mean: 30); Delphi score: 2	T1DM (n=38) or T2DM (n=22); symptomatic DPN (exclusive burning pain excluded); +Tinel	DNS of peroneal (14); deep peroneal (12); tibial (31)	Sensation: descriptive 2PD NCS (performed on 70% of nerves)
Dellon (2012) <sup>25,84</sup> Prospective, consecutive, unblinded; multicenter; follow-up every 6 months up to 3.5 years (all $\geq 12$ months); Delphi score: 2	DM (n=628, 465 painful) (described limbs, not cases); symptomatic DPN; +Tinel (ankle)	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle); 211 of 628 had bilateral DNS	Pain: VAS Ulcer: new or recurrent Sensation: T-P, 2PD
Karagoz (2008) <sup>26</sup> Prospective, consecutive, unblinded; single-center; follow-up PO day 1 and $\geq 6$ months (mean: 8); Delphi score: 1	T1DM (n=16) or T2DM (n=8); symptomatic DPN (20/24 painful; 12/24 ulcers)	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Pain: VAS Sensation: 2PD
Knobloch (2012 abstract) <sup>27</sup> Prospective, consecutive, unblinded; single-center; follow-up $\geq 6$ months (mean: 12); Delphi score: 2/9	DM (n=12); DPN	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Pain: VAS Ulcer: new or recurrent Sensation: (Likert) Balance: (Likert)
Lee and Dellon (2004) <sup>85</sup> Prospective, cross-sectional, consecutive, unblinded; single-center, follow-up 12 months; Delphi score: 2	DM (n=46); symptomatic DPN, compared + or –Tinel (ankle)	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Subjective based on interview and sensation: good/excellent or poor/failure
Liao (2104) <sup>28</sup> Retrospective; single-center (Xinhua); follow-up every 6 months for 24 months; Delphi score: 4	DM (n=306); painful DPN, focal pain (n=145) or diffuse pain (161); +Tinel Controls (n=92)	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Pain: VAS, BPI Sensation: 2PD NCS: TMA, PMA, SPA, SSC (CV) High-resolution US: tibial CSA
Macaré van Maurik (2015) <sup>33</sup> Prospective, consecutive, randomized (surgical side, contralateral limb control), unblinded; single-center; follow-up 3, 6, 12 months; Delphi score: 5	T1DM (n=8) or T2DM (n=30); painful DPN; +Tinel (17% of subjects had baseline 2PD)	DNS unilateral peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Pain: VAS Sensation: T-P, 2PD
Macaré van Maurik (2015) <sup>42</sup> Same; follow-up 12 months	T1DM (n=10) or T2DM (n=30); painful DPN; +Tinel	Same	NCS: TMA, PMA, PMAT (amplitude, CV, distal latency)
Macaré van Maurik (2014) <sup>31</sup> Same; follow-up mean 28 weeks (23–45 weeks); Delphi score: 4	T1DM (n=10) or T2DM (n=32); painful DPN; +Tinel; ABI 0.8–1.15; TBI $\geq 0.7$ ; +PT and DP pulses Controls (n=38)	Same	US: CSA tibial nerve; thickness of flexor retinaculum
Macaré van Maurik (2015) <sup>66</sup> Same; follow-up 6 and 12 months; Delphi score: 4	T1DM or T2DM (n=39) painful DPN; +Tinel	Same	Stability: quantitative sway; eyes open and closed
Nickerson <sup>46,86</sup> Retrospective; single-center; follow-up 2.5 (1–13) years, extended follow-up additional 3 years, <sup>86</sup> Delphi score: 3	DM (n=65 cases, 75 legs); DPN with previous/current ulcer; previous DNS for ulcer or neuropathic pain; palpable pulses	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Ulcer: new or recurrent

(Continued)

**Table 1** (Continued)

Author (year) Study type; setting; study length; Delphi score (0–9) <sup>16</sup>	Participants	Intervention	Outcome(s)
Nickerson (2014) <sup>49</sup> Retrospective; multicenter; subset prospective cohort; follow-up 1 and 3 years; Delphi score: 3	Subset of above with painful DPN (n=42), ≥1 ulcer healed; +Tinel, ≥1 pulse, or ABI >0.8	Same	Ulcer: recurrent ipsilateral vs new ulcer contralateral control leg
Rader (2005) <sup>29</sup> Prospective, consecutive, sensory technician blinded; single-center; follow-up 3 and 6 months; Delphi score: 3	T1DM (n=7) or T2DM (n=32) DM; (39 cases, 49 legs); painful DPN or ulceration strongly + Tinel	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle) ± neurolysis; 10 of 39 bilateral surgery	Pain: VAS Sensation: T-P, 2PD
Rozen et al (2017 abstract only) <sup>32</sup> Prospective, consecutive, randomized (2:1 surgery [DNS vs sham] vs control), blinded subjects and evaluators; multicenter; follow-up 1, 3, 4.5 years; Delphi score: 7	DM (n=40 surgical [one or both legs] and n=27 nonsurgical controls); painful DPN	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle), with neurolysis	Pain: Likert QOL
Tekin et al (2015) <sup>44</sup> Retrospective, unblinded; single-center; follow-up 3 months; Delphi score: 3	DM (n=27); DPN; +Tinel	DNS posterior and anterior tarsal tunnel	Doppler US: posterior tibial artery
Trignano et al (2016) <sup>45</sup> Prospective, consecutive; unblinded; single-center (Italy); follow-up 18 months; Delphi score: 2	DM (n=20); DPN and concurrent bilateral tarsal tunnel syndrome; no vascular disease; +Tinel	DNS tibial nerve (ankle) (four legs had additional DNSs)	Microcirculation: transcutaneous oximetry dorsum foot
Wieman and Patel (1995) <sup>38</sup> Prospective, consecutive; unblinded; single-center; follow-up: ≥2 months (mean 13 months); Delphi score: 2	DM (n=28); painful DPN; +Tinel 32 of 33 ankles; no ischemia (tibial and dorsalis pedis pulses)	DNS tibial nerve (ankle); internal neurolysis not performed	Pain: VAS Sensation: T-P; 2PD NCS: “standard studies”
Wood and Wood (2003) <sup>39</sup> Prospective, consecutive, unblinded; single-center; follow-up ≥1 month (1–6, mean 3); Delphi score: 2	DM (n=33); symptomatic DPN (pain [n=30] and/or numbness); nine had NCS confirmed DPN; +Tinel; ankle/brachial index ≥7	DNS of peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Pain: VAS Sensation: 2PD Subjective: excellent, good, fair, or failure
Yang et al (2016) <sup>30</sup> Prospective, consecutive, unblinded; single-center; follow-up 1, 2 years; Delphi score: 2	T1DM (n=4) or T2DM (n=7) DM; painful DPN for ≥2 years; +Tinel; 2PD (great toe) >8 mm; no limb ischemia	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle)	Pain: VAS Sensation: 2PD QOL
Zhang (2013) <sup>40,87</sup> (overlapping data) Prospective, consecutive, sensory technician blinded; single-center; follow-up 18 months (ulcer follow-up: 2–5 years); Delphi score: 4	DM type 2 (n=560); DM controls without DPN (n=40); +Tinel; 2PD (great toe) >9 mm	DNS peroneal (knee); deep peroneal (foot); four tibial tunnels (ankle) with neurolysis	Ulcer: new or recurrent Sensation: 2PD, CPT, thermal NCS: TMA, PMA, SPA, SSC (CV) US: CSA tibial and peroneal nerves TCS: symptoms and signs

**Abbreviations:** 2PD, two-point discrimination; A, amplitude; ABI, ankle-brachial index; BPI, brief pain inventory; CPT, cold perception threshold; CSA, cross-sectional area; CV, conduction velocity; DM, diabetes mellitus; DNS, decompression nerve surgery; DPN, distal symmetric diabetic peripheral neuropathy; NCS, nerve conduction study; PMA, peroneal motor, recording extensor digitorum brevis; PMAT, peroneal motor, recording anterior tibialis; PO, postoperative; QOL, quality of life; SSC, sural sensory, recording ankle; SPA, superficial peroneal, recording ankle; TBI, toe-brachial index; TCS, Toronto clinical scoring; TMA, tibial motor, recording abductor hallucis; T-P, touch-pressure; T1, type 1; T2, type 2; US, ultrasonography; VAS, visual analog scale.

from similar studies or values of 0.3, 0.5 (as if independent samples), and 0.7 were used.<sup>18–24</sup> The resulting effect sizes were described in terms of the median value and range. The median effect sizes were combined across trials to calculate a weighted treatment effect, recognizing that some data were not distributed normally and the effect sizes may represent only approximations in some cases. The estimated effect sizes were intended to provide a subjective comparison across studies of DNS treatment and outcome, not a precise statistical measure.

## Results

We identified 214 citations, of which 78 underwent full review (Figure 1). Data from a total of 24 articles were included in our analyses, describing 20 unique cohorts.

### Primary outcome (pain relief)

Dellon et al enrolled 628 patients with symptomatic DPN and a positive tarsal tunnel Tinel sign in a prospective, multicenter study of DNS.<sup>25</sup> DNS included release at the tarsal tunnel



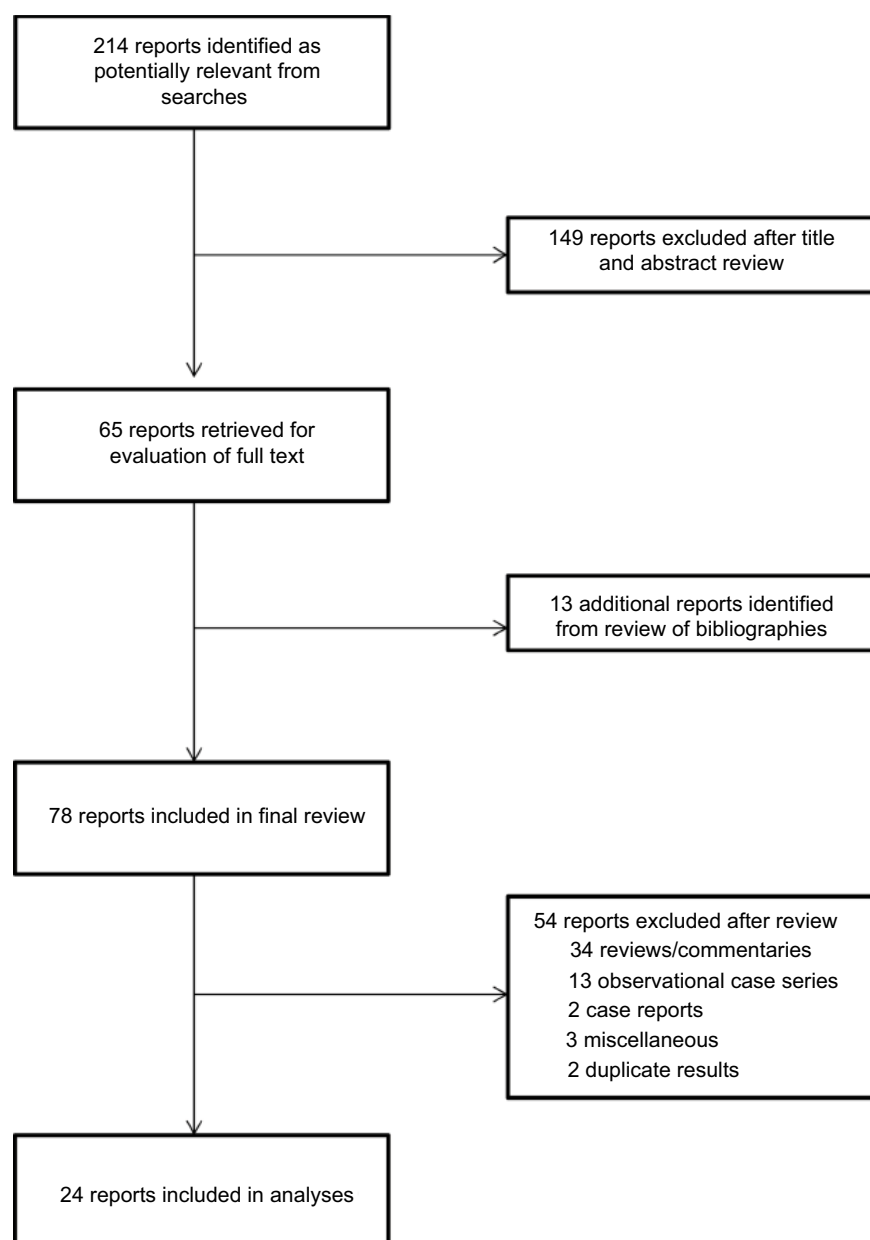
**Table 2** Assessment of bias scored as high, unclear, or low risk of bias

Author	Random sequence generation	Allocation concealment	Blinding (participant)	Blinding (observer)	Incomplete outcome data	Selective reporting	Other bias
Aszmann et al <sup>34,37</sup> (duplicate)	High	High	High	Low	High	Unclear	Low
Aszmann et al <sup>50</sup>	High	High	High	High	High	Unclear	Low
Dellon <sup>41</sup>	High	High	High	High	High	Unclear	Low
Dellon et al <sup>25</sup>	High	High	High	High	High	High	Low
Dellon et al <sup>984</sup>	High	High	High	High	High	High	Low
Karazog et al <sup>26</sup>	High	High	High	High	High	Unclear	Low
Knobloch et al <sup>27</sup>	High	High	High	High	High	Unclear	Low
Lee and Dellon <sup>85</sup>	High	High	High	High	High	Unclear	Low
Liao et al <sup>28</sup>	High	High	High	High	High	High	Low
Macaré van Maurik et al <sup>33</sup>	Low	High	High	Low	Low	Low	Low
Macaré van Maurik et al <sup>42</sup>	Low	High	High	Low	Low	Low	Low
Macaré van Maurik et al <sup>31</sup>	Low	High	Low	High	Low	Low	Low
Macaré van Maurik et al <sup>66</sup>	Low	High	Low	High	Low	Low	Low
Nickerson <sup>46</sup>	High	High	High	High	Low	Low	Low
Nickerson <sup>86</sup>	High	High	High	High	Low	Low	Low
Nickerson and Rader <sup>49</sup>	High	High	High	High	Low	Low	Low
Rader <sup>29</sup>	High	High	High	Low	High	Lo	Low
Rozen et al <sup>32</sup>	Low	Unclear	Low	Low	Unclear	Unclear	Low
Tekin et al <sup>44</sup>	High	High	High	High	Low	Low	Low
Trignano et al <sup>45</sup>	High	High	High	High	High	Unclear	Low
Wieman and Patel <sup>38</sup>	High	High	High	High	High	Unclear	Low
Wood and Wood <sup>39</sup>	High	High	High	High	High	Unclear	Low
Yang et al <sup>30</sup>	High	High	High	High	High	Unclear	Low
Zhang <sup>40,87</sup> (duplicate)	High	High	High	Low	High	Unclear	Low

and neurolysis of the medial and lateral plantar and calcaneal tunnels. VAS pain scores (0–10) were recorded before and at 6, 12, 24, and 42 months post-DNS. The primary efficacy analyses included 465 participants who had a VAS pain score >5, of whom 152 had bilateral symptoms, resulting in the report of 617 operated limbs. The mean VAS score decreased from 8.5 to 2.0 at 6 months post-DNS and remained between 1 and 2 for 3.5 years. The authors concluded that a positive Tinel sign predicted a successful pain relief post-DNS; no comparison group having a negative Tinel sign was included.

Seven additional observational studies reported VAS pain scores pre-DNS and at intervals post-DNS ranging from days to several years. DNS typically included the common peroneal, deep peroneal, and the four medial ankle tunnels (tarsal, medial and lateral plantar, and calcaneal). Pre- and post-DNS VAS pain scores are summarized in Table 3. In 2008, Karagoz et al reported VAS pain scores among 24 participants with painful DPN (16 diabetes mellitus type [DMT]1 and 8 DMT2) immediately (day 1) and at 6 months after DNS.<sup>26</sup> Twelve cases had nonhealing neuropathic foot ulcers, three had toe amputations, and one had a contralateral leg amputation, factors indicating a substantial DPN. Pain reduction was apparent 1 day post-DNS, although the use of

postoperative medications is unclear. Improvement persisted at the 6-month evaluation, representing a mean pain reduction of  $4.8 \pm 3.0$ . Knobloch et al reported similar results, but with slightly less improvement  $12 \pm 6$  months post-DNS among 12 participants with painful DPN and a positive tarsal Tinel sign.<sup>27</sup> Liao et al described 306 DNS participants with painful DPN, separated on the basis of diffuse ( $n=161$ ) or focal pain ( $n=145$ ).<sup>28</sup> Pre-DNS scores were similar for both groups. One year post-DNS, the VAS scores were substantially lower for both groups ( $3.3 \pm 2.7$  and  $2.3 \pm 1.7$ , respectively); pain relief persisted and increased 2 years post-DNS ( $2.5 \pm 2.8$  and  $1.0 \pm 1.6$ , respectively). (SDs were estimated from Figure 1 of their article.) In a prospective study, Rader described the results of DNS among 38 participants with painful DPN (6 DMT1 and 32 DMT2).<sup>29</sup> Ten of the 38 had bilateral surgery. Pain relief was substantial and rapid, being apparent at 1 week post-DNS and persisting and increasing at the final visit (3–18 months). Wood and Wood<sup>39</sup> described the short-term results of unilateral DNS and neurolysis among 30 participants with painful DPN that were comparable to the other reports. In 2016, Yang et al reported the results of DNS at daytime, nighttime, and during peak pain (VAS scores) among eleven participants with intractable DPN pain at 2 weeks and 3, 6, 12,



**Figure 1** Flow diagram of literature search results.

and 24 months post-DNS.<sup>30</sup> All three pain measures showed substantial improvement. For example, daytime pain fell from  $7.9 \pm 0.6$  to  $4.5 \pm 1.5$ ,  $2.1 \pm 1.9$ , and  $1.8 \pm 2.1$  at 0.5, 12, and 24 months post-DNS, respectively. The daytime, nighttime, and peak pain scores showed comparable improvement for each interval and the results were combined.

Macaré van Maurik et al reported the results of DNS among 40 participants with painful DPN.<sup>31</sup> This study differed from the preceding observational studies, in that DNS was randomized to one leg while the other served as a control. The baseline pain score for these 38 participants also differed from the remaining studies, being the lowest

included in our review ( $6.1 \pm 1.9$  bilaterally). Post-DNS, the pain scores for the surgical leg were significantly improved at 3 months (2.8, SD neither provided nor available on request), an effect that was essentially maintained at 6 and 12 months ( $3.1 \pm 1.2$  and  $3.5 \pm 3.0$ , respectively, representing a pre- to post-DNS difference of  $2.6 \pm 0.6$  at 12 months). The pain scores for the surgical leg were significantly improved relative to the nonsurgical control leg scores, although, perhaps unexpectedly, the mean scores for the nonsurgical leg were improved post-DNS, although to a lesser extent. The control leg scores were significantly higher than the surgical leg scores at all intervals, but were significantly improved

**Table 3** Global subjective pain scores pre- and post-DNS; mean  $\pm$  SD and estimated effect size (median value and range), where a positive effect size value indicates improvement

Authors	Study type	Pain scale	Limb/group	n	Pre-DNS score	Post-DNS pain score at nearest month (effect size)					
						0.5	3	6	12	24	48
DNS groups											
Karazog et al (2008) <sup>26</sup>	Observational	VAS <sup>a</sup>	DNS	24	6.9±2.1	1.4±1.8		1.2±1.6			
						2.7 (2.4–3.6)		2.9 (2.6–4.0)			
Knobloch et al <sup>27</sup>	Observational	VAS	DNS	12	7.1±1.2				3.3±2.4		
									2.0 (1.7–3.2)		
Liao et al <sup>28</sup> (diffuse pain)	Observational	VAS	DNS	161	8.3±1.8 <sup>b</sup>			3.5±2.7 <sup>b</sup>	3.0±2.8 <sup>b</sup>	2.5±2.8 <sup>b</sup>	
								2.1 (1.8–2.8)	2.2 (1.9–3.0)	2.5 (2.1–3.3)	
Liao et al <sup>28</sup> (focal pain)	Observational	VAS	DNS	145	8.2±1.3 <sup>b</sup>			2.3±1.7 <sup>b</sup>	2.1±1.9 <sup>b</sup>	1.0±1.6 <sup>b</sup>	
								3.9 (3.1–4.7)	3.7 (3.2–4.9)	4.9 (4.2–6.4)	
Macaré van Maurik et al <sup>33</sup>	Randomized limb	VAS	DNS limb	38	6.1±1.9			2.8±1.3 <sup>c</sup>	3.1±1.2	3.5±3.0	
								2.0 (1.7–2.7)	1.9 (1.6–2.5)	1.0 (0.9–1.4)	
Rader <sup>29</sup>	Observational	VAS	DNS	38 <sup>d</sup>	8.7±1.6	0.6±0.4			0.3±0.3		
						6.8 (5.1–10.5)		7.3 (5.3–11.4)			
Rozen et al <sup>32</sup>	Double-blind, RCT	Likert <sup>c</sup>	DNS limb	40	–8.0 <sup>e</sup>				[–5.7±2.1] <sup>f</sup>		[–7.5±2.5] <sup>f</sup>
									2.7 (2.3–3.5)		3.0 (2.5–3.9)
Wood and Wood <sup>39</sup>	Observational	VAS	DNS	30	8.8±1.9			3.1±2.3			
								2.7 (2.3–3.5)			
Yang et al <sup>30</sup>	Observational	VAS <sup>g</sup>	DNS	11	7.1±1.3	4.5±1.7	3.2±1.5	2.1±1.7	2.0±2.0	1.9±2.1	
						1.7 (1.5–2.2)	2.8 (2.4–3.6)	3.3 (2.8–4.3)	3.0 (2.6–4.0)	3.0 (2.6–4.0)	
Average pain score (average effect size)				459	8.0±1.8	1.5±1.8	3.0±1.8	2.7±2.3	2.5±2.5	1.8±2.4	[–7.5±2.5] <sup>f</sup>
						4.7	2.4	2.9	3.0	3.6	3.0
Control groups/limbs											
Liao et al <sup>28</sup>	Observational	VAS	Control group	92	8.0±1.2 <sup>b</sup>			7.6±1.4 <sup>b</sup>	8.0±1.1 <sup>b</sup>	7.8±1.3 <sup>b</sup>	
								0.3 (0.3–0.4)	0.0 (0.0–0.0)	0.2 (0.1–0.2)	
Macaré van Maurik et al <sup>33</sup>	Randomized limb	VAS	Control limb	38	6.1±1.9			4.4±0.7 <sup>h</sup>	4.6±0.6 <sup>h</sup>	5.3±2.8	
								1.2 (0.9–1.7)	1.1 (0.8–1.5)	0.3 (0.3–0.4)	
Rozen et al <sup>32</sup>	Double-blind, RCT	Likert	Sham limb	~20	–8.0 <sup>e</sup>				[–5.3±2.8] <sup>f</sup>		[–6.0±2.4] <sup>f</sup>
									1.9 (1.6–2.4)	2.5 (2.1–3.2)	
Rozen et al <sup>32</sup>	Control group		Control group	~20					NS		NS
Average pain score (average effect size)				170	7.7±1.7	4.4±0.7	4.6±0.6	5.3±2.8	7.8±1.3		
						1.2	1.1	0.3	0.2		

**Notes:** <sup>a</sup>VAS pain scores based on eleven-interval analog scale (0–10); <sup>b</sup>Liao et al mean  $\pm$  SD measured from Figure 1 of their paper; <sup>c</sup>Likert pain scores based on eleven items (0–10), with distances between each item being equal; <sup>d</sup>results confounded by 10 of 38 participants having bilateral DNS; <sup>e</sup>estimated using mean difference from baseline at 12 months (DNS leg, –5.7 $\pm$ 2.7; sham leg, –5.3 $\pm$ 2.9) and at 54 months (actual value not reported and unavailable on request); <sup>f</sup>(mean difference  $\pm$  SD) from pre-DNS minus post-DNS; <sup>g</sup>combined daytime, nighttime, and peak pain scores, expressed on eleven-interval analog scale (0–10); <sup>h</sup>estimated SD from 12-month data.

**Abbreviations:** DNS, decompression nerve surgery; RCT, randomized controlled trial; VAS, visual analog scale.



relative to pre-DNS score at 3 and 6 months, but not at 12 months (pre- to post-DNS difference of  $0.8 \pm 0.4$ ). This initial improvement, albeit modest, in the scores for the nonsurgical leg post-DNS on the contralateral leg contrasts with the VAS scores reported by Liao et al for control subjects who refused surgery.<sup>28</sup> Namely, those controls had a mean VAS of 8.0 at the first visit, which remained unchanged during the 2-year follow-up.

The average effect size estimates resulting from the unblinded observational studies (also shown in Table 3) showed comparable results. The overall weighted-effect size following DNS was substantial. The largest effect size exceeded four and was observed shortly post-DNS, although these results were driven by a single study.<sup>29</sup> Nonetheless, highly favorable effect size results of 2.4–3.6 were sustained over 6–48 months. Control data obtained for participants who declined surgery showed essentially no change over a 6–24-month interval, with a maximum effect size of  $<0.3$  relative to baseline scores.<sup>28</sup> In contrast, the modest but unexpected pain reduction in the nonsurgical leg noted by Macaré van Maurik et al corresponded to an effect size of about 1.2 at 3 and 6 months after contralateral surgery, falling to 0.3 at 12 months, substantially less than the effect size of 1.0 for the DNS leg.<sup>31</sup>

Rozen et al reported the results of the only prospective, double-blind, randomized controlled trial (RCT) of DNS to investigate the long-term effects on pain in painful DPN (in abstract form,<sup>32</sup> full publication pending, S Rozen, University of Texas Southwestern Medical Center, personal communication, 2018). Participants were randomized into surgical and nonsurgical groups (2:1 ratio). Within the surgical group, participants were randomized to DNS/neurolysis (DNS surgery) on one side or a sham surgery on the other side, using identical incisions and dressings. Participants and evaluators were blinded to the DNS side. Baseline pain and HbA1c levels were similar in the DNS and sham surgery groups. Pain levels were scored using a Likert (0–10) scale, as opposed to the VAS scores reported for the studies described above. Of 138 enrolled, 92 were randomized to DNS and 48 to the control group; 40 DNS and 27 control participants participated in the 1-year evaluation and 36 in the 4-year evaluation. After 1 year, the surgical participants experienced a mean pain reduction of  $5.7 \pm 2.1$  in the surgical leg and  $5.3 \pm 2.8$  in the sham leg; both were significantly different from baseline and from nonsurgical controls, but not from each other. In contrast, the control group showed no significant pain reduction from baseline. At the long-term follow-up (mean 54.5 months), 36 surgical participants experienced a mean pain reduction of  $7.5 \pm 2.5$  in the DNS leg and  $6.0 \pm 2.4$  in the sham

leg, both still significantly different not only from baseline, but also from each other.

The pain relief associated with ipsilateral DNS was comparable to that reported from the observational studies at 12 months (eg, effect sizes of 2.7 vs 2.5, respectively). The pain relief reported for the sham surgery leg at 12 months was slightly lower than that reported for the DNS leg, but far greater than the values reported for nonsurgical controls by Liao et al<sup>28</sup> or for the leg contralateral to DNS surgery reported by Macaré van Maurik et al<sup>33</sup> in their unblinded study. The general magnitude of the mean pain reduction at 1 year in the sham leg in this RCT was substantially greater than the mean pain reduction in the nonsurgical leg in the unblinded trial of Macaré van Maurik et al (eg,  $-5.3$  Likert pain score [effect size of 1.9] vs  $-0.8$  VAS pain score [effect size of 0.3], respectively). In other words, the effect size at 12 months for the sham surgery leg of 1.9 was intermediate between the mean effect size for the DNS leg calculated for the observational studies (3.0) and the control leg effect size reported by Macaré van Maurik et al (effects sizes of 3.0 vs 1.9 vs 0.3, respectively). At 54 months postsurgery, the DNS and sham surgery legs showed pain relief effect sizes of 3.0 and 2.5, respectively (control leg data not available, but not significantly different from baseline scores).

## Secondary outcomes

### Sensation

#### Two-point discrimination

2PD was reported in nine studies, of which three included a comparison to a nonsurgical limb<sup>33,34</sup> or a control group.<sup>28</sup> Static 2PD (one or two points applied), moving 2PD (one or two points moved along the skin), or both were at times reported.<sup>35</sup> The results for each were generally comparable, differing only in magnitude (static 2PD larger than moving 2PD), and the results are reported for static 2PD.

Three studies provided subjective descriptions of 2PD results.<sup>25,26,36</sup> Dellon et al described progressive improvement in sensation among 628 participants undergoing DNS (152 bilaterally), with some 2PD being recovered at 1 year and the mean 2PD returning to the normal range at 2 years post-DNS.<sup>25</sup> Karagoz et al reported 2PD post-DNS (presumably bilaterally, but not specified) for 24 participants; 18 had improved 2PD immediately postop (day 1) and 21 had improved 2PD 6 months later.<sup>26</sup> They reported that 2PD for four leg sites (great toe, web space, heel, and calf) was improved on postop day 1 (73%) and at 6 months (89%). Valdivia et al reported that 93% of 60 participants with symptomatic DPN experience decreased (improved) 2PD 12

or more months post-DNS (evaluation confounded by inclusion of 40 patients with idiopathic neuropathy).<sup>36</sup>

Aszmann et al described 20 consecutive cases of DNS for DPN, including 12 cases that had posterior tibial DNS.<sup>37</sup> The non-DNS leg served as a control, although four participants had bilateral DNS before completion of the study to extend improvements to the opposite leg, leaving eight cases for comparison. After at least 12 months post-DNS, static 2PD recorded at the great toe pulp improved  $\geq 2$  mm in seven of eight DNS limbs and four of eight control legs, a nonsignificant difference (assuming ordered pairs from the published data in Figure 1,  $P=0.48$ , McNemar's test). The mean 2PD values (Figure 1 in the article) pre- and post-DNS were  $16.0 \pm 4.4$  and  $12.3 \pm 4.6$  mm for the surgical leg ( $P<0.01$ ) and  $14.3 \pm 4.8$  and  $14.1 \pm 5.1$  mm for the control leg ( $P=0.4$ ). The authors concluded that the sensation was improved, although the pre- and post-DNS change in 2PD for the two legs was not significant ( $P=0.12$ , paired  $t$ -test). The report of Liao et al involving 306 patients with painful DSN (145 had focal pain and 161 had diffuse pain) who underwent bilateral DNS and a comparison group of 92 eligible cases that had refused surgery included measurement of 2PD pre-DNS and 2 years post-DNS.<sup>28</sup> Comparable results were found at the great and fifth toes (great toe results are shown). Participants with diffuse pain showed significantly worse 2PD than those with focal pain at baseline ( $18.7 \pm 1.7$  vs  $17.4 \pm 1.9$  mm) and 2 years post-DNS ( $7.3 \pm 1.5$  vs  $6.4 \pm 1.5$  mm). Both groups showed slightly, but significantly worse 2PD relative to the control group at baseline (combined pre-DNS result of  $17.9 \pm 2.0$  vs  $16.3 \pm 1.8$  mm), whereas post-DNS, 2PD was significantly improved and markedly better than the control group 2PD ( $6.9 \pm 1.5$  vs  $17.8 \pm 1.7$  mm), which showed little change from baseline. Rader reported mean 2PD values (medial plantar and deep peroneal sites) for 39 participants (49 limbs) pre-DNS and 3 and 6 months post-DNS.<sup>29</sup> Only the mean values were reported. The combined mean for the two nerve sites were 16.7 mm pre-DNS, 12.5 mm 3 months post-DNS, and 11.1 mm 6 months post-DNS. Wieman and Patel reported 2PD results 2–26 months post-DNS (some bilateral).<sup>38</sup> Baseline mean 2PD of  $13.7 \pm 2.9$  mm (better than most results reported above) improved to  $11.7 \pm 2.5$  mm at 1–6 months post-DNS (worse than most results reported above; paired data unavailable). Wood and Wood reported 2PD (great toe) recorded from 33 participants with painful DPN.<sup>39</sup> Baseline 2PD of  $18.0 \pm 2.7$  mm improved at 1–3 months post-DNS to  $12.8 \pm 4.9$  mm ( $P<0.0001$ , paired  $t$ -test). Zhang et al reported 2PD results (great toe) for 560 DPN participants.<sup>40</sup> The 2PD values improved significantly from  $>9$  mm at baseline to

$6.7 \pm 1.6$  mm post-DNS. The post-DNS results of Wood and Wood and Zhang et al were comparable to those reported by Liao et al.<sup>29</sup>

In 2014, Macaré van Maurik, et al reported the 2PD results of pre- and 6 months post-unilateral DNS obtained from 42 patients with painful DPN.<sup>33</sup> They recorded 2PD from nine plantar sites; “no discrimination” was recorded if the participant was unable to distinguish two points at 25 mm. At baseline, the 2PD evaluations for the surgical and control legs were not significantly different. After 12 months, there was no significant improvement, and participants capable of performing 2PD testing at baseline showed significantly worsened 2PD for the surgical and control legs (actual 2PD measurements were not reported).

Study outcomes from most trials cannot be combined because of differing protocols and data reporting methods. The pooled results from five studies reporting 2PD values from the great toe showed pre- and post-DNS values of  $12.8 \pm 4.6$  and  $7.0 \pm 2.0$  mm, respectively ( $n=907$ , final evaluation), as shown in Table 4.<sup>28,34,38–40</sup> Ignoring that the pre- and post-DNS samples are not independent, this difference is highly statistically significant (mean difference of 5.8 mm [95% CI 5.5–6.1],  $P<0.0001$ ). The average effect size post-DNS for the five studies is 3.3. However, the results are not uniform. There is substantial variability in pre-DNS scores, with Zhang et al showing far better performance than the remaining four studies and the post-DNS effect sizes ranged from 0.8 to 7.1 across studies. The most favorable effect sizes were reported by Liao et al at 24 months post-DNS (average effect size of 6.8), but no other study showed an effect size exceeding 1.5 through 18 months DNS.

### Touch-pressure

Six papers reported touch-pressure sensation pre- and post-DNS.<sup>25,26,29,33,36,38</sup> Dellon et al reported that touch-pressure sensation among 628 participants undergoing DNS (152 bilaterally) was normal (sic), but remained abnormal 2 years after DNS.<sup>25</sup> Rader reported mean touch-pressure thresholds pre-DNS, 3 months post-DNS, and 6 months post-DNS of 50.6, 25.3, and 15.8 g/mm<sup>2</sup>, respectively, recorded for 39 participants (and 49 limbs),<sup>29</sup> but no statistical evaluation of the improving mean values. Wieman and Patel reported a “pattern of overall improvement in tactile sensation” 1–6 months post-DNS for 19 of 26 participants with DPN.<sup>38</sup> Valdivia et al reported that 100% of 60 participants had improved touch-pressure sensation 12 or more months post-DNS (data not provided).<sup>36</sup>

Macaré van Maurik et al recorded touch-pressure sensation among 42 participants as the ability to feel a 5.07 (10 g)

**Table 4** 2PD pre- and post-DNS; mean  $\pm$  SD and effect size (median value and range), where positive effect size value indicates improvement

Authors	Study type	Limb/group	n	Pre-DNS (mm)	Post-DNS (mm) at the nearest month (effect size)			
					3	6–12	18	24
DNS groups								
Aszmann et al <sup>34</sup>	Observational	DNS	8	16.0±4.4		12.3±4.6 0.8 (0.7–1.1)		
Liao et al <sup>28</sup> (diffuse pain)	Observational	DNS	161	18.7±1.7				7.3±1.5 7.1 (6.0–9.2)
Liao et al <sup>28</sup> (focal pain)	Observational	DNS	145	17.4±1.9				6.4±1.5 6.4 (5.5–8.4)
Rader <sup>29</sup>	Observational	DNS	39	16.7	12.5	11.2		
Wood and Wood <sup>39</sup>	Observational	DNS	33	18.0±2.7	12.8±4.9 1.3 (1.2–1.8)			
Zhang et al <sup>40</sup>	Observational	DNS	560	9.5±2.0			6.7±1.6 1.5 (1.3–2.0)	
Average 2PD		DNS		12.8±4.6	12.8±4.9	12.3±4.6	6.7±1.6	6.9±1.6
Average effect size					1.3	0.8	1.5	6.8
Control groups/limbs								
Aszmann et al <sup>34</sup>	Observational	Control limb	8	14.3±4.8		14.1±5.1 0.0 (0.02–0.04)		
Liao et al <sup>28</sup>	Observational	Control group	92	16.3±1.8				17.8±1.7 –0.8 (0.7–1.1)

**Abbreviations:** 2PD, two-point discrimination; DNS, decompression nerve surgery.

Semmes Weinstein monofilament scored for nine plantar sites.<sup>33</sup> At baseline, the mean scores for the designated DNS and control legs did not differ significantly (3.9 vs 3.6 sites). Three months post-DNS, the DNS leg mean score (5.4) had improved significantly relative to baseline and to the control leg score (4.0). At 6 and 12 months post-DNS, the improvement was not sustained and the scores had deteriorated to 4.8 and 4.6, respectively, scores no longer significantly different from baseline or the control leg scores (4.1 and 4.1, respectively). Karagoz et al reported mean pressure thresholds among 24 participants with DPN pre- and post-DNS (presumably bilaterally) measured at four sites (great toe, web space, heel, and calf).<sup>26</sup> Mean pressure thresholds were improved relative to baseline immediately post-DNS (day 1), with further improvement observed at 6 months. The thresholds (g/mm<sup>2</sup>) were improved to a similar extent for each site at each of the three evaluations, with average scores of 91.5 $\pm$ 16.3 preoperative, 51.3 $\pm$ 25.2 day 1, 38.8 $\pm$ 21.2 at 6 months, representing a substantial average effect size of about 1.8 (1.6–2.5) at day 1 and 2.8 (2.4–3.6) at 6 months.

### Quantitative sensory testing

Zhang et al reported improved quantitative sensory thresholds among 560 DPN participants who underwent DNS, including cold perception (21.1°C $\pm$ 0.6°C to 26.3°C $\pm$ 2.3°C,  $P$ <0.05), warm perception (46.3°C $\pm$ 2.3°C to 39.8°C $\pm$ 2.3°C,  $P$ <0.001),

and vibration perception (9.2 $\pm$ 2.2–6.2 $\pm$ 3.6  $\mu$ m).<sup>40</sup> The average standardized effect size was 2.3, results similar to those reported for touch-pressure sensation.

### Nerve conduction studies

In 1992, Dellon described the NCS results of 40 cases of symptomatic DPN evaluated pre- and post-DNS (6–83 months).<sup>41</sup> The cases included a combination of upper and lower extremity studies and the results were reported generally, indicating that 100% of the localized compression cases, 55% of the diffuse neuropathy cases, and 80% of the peripheral neuropathy plus compression cases showed electrodiagnostic improvement (not further defined). Wieman and Patel reported 26 DNS participants who had “electrodiagnostic studies carried out in the standard fashion, but with emphasis on any likely nerve compression components”.<sup>38</sup> Pre-DNS, all participants showed evidence of axonal loss and nerve CV slowing, but no consistent relationship between localized symptoms and the distribution of nerve abnormalities. They concluded that no significant information was obtained from the electrodiagnostic testing, as pre- and post-DNS NCS test results did not “vary markedly” in any patient.

Pre- and post-DNS NCS results are shown in Table 5. Only one study reported response amplitudes and distal latencies (tibial and peroneal motor) pre- and post-DNS.<sup>42</sup> Limbs were warmed in 37°C water for 30 minutes and kept warm

**Table 5** Nerve conduction study results pre- and post-DNS; mean  $\pm$  SD and effect size (median value and range), where positive effect size value indicates improvement

Authors	Study type	Limb/group	n	Posterior tibial		Common peroneal		Superficial peroneal		Sural	
				Pre-DNS	Post-DNS (effect size)	Pre-DNS	Post-DNS (effect size)	Pre-DNS	Post-DNS (effect size)	Pre-DNS	Post-DNS (effect size)
DNS groups											
Amplitude (mV)											
Macaré van Maurik et al <sup>42</sup>	Randomized limb	DNS limb	40	4.7±4.0	4.1±3.9 −0.2 (0.1−0.2)	3.1±2.6	2.1±1.8 −0.4 (0.4−0.6)	3.0±4.9	2.3±4.5 −0.1 (0.1−0.2)		
Distal latency (ms)											
Macaré van Maurik et al <sup>42</sup>	Randomized limb	DNS limb	40	4.3±1.4	4.5±1.4 −0.1 (0.1−0.2)	4.3±1.1	4.7±1.2 −0.3 (0.3−0.5)				
Conduction velocity											
(m/s)											
Liao <sup>28</sup>	Observational	DNS, focal pain	145	33.5±3.1	41.8±2.6 2.9 (2.7−3.8)	37.8±3.4	42.5±2.4 1.6 (1.4−2.1)	35.8±2.5	42.2±3.1 2.3 (1.9−3.0)	37.8±3.4	43.6±2.7 1.9 (1.6−2.5)
Liao <sup>28</sup>	Observational	DNS diffuse pain	161	35.5±3.6	42.0±2.8 2.0 (1.7−2.6)	36.8±1.9	42.2±2.9 2.2 (1.9−2.9)	37.6±2.9	43.6±2.3 2.3 (2.0−3.0)	37.7±3.9	43.2±3.6 1.5 (1.2−2.9)
Macaré van Maurik et al <sup>42</sup>	Randomized limb	DNS limb	40	36±13	37±10 <sup>a</sup> 0.1 (0.1−0.1)	38±9 <sup>a</sup>	37±8 <sup>a</sup> −0.1 (0.1−0.1)	23±21	19±21 <sup>a</sup> −0.2 (0.2−0.2)		
Zhang <sup>40</sup>	Observational	DNS limb	560	28.2±7.2	36.3±3.3 1.4 (1.2−2.0)	33.3±5.3	42.2±1.4 2.3 (1.7−3.4)	35.2±8.1	41.6±4.5 1.0 (0.8−1.3)	40.3±16.6 <sup>a</sup>	39.7±3.1 −0.1 (0.0−0.1)
Average CV		DNS		30.7±7.3	38.2±4.5 1.7	34.2±5.1	41.9±2.8 2.1	35.2±8.3	41.1±7.6 1.4	39.4±13.6	40.8±3.5 0.5
Control groups/limbs											
Amplitude (mV)											
Macaré van Maurik et al <sup>42</sup>	Randomized limb	Control	40	4.3±3.7	4.1±3.6 0.1 (0.1−0.1)	3.4±2.7	2.9±2.4 0.2 (0.2−0.2)	3.7±5.0	2.6±3.5 0.2 (0.2−0.3)		
Distal latency (ms)											
Macaré van Maurik et al <sup>42</sup>	Randomized limb	Control	40	4.3±1.3	4.1±1.6 0.1 (0.1−0.2)	4.1±1.4	4.4±1.3 −0.2 (0.2−0.3)				
Conduction velocity											
(m/s)											
Liao <sup>28</sup>	Observational	Control	92	36.7±3.4	31.6±3.5 −1.5 (1.2−1.9)	33.8±2.8	28.6±3.3 −1.7 (1.4−2.2)	38.6±3.7	34.4±3.6 −1.1 (1.0−1.5)	37.4±2.8	37.0±2.5 −0.2 (0.1−0.2)
Macaré van Maurik et al <sup>42</sup>	Randomized limb	Control	40	36±12	35±13 <sup>a</sup> −0.1 (0.1−0.1)	37±12	37±10 <sup>a</sup> 0.0 (0.0−0.0)	22±20	21±21 <sup>a</sup> −0.1 (0.0−0.1)		
Average CV		Control	1	36.5±7.1	32.6±7.8 −1.1	34.8±7.	31.1±7.2 −1.2	33.6±13.7	30.3±13.4 −0.8	37.4±2.8	37.0±2.5 −0.2

**Note:** <sup>a</sup>The large SD is unexplained.

**Abbreviations:** CV, conduction velocity; DNS, decompression nerve surgery.

under an infrared heater set at 37°C to minimize the effect of temperature on NCS results. Twelve months post-DNS, there was a slightly but significantly reduced peroneal motor amplitude ( $3.1 \pm 2.6$  vs  $2.1 \pm 1.8$  mV,  $P \leq 0.001$ ) and a prolonged peroneal motor distal latency ( $4.3 \pm 1.1$  vs  $4.7 \pm 1.2$  ms,  $P \leq 0.01$ ) relative to baseline. Both changes represent deterioration (effect size of  $-0.4$  for the peroneal amplitude). There was no significant change in the tibial motor and superficial peroneal sensory amplitudes or in the tibial distal latency. Nerve CVs demonstrated no significant change, but showed a very large SD that was not explained. There were no clinically important NCS differences between the surgical and control legs. The authors concluded that DNS for painful DPN had no beneficial effect on NCS results 12 months after surgery.

Two other studies reported CV results.<sup>28,40</sup> Liao et al found that CVs were improved post-DNS in the surgical group compared to the 92 controls group who declined surgery.<sup>28</sup> Improvement was similar among participants with focal or diffuse pain, with an average effect size for all four nerves of about 2.1. In contrast, their control group showed substantial deterioration during the same interval, with an average effect size of  $-1.1$ . They also evaluated the sural sensory nerve, a nerve not decompressed. The sural CV showed significant improvement post-DNS in both pain groups, although the effect size was lower than that of the three decompressed nerves, albeit still large (average of 1.67 for both groups). In a similar study design, Zhang et al reported the DNS significantly improved tibial, common peroneal, and superficial peroneal CVs, but not sural CV.<sup>40</sup> Their sural CV data showed a very large SD, something not explained. Zhang et al reported CVs for the posterior tibia, common peroneal, superficial peroneal, and sural nerves pre-DNS and 18 months post-DNS among 560 participants with DPN and 40 diabetic controls without neuropathy.<sup>40</sup> CVs improved post-DNS in all but the sural nerve, the only nerve not decompressed (sural nerve results that differed from those reported by Liao et al above).

Overall, the combined effect size involving CVs averaged about 1.4. The effect size for decompressed nerves ranged from 1.4 to 2.1 and averaged 1.7, a substantial improvement. In contrast, the effect size for the ipsilateral sural nerve averaged 0.5, much smaller albeit still improved. In contrast, the CVs recorded from the control groups or control limbs all showed deterioration, with an average effect size of  $-1.0$  for the tibial and two peroneal nerves and  $-0.2$  for the sural nerve.

## Ultrasound

Liao et al used high-resolution US to determine the cross-sectional area (CSA) of the tibial nerve (calculated at the

distal tip of the lateral malleolus) and the common peroneal nerve (calculated 1.5 cm below the distal tip of the fibular head).<sup>28</sup> At baseline, the tibial and peroneal nerve caliber did not differ significantly among the pre-DNS participants (focal and diffuse pain group results were combined) and symptomatic controls who declined DNS. All groups showed fusiform nerve swellings. The tibial nerve CSA of  $25.2 \pm 3.8$  mm<sup>2</sup> recorded just above the lateral malleolus was significantly larger than the values reported by Riazi et al for measurements 1 cm above the lateral malleolus for diabetic subjects with DPN ( $23.0 \pm 8.7$  mm<sup>2</sup>,  $P < 0.01$ ) and dramatically larger than the areas reported for diabetic subjects without neuropathy ( $18 \pm 6.5$  mm<sup>2</sup>,  $P < 0.001$ ).<sup>43</sup> Two years post-DNS, the CSAs were significantly decreased from baseline for the tibial (from  $25.2 \pm 3.8$  to  $18.4 \pm 3.8$  mm<sup>2</sup>) and common peroneal (from  $21.0 \pm 3.8$  to  $15.3 \pm 3.2$  mm<sup>2</sup>) nerves. During the same interval, the controls remained relatively unchanged: tibial nerve ( $24.4 \pm 4.2$  vs  $25.1 \pm 3.6$  mm<sup>2</sup>) and peroneal nerve ( $21.6 \pm 3.7$  vs  $21.9 \pm 3.2$  mm<sup>2</sup>). Liao et al described slightly more favorable morphological restoration (greater reduction of CSA) of the tibial and peroneal nerves post-DNS among participants who reported focal pain relative to those who reported diffuse pain. Zhang et al reported subjective findings from high-resolution US of the tibial and peroneal nerves, describing fusiform nerve swelling with increased CSA pre-DNS that “improved significantly after decompression” (data not reported).

The only other study that used US to determine nerve CSA found results somewhat different from those described above. For example, in a study of 42 participants undergoing DNS (32 of whom had US measurements of the tibial nerve at the tarsal tunnel), Macaré van Maurik et al also found that the tibial nerve CSA and the thickness of the flexor retinaculum were significantly larger among diabetic participants with painful DPN relative to their healthy controls.<sup>31</sup> However, repeat (unblinded) evaluations performed an average of 28 weeks post-DNS (tarsal tunnel release) showed “a decrease” in the tibial CSA, but no significant difference in the CSA from baseline or between the operated leg and the control leg.

## Microcirculation

Tekin et al used US to evaluate the hemodynamic and morphological parameters in posterior tibial and dorsalis pedis arteries.<sup>44</sup> They studied 27 participants with DPN, pre-DNS and 3 months post-unilateral DNS. All measures showed statistically significant improvement post-DNS (described for the posterior tibial artery, as the dorsalis pedis results were comparable). The tibial mean flow lumen diameter



was unchanged ( $2.5 \pm 0.6$  vs  $2.5 \pm 0.6$  mm), but the pulsatile index ( $5.8 \pm 2.8$  vs  $7.2 \pm 3.1$ ), resistance index ( $0.94 \pm 0.04$  vs  $0.89 \pm 0.05$ ), and flow volume ( $19.4 \pm 5.8$  vs  $22.7 \pm 7.1$  mL/min) were all significantly improved post-DNS (decreased resistance, increased flow volume). The control limb was not evaluated. Trignano et al reported transcutaneous oximetry results (dorsum of foot,  $PtCO_2$ ) pre-DNS and at 1 and 18 months post-DNS (tarsal tunnel release, 36 bilateral) among 20 participants with DPN and superimposed nerve compression.<sup>45</sup> The mean  $PtCO_2$  was 29.1 mmHg pre-DNS (average of both sides), with all 40 values lower than the critical value of 40 mmHg. Post-DNS,  $PtCO_2$  significantly increased, averaging  $45.8 \pm 6.4$  mmHg at 1 month (with four critical values) and  $46.8 \pm 6.7$  mmHg at 18 months (with two critical values).

## Neuropathic DFU formation

### Recurrent ulcer formation

Nickerson reported the results of a retrospective review of 65 diabetic patients with a DPN and a previous or current neuropathic DFU (involving 75 feet) who underwent DNS.<sup>46</sup> During the mean of 2.5 years post-DNS, eight recurrent DFUs developed in the 75 DNS legs. This 4.3% annual recurrence risk compared with standard care (daily foot inspection) of nearly 25% and “enhance care” (daily foot inspection and infrared measurements of foot temperature) rates of 8.5%.<sup>47</sup> Nickerson and Rader reported the extended follow-up of this cohort, an additional 3 years, during which a total of nine neuropathic DFUs recurred (annual recurrence risk of 2.6% per foot).<sup>48</sup> The same authors reported the prospective frequency of a recurrent or new DFU among 42 diabetic patients who underwent unilateral DNS and neurolysis for pain control in the leg having at least one previous healed neuropathic DFU and palpable pulses.<sup>49</sup> The contralateral (non-operated) leg served as a control. During 3 years of follow-up, operated legs developed two recurrent DFUs (4.8% or 1.6% annual recurrence risks), whereas nine contralateral control legs developed a first DFU (21.4% or 7.1% annual recurrence risk;  $P=0.07$ , McNemar’s test for paired proportions; no participant had bilateral ulcers). Zhang et al reported 18-months of follow-up after bilateral DNS (no control limb or group) for DPN, with most participants having asymmetrical limb pain.<sup>40</sup> Among 208 participants who had a neuropathic DFU prior to DNS, all ulcers healed and no participant developed a recurrent ulcer or a contralateral first ulcer (vs 22 expected first DFUs, using the 7% annual recurrence risk of contralateral first DFUs reported by Nickerson and Rader<sup>49</sup> for non-operated control legs;  $P=0.001$ , Fisher’s exact test). Trignano et al reported the results of DNS (tar-

sal tunnel release, 36 bilateral) among 20 participants with DPN and superimposed nerve compression, as defined by a positive Tinel sign and electroneurography but not further described).<sup>45</sup> Eight participants presented with a DFU; post-DNS, all ulcers healed and there were no recurrences in the eight limbs over the next 18 months.

### New ulcer formation

Azsmann et al retrospectively evaluated the development of initial neuropathic DFUs (and amputations) involving the operated and the contralateral, non-operated, limbs.<sup>50</sup> They identified 50 patients with painful DPN followed for at least 2 years after unilateral DNS (mean of 4.5 years, range, 2–7 years).<sup>50</sup> Reasons for not having bilateral DNS included deterioration in overall health, travel considerations, and incomplete relief of pain or recovery of sensibility following the initial operation. No neuropathic DFUs/amputations occurred in the DNS limbs, whereas 15 different patients experienced 12 neuropathic DFUs and three amputations involving the contralateral non-operated limbs (annual first DFU risk of 6.7%). The difference in DFU/amputation between the DNS and non-operated legs was significant ( $P<0.001$ , McNemar’s test for paired proportions). This population differed from the cohort reported by Nickerson and Rader in that none of the patients had a previous DFU. Zhang et al reported that during 2–5 years after bilateral DNS was performed for DPN (with most patients having asymmetrical limb pain), 3 of 352 participants developed a new neuropathic DFU.<sup>40</sup> The description that the patients had asymmetric leg pain is notable, as typical DPN is generally symmetrical. There was no control limb or group. Trignano et al reported the results of DNS (tarsal tunnel release, 36 bilateral) among 20 participants with DPN and superimposed nerve compression.<sup>45</sup> Eight participants presented with a DFU, all of which healed. No new ulcers developed over the next 18 months (0/28 limbs). For several of these studies, the annual risk of developing a first DFU can only be approximated, and the results cannot be compared because information involving subject age, duration of diabetes, and duration of DPN are not uniformly known.

## Discussion

Not all patients with DPN experience chronic pain when defined as being present for at least 12 months.<sup>51</sup> Some reviews estimate a prevalence of chronic neuropathic pain in diabetes of 10%–20%, and possibly as high as 40%–50% in those with DPN.<sup>52–54</sup> It is important to distinguish between the mild to moderate discomfort commonly associated with DPN and the aching, deep burning, or electric/shooting foot



pain characteristic of the painful DPN experienced by about 25% of DPN patients.<sup>1,55</sup> The epidemiology of painful DPN is unclear, as most studies do not segregate patients by the presence or absence of pain.<sup>56</sup> Similarly, among the studies we reviewed, descriptions of participants undergoing DNS were often limited and at times confusing. Few of the studies clearly distinguished between painful DPN, predominant small-fiber DPN, treatment-induced neuropathy, or diabetic polyradiculoneuropathy, and few distinguished between focal or multifocal painful compressive mononeuropathy and a diffusely painful DPN.

The confusing features of painful DPN undoubtedly reflect the time point at which it is studied. For example, there are reports of spontaneous resolution of severe pain associated with painful DPN within 10 months of onset in some patients,<sup>57</sup> likely resulting in the 12-month duration requirement used by some clinicians or investigators. Others have reported that mean pain scores remained essentially unchanged over nearly 5 years of follow-up.<sup>51</sup> These scores, however, reflected a combination of worsening and improvement, with nearly one-third of cases experiencing substantially less pain at follow-up. Daousi et al reported that 23% of surviving patients diagnosed with painful DSP were pain free for at least 12 months when reassessed 5 years later.<sup>52</sup> Although painful neuropathic symptoms often persist, a substantial proportion of patients with chronic painful DPN reported reduced symptoms and some became pain free despite deteriorating peripheral nerve function.<sup>58,59</sup> Such observations make clear the importance of precise clinical criteria in clinical trials of painful DPN.

Few would argue with the concept of decompressing a nerve mechanically injured at a site of focal compression. The distinction between surgical decompression of nerves entrapped at known sites of localized compression vs DNS at sites not known to be entrapped to treat DPN is particularly relevant, but not fully clarified in many studies.<sup>60</sup> The distribution of pain has been proposed as one strategy to determine who may be an ideal candidate for surgery. This is the approach used by Liao et al in their 2014 paper.<sup>28</sup> Patients were divided into a “focal pain” group, defined as pain over “scattered” areas of the legs, feet, heels, or toes (presumably characteristic of multiple mononeuropathies), vs a “diffuse pain” group, wherein patients’ pain involved the entirety of the lower limbs (characteristic of a painful DPN). This determination was made retrospectively and all patients were required to have a positive Tinel sign at a known potential site of entrapment. While both the focal and diffuse groups benefited from DNS, the focal pain group

tended to have better outcomes. Presumably, a mechanism of double crush including focal compression of baseline injured, diabetic nerves underlies the more focally affected patients. The authors state that their differentiation between “focal” and “diffuse” patients was inspired by Vinik and Casellini in 2014.<sup>61</sup> Interestingly, Vinik’s article does not make a clear distinction between focal and diffuse phenotypes or provide any methodology for differentiating between these possibilities. Vinik did separate diabetic neuropathies into symmetric polyneuropathies (DPN) and focal/multifocal neuropathies, including diabetic amyotrophy. Medical and surgical clinicians would agree that patients with atypical forms of diabetic neuropathies would not be surgical candidates.

Clearly, great challenges exist in differentiating any sort of “focal” vs “diffuse” phenotype, and the question remains whether this impression might guide decision making regarding surgical intervention. It is possible that the patient descriptions simply differed, and that the underlying pathophysiology is the same in either case. Tinel’s sign has been used to potentially differentiate between these possibilities, although even the “diffuse” patients in Liao’s study had Tinel signs. Even so, the sign itself may be of limited utility, and despite widespread application, a positive Tinel sign has generally been discredited for such use. Datema et al examined the sensitivity and specificity of Tinel’s sign in predicting compressive neuropathies at the fibular head, dorsum of the ankle, or tarsal tunnel.<sup>62</sup> Among patients with coexisting polyneuropathy, the sensitivity of a Tinel sign ranged from 0% to 20% and the specificity ranged from 73% to 91%. A positive or negative Tinel sign has been shown to be of little use in the setting of common upper extremity compressive neuropathies such as carpal tunnel syndrome (CTS),<sup>63</sup> and clinical screening procedures including Tinel sign showed poor or no agreement with CTS case definitions, with kappa values ranging between 0.00 and 0.18.<sup>64</sup> Clinicians should be skeptical that this sign reliably indicates an ongoing “double crush” in support of surgery. Instead, a Tinel sign and a more focal pattern of pain may support more thorough electrodiagnostic testing and perhaps structural imaging of a site in question.

Ignoring the descriptive difficulties associated with the different types of painful DPN and patient selection, the observational pain results following DNS were uniformly favorable, with a robust effect size beginning immediately post-DNS and extending over several years. There were questions involving interpretation of pain relief in trials where subjects with unilateral and bilateral DNS were comingled, but all studies reported significant and relatively persistent pain reduction. As early as 2004, DNS was said to

change the natural history of DPN, representing a potential paradigm shift in health care administration and introducing an opportunity for cost savings.<sup>65</sup> Yet, such conclusions may have been premature, with the greatest support coming from those observational studies having the highest risk of bias.

Only two studies performed DNS on one limb and used the contralateral limb as a control. The unblinded trial of Macaré van Maurik et al identified significant pain relief in the DNS leg at 3, 6, and 12 months post-DNS.<sup>66</sup> The magnitude of pain relief was less than described in the other observational trials (effect size 50%–69% of the combined remaining studies at the three intervals), something attributed to differences in DPN severity.<sup>66</sup> They also observed pain relief in the non-operated control leg, albeit significantly less than the relief observed in the DNS leg (effect size of about one-third the size of the DNS leg). Rozen et al performed the only double-blind randomized-controlled trial in which some participants underwent sham surgery on one of their legs. The sham surgery leg was treated identically to the DNS leg aside from not undergoing a decompression release or neurolysis.<sup>32</sup> Rozen et al recorded pain differently than the other observational studies (Likert vs VAS scale). In addition, the mean baseline pain was greater than that observed in the Macaré van Maurik trial, a conclusion based on the reduction in pain levels reported by Rozen et al. The values in pain reduction were substantially large as to suggest high baseline pain (data not available, full publication pending, S Rozen, University of Texas Southwestern Medical Center, personal communication, 2018). Nonetheless, the 12-month post-DNS pain relief reported by Rozen et al for the DNS leg was greater than that reported by Macaré van Maurik et al, but comparable to that reported by other observational studies. Surprisingly, the pain relief in the sham surgery leg at 12 months was comparable to the DNS leg and substantially greater than the pain relief observed in the control leg reported by Macaré van Maurik et al (effect sizes of 1.9 for the sham leg and 0.3 for the unblinded control leg). The results suggest that the sham operation produces pain relief. The mechanism is unclear, but may be in response to the tissue damage incurred during the sham procedure or by some other unexplained mechanism that did not have a similar effect on the control leg in the Macaré van Maurik study. Alternatively, and more likely, the sham surgery exposed the magnitude of the placebo effect associated with surgical procedures. Pain relief was significantly greater in the DNS leg compared with the sham surgery leg after 48 months, but the sham leg effect size of ~2.5 was notable. On the other hand, the absence of any significant change in their control group that underwent

neither DNS nor sham surgery is similarly unexpected, given the natural history of painful DPN discussed earlier.

The improved pain perception in the control leg observed by Macaré van Maurik et al was potentially attributed to an influence from the operated leg,<sup>66</sup> a phenomenon analogous to mirror image pain involving contralateral allodynia seen in some patients with post-traumatic neuropathic pain.<sup>67</sup> The mirror image pain concept may involve the uncrossed spinothalamic tract cells, a small percentage of the spinothalamic cells at any level of the spinal cord. However, pain is not perceived bilaterally or contralaterally via the ipsilateral pathway, unless there is damage to the crossed spinothalamic fibers, as in the postcordotomy syndrome.<sup>68,69</sup> To achieve bilateral analgesia from a unilateral intervention in these patients, a unilateral nociceptive input would have to be perceived bilaterally, so that the analgesia is likewise perceived bilaterally. Aside from posttraumatic neuropathic pain, there is little evidence that patients with neuropathic pain of peripheral origin perceive pain bilaterally from a unilateral source. There are experimental models showing increased levels of interleukin 10 (IL-10) in bilateral dorsal root ganglia after a unilateral nerve or spinal root constriction injury.<sup>70</sup> IL-10 is an anti-inflammatory cytokine produced by immune cells that attenuate neuropathic pain induced by a chronic constrictive nerve injury. The signaling mechanisms responsible for alterations in the contralateral dorsal root ganglia are unclear, but similar bilateral results were observed after a sham operation in which nerves were exposed but no nerve ligature was applied. The authors proposed that tissue damage associated with a sham operation was sufficient to upregulate IL-10. No pain-related behavioral data were included to relate to the observations. Others have shown that administration of IL-10 at the site of a chronic constrictive nerve injury produced a brief and modest downregulation of the inflammatory response and the resulting thermal hyperalgesia.<sup>71</sup> The evidence suggests that IL-10 is triggered in response to tissue injury (among other proinflammatory processes), but there is little evidence to consider it an explanation for bilateral pain relief following unilateral DNS. Unlike referred pain or pain in one location inhibiting pain in another, both of which have known neurophysiological explanations, there is no established basis for “referred analgesia” as might be considered the phenomenon observed here.

The DNS literature includes little attention to the surgical placebo effect, but does at times indicate that the possibility cannot be ruled out without a control group with sham operations.<sup>72</sup> Approval of new drugs used to treat neuropathic

pain requires randomized, double-blind, placebo-controlled trials and long treatment durations for confirmatory trials. Similar rigorous standards are rarely applied to surgical procedures.<sup>73</sup> Particularly high levels of placebo response (eg, 50% decreases in VAS pain score) were found for 23% of participants in 57 clinical trials evaluating medications used to treat peripheral neuropathic pain, with 39% of participants receiving placebo experiencing a 30% reduction in neuropathic pain.<sup>74</sup> In a *New England Journal of Medicine* editorial, Redberg described the ethics of performing a sham surgical procedure as involving a balance between the risk of sham surgery and the risk of mistakenly believing a procedure is useful because of a placebo effect, thereby subjecting thousands or millions of patients to a useless procedure.<sup>73</sup> The magnitude of the surgical placebo effect has been reported to be about the same as for other placebo responses (ie, about 35%), but of longer duration.<sup>75</sup> The magnitude of placebo analgesia is substantial, having an effect size of 0.98–1.14, about five times larger than observed in placebo control studies.<sup>76</sup> In general, the strongest predictor of a placebo response is the subjectivity of the outcome, typically showing a pooled placebo effect exceeding 0.60.<sup>77</sup> A recent study designed to assess the quantity and quality of randomized, sham-controlled studies of surgery found that the effect size did not differ significantly between surgery vs sham surgery for pain-related conditions.<sup>78</sup> The authors concluded that the nonspecific effects of surgery are generally large, particularly in the area of pain-related conditions, where more evidence is needed from randomized placebo-controlled trials.

Presumably, several of the secondary objective measures are influenced by a placebo effect to a lesser extent than subjective pain perception; even so, many evaluations showed a beneficial effect similar to that observed for pain reduction. Measures of 2PD and touch-pressure included in several studies showed variable results, not only in methodology but also in outcome. Neither study that included a contralateral limb control reported a favorable improvement.<sup>33,34</sup> The largest study that utilized 2PD showed a dramatic improvement in 2PD recorded from the pulp of the great and small toes 24 months post-DNS, with an effect size of nearly 7.0 and mean 2PD values presumably in the normal range for their technique.<sup>28</sup> However, 2PD is a cortical sensation not generally considered sensitive for detecting DPN and is neither routinely included in the clinical evaluation of DPN nor mentioned in the Toronto Clinical Scoring System<sup>79</sup> cited by Liao et al.<sup>28</sup>

NCSs represent a diagnostic gold standard used to identify the electrophysiological features of diabetic neuropathies, including DPN and focal entrapment mononeuropathies.

Surprisingly, a minority of studies described specifics of the NCS evaluation aside from mentioning global summary results (eg, neuropathy with or without superimposed nerve compression). Some studies made no mention of NCS results, indicating only their availability, whereas others were dismissive of their utility, indicating that NCSs provided a lack of significant information, with no consistent relationship with localized symptoms and a lack of pre- and post-DNS variation.<sup>38</sup> It is true that NCS results may be of limited value at times, especially in cases of severe DPN when responses may be absent. It is also true that NCSs may be demanding, requiring careful attention to technical details such as limb temperature, stimulation sites, and distance measurements. Nonetheless, NCS results showed an overall beneficial effect of DNS, although the only study using a contralateral limb control showed negative results. Ignoring for a moment the variable findings, the NCS results reported were frequently not those relevant to focal entrapment. Specifically, only Macaré van Maurik et al reported motor and sensory response amplitudes (sensitive indicators of axonal loss) and distal latencies across potential entrapment sites (sensitive indicators of focal compression). Both measures showed deterioration post-DNS. Conversely, most studies reported only CVs, which, in the case of the tibial nerve, involved conduction in the nerve segment proximal to the site of potential entrapment. Common peroneal CVs measured across the fibular head and, therefore, including the site of potential compression would be most appropriate, and the common peroneal CV measures showed the largest pre- vs post-DNS effect size. However, most studies reported peroneal CV from above the fibular head to the ankle, not just across the site of potential compression at the knee. The substantial improvement noted in tibial and peroneal CVs relative to the sural CV supports a beneficial effect of DNS. Most would have considered the sural CV to represent a positive control, as this nerve was neither decompressed nor entrapped. However, the sural CV also showed improvement post-DNS, albeit to a lesser extent, a phenomenon which goes unexplained. Whether the improved sural CV post-DNS represents some unidentified factor such as increased near-nerve temperature due to increased blood flow or changes in sympathetic tone is unknown, but deserving of study explication.

An additional question remains of how the NCS results are to be interpreted. It is known that the conduction slowing across entrapped sites such as the carpal tunnel in patients with mild DPN is not a surrogate for pain, as the majority of these patients are not symptomatic for CTS. For example, in one study, over 23% of 414 participants fulfilling the criteria

for a mild DPN also fulfilled NCS criteria for a median mononeuropathy at the wrist, whereas few were symptomatic for a CTS and only one (0.2%) underwent CTS-release surgery on the studied nerve during the 18-month follow-up period.<sup>80</sup> The reason for this increased prevalence of asymptomatic median mononeuropathy is unclear – possibly representing increased susceptibility of diabetic nerves to focal trauma or compression due to nerve swelling – but the implication vis-à-vis focal nerve entrapments in the foot is important. One interpretation is that focal NCS abnormalities are required but insufficient to justify DNS. Although the selective use of limb CV is a relatively insensitive measure of focal entrapment, the inconsistent yet generally favorable CV results post-DNS represent a physiological result that does not necessarily reflect a beneficial response in a clinically affected nerve. For example, increased CV associated with increased limb temperature is a normal physiological response and not equivalent to increased function, such as increased sensation. To date, the NCS results are not convincing, and favorable results from appropriately designed studies are currently unavailable.

The US imaging and microcirculation results are provocative, providing anatomical and physiological explanations for some of the unanswered questions relevant to painful DPN and to DNS. Identification of fusiform nerve swelling near compression sites provides a mechanical explanation for compressed nerves, as do the generally favorable results post-DNS, showing a significant decrease in nerve CSA and improved hemodynamics from baseline. Although the morphological and hemodynamic results including vascular resistance and flow volume were in the same direction, they appeared inconsistent across studies, likely reflecting differences in technique. Whether the technology at present has sufficient sensitivity and reproducibility is uncertain. These methods may improve over time, both technically and methodologically, as reporting protocols evolve. Similarly, the single study reporting transcutaneous oximetry results showed impressive improvement in oxygen partial pressures in the skin of the foot post-DNS, with most participants having values suggestive of tissue hypoxia improving to the normal range 1 month post-DNS and persisting at 18 months. There was little information about the extent of underlying diabetic peripheral vascular disease relative to neuropathic ischemia, but it is unclear if such information is measurable or relevant. Evaluation of microcirculation is important to understanding blood flow in the small blood vessels that supply the nerves and other tissues, and this is a developing area of research important to DPN. These microcirculation results suggest a possible explanation aside from improved sensation

for the apparently reduced incidence of initial and recurrent neuropathic DFUs post-DNS relative to the contralateral foot. Ulceration is arguably resistant to the placebo effect, yet questions remain about influences such as unrecognized postoperative behaviors that differentially protect the DNS foot relative to the non-operated foot (eg, possible increased reliance on the non-operated leg, thereby increasing the likelihood of “injury”?). Nevertheless, the current favorable results of DNS vis-à-vis recurrent neuropathic DFUs, the relatively objective outcome measure, and the required surgical treatment make this condition a seemingly ideal candidate for a prospective RCT with sham surgery.

The present review confirms that the evidence does not support the use of DNS to treat painful DPN. Few would disagree that lack of evidence is not, in and of itself, evidence of ineffectiveness. Those who view DNS as a promising therapy for properly selected cases of painful DPN correctly note that unproven is not equivalent to unnecessary or ineffective and argue for patience while gathering better information.<sup>9</sup> However, the lack of evidence is confounded by an overall lack of precision in defining cases of potential DNS candidates. The use of DNS to treat an unequivocally compressed nerve, with or without an underlying DPN, is indisputable, yet this literature blurs the boundary between treatment of a painful compressive mononeuropathy, confluent mononeuropathies, and a diffuse DPN. It is true that some studies emphasize focal symptoms and most studies utilize a positive Tinel sign as evidence of localized compression, despite evidence showing the limited clinical utility of this subjective measure. The use of electrodiagnosis, the existing gold standard for documenting a localized mononeuropathy, has been limited. Granted, it may be difficult to identify a focal nerve compression superimposed on a severe DPN, a problem highlighted by the difficulties identifying CTS superimposed on a DPN.<sup>81</sup> The difficulty, however, is one of oversensitivity, and it is unlikely that a symptomatic compressive neuropathy would result in normal conduction across the site of compression. It also seems probable that focal abnormalities or asymmetric findings would occur frequently if localized nerve compression explained even a minority of cases of painful DPN. The credibility of NCS data was discredited at times when strikingly unequivocal results were obtained. At other times, seemingly inappropriate NCS measures were used, with reliance on CV measured in nerve segments above the site of a presumed compression, further confusing the distinction between nerve entrapment and diffuse DPN. Surprisingly, only a few studies addressed the effectiveness of decompression of an entrapped tibial nerve at the tarsal



tunnel, the logical first step before expanding the procedure to multiple nerves at multiple potential entrapment sites.

Our analyses have limitations. Foremost, most of the studies included in our review were observational and used different eligibility criteria and outcome measures. Unlike gold-standard double-blind randomized controlled studies, observational studies have a high potential risk for bias as summarized in Table 2 for each study included in our analyses. The systematic error associated with such differences in risk of bias likely explains much of the variation in results observed between studies we reviewed. In addition, our intended meta-analysis was restricted because few studies using paired samples or repeated measures included the raw data or the paired sample correlations. This problem made it difficult to combine data or assess the significance of pre- and post-DNS changes and resulted in our use of pooled effect size estimates.

The difficulties involved in performing high-quality randomized trials with low risk of bias include, at least in part, the general reluctance to include sham procedures in the evaluation of surgical treatments. The only randomized double-blind trial that included sham surgery on one leg unexpectedly showed comparable pain relief in both the sham surgery and DNS legs (available in abstract only).<sup>32</sup> The results most likely highlight the magnitude of the surgical placebo effect. The debate will presumably also include exploration of central mechanisms such as mirror image pain to explain the observation. Nonetheless, any explanation aside from a placebo effect will have to be reconciled with 1) prior observations that unilateral studies were difficult to perform because many participants wanted to extend their post-DNS improvement to the opposite side, 2) unblinded studies showing results favoring the DNS leg relative to the nonsurgical leg, and 3) analogous but more common situations such as a bilateral CTS, in which unilateral release has not been associated with bilateral pain relief.

The current emphasis on evidence-based medicine undoubtedly raises the bar when considering usual or novel surgical treatments.<sup>48</sup> The concern that a promising, cost-saving treatment for painful DPN is being denied to individuals without an effective alternative must be balanced with the risk and expense of performing unnecessary procedures, subjecting thousands or millions of patients to an ineffective surgical procedure.<sup>82</sup>

Our review supports the findings and recommendations from the AAN practice advisory published over a decade ago.<sup>83</sup> That advisory supported the use of RCTs with blinded evaluations, something that has recently occurred with full results pending publication. The AAN statement also recom-

mended the use of standard definitions of DPN, validated functional outcome measures with blinded independent evaluations, and distinguishing between entrapment neuropathy and peripheral polyneuropathy, issues that persist. In the treatment of pain, nonspecific effects of surgery are generally large. Evidence is needed from randomized, placebo-controlled trials to avoid the continuation of potentially ineffective treatments.

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## Author contributions

JWA designed the protocol. JWA and RJ selected initial publications suitable for review and extracted data. JWA analyzed the data. JWA and RJ made substantial contributions to analysis and interpretation of data, participated in drafting and critically revising the manuscript, and approved the final version for publication. JWA is the guarantor of the present manuscript and takes full responsibility for the integrity of the results.

## Disclosure

JWA serves on the Epidemiology of Diabetes Interventions and Complications Study (EDIC) Continuing Follow-up (NeuroEDIC) and is a member of the EDIC Mortality and Morbidity Review Committee. He has received personal compensation for activities with Alnylam Pharmaceuticals, Eli Lilly & Company, Lilly Research Laboratories, and Veristat, or by firms representing companies in litigation. These activities have been as a consultant, advisory board member, data monitoring committee member, mortality and morbidity review committee member, participating study investigator, or expert witness. None of the potential conflicts of interest relates directly to any of the material discussed in the current review. RJ reports no potential conflicts of interest.

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## Supplementary material

### MEDLINE (Ovid) search strategy

1. randomized.ab
2. placebo.ab
3. trial.ab
4. (diabetes mellitus or diabet\$).mp. [mp = title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
5. exp diabetic neuropathies/ or diabetic peripheral neuropathy.mp
6. diabetic polyneuropathy.mp
7. exp diabetic foot/
8. exp decompression, surgical/
9. \*tarsal tunnel syndrome/su [surgery]
10. exp foot/su [surgery]
11. or/1–3
12. or /6–7
13. or 8–10
14. 11 and 12 and 13

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