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Purpose: Electroencephalogram (EEG) alpha asymmetry (AA) in depressive disorders has been of interest over the last few decades, but it continues to remain unclear whether EEG AA can discriminate between healthy and depressive individuals.

Materials and methods: A systematic literature search for papers addressing EEG AA using the keywords alpha asymmetry, depression, and EEG was performed in PubMed. All studies were checked for sample size, gender, handedness, reference, recording protocol, EEG band range, impedance, type of analysis, drugs, and comorbidity.

**Results:** A total of 61 articles were found, of which 44 met our inclusion criteria. They have been consecutively analyzed in respect of methodology and results. Approximately 25% (11/44) of the studies did not mention or ignored handedness, 41% (18/44) of the studies used data with only self-reported handedness, and only 34.1% (15/44) of all studies tested handedness. Only 35% (15/44) of the studies reported pharmacological treatment, and only 35% (15/44) of the studies controlled for medication. A total of 52% (23/44) of the studies reported comorbidity, and only 30% (13/44) of the studies controlled for comorbidity. Only 29.6% (13/44) of the studies reported education. In all, 30.5% (13/44) of the studies analyzed group differences and correlations, while 15.9 (7/44) of the studies used only correlational analyses. A total of 52.3% (23/44) of the studies analyzed only group differences. Alpha range was fixed (8-13 Hz) in 59.1% (26/44) of all studies. Reference to common average was used in seven of 44 studies (15.9%). In all, nine of 44 (20.5%) studies used the midline central position as reference, 22 of 44 (50%) studies used the ear or the mastoid as reference, and four of 44 (9.1%) studies used the nose as reference.

Conclusion: Discriminative power of EEG AA for depressed and healthy controls remains unclear. A systematic analysis of 44 studies revealed that differences in methodology and disregarding proper sampling are problematic. Ignoring handedness, gender, age, medication, and comorbidity could explain inconsistent findings. Hence, we formulated a guideline for requirements for future studies on EEG AA in order to allow for better comparisons.

Keywords: alpha asymmetry, depression, electroencephalogram, EEG, depressive disorders, review

#### Introduction

Over the last few decades, a lot of research concerning electroencephalogram (EEG) alpha asymmetry (AA) in depressive disorders (DD) has been conducted. EEG is of interest in respect of diagnosis of DD, with a special focus on frontal EEG AA, 1,2 as it is believed to be a useful biomarker for depression. 1-3 EEG AA is usually calculated by subtracting the right-side EEG power estimates from the respective counterpart on the other side. While normal controls have more right-sided frontal alpha power, depressive patients seem to have comparatively higher left frontal alpha power. 1,2,4 Cortical activity is related to reduced EEG power, which is reflected in left frontal hypoactivation in

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depressed subjects and as a deficit in approach mechanisms.<sup>5</sup> On the other hand, higher alpha power could be interpreted as correlate of active inhibition rather than cognitive idleness.<sup>6-8</sup> Several meta-analyses attempted to shed light on the usefulness of EEG AA for diagnostic purposes.<sup>9,10</sup> While Gold et al<sup>8</sup> concluded that there is sufficient reliability of frontal AA, correlations with depression scales were small and nonsignificant. The most recent meta-analysis including 883 major depressed patients and 2,161 controls found only a nonsignificant effect size for EEG AA in respect of major DD.<sup>10</sup> Gender, age, and severity of depression were especially identified as covariates of EEG AA.<sup>10</sup>

While many studies focus on depressive symptoms, there are, however, several subtypes of DD in terms of symptoms, duration, and etiology. In clinical routine, DD are diagnosed by a physician using ICD-10,<sup>11</sup> *Diagnostic and Statistical Manual of Mental Disorders*, Fourth Edition (DSM-IV),<sup>12</sup> or *Diagnostic and Statistical Manual of Mental Disorders*, Fifth Edition (DSM-V)<sup>13</sup> criteria. Depression scales are common for further specification of symptomatology and as diagnostic tools.

Another issue worth considering is the fact that most studies include only young patients,<sup>14</sup> and studies including older individuals were not able to replicate the diagnostic validity of EEG AA.<sup>15–17</sup> One major problem in this context might be publication bias, which makes it hard to publish negative results on EEG AA and leads to overinterpretation of results. Another interesting aspect is the fact that most studies deal with female individuals and not with males. Since frontal AA was found to be more consistent in women,<sup>18</sup> many studies focus only on females.

While age and gender data are easily obtained, handedness needs specific testing. Simple verbal information about the presumed handedness does not give valid information about hemispheric lateralization. The Edinburgh Handedness Inventory<sup>19</sup> can be used for proper documentation. Jesulola et al<sup>20</sup> did not report handedness and argued that hemispheric brain dominance is not only determined by handedness. Approximately 61%-70% of left-handed people have left hemispheric dominance. 21,22 As mentioned before, age seems to be a covariate of EEG AA, which raises the question if cognition is also a covariate. Cognition of participants is mostly ignored, although evidence for alpha 1 power correlation with cognitive abilities was found.<sup>23</sup> Alpha power and theta power are correlated with memory decline<sup>24,25</sup> and cognitive decline.<sup>26</sup> Aging must be considered in respect of EEG AA, as there are specific age-related changes that could explain why EEG AA changes are not found in geriatric patients.<sup>16</sup> One important theory, the right hemi-aging hypothesis,

proposes that the right hemisphere is more affected by agerelated changes.<sup>27</sup> This kind of hemispheric difference could also affect AA. Cabeza<sup>28</sup> established the "hemispheric asymmetry reduction in old adults" model, which assumes that hemispheric asymmetry is reduced during cognitive performance and reflects compensatory mechanisms. A third theory named "compensation-related utilisation of neural circuits hypothesis" states that elderly individuals activate additional brain regions not only from the contralateral hemisphere.<sup>29</sup> Closely related to cognitive ability is education, which could be easily ascertained and might as well affect EEG measures. Furthermore, educational biases between groups need to be ruled out in addition to gender, age, and cognition. Even sexual motivation seems to affect frontal AA, 30 expressed in a positive relationship between self-reported mental sexual arousal and a more left-sided AA. While most studies report findings on EEG AA, it is hard to find a consensus on what the alpha band range is. Some studies use fixed ranges, while others use individual alpha bands. 31 Evidence for age-related individual alpha frequency changes can be found, and also for smaller amplitudes in older adults.<sup>32</sup> Controlling for drugs is another important possible confounder in studies on EEG AA. While many studies<sup>15,16,85</sup> describe medication taken by the probands, any effects on the recorded EEG are simply ignored.

Summarizing the findings on EEG AA, it becomes evident that diagnostic validity is limited. One reason for this limitation could be the poor quality of some studies on EEG AA; also sample selection seems to affect the outcome. The aim of this review was to sum up methods used in studies on EEG AA and discuss potential flaws, which devalue the outcome and cannot help to shed light on the diagnostic validity of EEG AA. Not only handedness, gender, age, and education ought to be addressed but also culture, medication, and cognition need to be considered. A list of minimal requirements needs to be created in order to improve the quality of future studies on EEG AA and make the results comparable.

## Materials and methods

# Search procedure and characteristics of identified studies

On 13 July 2017, a search of PubMed was conducted using the combination of the following keywords in title and abstract: alpha asymmetry, depression, and EEG. Overall, the search resulted in finding 61 articles. Only studies that determined asymmetry on the basis of EEG data were included. Inclusion criteria for this review were a focus on EEG AA and affective disorders. Studies whose research focus was

on the analysis of other EEG correlates instead of AA and/or other mental disorders or main symptoms that did not include depression symptoms were excluded. No study was excluded due to methodological limitations, but rather because it missed the proposed research topic. In the next step, cultural background, type of study, sample size, percentage of right-handers, and number of female participants were collected. Furthermore, we collected data on education, reference style, recording protocol and length, as well as impedance and alpha band range. Moreover, "controlling for handedness" and "controlling for drugs" were added. All collected data were transferred to Microsoft Excel 2016. Descriptive data analysis was performed using IBM SPSS Statistics 24.

## **Results**

A total number of 61 publications were found using the following search criteria in PubMed (<a href="https://www.ncbi.nlm.nih.gov/pubmed/">https://www.ncbi.nlm.nih.gov/pubmed/</a>): (alpha asymmetry[Title/Abstract]) AND (depression[Title/Abstract]).

In all, 17 studies were excluded from further analysis since they did not fully meet search criteria.<sup>33–49</sup> From the remaining 44 studies published between 1996 and 2017, we collected data on the methods used.

## Topical heterogeneity of included literature

While all studies included in this study addressed EEG AA in DD, most of the studies tried to test the validity of EEG AA as a surrogate marker for depression and claimed to show evidence for that. 4,50-56 Some of the studies addressed specific topics such as melancholia and EEG AA.57 It is inferred that it remains unclear whether this can be used as a surrogate marker or not. 8,10,20,58 Anxiety was found to be correlated with the most evident relative change in frontal alpha asymmetry in one study.54 Some studies only proved EEG AA findings for anxiety and not for depression.<sup>59</sup> EEG AA changes were found only in schizophrenia and depression and not in other clinical disorders. 60 In addition, a general decrease in EEG power in all frequency bands in depression<sup>61</sup> as well as a lowered frontal EEG power in rumination was found.<sup>62</sup> Shyness was also a criterion and was able to predict greater relative right frontal AA only after controlling for depressive mood<sup>63</sup> and self-esteem, which was found to be a mediator of EEG AA only in its explicit type.<sup>64</sup> In suicide attempters, greater alpha power over the left hemisphere was found.65 One study addressed activity level in general, which might be correlated to EEG AA.66 Some interventional studies also proved a shift in EEG AA. 35,67-69 A prediction of the course of depression was not possible with EEG AA.<sup>70</sup> There was also a focus on whether EEG AA is a state or trait marker for depression, 16,71,72 which still remains undetermined. 72 A large number of the studies were not able to prove the diagnostic reliability of EEG AA. 73-75 In particular, findings on correlations between depression scores and EEG AA were inconsistent.8,79 Studies that addressed age had difficulties in validating previous findings on EEG AA. 16,17,80 Especially in young people and the oldest olds, previous EEG AA findings were not able to be replicated. 16,17 Other factors such as cortical thickness as a mediator of AA could be ruled out.81 Cognition was discussed as a possible moderator of EEG AA.15-17,82,83 Hereditary effects might play a role,84 but it was found that less left frontal activity at lateral sites was only associated with lifetime major depressive disorder (MDD) in offspring and not in parental MDD.<sup>47</sup> The issue of drug effects on EEG AA was discussed.85 It was also argued that conventional EEG analysis lacks temporal and spatial precision.<sup>56</sup>

## Methodological analysis

In Table 1, a comparison of methods in all publications is provided. While most studies tried to focus on EEG AA correlates of depression, the samples were small and, in many cases, not representative. Using students as probands is common as is the use of nonclinical samples. A transfer of the evidence data to clinical patients is often not possible since no clinical samples were used for analysis. Most of the studies used only female participants. The classification of depressive status was measured using depression scores or symptom ratings according to ICD-10 and DSM-IV. Recording length varied between 2 and 8 minutes. The reference points for EEG measurement were placed on the ear, mastoid, nose, or the midline central position (Cz) in most of the studies. In detail, reference to common average (CA) was used in seven of 44 studies (15.9%), while nine of 44 (20.5%) studies used Cz as reference. Half of all studies (22/44) used the ear or the mastoid as reference, and four of 44 (9.1%) studies used the nose as reference.

Re-referencing was also common in some cases. Statistical analysis relied on correlational analysis and analyses of variance (ANOVAs) in most of the studies. Analysis of group differences and correlation was performed in 30.5% of studies, correlational analysis was performed only in 15.9% of studies, and group differences were performed in 52.3% of studies. The alpha band range was mostly fixed at 8–13 Hz (26/44 studies). Concerning the controlling for common known confounders (Table 2), we found that 11 of 44 studies did not mention or even ignored the handedness of the participants. Only 15 studies relied on data of participants with tested handedness, while 18 studies relied on self-reported

Table I Comparison of methods in studies on EEG AA

According to the patients   CG   Experimental   CG   Experimenta	۱²	No Study	Sample		Age (years)	8	% female	Classification	Method	EEG detail			
EG. N= H4 (38   CG. 113 non- Experimental CG											1	:	
Li et a  <sup>1</sup>   EG: N = let   138   CG:   13 nota   A = 35.2   CG = natarcholic:   CG: M = 20.5   6.50   CG: Di HRS   CG: Di naturcholic:   MDD pateiras   M = 35.2   CB = 12.86   CG: M = 41.05   CG: M = 41.			Experimental group	9	Experimental group	o O		status		Reference montage	EO/EC	Recording length (min)	Alpha range (Hz)
Cardisani         EG: 20 patients         CG: 19 healthy         EG: 20 patients         CG: 10 healthy         CG: M = 41.03         SSIBO         SCID HAMD         Group         CA           et al <sup>mo</sup> of MDD         Arms et al <sup>mo</sup> of MDD         CG: 33 healthy         EG: 1008 MDD         CG: 33 healthy         EG: 11.04         CG: M = 37.84         CG: M = 38.9         57         MINI-Plus,         Group         LMss           Stewart         EG: 1400 MDD         CG: 13 healthy         EG: 12.14         SCD: BDI         Group         CG: MB           Stewart         EG: 141 MDD         CG: 18 healthy         EG: 11.13         CG: MB         SCD: BDI         Group         LMss           Athange et al <sup>mo</sup> and EG: 14 low-         CG: 21 healthy         EG: M = 34.0         CG: M = 34.0         SCD: BDI         LMss           And EG: 21 Holigh-         CG: 21 Holigh         CG: 24 MDD         NF group, M = 53.70         CG: M = 49.5         63.0         BDI-II, PHQ-9         Group         LMss           Ecolano         74 MDD patients         GC: 24 MDD         NF group, M = 53.70         CG: M = 49.5         63.0         BDI-II, PHQ-9         Group         LMss           Ecolano         74 MDD         NF group, M = 53.7         GC: GR = 40.8         M = 42.6 (range 28-50)         37.50 <td>_</td> <td>Liu et al<sup>s7</sup></td> <td>EG: N = 141 (38 melancholic MDD and 103 non-melancholic MDD)</td> <td>CG: 113 non-MDD patients</td> <td>ancholic: (SD = 12.86) cholic: (SD = 11.66)</td> <td>99</td> <td>6.50</td> <td>SCID, HRS</td> <td>Group</td> <td>LMas</td> <td>EO + EC 6</td> <td>_ × 9</td> <td>7.8–12.7</td>	_	Liu et al <sup>s7</sup>	EG: N = 141 (38 melancholic MDD and 103 non-melancholic MDD)	CG: 113 non-MDD patients	ancholic: (SD = 12.86) cholic: (SD = 11.66)	99	6.50	SCID, HRS	Group	LMas	EO + EC 6	_ × 9	7.8–12.7
Arns et al?*         EG: I,008 MDD         CG, 336 healthy         EG: M= 37.94         CG. M= 36.99         77         MINII-Plus,         Group         LMss           Stewart         patients         conrords         (SD = 12.6)         (SD = 11.3)         HRDD         comparison         LMss. CSD           steal**         EG: I, Man Steal         CG: G, I healthy         M= 191 (SD = 0.1)         Range: I7-34         69.00         SCID, BDI         Group         LMss. CSD           Manna et al**         EGI: High-         CG: G, I healthy         EGI: M= 39.9         CG M= 34.0         SCID, BDI         Group         LMss. CSD           Manna et al**         EGI: High-         CG: 2 healthy         EGI: M= 39.9         CG M= 34.0         SCID, BDI         Group         LMss. CSD           Anxiety depressive         GG: 2 HIDD         NF group         CG: 2 HIDD         NF group         CG: AM ST         CG: AM ST         CG: AM ST         CG: AM ST	7	Cantisani et al <sup>66</sup>	EG: 20 patients with a diagnosis of MDD	CG: 19 healthy adults	EG: M = 43.3 (SD = 14.03)	05	3.80	SCID, HAMD, MADRS, BDI	Group comparison and correlation	_	는 - -	9	8-12.5
Stewart         EG: 143 MDD         CG: 163 healthy         M = 19.1 (SD = 0.1)         Range: 17-34         6700         SCID, BDI         Group comparison         CA, Ca., Ca.           Manna et all**         EGI: 14 high- CG: 11 high- CG: M = 34.0         CG: M = 34.0         SDIII, PHQ-9         Group comparison         CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         CG: M = 34.0         SDIII, PHQ-9         Group comparison         CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison         LMss. CG: M = 34.0         SDIII, PHQ-9         Group comparison <th< td=""><td>m</td><td>Arns et al<sup>73</sup></td><td>EG: 1,008 MDD patients</td><td>CG: 336 healthy controls</td><td></td><td>1</td><td>7.</td><td>MINI-Plus, HRSD</td><td>Group comparison</td><td></td><td>EO + EC 2</td><td>2×2</td><td>8-13</td></th<>	m	Arns et al <sup>73</sup>	EG: 1,008 MDD patients	CG: 336 healthy controls		1	7.	MINI-Plus, HRSD	Group comparison		EO + EC 2	2×2	8-13
Manna et all <sup>2</sup> anxiety depressive anxiety depressive controls         CG: 21 healthy         EG1: 14 high- cG: 21 healthy         EG1: 14 high- cG: 21 healthy         CG: 21 healthy         EG1: M = 34.9         Tonpartison         CG: Onpartison         LPhas anxiety depressive controls         CG: 21 High         CG: M = 34.9         SD = 11.3)         CG: M = 49.50         BDI-II, PHQ-9         Group         LPhas anxiety depressive controls         CG: 24 MDD         NF group; M = 51.70         CG: M = 49.50         BDI-II, PHQ-9         Group         FPz   lear comparison           et all <sup>14</sup> all cacted for the CG and cred from the CG or roth cCG and cacted from the CG or roth cCG and cacted from the CG or roth cCG and cacted from the CG and cacted from the	4	Stewart et al <sup>71</sup>	EG: 143 MDD	CG: 163 healthy controls			00.6	SCID, BDI	Group comparison	CA, Cz, LMas, CSD	EO + EC 8	_ × 8	8-13
Escolano   74 MDD patients   CG: 24 MDD   NF group: M = 53.70   CG: M = 49.50   68.30   BDI-II, PHQ-9   Group   FPz, lear candomly patients   (SD = 10.87)   (SD = 10.18)   Comparison   Allocated to the randomly   Patients   (SD = 10.87)   (SD = 10.18)   Comparison   Allocated to the randomly   Patients   Comparison   Allocated to the CG   (n = 24)   Allocated to the CG	2	Manna et al <sup>82</sup>		CG: 21 healthy controls	EG1: M = 39.9 (SD = 11.7) and EG2: M = 31.4 (SD = 11.7)		7.10	BDI	Group comparison	LMas	ЕО		8-I3
Spronk et al, 8 patients with 2008%         A patients with 2008%         A = 42.6 (range 28–50)         37.50         BDI, MINI-Plus         LMas           2008%         Mathersul 428 subjects         M = 34.85         50         DASS-21         Group Grou	9	Escolano et al <sup>74</sup>	74 MDD patients were randomly allocated to the NF group (n = 50) or to the CG (n = 24)	CG: 24 MDD patients randomly selected	NF group: M = 53.70 (SD = 10.87)	(SD = $10.18$ )	8.30	BDI-II, PHQ-9	Group comparison	FPz, lear	EO + EC 6	9	8-12
Mathersul         428 subjects         M = 34.85         50         DASS-21         Group         CA           et al <sup>54</sup> selected from the         (SD = 12.59),         range 18-60         comparison         comparison           International         Database         M = 13.92 (SD = 0.57),         43.75         DSQ, DISYPS-         Regression         Nose           Pössel et al, 80 mentally         range: 13-15         Kj: SBB-DE         analyses           adolescents         M = 27.7, range: 19-42         0         BDI         Group         I ear/LE           Tops et al <sup>78</sup> 11 healthy male         M = 27.7, range: 19-42         0         BDI         Group         I ear/LE           Metzger         50 female Vietnam         M = 53.7 (SD = 2.8)         100         SCID, SCL-90-R         Correlation         LE           et al <sup>75</sup> veterans	7	Spronk et al, 2008%	8 patients with MDD		M = 42.6 (range 28–50)	.m	7.50	BDI, MINI-Plus		LMas	EO + EC 4	4	8–13; alpha 1 (8–11) and alpha 2 (11–13)
Pössel et al.         80 mentally         M = 13.92 (SD = 0.57),         43.75         DSQ, DISYPS-         Regression Nose           200877         healthy         range: 13–15         13–15         analyses         Analyses           Tops et al?         1 I healthy male         M = 27.7, range: 19–42         0         BDI         Group         I ear/LE           Metzger         50 female Vietnam         M = 53.7 (SD = 2.8)         100         SCID, SCL-90-R         Correlation         LE           et al?s         veterans	ω	Mathersul et al <sup>54</sup>	428 subjects selected from the Brain Resource International Database		M = 34.85 (SD = 12.59), range 18–60	.v	0	DASS-21	Group	5		2	8-13 8-13
Tops et al??         11 healthy male         M = 27.7, range: 19–42         0         BDI         Group         I ear/LE           volunteers         volunteers         Comparison         Comparison         LE           Metzger         50 female Vietnam         M = 53.7 (SD = 2.8)         100         SCID, SCL-90-R         Correlation         LE           et al??         War nurse         veterans	6	Pössel et al, 2008 <sup>77</sup>	80 mentally healthy adolescents		M = 13.92 (SD = 0.57), range: 13–15	4	13.75	DSQ, DISYPS- KJ: SBB-DE	Regression analyses	Nose	EO + EC 4	4 × 2	8-13
Metzger 50 female Vietnam $M = 53.7$ (SD = 2.8) 100 SCID, SCL-90-R Correlation LE et al <sup>75</sup> War nurse veterans	0		11 healthy male volunteers		M = 27.7, range: 19–42	D		ВDІ	Group comparison	l ear/LE	EO + EC 2	2	8–13
	=		50 female Vietnam War nurse veterans			_	00	SCID, SCL-90-R	Correlation	E E	EO + EC 2	2 × 3	8-13

7.8–12.5	8-13	8 <del>-</del> 13	Lower alpha: 8–10 and upper alpha: 10.5–12.5	8-13	7.0–12.5	8-13	8-13 and IAF	8-13	8-13	8-13
EO + EC 2 × 3	EO + EC 2 × 3	EO + EC 8	EC 6	EO + EC 8 × I	EO + EC 4×2	EO + EC 8 × 1 and 10	EO + EC 2 × 3	EC 5	EO + EC 2×2	EC 2
Nose	Nose, C3 & C4	l-Mas	CZ	r-Mas	l ear	LMas	Cz, CA	<b>"</b>	LMas	LMas
Group comparison	Group comparison	Regression analyses	Group comparison and correlation	Group comparison	Group comparison and correlation	Group comparison and correlation	Group	Group comparison and correlation	Group comparison	Group comparison
PARIS, K-SADS, DISC-2.3-C	BDI, HASS	D-S	SCID, HAMD, MADRS, BDI	CES-D-R	SADS-L, K-SADS-E, K-SADS-PL	BDI-II, PANAS	BDI, MINI-Plus, MADRS	SCID	ΣΣ	MINI, HRSD, DASS
001	001	65.12	CG: M = 41.05 53.80 (SD = 13.82)	CG: $M = 73.6$ 59.60 (SD = 6.7)	CG: M = 27.4 53.33 (SD = 13.5)	CG: M = 49.09 100 (SD = 10.82)	CG: $M = 42.11 100$ (SD = 13.02)	CG: M = 45.44 80 (SD = 8.25)	45.80	CG: M = 42.4 61.40 (SD = 16.7)
M = 15.5, range: 12.2–18.8	M = 14, range: 12–17	Range: 19–34	EG: M = 43.3 (SD = 14.03)	EG: M = 73.3 (SD = 6.7)	EG: M = 33.9 (SD = 11.7)	EG: M = 43.56 (SD = 9.67)	EG: $M = 40.75$ (SD = 11.39)	EGI: M = 46.94 (SD = 6.54) and EG2: M = 47.06 (SD = 9.54)	Range: 6–87	EG – PTSD: M = 41.4 (SD = 12.3) and MDD: M = 39.9 (SD = 14.0)
CG: 10 non-ill controls	CG: 22 normal Hispanic adolescent girls		CG: 19 healthy controls	CG: 103	CG: low-risk group (38)	CG: rumination challenge group (32)	CG: 18 controls	Participants with depression, CG: WL	CG: 1,908 healthy control participants from the BRID	CG: 15 healthy controls
EG: 25 outpatients (19 MDD [11 MDD + current anxiety disorder] and 6 anxiety disorders)	panic er empt	<u>8</u>	MDD	EG: 105 MBSR group	EG: high-risk group (37)	N = 57 recurrently CG: rumination depressed women challenge in remission group (32) EG: mindfulness support group 25	EG: 16 MDD	Participants with depression, EGI: 17 CBT and EG2: 17 DMBI	nts across groups	EG: 14 patients with PTSD and 15 patients with MDD
Kentgen et al <sup>80</sup>	Graae et al <sup>65</sup>	Adolph and Margraf <sup>8</sup>	Cantisani et al <sup>66</sup>	Moynihan et al <sup>67</sup>	Bruder et al <sup>81</sup>	Keune et al <sup>68</sup>	Segrave et al <sup>85</sup>	Chan et al <sup>55</sup>	Gordon et al <sup>60</sup>	Kemp et al <sup>s1</sup>
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Ŷ	No Study	Sample		Age (years)		% female	Classification	Method	EEG detail			
		Experimental	CG	Experimental	ဗ္ဗ		of depressive		Reference	EO/EC F		Alpha range
		group		group			status		montage	_	length (min)	(Hz)
23	Beaton et al <sup>63</sup>	Undergraduate	Undergraduate	M = 20.32  (SD = 4.18)		75.50	DASS-21	Group	Cz	EC + EO 2		8-13
		students, EG:	students, CG:					comparison				
		24 high socially	25 low socially					and correlation				
		anxions	anxious									
24	De Raedt	20 volunteer				82	BDI-II	Regression	Cz	EC 7	2	8-12
	et al <sup>64</sup>	students						analyses		- 1		
22	Bruder et al <sup>84</sup>		CG: 16 lowest	EGI: $M = 15.4 \text{ (SD} =$	CG: $M = 13.6$	53	SADS-L,	Group	E E	EO + EC 4	4×2	7.0–12.5
		risk group and EG2: risk group	: risk group	4.7) and EG2: M = 10.6	(SD = 6.2)		K-SADS-E,	comparison				
		14 intermediate		(SD = 4.5)			K-SADS-PL					
		risk group										
76	McFarland	70 participants		M = 34.64		65.70	SCID	Correlation	쁘	EO + EC (	- X 9	8 <del>-</del> 13
	et al <sup>70</sup>			(SD = 12.97), range: 18–63								
27	Diego et al <sup>52</sup>	Woman (effects	CG: 64 non-	M = 23  (SD = 5.0)		001	CES-D	Group	CZ	(6)	3	8-12
		of maternal	depressed					comparison				
		depression),	(CES-D = 3-12)					and correlation				
		EG: 20 undefined										
		(CES-D = 0-2);										
		10 borderline										
		(CES-D = 13-15),										
		69 depressed										
		(CES-D > 16)										
78	Bruder et al <sup>4</sup>	EG: 44 depressed	CG: 26 normal	EG: anxious group:	CG: M = 32.9	20	BDI	Group	Nose	EO + EC 2	2×3	7.8-12.5
		outpatients	patients	M = 36.7  (SD = 11.5);	(SD = 9.8)			comparison				
		-	-	Nonanxious: M = 41.3				and correlation				
				(SD = 10.7)								
29	Tomarken	EG: 25 high-risk	CG: 13 low-risk	EG: M = 13.1	CG: $M = 13.0$	52.60	SCID,	Group	Cz	EO + EC 8	- × 8	8.5-12.5
	et al <sup>53</sup>	patients	patients	(SD = 0.3)	(SD = 0.4)		K-SADS-E,	comparison				
							CDI, K-LIFE	and regression				
								analyses				
30	Jesulola	100 participants		32.5 (SD = 14.13)		54	SDS	Group	₹	EO + EC 3	3 × 3	8 <del>-</del> 13
	et al <sup>∞</sup>							comparison and correlation				
3	Kaiser et al <sup>17</sup>	39 females: EGI:	CG: healthy	EGI: M = 78.6	CG: M = 80.9	001	HADS-A,	Group	r-Mas	EC + EO 3	3	Alpha I
		anxiety+depression; participants	participants	(SD = 6.7) and	(SD = 7.0)		HADS-D, GDS	comparison				(6.9–8.9), alpha
		EG2: depression		EG2: $M = 80.5$				and correlation				2 (8.9–10.9),
		participants		(SD = 5.7)								and alpha 3
32	Brzezicka	EG: 26 depressed	CG: 26 controls	CG: 26 controls $M = 26.42 \text{ (SD} = 6.5)$			ICD-10	Group	CSD	EC	5	8-13
	et al <sup>83</sup>	patients					classification	comparison				
							2					

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8 - 3	8-13	8-12	8-3	8-10	8-12.9	8-13	8 - 3	7.0–12.5	(Continued)
īZ	2	ī.	8 O	7	ω	8 4 4 × 4 ×	ω Ω	4 × 2	
Ö	<u></u>	EC	EC + EO	<b>S</b>	<b>S</b>	EC + EO	EC + EO	2	
I-Mas	LMas, CA	E	Cz, CSD		l ear	LE Cz	CA, LE	l ear	
Group comparison	Group	Correlations	Group	Group comparison (SPM)	Group	Group comparison Group	Group	Group	
BDI-II, SCID	MINI-Plus	MADRS, HADS-A	BDI	DSM-IIIR	DSM-IV	DSM-IV BDI	ΘΩ	SADS-L, K-SADS-E, K-SADS-PL	
001		78.5	69	001	09.99	94.40	20	06.09	
CG: $M = 15.9$ (SD = 4.4)						CG: M = 72.4 (SD = 1.7) CG: M = 32.8	(3D = 11.5) CG: M = 38.6 (SD = 9.6)	CG: M = 37.1 (SD = 4.7), range: 29-47	
EG: $M = 21.0$ (SD = 1.6)		M = 35.6 (SD = 9.8), range: 18–50	M = 19.1 (SE = 0.1), range: 17–34	EG: M = 51.10 (SD = 3.13)	M = 71.3	EG: M = 71.6 (SD = 1.2) EG: M = 32.6	(3D = 12.1) EG: M = 48.0 (SD = 10.2)	EGI: M = 29.0 (SD = 11.0), range: 8-47 and EG2: M = 37.0 (SD = 8.0), range: 22–50	
CG: 24 nondysphoric individuals	CG: 120 healthy controls			CG: 30 normal controls	CG: 7 non- depressed patients	CG: 14 healthy elderly participants CG: 7 healthy	CG: 12 treatment-as- usual group	CG: 29 subjects were neither parent and had an MDD	
EG: 23 dysphoric individuals	EG: 117 MDD patients (57 with melancholia and 60 with non- melancholia)	79 adults	306 young adults – 143 with MDD	EG: 60 female depressed menopausal	First one patients EGI: 12 depressed patients and EG2: 8 remitted	EG: 22 depressed elderly participants	outpatient groups 22 individuals with a previous history of suicidal depression were randomly assigned to either MBCT (n = 10) or treatment-as-usual group (n = 12)		
Mennella et al³⁵	Quinn et al <sup>58</sup>	Gold et al <sup>8</sup>	Allen and Cohen <sup>56</sup>	Saletu et al <sup>61</sup>	Carvalho et al¹6	Deslandes et al <sup>15</sup> Putnam and	Barnhofer et al <sup>th</sup>	Bruder et al <sup>47</sup>	
33	34	35	36	37	38	39	4	45	

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No Study	Sample		Age (years)		% female	% female Classification Method	Method	<b>EEG</b> detail			
	Experimental CG group	90	Experimental group	99		of depressive status		Reference montage	EO/EC R	Recording Alpha length (min) (Hz)	Reference EO/EC Recording Alpha range montage length (min) (Hz)
43 Allen et al <sup>14</sup> 30 women	30 women		range: 18-45		001	SCID	Group	Cz, LMas	Cz, LMas EO + EC 8		8-I3
							comparison				
							and correlation				
44 Debener	EG: 15 clinically CG: 22 healthy EG: M = 48.5,	CG: 22 healthy	EG: M = 48.5,	CG: M = 45.9, 67.6	57.6	Structural	Group	쁘	EO + EC 2		8-13
et al <sup>72</sup>	depressed patients adults	adults	range: 23–64	range: 26–64		clinical interview comparison	comparison				
						ICD-10					

common average; CBT, cognitive behavioral therapy; CG, control group; CES-D-R, depression scale-revised; CSD, current source density transformation; Cz, the midline central position; DASS, depression anxiety stress scales; DISC-2.3-C, Diagnostic Interview Schedule for Children; DISYPS-K|State Control of the control of the midline central position; DASS, depression anxiety stress scales; DISC-2.3-C, Diagnostic Interview Schedule for Children; DISYPS-K|State Control of the midline central position; DASS, depression anxiety stress scales; DISC-2.3-C, Diagnostic Interview Schedule for Children; DISYPS-K|State Control of the midline central position; DASS-R, depression scale-revised; DISC-2.3-C, Diagnostic Interview Schedule for Children; DISYPS-K|State Control of the midline central position; DASS-R, depression anxiety stress scales; DISC-2.3-C, Diagnostic Interview Schedule for Children; DISC-2.3-C, DIAGNOSTIC Inte MDD, major depressive disorder; NF, neurofeedback; PANAS, positive and negative affect scales; PARIS, Parent as Respondent Informant Schedule; PHQ-9, Patient Health Questionnaire; PTSD, posttraumatic stress disorder; SE, standard SADS-L, schedule for affective disorders and schizophrenia lifetime version for adults and for children between the ages 6 and 17, the child version (K-SADS-E); SCID, structured clinical interview for DSM-IV; SCL-90-R, symptom Beck Depression Inventory; BRID, Brain Resource International Database; C3 and C4, average between central left and right; CA, ntervention; DSM-IIIR, Diagnostic and Statistical Manual of Mental Disorders, Third EO, eyes open; FPz, frontal-midline electrode; Abbreviations: AA, alpha asymmetry; BDI, SBB-DE, self-rating questionnaire for

handedness. Regarding pharmacological treatment, only 15 of 44 (35%) studies reported this, and only 35% of the studies controlled for drugs in statistical analysis. Comorbidity was reported in 52% studies, and 30% studies controlled for it. Educational status was reported in 29.6% of all studies. Only nine of 44 (20.5%) studies included an additional task condition in the recording protocol. No study controlled for all common known confounders (Table 2).

## **Discussion**

We conducted a systematic review on EEG AA in patients with DD, which is still discussed as a possible biomarker for depression. However, the use of EEG AA as a surrogate marker for depression still remains unclear, his which is not surprising if we take a closer look on the methodological quality of studies concerning EEG AA. The issues of small sample sizes and quality have been discussed repeatedly. In our analysis, we found that many studies on EEG AA do not consider common known confounders, which could have a tremendous effect on the recorded EEG data.

Taking a closer look at meta-analyses, <sup>9,10</sup> we found that most of the analyzed studies differ in sample age, education, gender, handedness, medication, clinical symptoms and severity, and comorbidity. EEG AA was tested as a biomarker for melancholia, <sup>57</sup> with unclear validity. <sup>8,10,20,58</sup> EEG AA seems to be the most robust in anxiety. <sup>54,59</sup> In depression, a general decrease in EEG power can be found, <sup>61</sup> which is a sign of cortical activity. This can also be found in rumination. <sup>62</sup> Interventional studies have also been analyzed, which could prove a shift in EEG AA. <sup>35,67–69</sup>

Future studies on EEG AA need to focus on specific changes in the course of depression, which could also help answer the question if EEG AA is a state or trait marker for depression, which still remains unclear. <sup>72</sup> If EEG AA is used as a diagnostic measure for clinical depression, we will need normative data. A simple lateralization measure of activity or idleness in the brain cannot be used across different genders, age, educational levels, left- and right-handedness, and medicated and not medicated individuals. In comparison to common correlational analysis and group comparison with ANOVAs, modern statistical analysis methods, such as periburst metrics, could help overcome the lack of temporal and spatial precision. <sup>56</sup>

A consensus of proper sampling and controlling for confounders has to be found in order to validate or reject the hypothesis of EEG as a surrogate marker or marker for treatment response. The following section lists the minimal requirements for studies on EEG AA.

Table 2 Controlling for common known confounders

Study	Controlled for	<u> </u>					
	Handedness controlled	Handedness inquired	Education reported	Medication reported	Medication controlled	Comorbidity reported	Comorbidity controlled
Debener et al <sup>72</sup>	x		х	×			×
Manna et al <sup>82</sup>	x		х			x	
Carvalho et al <sup>16</sup>	x			x		x	
Segrave et al <sup>85</sup>	x			х			х
Deslandes et al <sup>15</sup>	x			х			х
Allen and Cohen <sup>56</sup>	x				х		х
Allen et al <sup>14</sup>	x				х		х
Tomarken et al53	x				x		
Graae et al <sup>65</sup>	x					x	
Stewart et al <sup>71</sup>	x						x
Cantisani et al <sup>66</sup>	x		х	х		x	
Cantisani et al <sup>66</sup>	x		х	х		x	
Bruder et al <sup>4</sup>	×		х		х	×	
Kemp et al <sup>51</sup>	×			х		×	
Pössel et al <sup>77</sup>	x					x	
Kaiser et al <sup>17</sup>		x	х	х			
Putnam and		x	х		х		х
McSweeney <sup>62</sup>							
McFarland et al <sup>70</sup>		x	x			x	
Bruder et al <sup>84</sup>		x		x		x	
Barnhofer et al <sup>69</sup>		x		x			x
Kentgen et al <sup>80</sup>		x			x	x	
Menella et al <sup>78</sup>		x			x	x	
Quinn et al <sup>58</sup>		x			x	x	
Adolph and Margraf <sup>59</sup>		x			x		×
Beaton et al <sup>63</sup>		x			x		x
Liu et al <sup>57</sup>		x				x	
Keune et al <sup>68</sup>		x				x	
Gold et al <sup>8</sup>		x				x	
Bruder et al <sup>47</sup>		x				x	
Tops et al <sup>76</sup>		x					×
Brzezicka et al <sup>83</sup>		x					
Metzger et al <sup>75</sup>		x	х		x	x	
Mathersul et al54		×			x		×
Moynihan et al <sup>67</sup>			х	×		х	
Chan et al55			х	х		x	
Arns et al <sup>73</sup>			х		х	x	
Diego et al <sup>52</sup>			х		х		
Bruder et al <sup>81</sup>				х		×	
Spronk et al <sup>76</sup>				х			
Saletu et al <sup>61</sup>				х			
Gordon et al <sup>60</sup>					х		х
Escolano et al <sup>74</sup>						×	
De Raedt et al <sup>64</sup>							
Jesulola et al <sup>20</sup>							

Note: x indicates variable was controlled.

## Guidelines for future studies on AA

Future studies on EEG AA ought to include the following commonly known confounders and recording protocols (controlling implies statistical consideration):

- 1. clinical samples;
- controlling for handedness with a handedness inventory (eg, Edinburgh Handedness Inventory);
- 3. controlling for drugs and point of taking;
- 4. controlling for gender;
- 5. controlling for age;
- 6. controlling for cognition with cognitive test or screening;
- 7. controlling for education;
- 8. controlling for comorbidity with clinical screening; and
- 9. EEG protocol including task and resting state condition.

## **Conclusion**

We conducted a literature search on EEG AA in DD and found that methodological flaws could account for the unclear results. Some of the studies do not take into consideration commonly known confounders such as education, age, gender, handedness, drugs, and comorbidity. We have designed a list of requirements to improve the quality of future studies on EEG AA, thus allowing a better comparison of results.

## **Author contributions**

AK Kaiser was responsible for conception and design of the study and analysis of the review. He was also responsible for most of the written text and final approval. M-T Gnjezda was responsible for the concept, aquisition of data, and interpretation of the review. S Knasmüller was responsible for the concept and analysis of the review and tables. W Aichhorn was responsible for the concept and analysis of the review. All authors contributed toward data analysis, drafting and revising the paper and agree to be accountable for all aspects of the work.

#### **Disclosure**

The authors report no conflicts of interest in this work.

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