Leaflet manual of external beam radiation therapy for hepatocellular carcinoma: a review of the indications, evidences, and clinical trials

Chai Hong Rim
Won Sup Yoon
Department of Radiation Oncology, Ansan Hospital, Korea University Medical College, Ansan, Republic of Korea

Abstract: The use of external beam radiation therapy (EBRT) in the treatment of hepatocellular carcinoma (HCC), which was rarely performed due to liver toxicity with a previous technique, has increased. Palliation of portal vein thrombosis, supplementation for insufficient transarterial chemoembolization, and provision of new curative opportunities using stereotactic body radiotherapy are the potential indications for use of EBRT. The mechanism of EBRT treatment, with its radiobiological and physical perspectives, differs from those of conventional medical treatment or surgery. Therefore, understanding the effects of EBRT may be unfamiliar to physicians other than radiation oncologists, especially in the field of HCC, where EBRT has recently begun to be applied. The first objective of this review was to concisely explain the indications for use of EBRT for HCC for all physicians treating HCC. Therefore, this review focuses on the therapeutic outcomes rather than the detailed biological and physical background. We also reviewed recent clinical trials that may extend the indications for use of EBRT. Finally, we reviewed the current clinical practice guidelines for the treatment of HCC and discuss the current recommendations and future perspectives.

Keywords: hepatocellular carcinoma, liver neoplasm, external beam radiotherapy, stereotactic body radiotherapy, clinical trials, sorafenib, guidelines

Introduction
Liver cancer is the second and sixth-leading cause of cancer-related death in men in developing and developed countries, respectively. The incidence and mortality rates of liver cancer demonstrate large regional variations. Liver cancer overwhelmingly occurs in East Asia; the prevalence rate in this region (32 cases/100,000 people/year) is three times higher than those observed in southern Europe and the United States.\(^1\) Hepatocellular carcinoma (HCC) is the most common type of primary liver cancer, accounting for 70%–90% of these cancers. Chronic hepatitis B infection, which increases the risk of HCC by up to 100-fold, is the most common cause of HCC in East Asia.\(^2,3\)

Southern Europe has the highest incidence of liver cancer in Europe. The Barcelona Clinic of Liver Cancer (BCLC) classification and treatment guidelines, which are currently considered as the standard guidelines for the treatment of HCC, were developed in this region.\(^4\) These guidelines utilize the specific characteristics of HCC, including liver function, vascular invasion, and performance status, to recommend a single standard treatment for each stage, yielding clinical convenience. Since several randomized studies have shown that sorafenib confers a survival benefit, recent BCLC guidelines have recommended it as a standard treatment option for advanced HCC.\(^5\)
Liver cancer is a “silent killer” that is asymptomatic until significant disease progression. Therefore, it is not uncommon for patients to present with large tumors or major vascular invasion at diagnosis. The incidence of HCC is highest in East Asia, where screening is less popular and social awareness remains insufficient; therefore, physicians more often encounter advanced HCC patients in clinic. External beam radiation therapy (EBRT) has been increasingly used as a local treatment option for advanced HCCs, as three-dimensional conformal radiotherapy (3DCRT) has enabled selective irradiation of tumors while sparing a significant volume of normal tissue.

Studies on radiation therapy for HCC have been rapidly increasing in recent years, and a number of important studies have been published recently. In response to this trend, the updated National Comprehensive Cancer Network (NCCN) guidelines increased the recommendation grade for radiation therapy for unresectable HCC from 2B to 2A, the same grade as that for arterially directed therapy and ablation. Although EBRT has an emerging role as a local treatment for HCC, it is unfamiliar to some physicians treating HCC; possibly due to its different mechanism from those of conventional medical treatments, with its radiobiological and physical perspectives.

This review concisely presents the latest therapeutic results of EBRT for HCC so that all physicians treating HCC can assess the updated indications for use of EBRT for HCC. To better illustrate the current clinical results of EBRT for HCC, we mainly reviewed recently published meta-analyses or well-designed prospective studies. Studies written in languages other than English were not considered, and there were no restrictions regarding the publication years because the majority of clinical studies of RT for HCC have been published recently (Figure 1). We reviewed ongoing trials that can extend the indications for use of EBRT and reinforce the evidence and finally discuss the recommendation for EBRT based on a review of the current clinical practice guidelines.

**Discussion**

**History and indication of RT for HCC**

This section presents the main indications of radiotherapy (RT) for HCC for intrahepatic disease rather than extrahepatic metastases, according to historical trends.

In the era of two-dimensional RT, targeting tumors while sparing normal liver tissue was difficult to achieve. The whole-liver tolerance radiation dose with a 5% risk of radiation-induced liver disease is 30–35 Gy, which is not high enough to control HCCs. Therefore, RT for HCC was considered ineffective and HCC was erroneously assumed to be a radio-resistant tumor.

3DCRT is based on RT treatment planning using 3D images obtained by computed tomography, which allows for...
targeting of liver tumors specifically while sparing normal liver tissue. In the 1990s, pioneering radiation oncologists treated intrahepatic tumors using 3DCRT with radiation doses of 45–60 Gy, with response rates ranging from 45% to 63%, with acceptable toxicities.12–14

Intensity modulated RT, a more advanced EBRT technique after 3DCRT, uses modulated beams to optimize the dose distribution for tumors with complex shapes and to maximize the sparing of normal tissues through a computerized planning process. Its utilization has been increasing with its potency and increasing treatment efficacy while minimizing side effects.15,16 Stereotactic body radiotherapy (SBRT) is as an EBRT method that accurately delivers a high dose of irradiation in one or few fractions to extracranial target.17 SBRT has been increasingly used as a possible curative option for tumors that are unable to undergo conventional local treatment such as surgery or radiofrequency ablation (RFA).18

Major vascular invasion
Although 3DCRT enabled selective tumor irradiation while normal liver tissue could be spared, RT was not considered a primary option in the early days; it was mainly applied to patients with intractable disease with no other treatment options. Major vascular invasions such as portal vein thrombosis (PVT) are therapeutic challenges predicted to confer a poor prognosis. The median survival period of patients with PVT was less than 3 months without treatment.19,20

Sorafenib is the current treatment recommendation as per BCLC guidelines for these patients. In two landmark trials (SHARP21 and the Asia-Pacific study22), the sorafenib arms included 36% of macrovascular invasion cases. In the Asia-Pacific study, patients with macroscopic vascular invasion showed a survival benefit due to sorafenib in subgroup analysis (hazard ratio: 0.63, 95% confidence interval [Cl]: 0.39–1.03). The tumor response rates were moderate, which were 2% and 3.3% for all included patients in the SHARP and the Asia-Pacific study. A recent Korean study of 30 patients with main or first branch PVT reported a response rate of 10% and a median overall survival (OS) of 3.1 months (95% CI: 2.7–3.5) with sorafenib monotherapy.23

The portal vein is a major vessel with abundant blood flow; thus, surgical approaches are challenging owing to the risk of bleeding. The complex anatomical structures related to the hepatic artery and bile duct are additional hindrances to the ablative approach. EBRT can be performed regardless of tumor location, and since radiation tolerance of the great vessels is >100 Gy in equivalent dose for 2 Gy per fraction treatment, as extrapolated from SBRT series of central lung cancers.24–26 PVT is a possible indication for EBRT. A propensity score analysis showed that EBRT can provide better survival (median survival period: 10.9 vs 4.8 months; p=0.025) than that of sorafenib alone in patients with PVT.27 In a meta-analysis by Rim et al28 of 22 observational studies and 1,903 patients, the pooled response rate of 3DCRT to HCC with PVT was 51.3% (95% CI: 45.7–57.0) among 18 studies, while the 1- and 2-year OS rates were 43.8% (95% CI: 37.6–50.2) and 22.3% (95% CI: 17.7–27.6) among 22 and 18 studies, respectively. The toxicity was moderate, with <10% of grade ≥3 toxicity in the majority of the included studies; the most common grade ≥3 toxicity was lymphocytopenia.

Inferior vena cava (IVC) invasion is another type of major vascular invasion, with a much rarer incidence rate of about 4% at diagnosis or during chemoembolization sessions of HCCs.29 Despite its rarity, IVC invasion may lead to serious complications such as pulmonary embolism, lung metastasis, heart failure, and may cause sudden death.30 In a recent meta-analysis including a total of 164 HCC patients with IVC invasion from eight observational studies,31 the pooled response rate was 59.2% (95% CI: 39.0%–76.7%) and the 1- and 2-year OS rates were 53.6% (95% CI: 45.7%–61.3%) and 36.9% (95% CI: 29.8%–44.8%), respectively. One study reported one instance each of pulmonary embolism and esophageal rupture (primary toxicities of concern in HCC with IVC invasion), while no other grade ≥3 toxicity was reported among the included studies. Thus, the overall grade ≥3 toxicity rate was 1.2% (two of 164 patients).

For major vascular invasion, in which it might be difficult to perform conventional local treatments including surgery or RFA, EBRT has accumulated clinical experience showing it to be an efficient and feasible local treatment option.

Combined RT as an option for unresectable HCCs
HCC is a cancer in which various treatment modalities are applied. Transarterial chemoembolization (TACE) is the one of the most commonly used treatments for HCC. Although it is widely used, complete response is rarely observed with large tumors and there is a risk of hepatic failure in cases with PVT.32,33 RT has several advantages when performed after TACE. RT may elicit further responses in tumors that have shown partial responses to TACE. TACE increases the efficiency of RT by enhancing radiosensitivity and lipiodol uptake after TACE may be used as a tumor-targeting guide to enable more precise RT.34 Many researchers have compared the efficacy of TACE alone and in combination with RT. Huo and Eslick35 conducted a meta-analysis including 25 studies.
and 2,577 patients (including 11 randomized controlled trials [RCTs]) in which the TACE plus RT group showed better survival and tumor responses rate than those in the TACE-alone group (median OS: 22.7 vs 13.5 months; 1-year survival: odds ratio [OR] 1.36, 95% CI: 1.19–1.54; 2-year survival: OR: 1.55, 95% CI: 1.31–1.85; complete response: OR: 2.73, 95% CI: 1.95–3.81).

Hepatic arterial infusion chemotherapy (HAIC) is less commonly used than TACE, and recent studies have mainly focused on the treatment of locally advanced or intractable tumors. Sumie et al compared TACE and HAIC with cisplatin (10 mg/person on day 1–5) and subsequent 5-fluorouracil (5-FU, 250 mg/person on days 1–5) regimen. The tumor response rate was higher in the HAIC group, and the HAIC group showed survival benefit in tumors >5 cm in diameter. The HCC multidisciplinary team at the Yonsei Cancer Center reported the down-staging effects of combining HAIC and EBRT. Among the included patients with unresectable tumors, 16.9% underwent curative resection after down staging, with a 5-year survival rate of 49.6%. An updated report from the same center analyzed 637 BCLC stage C (BCLC-C) HCC patients who underwent HAIC and EBRT; the median OS of all patients was 15 months and reached 39 months in those who received >60 Gy of EBRT.

The combined treatment of TACE and RT is one of the most studied areas of RT indications and is expected to have an important role as a treatment option for locally advanced HCC. Previous studies have suggested that HAIC might be advantageous for advanced HCCs; combining HAIC and EBRT may provide a new possibility for cure but additional studies are warranted.

**SBRT**

SBRT is currently one of the most interesting treatment modalities in oncology since it can provide a curative effect similar to surgery or ablation, and, thus, provides an alternative treatment option in cases unsuitable for conventional modalities. SBRT delivers very high doses to tumors in a shorter time than does conventional RT. A short treatment period and a higher dose confer a higher cure rate but also result in a higher risk of side effects. SBRT utilizes advanced techniques such as cone beam image guidance, breath gating, and tumor tracking to offset the risk of side effects by maximizing the treatment precision.

Recent prospective studies revealed a potential role for SBRT as a local ablative modality in HCC. Bujold et al performed SBRT in 102 advanced HCC cases (55% cases with PVT) with a median target diameter of 7.2 cm. The 1-year local control rate was 87%; the most common grade $\geq 3$ toxicity was liver related, including elevation of liver enzyme or bilirubin levels, cholangitis, and liver failure in 23.5% of patients. Lasley et al performed SBRT in 59 HCC patients, including 21 Child-Pugh class (CPC) B patients (35.6%) and 12 patients (20.3%) with PVT. The 1-year local control rates were 91% and 82% for CPC-A and CPC-B patients, respectively. Grade III or IV liver toxicity was observed in 38% of CPC-B patients. In a study by Scorsetti et al, a 1-year local control rate of 85.8% and a median survival period of 18 months were observed among 43 patients who underwent SBRT. Seven of 43 patients (16%) experienced grade $\geq 3$ liver toxicity and five of the seven patients were CPC-B. Summarizing the prospective trials, the local control rates with SBRT were favorable, ranging from 86% to 95% in 1 year; however, possible liver toxicity should be cautiously considered, especially in patients with poor liver function.

In a large comparative meta-analysis by Qi et al including 70 observational studies and 5,204 patients, the SBRT group showed a pooled local control rate of 87% (95% CI: 83–92) and a 1-year OS rate of 80% (95% CI: 71–87), which were not different from those of the charged particle therapy group. The acute grade $\geq 3$ pooled hepatic, bone marrow, and overall toxicity rates were 4.9% (95% CI: 3.0–8.1), 4.9% (95% CI: 3.4–7.2), and 9.6% (95% CI: 6.0–15.1), respectively. The pooled late toxicity rate was 6.4% (95% CI: 4.0–10.1%). A comparative meta-analysis of RT for HCC with PVT was performed, involving 37 observational studies comprising 2,513 patients. Three radiation therapy modalities were compared, and SBRT showed a favorable response rate of 70.7% (95% CI: 63.7–76.8) as compared to that of 3DCRT (51.3%, 95% CI: 45.7–57.0) and selective internal radiation therapy (33.3%, 95% CI: 18.0–35.2). The severe toxicity rates were moderate, and less than 10% of grade $\geq 3$ toxicities were observed in most of the included studies. PVT generally occurs close to the duodenum, which is radiosensitive and is the dose-limiting organ for EBRT. Thus, applying high-dose RT such as SBRT is difficult. Nevertheless, considering the above studies, PVT may also be an indication for SBRT.

SBRT has advantages such as favorable tumor control, shorter duration than conventional RT, and a treatment less restricted by tumor location than other ablative treatments. Clinical and research interests in SBRT have been rapidly increasing and SBRT is expected to play an important role in the treatment of HCC.

Table 1 summarizes the referenced literature and up-to-date reports. The representative studies and meta-analyses have been included to facilitate a quicker understanding of
<table>
<thead>
<tr>
<th>Author</th>
<th>Treatment</th>
<th>Candidates</th>
<th>Study type</th>
<th>Number of patients/study</th>
<th>Treatment outcomes</th>
<th>Toxicity ≥ G3</th>
<th>Category of indication</th>
<th>Clinical experience</th>
<th>Evidence of literature</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rim et al10</td>
<td>3DCRT</td>
<td>HCC with PVT</td>
<td>MA</td>
<td>1,903 22 studies</td>
<td>RR 51.3% (95% CI: 45.7–57.0) mOS 11.6 mo. 1-year OS 43.5% (95% CI: 37.6–50.2)</td>
<td>Less than &lt;10% in most of studies</td>
<td>EBRT to HCC with PVT</td>
<td>Abundant Low to moderate</td>
<td></td>
</tr>
<tr>
<td>Rim et al11</td>
<td>EBRT</td>
<td>HCC with IVCT and/or RA</td>
<td>MA</td>
<td>164 8 studies</td>
<td>RR 59.2% (95% CI: 39.0–76.7) mOS 13.2 mo. 1-year OS 53.6% (95% CI: 45.7–61.3)</td>
<td>2 cases among 164 (1.2%, 1 ER and 1 PE)</td>
<td>EBRT to HCC with IVCT and/or RA</td>
<td>Scarce, but currently no better option than EBRT</td>
<td>Low</td>
</tr>
<tr>
<td>Huo and Eslick13</td>
<td>Combined RT with TACE (TACE &amp; RT vs TACE alone)</td>
<td>Unresectable HCC</td>
<td>MA</td>
<td>2,577 25 studies (11 RCTs)</td>
<td>Favors TACE and RT (complete response: OR 2.73, 95% CI: 1.95–3.81) 22.7 mo. (TACE &amp; RT) 13.5 mo. (TACE alone) Favors TACE plus RT (1-year OS, OR 1.36, 95% CI: 1.19–1.54; 2-year OS, OR 1.55, 95% CI: 1.31–1.85)</td>
<td>GU/DU higher in combined group (OR 12.80, 95% CI: 1.6–104.3)</td>
<td>Combined RT with TACE for unresectable HCC</td>
<td>Abundant High</td>
<td></td>
</tr>
<tr>
<td>Yoon15</td>
<td>Combined RT with TACE (TACE &amp; RT vs sorafenib)</td>
<td>HCC with major vascular invasion</td>
<td>RCT</td>
<td>90</td>
<td>Favors TACE and RT RR, 28.9% vs 4.7% (p&lt;0.001) Favors TACE plus RT 6-month PFS 65.8% vs 13.7% (p&lt;0.001)</td>
<td>16.7% underwent curative resection after RT 5-year OS 49.6%</td>
<td>Combined RT with HAIC for unresectable HCC</td>
<td>Scarce Scarce</td>
<td></td>
</tr>
<tr>
<td>Lee et al17</td>
<td>Combined RT with HAIC</td>
<td>Unresectable HCC</td>
<td>RS</td>
<td>243</td>
<td>Overall mOS: 15 mo. (&gt;60 Gy vs ≤60 Gy, mOS 39 vs 14 mo. (p=0.001)</td>
<td>No Gl toxicity ≥ G3</td>
<td>Combined RT with HAIC for unresectable HCC</td>
<td>Moderate to abundant Low to moderate</td>
<td></td>
</tr>
<tr>
<td>Byun et al18</td>
<td>Combined RT with HAIC</td>
<td>BCLC-C HCC</td>
<td>RS</td>
<td>637 (VI 73%, multiple tumors 35.3%)</td>
<td>Overall mOS: 15 mo. (&gt;60 Gy vs ≤60 Gy, mOS 39 vs 14 mo. (p=0.001)</td>
<td>No Gl toxicity ≥ G3</td>
<td>Combined RT with HAIC for unresectable HCC</td>
<td>Moderate to abundant Low to moderate</td>
<td></td>
</tr>
<tr>
<td>Bujold et al19</td>
<td>SBRT</td>
<td>Unsuitable for OP, TACE, RFA, Pei</td>
<td>PS</td>
<td>102 (PVT 55%, median TD 7.2 cm)</td>
<td>RR 54%, 1-year LC 87% (95% CI: 78–93) mOS 17 mo. 23.5% of liver related toxicity</td>
<td>SBRT for HCC unsuitable for conventional local Tx</td>
<td>Moderate to abundant Low to moderate</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lasley et al20</td>
<td>SBRT</td>
<td>HCC</td>
<td>PS</td>
<td>CPC-A: 33 CPC-B: 46</td>
<td>2-year LC: 91% (CPC-A) 82% (CPC-B) mOS: 48 mo. (CPC-A) 17 mo. (CPC-B) 2-year OS: 72% (CPC-A) 33% (CPC-B) Liver toxicity: 11% (CPC-A) 38% (CPC-B)</td>
<td>Liver toxicity in 7 patients (16%); 5 of 7 was CPC-B</td>
<td>SBRT for HCC unsuitable for conventional local Tx</td>
<td>Moderate to abundant Low to moderate</td>
<td></td>
</tr>
<tr>
<td>Scorsetti et al21</td>
<td>SBRT</td>
<td>Unresectable HCC</td>
<td>PS</td>
<td>43 (CPC-B 47%)</td>
<td>1-year LC: 85.8% mOS: 18 mo.</td>
<td>Liver toxicity in 7 patients (16%); 5 of 7 was CPC-B</td>
<td>SBRT for HCC unsuitable for conventional local Tx</td>
<td>Moderate to abundant Low to moderate</td>
<td></td>
</tr>
</tbody>
</table>

(Continued)
OncoTargets and Therapy 2018:11

2870

Rim and Yoon

treatment modalities and outcomes. The studies have been classified into five categories of indications: (1) EBRT in HCC with PVT, (2) EBRT in HCC with IVC and/or right atrium invasion, (3) combined RT with TACE in unresectable HCC, (4) combined RT with HAIC in unresectable HCC, and (5) SBRT in HCC unsuitable for conventional local therapies. We have also included a recommendation for each indication based on relevant clinical experience and literature evidence. Clinical experience was evaluated considering the number of studies, patients, and period of history of the relevant indication (Table S1). Evidence in the literature was regarded as high if the evidence for the indication was obtained from RCTs or meta-analyses including RCTs. The evidence level was considered low if the evidence for the indication was mainly based on retrospective observational studies. Evidence gathered from prospective and controlled studies was considered a moderate level of evidence. When the number of studies was insufficient to make any recommendation, the evidence level was considered scarce.

Up-to-date review of trials

The number of clinical trials of EBRT for HCC is increasing, potentially improving the future grade of evidence. In this section, we briefly review the trials registered at ClinicalTrials.gov, which may potentially extend the EBRT indication.

We used the search term: "liver cancer AND radiotherapy AND randomized NOT yttrium." This term was designed to selectively identify RCTs in order to find potential candidates with high-grade evidence of EBRT efficacy. Internal RT using yttrium was not a subject of concern in this review.

Eighty-three studies were found initially, of which 54 were excluded from review because (1) the target disease was not HCC (eg, liver metastasis, cholangiocarcinoma, or including all gastrointestinal cancers), (2) the treatment modality of subject was not EBRT, or (3) no comparative control group was included.

The remaining 29 studies were RCTs evaluating EBRT for HCC: 11 (37.9%) by a primary institution in the USA, four in China (13.8%), three in Canada (10.3%), two in Taiwan, and four (13.8%) by a primary institution in the USA. Eight studies were about TACE versus TACE with EBRT. Although combined treatment showed a survival benefit in meta-analyses (including 17 trials and 1,473 patients in five RCTs; 1-, 2-, and 3-year OS: OR: 2.23, 95% CI: 1.76–2.83; OR: 2.39, 95% CI: 1.85–3.09; and OR: 2.75, 95% CI: 2.08–3.64, respectively), the researchers considered the evidence level was scarce.

Table 1 (Continued)

<table>
<thead>
<tr>
<th>Author</th>
<th>Treatment</th>
<th>Candidates</th>
<th>Study type</th>
<th>Number of patients/study</th>
<th>Treatment outcomes</th>
<th>Toxicity ≥ G3</th>
<th>Category of indication</th>
<th>Clinical experience</th>
<th>Evidence of literature</th>
</tr>
</thead>
<tbody>
<tr>
<td>Qi et al</td>
<td>SBRT</td>
<td>HCC</td>
<td>MA</td>
<td>1,473 cohorts</td>
<td>1-year LC: 87% (95% CI: 71–87)</td>
<td>Acute: hepatic 4.9% (95% CI: 3.0–8.1), BM 4.9% (3.4–7.2), overall 9.6% (6.0–15.1)</td>
<td>Less than &lt;10% in most of studies</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rim et al</td>
<td>SBRT</td>
<td>HCC with PVT</td>
<td>MA</td>
<td>208 (7 cohorts)</td>
<td>RR 70.7% (95% CI: 63.7–76.8) LC 86.9% (95% CI: 81–91.2)</td>
<td>mOS: 14 mo. (range: 11–19)</td>
<td>1-year OS: 48.5% (95% CI: 39.4–57.8)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Abbreviations: 3DCRT, 3-dimensional conformal radiotherapy; BCLC, Barcelona Clinic of Liver Cancer; BM, bone marrow; CI, confidence interval; CPC, Child-Pugh Class; DU, duodenal ulcer; EBRT, external beam radiation therapy; ER, esophageal rupture; G3, grade 3; GI, gastrointestinal; GU, gastric ulcer; HAIC, hepatic arterial infusion chemotherapy; HCC, hepatocellular carcinoma; IVCT, inferior vena cava thrombosis; LC, local control; MA, meta-analysis; mOS, median overall survival; OP, operation; OR, odds ratio; OS, overall survival; PE, pulmonary embolism; PEI, percutaneous ethanol injection; PFS, progression-free survival; PS, prospective study; PVT, portal vein thrombosis; RA, right atrium; RCTs, randomized controlled trials; RFA, radiofrequency ablation; RR, response rate; RS, retrospective study; RT, radiotherapy; SBRT, stereotactic body radiotherapy; TACE, transarterial chemoembolization; TD, tumor diameter; Tx, treatment; v, vascular invasion.

OncoTargets and Therapy downloaded from https://www.dovepress.com/ by 54.70.40.11 on 30-Dec-2018
For personal use only.
Powered by TCPDF (www.tcpdf.org)
are trying to consolidate its benefit and upgrade the evidence with more well-designed RCTs.

Of the remaining 21 studies, two studies of TACE versus SBRT using a CyberKnife for recurrent HCC had a withdrawn status. Of the 19 studies remaining, two were incomplete, 16 were still recruiting, and one had an unknown status. Of 16 recruiting studies, eight (50%) were comparing TACE and EBRT (including SBRT). Two studies (12.5%) were comparing RFA and proton therapy as local ablative modalities. The efficacy of EBRT added to sorafenib therapy was assessed in two studies (12.5%). Table 2 summarizes these 19 studies, which may further extend the indication of EBRT.

Of two completed studies, one (NCT02724475, China) compared laser ablation and EBRT for intermediate and advanced HCC, although the results were not available. The second study (NCT01901692, Korea) compared sorafenib with TACE and EBRT for HCC with major vascular invasion. A total of 90 patients were randomized; the progression-free survival rates, which were the primary endpoints of the study, were 13.7% and 65.8% at 6 months in the sorafenib and TACE and EBRT arms, respectively (p<0.001). The response rates were 4.7% and 28.9% in the sorafenib and TACE and EBRT arms, respectively (p<0.001). Most adverse events were grade 1 or 2 in both arms.48

In summary, the pending results of the NCT01901692 study may expand the indications for use of EBRT application; combined TACE and EBRT may possibly be indicated for HCC with major vascular invasion, which is currently categorized as BCLC-C, with sorafenib as the recommended treatment. Further expected results include a comparison of TACE and EBRT, a comparison of EBRT with RFA as an ablative option, and a combination of local EBRT with systemic sorafenib treatment.

**Evidence grading of EBRT for HCC in guidelines**

Although EBRT for HCC is commonly performed in clinical practice, its evidence has been underestimated in some international guidelines.

One of the most widely used and clinically cited guidelines is that from the European Association for the Study of the Liver-European Organization for Research and Treatment of Cancer (EASL-EORTC).4 The EASL-EORTC guidelines have much in common with those of the BCLC, such as recommending sorafenib as a single standard for patients with advanced-stage disease (including distant and lymph node metastasis and vascular invasion). EBRT was evaluated to have no scientific evidence and no indication other than palliation of bone metastases, as described in the guidelines. Although the guidelines published by American Association for the Study of Liver Disease (AASLD) cited recent studies on EBRT, there is little mention of the role of EBRT and systemic therapy over no therapy is recommended for patients with macrovascular invasion and/or metastasis.49

### Table 2 Ongoing randomized controlled trials of EBRT for HCC

<table>
<thead>
<tr>
<th>Trial number</th>
<th>Country of primary facility</th>
<th>Control arm</th>
<th>EBRT arm</th>
<th>Target disease</th>
<th>Current status</th>
</tr>
</thead>
<tbody>
<tr>
<td>NCT01901692</td>
<td>Korea</td>
<td>Sorafenib</td>
<td>TACE and EBRT</td>
<td>HCC with major vascular invasion</td>
<td>Completed</td>
</tr>
<tr>
<td>NCT02724475</td>
<td>China</td>
<td>Laser</td>
<td>3DCRT</td>
<td>Intermediate and advanced HCC</td>
<td>Completed</td>
</tr>
<tr>
<td>NCT02470533</td>
<td>Belgium</td>
<td>TACE</td>
<td>SBRT</td>
<td>HCC (BCLC A-B)</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT02125396</td>
<td>China</td>
<td>TACE</td>
<td>EBRT</td>
<td>Postoperative HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT03338647</td>
<td>India</td>
<td>TACE</td>
<td>SBRT</td>
<td>Advanced HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT00857805</td>
<td>USA</td>
<td>TACE</td>
<td>Proton</td>
<td>HCC not exceeding San Francisco criteria</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT02182687</td>
<td>USA</td>
<td>TACE</td>
<td>SBRT</td>
<td>HCC within Milan criteria (bridging therapy)</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT02323360</td>
<td>Italy</td>
<td>re-TACE</td>
<td>SBRT</td>
<td>Incomplete TACE of unresectable HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT03326375</td>
<td>Korea</td>
<td>re-TACE</td>
<td>SBRT</td>
<td>Incomplete TACE of HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT02921139</td>
<td>Taiwan</td>
<td>re-TACE</td>
<td>SBRT</td>
<td>HCC with incomplete response of TACE</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT01141478</td>
<td>USA</td>
<td>Sorafenib</td>
<td>Sorafenib and proton RT</td>
<td>HCC exceeding San Francisco criteria</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT01730937</td>
<td>USA</td>
<td>Sorafenib</td>
<td>SBRT</td>
<td>Advanced, recurrent HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT01963429</td>
<td>Korea</td>
<td>RFA</td>
<td>Proton</td>
<td>Recurrent or residual small HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT02640924</td>
<td>Taiwan</td>
<td>RFA</td>
<td>Proton</td>
<td>Medium or large HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT03172559</td>
<td>Canada</td>
<td>None</td>
<td>SBRT</td>
<td>HCC ineligible to TACE</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT0251152</td>
<td>Canada</td>
<td>Supportive care</td>
<td>Palliative EBRT</td>
<td>HCC or liver metastases</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT03168152</td>
<td>USA</td>
<td>Microwave ablation</td>
<td>SBRT</td>
<td>Localized HCC</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT02239900</td>
<td>USA</td>
<td>Ipiilimumab</td>
<td>SBRT</td>
<td>Advanced solid tumors (liver and lung)</td>
<td>Recruiting</td>
</tr>
<tr>
<td>NCT00557024</td>
<td>China</td>
<td>RFA</td>
<td>RFA and RT</td>
<td>HCC performed prior RFA</td>
<td>Unknown</td>
</tr>
</tbody>
</table>

**Abbreviations:** BCLC, Barcelona Clinic of Liver Cancer; EBRT, external beam radiation therapy; HCC, hepatocellular carcinoma; TACE, transarterial chemoembolization; 3DCRT, 3-dimensional conformal radiotherapy; SBRT, stereotactic body radiotherapy; RFA, radiofrequency ablation; RT, radiotherapy.
On the other hand, Asian guidelines describe some roles of EBRT for HCC. The Asia-Pacific Primary Liver Cancer Expert Meeting guidelines provide indications for use of EBRT for each stage of the BCLC system and provide evidence levels for several indications, including SBRT for RFA-refractory HCC and combined EBRT and TACE for locally advanced HCC. The guidelines from the Korean Liver Cancer Society classify the clinical status of HCC anatomically according to modified Union for International Cancer Control staging and describe the best and alternative options. The provision of multiple options might be useful in determining the treatment modality in clinical practice, as there may be a variety of disease statuses in practice, even if they are included in one stage in a given staging system.

EBRT for HCC is rapidly increasing in terms of treatment experience and the number of studies. Encouragingly, to reflect this trend, the NCCN guidelines updated in 2018 have elevated the evidence level of EBRT as a local treatment for unresectable HCC, from 2B to 2A, which is same grade as that for arterially directed therapy and ablation. In addition, the recommendation was strengthened by eliminating uncertain words such as “suggest” and “possible” for the efficacy and safety of EBRT.

In the EASL-EORTC and AASLD guidelines, the lack of randomized trials was the main reason to not acknowledge the efficacy of EBRT. However, a randomized trial of sorafenib versus combined TACE and EBRT (ClinicalTrials.gov trial number NCT01901692) is pending publication; the difference in treatment outcomes between the two groups was apparent in the abstract.4 In addition, the latest EASL guidelines were published in 2011. Since then, much more research on EBRT for HCC has been published than that available before 2011 (Figure 1). Hence an updated assessment of the accumulated clinical experience is also expected.

**Conclusion**

This review provides a practical introduction to the outcomes and indications pertaining to EBRT for physicians treating HCC. We recommend Table 1 as a quick reference to aid in decision making in multidisciplinary meetings. We expect the upcoming results of well-designed trials to consolidate the efficacy of EBRT in the near future.

**Disclosure**

The authors report no conflicts of interest in this work.

**References**


## Supplementary material

### Table S1 Search keywords and numbers of published studies relevant to the indications for external beam radiation therapy (EBRT) for hepatocellular carcinoma (HCC). Publication counts are based on a search limited to original articles in the Scopus database performed in March 2018

<table>
<thead>
<tr>
<th>Category of indication</th>
<th>Search keywords</th>
<th>Number of published clinical articles</th>
<th>Year with ≥5 studies published annually</th>
</tr>
</thead>
<tbody>
<tr>
<td>EBRT to HCC with portal vein thrombosis (PVT)</td>
<td>(radiotherapy OR “radiation therapy”) AND (“hepatocellular carcinoma” OR HCC) AND (“portal vein thrombosis” OR PVT OR PVTT)</td>
<td>217</td>
<td>2007</td>
</tr>
<tr>
<td>EBRT to HCC with inferior vena cava thrombosis and/or right atrium</td>
<td>(radiotherapy OR “radiation therapy” OR EBRT) AND (“hepatocellular carcinoma” OR HCC) AND (“inferior vena cava” OR IVCT OR IVCTT) AND (“transarterial chemoembolization” OR TACE)</td>
<td>41</td>
<td>None</td>
</tr>
<tr>
<td>Combined radiotherapy (RT) with transarterial chemoembolization (TACE) for unresectable HCC</td>
<td>(radiotherapy OR “radiation therapy” OR EBRT) AND (“hepatocellular carcinoma” OR HCC) AND (“transarterial chemoembolization” OR TACE)</td>
<td>315</td>
<td>2004</td>
</tr>
<tr>
<td>Combined RT with hepatic arterial infusion chemotherapy (HAIC) for unresectable HCC</td>
<td>(radiotherapy OR “radiation therapy” OR EBRT) AND (“hepatocellular carcinoma” OR HCC) AND (“hepatic arterial infusion chemotherapy” OR HAIC) AND (“stereotactic body radiotherapy” OR SBRT) AND (“hepatocellular carcinoma” OR HCC)</td>
<td>31</td>
<td>2017</td>
</tr>
</tbody>
</table>

Stereotactic body radiotherapy (SBRT) for HCC unsuitable for conventional local treatment

**Note:** The table includes search keywords that were used to find relevant studies. The publication counts and years are based on a search limited to original articles in the Scopus database performed in March 2018.