

# Lymph node ratio as a valuable prognostic factor for patients with colorectal liver-only metastasis undergoing curative resection

Yuxiang Deng<sup>1,\*</sup>Jianhong Peng<sup>1,\*</sup>Yujie Zhao<sup>1</sup>Qiaoqi Sui<sup>1</sup>Ruixia Zhao<sup>2</sup>Zhenhai Lu<sup>1</sup>Miaozen Qiu<sup>3</sup>Junzhong Lin<sup>1</sup>Zhizhong Pan<sup>1</sup>

<sup>1</sup>Department of Colorectal Surgery, State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Sun Yat-sen University Cancer Center, Guangzhou, People's Republic of China; <sup>2</sup>Department of Public Health, School of Public Health, Sun Yat-sen University, Guangzhou, People's Republic of China; <sup>3</sup>Department of Medical Oncology, State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Sun Yat-sen University Cancer Center, Guangzhou, People's Republic of China

\*These authors contributed equally to this work

Correspondence: Zhizhong Pan; Junzhong Lin  
Department of Colorectal Surgery, Sun Yat-sen University Cancer Center, 651 Dongfeng Road East, Guangzhou 510060, People's Republic of China  
Email panzhzh@sysucc.org.cn; linjzh@sysucc.org.cn

**Background:** Recent studies have suggested that the lymph node ratio (LNR) is a prognostic indicator for various malignancies. However, LNR has not been evaluated in colorectal liver-only metastasis (CRLM). This study aimed to investigate the prognostic value of LNR in patients with CRLM after curative resection.

**Patients and methods:** We retrospectively investigated the clinicopathologic features of 154 CRLM patients who underwent curative resection between 2005 and 2015. We classified patients into low and high groups based on their LNR by using the X-tile software. Survival curves were plotted through Kaplan–Meier method and compared by log-rank test. Cox proportional hazards analysis was performed to identify the factors associated with recurrence-free survival (RFS) and overall survival (OS).

**Results:** The patients were divided into two groups in which 124 patients were identified as LNR  $\leq 0.33$  and 30 patients as LNR  $> 0.33$ . Compared to low LNR, high LNR was significantly associated with poor 3-year RFS (47.2% vs 16.7%,  $P=0.001$ ) and OS (72.8% vs 45.3%,  $P=0.003$ ) rates. Multivariate analysis indicated that the LNR was an independent predictor for 3-year RFS (hazard ratio, 2.124; 95% CI, 1.339–3.368;  $P=0.001$ ) and OS (HR, 2.287; 95% CI, 1.282–4.079;  $P=0.005$ ). However, the node (N) stage and lymph node distribution were not significantly associated with the 3-year RFS ( $P=0.071$ ,  $P=0.226$ ) or OS ( $P=0.452$ ,  $P=0.791$ ) in patients with CRLM.

**Conclusion:** This study demonstrated that LNR was an independent predictor for 3-year RFS and OS in patients with CRLM who underwent curative resection and that its prognostic value was superior to that of N stage and lymph node distribution.

**Keywords:** colorectal cancer, liver metastases, lymph node ratio, N stage, prognosis

## Introduction

Hepatic resection is the main choice for the curative treatment of colorectal liver-only metastasis (CRLM).<sup>1</sup> However, 75% of patients experience tumor recurrence after the first liver resection,<sup>2</sup> and only 16% of these patients remain disease free for 10 years after hepatectomy.<sup>3</sup> Therefore, the management of CRLM remains challenging. Understanding the prognostic factors affecting CRLM is important to individualize chemotherapy and to achieve maximum therapeutic effectiveness according to patient classification.

In recent years, the lymph node ratio (LNR), defined as the ratio between the number of metastatic lymph nodes (LNs) and surgically removed LNs, has been suggested as a prognostic factor in malignancies, including pancreatic, breast, and

lung cancers.<sup>4-6</sup> Moreover, the LNR has been recently demonstrated as a prognostic indicator for disease-free survival in patients with stage III colorectal cancer.<sup>7</sup> However, the role of LNR in patients with CRLM who are undergoing curative resection has not been investigated until now. To date, the number of metastatic LNs is well accepted as one of the most important prognostic determinants for patients with stage IV colorectal cancer as acknowledged in the American Joint Committee on Cancer (AJCC) TNM classification of colorectal cancer.<sup>8,9</sup> Nevertheless, the number of regional LNs retrieved from a surgical specimen can be affected by multiple factors, including surgical technique, patient age, immune response, and tumor grade and location.<sup>10-14</sup> In addition, lymph node distribution (LND) has been shown to affect clinical outcomes in lung and esophageal cancer patients.<sup>15,16</sup> Another study indicated LND as a prognostic index in patients with stage III colon cancer.<sup>17</sup> However, LND is also affected by surgical technique and tumor grade.<sup>18-20</sup> Therefore, the prognostic value of node (N) stage and LND is limited for cancer patients.

Accordingly, this study aimed to investigate the prognostic value of LNR, N stage, and LND in patients with CRLM who are undergoing curative resection and to determine an accurate prognostic prediction by comparing the prognostic values of these indexes.

## Patients and methods

### Patient population

We retrospectively examined data from consecutive patients with synchronous CRLM who underwent curative resection both for primary tumor and liver metastases at Sun Yat-sen University Cancer Center from January 2005 to July 2015. The enrolled patients met the following inclusion criteria: 1) histologically confirmed adenocarcinoma, 2) preoperative metastases confined to the liver, 3) R0 resection for primary lesions and metastases, and 4) synchronous primary tumor and liver metastases. Patients were excluded from the analysis if they died within 1 month of operation, had incomplete pathologic data, or were lost to follow-up within 3 months. Tumor stage was classified according to the 2010 AJCC staging system. The present study was undertaken according to the ethical standards of the World Medical Association Declaration of Helsinki. Institutional review board approval was obtained from independent ethics committees at the Sun Yat-sen University Cancer Center. Informed consent was waived because of the nature of retrospective study, and the patient data were kept confidentially.

## Patient treatments

Treatment strategies including perioperative chemotherapy, radiofrequency ablation (RFA) therapy for hepatic metastases, and surgery were discussed by a multidisciplinary team. Patients whose tumors were deemed resectable with a low risk of recurrence were directly recommended for surgery, otherwise for preoperative chemotherapy. The chemotherapy regimens chosen included CapeOX (130 mg/m<sup>2</sup> oxaliplatin injected intravenously on day 1 and 1000 mg/m<sup>2</sup> capecitabine administered orally twice daily on days 1-14 for a 3-week cycle), FOLFOX (85 mg/m<sup>2</sup> oxaliplatin and 400 mg/m<sup>2</sup> leucovorin injected intravenously on day 1; 400 mg/m<sup>2</sup> 5-fluorouracil [5-FU] injected intravenously on day 1 and then 1200 mg/m<sup>2</sup> 5-FU injected intravenously for 2 days for a 2-week cycle), and FOLFIRI (180 mg/m<sup>2</sup> irinotecan and 400 mg/m<sup>2</sup> leucovorin injected intravenously on day 1; 400 mg/m<sup>2</sup> 5-FU injected intravenously on day 1 and then 1200 mg/m<sup>2</sup> 5-FU injected intravenously for 2 days for a 2-week cycle) regimens. All patients underwent standard complete mesocolic excision or total mesorectal excision with regional lymphadenectomy for the primary tumor according to the tumor location. Non-anatomical hepatectomy with R0 resection (tumor-free margin >1 mm) was also performed. The decision for the use of adjuvant chemotherapy was made by considering the patients' tolerance to and preference of chemotherapy. For patients receiving preoperative chemotherapy, the adjuvant chemotherapy regimen was consistent with preoperative chemotherapy.

## Definition

The LNR was defined as the number of metastatic LNs divided by the total number of retrieved LNs. The resected LNs were classified into three groups (pericolic, intermediate, and main) based on the Japanese classification of colorectal carcinoma.<sup>21</sup> The pericolic group was defined based on LN metastasis adjacent to the colon and rectum or along the vascular arcades of marginal arteries, with no metastasis to the intermediate and main nodes; the intermediate group was defined based on metastasis along the course of major vessels supplying the colon and rectum, with no metastasis to the main nodes; and the main group was defined based on metastasis near the root of major vessels. The LN from the tumor tissue was separated by a surgeon. And then, the LNs' dissection and wax block were performed by a technologist. Pathological diagnosis was made by a pathologist and validated by another experienced pathologist. For LND evaluation, we divided patients of our study into four groups according to the metastatic site of the

surgical LNs, which were the pericolic group, intermediate group, main group, and no LNs metastasis group.

## Follow-up

The follow-up protocol included evaluations every 3 months for the first 2 years after the completion of surgery, every 6 months from the third to fifth years, and once every year thereafter. Evaluations at each visit included obtaining complete blood count, evaluation of carcinoembryonic antigen and cancer antigen 19-9 levels, and physical examination. Chest radiography, abdominal and pelvic computed tomography, pelvic endoscopic ultrasonography, and magnetic resonance imaging were conducted every year; colonoscopy was performed on an annual basis. Overall survival (OS) was defined as the time from the date of liver resection to the date of death due to any cause or the date of last follow-up, and recurrence-free survival (RFS) was defined as the time from the date of liver resection to the date of postoperative recurrence or the last follow-up date. Patients without any event (recurrence or death) at the last follow-up date were regarded as randomly censored. Follow-up was terminated in June 2017.

## Statistical analysis

Continuous variables were represented as the median (range) or the mean (SD), and categorical variables as percentages. The cutoff value for the LNR was assessed using the X-tile 3.6.1 software (Yale University, New Haven, CT, USA), identified from the minimum *P* value according to OS. Statistical analyses were performed using the SPSS 20.0 software (IBM Corporation, Armonk, NY, USA) and the GraphPad Prism 7 software (GraphPad Software, Inc., La Jolla, CA, USA). We compared continuous variables that were normally distributed using Student's *t*-test and categorical variables using the chi-square ( $\chi^2$ ) test, Fisher's test, or nonparametric Mann–Whitney *U* test. The Kaplan–Meier method was used to estimate the survival rates for the different groups, and differences in survival were compared with the log-rank test. Variables that were statistically significant with a *P*<0.05 in univariate Cox models were further assessed with multivariate Cox models using a forward stepwise method. Hazard ratios (HRs) and 95% CIs were calculated. *P*<0.05 was considered statistically significant.

## Results

### Association between clinicopathologic features and LNR

Among the 279 consecutive patients with CRLM undergoing curative resection, we excluded 90 patients with

metachronous liver metastasis, 11 patients who were lost to follow-up, 2 patients who died within 30 days, and 22 patients without complete data. The final cohort thus consisted of 154 patients. The clinicopathologic characteristics of the 154 patients included in this study are shown in Table 1. The mean age of all patients was  $56 \pm 12$  years, and 64.9% of the patients were male. The median number of LNs retrieved was 12 (range 1–44), and the median number of metastatic LNs was 1 (range 0–19). X-tile software was used to determine 0.33 as the optimal cutoff value for the LNR at the maximum  $\chi^2$  value of 8.807 (Figure 1). Totally, 124 patients were classified into the low-LNR (LNR  $\leq 0.33$ ) group, while 30 patients were classified into the high-LNR (LNR  $> 0.33$ ) group. The demographic and tumor characteristics with respect to the LNR status of the patients are presented in Table 2. Compared with the low-LNR group, the high-LNR group was significantly associated with more advanced N status (*P*<0.001), more LN metastasis (*P*<0.001), and poorer tumor differentiation (*P*=0.002). The differences in other evaluated factors were not statistically significant between the two groups.

### Prognostic value of LNR, N stage, and LND

With a median follow-up time of 34 months (range 2–126 months) after liver resection, 58 (37.7%) patients experienced cancer-related mortality and 75 (48.7%) patients experienced disease recurrence; among these 75 patients with recurrence, 82.7% (62/75) had intrahepatic recurrence, 28.0% (21/75) had lung metastases, 14.7% (11/75) had abdominal pelvic metastases, and 1.3% (1/75) had other organ metastases. The median OS for patients in the high-LNR and low-LNR groups was 27 and 72 months, respectively. Kaplan–Meier analysis indicated that the 3-year RFS rate in the high-LNR group was significantly lower than that in the low-LNR group (16.7% vs 47.2%, *P*=0.001; Figure 2A). Similarly, the 3-year OS rate in the high-LNR group was also significantly lower than that in the low-LNR group (45.3% vs 72.8%, *P*=0.003; Figure 2B). Although the 3-year RFS rates in subgroups stratified by N stage were significantly different (49.9% for N0, 40.4% for N1, and 27.0% for N2, *P*=0.021; Figure 2C), the 3-year OS rate was comparable among the subgroups (68.4% vs 72.1% vs 59.2%, *P*=0.206; Figure 2D). However, there were no significant differences in the 3-year RFS (49.0% vs 35.6% vs 32.9% vs 35.7%, *P*=0.105; Figure 2E) or OS (66.4% vs 65.1% vs 70.0% vs 68.4%, *P*=0.589; Figure 2F) rate among the four groups (no metastasis, pericolic, intermediate, and main) stratified by LND. For patients with <12 resected LNs, the 3-year RFS rate in the high-LNR group was sig-

**Table 1** Clinicopathologic features of patients involved in this study

Characteristics		N=154 (%)
Age (years)	≤60	93 (60.4)
	>60	61 (39.6)
Sex	Female	54 (35.1)
	Male	100 (64.9)
Primary tumor site	Colon	100 (64.9)
	Rectum	54 (35.1)
Primary tumor size (cm)	≤4	94 (61.0)
	>4	60 (39.0)
T stage	1	0 (0)
	2	10 (6.5)
	3	97 (63.0)
	4	47 (30.5)
N stage	0	66 (42.9)
	1	51 (33.1)
	2	37 (24.0)
Histologic grade	Well/moderately differentiated	134 (87.0)
	Poorly differentiated	20 (13.0)
Retrieved LN	<12	76 (49.4)
	≥12	78 (50.6)
LND	No metastasis	69 (44.8)
	Pericolic	30 (19.5)
	Intermediate	27 (17.5)
	Main	28 (18.2)
Size of liver metastases (cm)	≤2.5	94 (61.0)
	>2.5	60 (39.0)
Number of liver metastases	Single	80 (51.9)
	Multiple	74 (48.1)
Pattern of liver metastasis	Oligo	68 (44.2)
	Multiple	86 (55.8)
Hepatic resection timing	Synchronous	118 (76.6)
	Metachronous	36 (23.4)
Treatment before primary resection	Chemotherapy	37 (24.0)
	Radiotherapy	1 (0.6)
	Chemotherapy and radiotherapy	10 (6.5)
	No treatment	106 (68.8)
Chemotherapy after liver resection	Yes	123 (79.9)
	No	31 (20.1)
RFA therapy	Yes	20 (13.0)
	No	134 (87.0)
CEA level (ng/mL)	≤5	61 (39.6)
	>5	91 (59.1)
	Not available	2 (1.3)
CA19-9 level (U/mL)	≤37	111 (72.1)
	>37	39 (25.3)
	Not available	4 (2.6)

**Notes:** CA19-9 and CEA levels before primary tumor resection. RFA therapy within 3 months before or after hepatic resection.

**Abbreviations:** CA19-9, cancer antigen 19-9; CEA, carcinoembryonic antigen; LN, lymph node; LND, lymph node distribution; RFA, radiofrequency ablation.

nificantly lower than that in the low-LNR group (15.8% vs 38.4%,  $P=0.028$ ; Figure 3A), while there was no difference in the 3-year OS rate (48.6% vs 57.4%,  $P=0.122$ ; Figure 3B). For patients with at least 12 resected LNs examined,

both 3-year RFS and OS rates in the high-LNR group were significantly lower than those in the low-LNR group (RFS, 18.2% vs 54.6%,  $P=0.046$ ; Figure 3C and OS, 40.0% vs 85.9%,  $P=0.022$ ; Figure 3D).

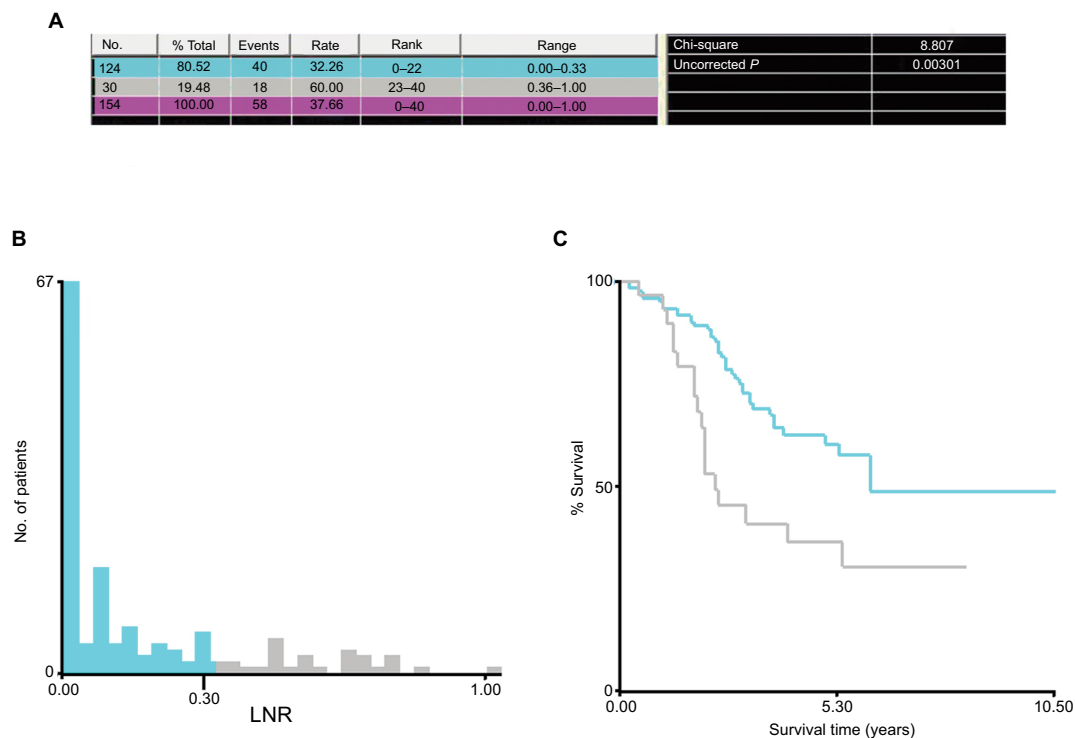
## Univariate and multivariate analyses

Univariate analysis revealed that an LNR  $>0.33$  (HR, 2.072; 95% CI, 1.314–3.267;  $P=0.002$ ), more liver metastases (HR, 2.062; 95% CI, 1.362–3.123;  $P=0.001$ ), multiple metastatic segments in the liver (HR, 2.114; 95% CI, 1.376–3.246;  $P=0.001$ ), and metachronous hepatic resection (HR, 1.788; 95% CI, 1.142–2.799;  $P=0.011$ ) were associated with worse RFS rates in patients with CRLM (Table 3). Meanwhile, non-treatment before primary resection (HR, 0.460; 95% CI, 0.302–0.699;  $P<0.001$ ) and non-RFA therapy within 3 months before or after hepatic resection (HR, 0.306; 95% CI, 0.182–0.515;  $P<0.001$ ) were associated with a favorable RFS rate. In addition, an LNR  $>0.33$  (HR, 2.301; 95% CI, 1.315–4.028;  $P=0.004$ ), rectal tumors (HR, 1.961; 95% CI, 1.170–3.286;  $P=0.011$ ), poorly differentiated tumors (HR, 2.245; 95% CI, 1.186–4.250;  $P=0.013$ ), and no chemotherapy after liver resection (HR, 1.929; 95% CI, 1.069–3.484;  $P=0.029$ ) were associated with worse OS in patients with CRLM. In contrast, no treatment before primary resection (HR, 0.526; 95% CI, 0.307–0.901;  $P=0.019$ ) was associated with better OS rate. However, neither N stage nor LND was identified as a significantly prognostic factor for 3-year OS or RFS rate.

A multivariate Cox proportional hazards model was used to further analyze the significant prognostic factor in the univariate analysis. The multivariate analysis revealed that an LNR  $>0.33$  (HR, 2.124; 95% CI, 1.339–3.368;  $P=0.001$ ) and more liver metastases (HR, 1.724; 95% CI, 1.086–2.737;  $P=0.021$ ) were associated with unfavorable RFS, whereas no treatment before primary resection (HR, 0.589; 95% CI, 0.381–0.912;  $P=0.018$ ) and RFA therapy within 3 months before or after hepatic resection (HR, 0.488; 95% CI, 0.274–0.868;  $P=0.015$ ) were associated with favorable RFS. In addition, an LNR  $>0.33$  (HR, 2.287; 95% CI, 1.282–4.079;  $P=0.005$ ), rectal tumors (HR, 1.929; 95% CI, 1.142–3.258;  $P=0.014$ ), poorly differentiated tumors (HR, 2.041; 95% CI, 1.060–3.929;  $P=0.033$ ), and no chemotherapy after liver resection (HR, 2.010; 95% CI, 1.106–3.653;  $P=0.022$ ) were associated with unfavorable OS in patients with CRLM.

## Discussion

Our findings demonstrate that the LNR is an independent predictor of RFS or OS in patients with CRLM who are



**Figure 1** X-tile plots of the LNR and the OS of patients with CRLM who underwent curative resection.

**Notes:** X-tile plots showing  $\chi^2$  values with cutoff points to generate the low- and high-LNR subgroups. **(A)** The optimal cutoff value of the LNR was 0.33 at the maximum  $\chi^2$  value of 8.807. **(B)** Histogram of the entire cohort divided into low-LNR and high-LNR subgroups according to the optimal cutoff value of 0.33. Blue bars represent the low-LNR group, and gray bars represent the high-LNR group. **(C)** Kaplan–Meier plot of OS in groups stratified using the optimal cutoff value of LNR. Blue curves represent the low-LNR group, and gray curves represent the high-LNR group.

**Abbreviations:** CRLM, colorectal liver-only metastasis; LNR, lymph node ratio; OS, overall survival.

undergoing curative surgical resection. We have also demonstrated that the LNR is superior to the N stage and LND as a predictor of RFS or OS in this cohort of patients.

Stage IV colorectal cancer is a heterogeneous group regarding the survival prognosis. The LNR is a continuous variable, but an optimal cutoff value makes it easier to define and identify the risk groups. For patients with high LNR, a worse differentiation grade was correlated. In another study, the authors also found that high LNR was also correlated to a higher risk of multiple metastasis locations and an often worse response to chemotherapy. All these factors add up toward a worse prognosis.<sup>22</sup> Recent studies have proposed the use of the LNR instead of the N stage to predict survival.<sup>23</sup> Ozawa et al reported that a higher LNR was associated with a worse 5-year OS, but not disease-free survival in patients with stage IV colorectal cancer who had undergone curative resection.<sup>24</sup> Moreover, Ahmad et al reported that the LNR was an independent predictor of survival and was associated with the hepatic tumor burden.<sup>25</sup> Furthermore, a meta-analysis of 33 studies suggested the LNR as an independent predictor of survival in colorectal cancer patients and recommended it to be regarded as a parameter in future oncologic staging systems.<sup>26</sup> Although LNR cutoffs based on median values and

quartiles have been proposed without consensus,<sup>24,27</sup> multiple studies, including the ones mentioned above, have demonstrated significant association of the LNR with prognosis. In our study, the cutoff value for LNR was identified using the X-tile program. This tool is used to assess the biological relationships between indexes and outcomes of certain diseases and can generate corrected *P* values to evaluate the statistical significance of data assessed by cutoff values. This statistical method has been shown to be effective in a number of similar studies.<sup>28,29</sup> The results of multivariate analysis in our study showed that the LNR was an independent indicator of 3-year RFS and OS. However, the N status did not influence the 3-year RFS or OS. These results were similar to the findings of the above studies.<sup>24,25,27</sup> These results demonstrate that the extent of nodal involvement is affected by the aggressive biological behavior of tumors.

For the clinical management of cancer, the TNM staging system is a standard that provides useful information for the choices of treatment and predicts patient prognosis.<sup>8</sup> In our study, Kaplan–Meier analysis indicated that the 3-year RFS rate, but not the 3-year OS rate, was significantly different among subgroups stratified by the N stage. However, the Cox proportional hazards modeling indicated that the N stage was



**Table 2** Relationships between LNR and patient characteristics

Characteristics		LNR (N=154)		P-value
		≤0.33 (n=124), n (%)	>0.33 (n=30), n (%)	
Age (years)	≤60	77 (62.1)	16 (53.3)	0.379
	>60	47 (37.9)	14 (46.7)	
Sex	Female	43 (34.7)	11 (36.7)	0.838
	Male	81 (65.3)	19 (63.3)	
Primary tumor site	Colon	84 (67.7)	16 (53.3)	0.138
	Rectum	40 (32.3)	14 (46.7)	
Primary tumor size (cm)	≤4	72 (58.1)	22 (73.3)	0.124
	>4	52 (41.9)	8 (26.7)	
T stage	I–3	87 (70.2)	20 (66.7)	0.709
	4	37 (29.8)	10 (33.3)	
N stage	0	66 (53.2)	0 (0)	<0.001
	1	45 (36.3)	6 (20.0)	
	2	13 (10.5)	24 (80.0)	
Retrieved LN	<12	57 (46.0)	19 (63.3)	0.088
	≥12	67 (54.0)	11 (36.7)	
LND	No metastasis	69 (55.6)	0 (0)	<0.001
	Pericolic	26 (21.0)	4 (13.3)	
	Intermediate	15 (12.1)	12 (40.0)	
	Main	14 (11.3)	14 (46.7)	
Histologic grade	Well/moderately differentiated	113 (91.1)	21 (70.0)	0.002
	Poorly differentiated	11 (8.9)	9 (30.0)	
Size of liver metastases (cm)	≤2.5	78 (62.9)	16 (53.3)	0.335
	>2.5	46 (37.1)	14 (46.7)	
Number of liver metastases	Single	64 (51.6)	16 (53.3)	0.866
	Multiple	60 (48.4)	14 (46.7)	
Pattern of liver metastasis	Oligo	57 (46.0)	11 (36.7)	0.357
	Multiple	67 (54.0)	19 (63.3)	
Hepatic resection timing	Synchronous	27 (21.8)	9 (30.0)	0.339
	Metachronous	97 (78.2)	21 (70.0)	
Treatment before primary resection	Yes	37 (29.8)	11 (36.7)	0.469
	No	87 (70.2)	19 (63.3)	
Chemotherapy after liver resection	Yes	98 (79.0)	25 (83.3)	0.598
	No	26 (21.0)	5 (16.7)	
RFA therapy	Yes	17 (13.7)	3 (10.0)	0.811
	No	107 (86.3)	27 (90.0)	
CEA level (ng/mL)	≤5	51 (41.1)	10 (33.3)	0.396
	>5	71 (57.3)	20 (66.7)	
	Not available	2 (1.6)	0 (0)	
CA19-9 level (U/mL)	≤37	89 (71.8)	22 (73.3)	0.926
	>37	31 (25.0)	8 (26.7)	
	Not available	4 (3.2)	0 (0)	

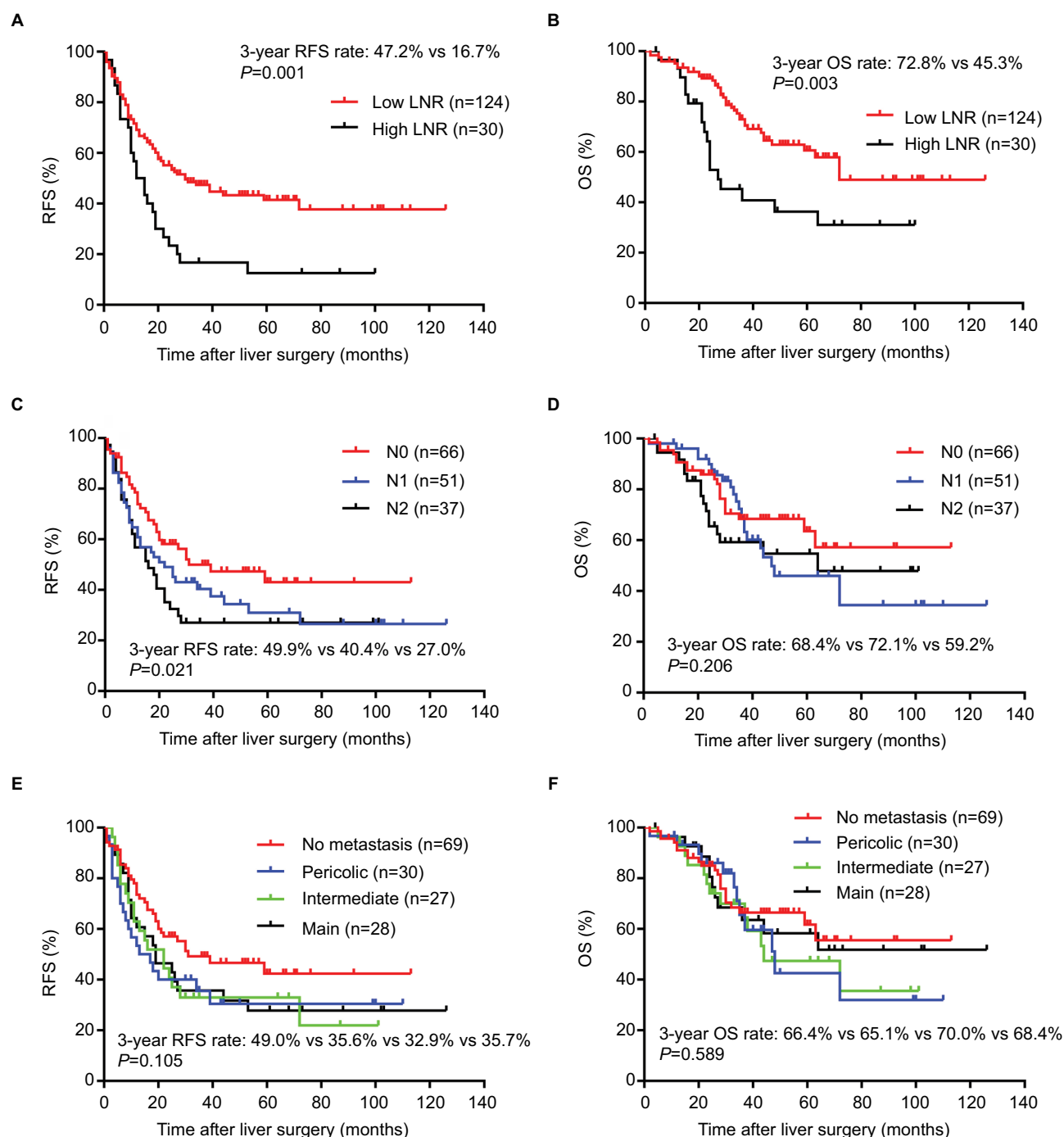
**Notes:** CA19-9 and CEA levels before primary tumor resection. RFA therapy within 3 months before or after hepatic resection.

**Abbreviations:** CA19-9, cancer antigen 19-9; CEA, carcinoembryonic antigen; LN, lymph node; LND, lymph node distribution; LNR, lymph node ratio; RFA, radiofrequency ablation.

not associated with the 3-year OS or RFS. Thus, the N stage cannot be used as a prognostic indicator in patients with CRLM. Some investigators argue that this staging system is inevitably affected by the number of total LNs resected. As the number of removed LNs increases, the number of positive nodes might increase, which can result in a higher N stage.<sup>7</sup> In addition, the number of regional LNs retrieved from a surgical specimen also varies with patient age and immune

response and tumor grade or site.<sup>10–13</sup> Therefore, because the N stage is affected by many factors, we conclude that it is a poor indicator of prognosis.

Our study further demonstrated that the stratification of patients according to LND cannot provide precise prognostic information in terms of OS or RFS. This is in contrast to the results reported by Leibold et al<sup>30</sup> and Kobayashi et al<sup>17</sup> who demonstrated that LND is an independent prognostic factor

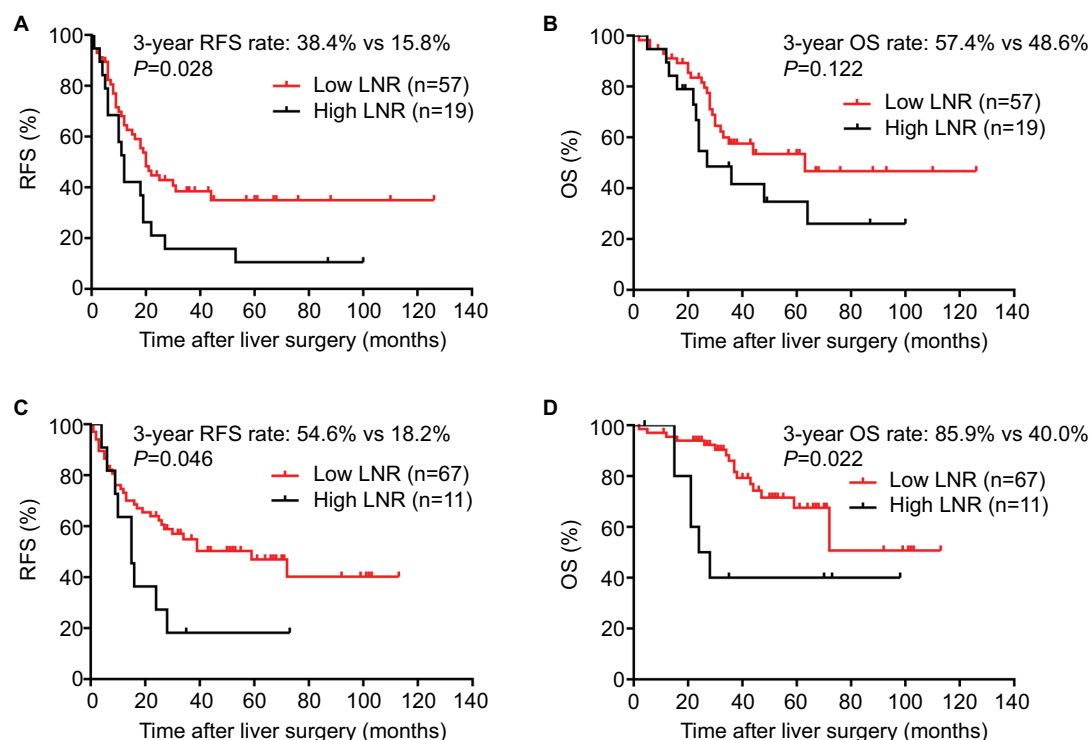


**Figure 2** Kaplan–Meier curves for 3-year RFS (**A**) and OS (**B**) based on the LNR, 3-year RFS (**C**) and OS (**D**) based on the N stage, and 3-year RFS (**E**) and OS (**F**) based on LND.

**Abbreviations:** LND, lymph node distribution; LNR, lymph node ratio; OS, overall survival; RFS, recurrence-free survival.

for OS or RFS. These discrepancies among our study and the studies by Leibold et al and Kobayashi et al might be due to the preference of choosing patients with early TNM stages. LND might play a significant role in the prognosis of patients with early and locally advanced colorectal cancer, but not in patients with CRLM because the outcomes in the latter group of patients are largely defined by the extent and

nature of hematogenous metastatic disease.<sup>31</sup> We speculate that distal metastasis is more important than local LN metastasis for predicting the survival of patients with CRLM. Thus, distal metastasis can affect patients' survival irrespective of the LND determined after the radical resection of LNs. In addition, the National Comprehensive Cancer Network recommends the removal and pathologic examination of at



**Figure 3** Kaplan–Meier curves for 3-year RFS (**A**) and OS (**B**) based on the LNR in groups with <12 LNs examined and for 3-year RFS (**C**) and OS (**D**) based on the LNR in groups with 12 or more LNs examined.

**Abbreviations:** LNR, lymph node ratio; LNs, lymph nodes; OS, overall survival; RFS, recurrence-free survival.

least 12 LNs for resectable primary colorectal cancer. However, information on the location of the resected LNs is not required. Therefore, the resection of intermediate or main LNs cannot confer a survival benefit to patients.

The eighth edition of the AJCC staging manual recommends a minimum of 12 LNs for postoperative pathologic examination. In our study, we also performed Kaplan–Meier analysis on the survival of patients stratified by groups with at least 12 or <12 LNs examined. In the subgroup with <12 LNs examined, the LNR was associated with unfavorable RFS, but not OS. In addition, in the subgroups with 12 or more nodes examined, the LNR was associated with unfavorable RFS and OS. Thus, the examination of 12 or more LNs would result in a more accurate LNR, thereby strengthening the value of the LNR as a prognostic predictor. In addition, when patients with  $\text{LNR} \leq 0.33$  have two options for therapeutic regimens, the less-aggressive option may be the optimal one. In addition, these patients can consider undertaking a less-strict follow-up schedule, considering the cost of follow-up examinations.

It is now recognized that colorectal cancer is not a single disease. For example, prognosis as well as response to oncological treatment are different between colon cancers in different locations. It is a fact that left-sided colon cancer has a

better prognosis and a more robust response toward antitumor therapy compared with right-sided colon cancer.<sup>32–34</sup> In our study, there was no significant difference in LNR between left- and right-sided colon (data not shown), so here we have not discussed the impact of tumor location on the LNR value as well as prognosis. Besides, mismatch repair protein proficient (pMMR) and deficient (dMMR) patients are also different from each other in many aspects.<sup>35,36</sup> But the number of cases in our study is relatively low. The different prognosis and the LNR values between pMMR and dMMR groups could be explored in future studies.

Here, we have confirmed that the LNR is a prognostic factor in patients with CRLM. However, the present study has several limitations. First, this study is limited by its retrospective design and single-institution focus; thus, a well-designed prospective study is needed to fully determine the value of LNR as a prognostic indicator. Second, some patients from our study were subsequently treated with chemotherapy and radiotherapy in different hospitals, but we do not have complete data regarding those cases. Third, we did not have access to the complete data of some patients. Nevertheless, the defined LNRs should be prospectively evaluated in multicenter studies.



**Table 3** Univariate and multivariate analyses performed with Cox proportional hazards models using factors influencing RFS and OS

Variable	OS			RFS		
	Univariate analysis		P-value	Multivariate analysis		P-value
	HR (95% CI)	HR (95% CI)		HR (95% CI)	HR (95% CI)	
LNR						
≤0.33	I (Reference)	I (Reference)		I (Reference)	I (Reference)	
>0.33	2.301 (1.315–4.028)	2.287 (1.282–4.079)	0.004	2.072 (1.314–3.267)	2.124 (1.339–3.368)	0.001
N stage						
0	I (Reference)	I (Reference)	0.452	I (Reference)	I (Reference)	0.071
1	1.289 (0.703–2.366)			1.479 (0.916–2.390)		
2	1.515 (0.783–2.929)			1.778 (1.068–2.961)		
LND						
No metastasis	I (Reference)	I (Reference)	0.791	I (Reference)	I (Reference)	0.226
Pericolic	1.254 (0.620–2.538)			1.634 (0.943–2.833)		
Intermediate	1.402 (0.705–2.787)			1.548 (0.885–2.708)		
Main	1.107 (0.535–2.291)			1.484 (0.856–2.574)		
Age (years)						
≤60	I (Reference)	I (Reference)	0.132	I (Reference)	I (Reference)	0.596
>60	1.487 (0.887–2.492)			1.118 (0.741–1.685)		
Sex						
Female	I (Reference)	I (Reference)	0.864	I (Reference)	I (Reference)	0.548
Male	1.048 (0.613–1.792)			1.140 (0.744–1.748)		
Primary tumor site						
Colon	I (Reference)	I (Reference)		I (Reference)	I (Reference)	
Rectum	1.961 (1.170–3.286)	1.929 (1.142–3.258)	0.011	1.280 (0.844–1.941)		0.246
Primary tumor size (cm)						
≤4	I (Reference)	I (Reference)	0.053	I (Reference)	I (Reference)	0.073
>4	0.574 (0.327–1.007)			0.674 (0.438–1.038)		
T stage						
1–3	I (Reference)	I (Reference)	0.412	I (Reference)	I (Reference)	0.492
4	1.255 (0.730–2.158)			1.161 (0.758–1.780)		
Histologic grade						
Well/moderately differentiated	I (Reference)	I (Reference)		I (Reference)	I (Reference)	
Poorly differentiated	2.245 (1.186–4.250)	2.041 (1.060–3.929)	0.013	1.631 (0.937–2.838)		0.083
Size of liver metastases (cm)						
≤2.5	I (Reference)	I (Reference)	0.259	I (Reference)	I (Reference)	0.050
>2.5	1.349 (0.802–2.268)			1.503 (1.000–2.259)		
Number of liver metastases						
Single	I (Reference)	I (Reference)	0.061	I (Reference)	I (Reference)	0.001
Multiple	1.653 (0.978–2.794)			2.062 (1.362–3.123)	1.724 (1.086–2.737)	0.021

(Continued)

Table 3 (Continued)

	OS			RFS		
	Univariate analysis		P-value	Univariate analysis		P-value
	HR (95% CI)			HR (95% CI)		
Pattern of liver metastasis						
Oligo	I (Reference)			I (Reference)		
Multiple	1.674 (0.973–2.878)	0.063		2.114 (1.376–3.246)	0.001	
Hepatic resection timing						
Synchronous	I (Reference)			I (Reference)		
Metachronous	1.415 (0.803–2.494)	0.230		1.788 (1.142–2.799)	0.011	
Treatment before primary resection						
Yes	I (Reference)			I (Reference)		
No	0.526 (0.307–0.901)	0.019		0.460 (0.302–0.699)	<0.001	0.018
Chemotherapy after liver resection						
Yes	I (Reference)			I (Reference)		
No	1.929 (1.069–3.484)	0.029		1.010 (0.610–1.672)	0.969	
RFA therapy						
Yes	I (Reference)			I (Reference)		
No	0.627 (0.307–1.284)	0.202		0.306 (0.182–0.515)	<0.001	0.015
Preoperative CEA level (ng/mL)						
≤5	I (Reference)			I (Reference)		
>5	1.012 (0.592–1.732)	0.964		1.441 (0.937–2.214)	0.096	
Preoperative CA19-9 level (U/mL)						
≤37	I (Reference)			I (Reference)		
>37	1.073 (0.587–1.962)	0.820		1.297 (0.823–2.044)	0.263	

**Notes:** P value; in Cox hazards regression analysis, variables found to be statistically significant ( $P < 0.05$ ) in univariate analysis were entered into a Cox regression multivariate model using a forward conditional method. CA19-9 and CEA levels before primary tumor resection. RFA, radiofrequency ablation; LNR, lymph node ratio; OS, overall survival; RFA, radiofrequency ablation; RFS, recurrence-free survival.

**Abbreviations:** CA19-9, cancer antigen 19-9; CEA, carcinoembryonic antigen; HR, hazard ratio; LND, lymph node distribution; LNR, lymph node ratio; OS, overall survival; RFA, radiofrequency ablation; RFS, recurrence-free survival.

The findings of our study suggest the need for the re-evaluation of the optimal use of the LN status. Many previous studies have shown that N stage and LND are effective prognostic factors. However, our study indicated that the LNR is superior to N stage and LND as a prognostic indicator in patients with CRLM. Therefore, surgeons can estimate the prognosis of patients with CRLM and formulate individualized treatment strategies based on the LNR.

## Conclusion

Our study indicated that LNR is an independent prognostic indicator for OS and RFS in patients with CRLM after curative resection and that its prognostic value is better than that of N stage and LND.

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## Disclosure

The authors report no conflicts of interest in this work.

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