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ORIGINAL RESEARCH

# Respiratory medication used in COPD patients from seven Latin American countries: the LASSYC study

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# On behalf of the LASSYC team

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**Background:** Limited information is available regarding medication use in COPD patients from Latin America. This study evaluated the type of medication used and the adherence to different inhaled treatments in stable COPD patients from the Latin American region.

**Methods:** This was an observational, cross-sectional, multinational, and multicenter study in COPD patients attended by specialist doctors from seven Latin American countries. Adherence to inhaled therapy was assessed using the Test of Adherence to Inhalers (TAI) questionnaire. The type of medication was assessed as: short-acting  $\beta$ -agonist (SABA) or short-acting muscarinic antagonist (SAMA) only, long-acting muscarinic antagonist (LABA), LABA/LAMA, inhaled corticosteroid (ICS), ICS/LABA, ICS/LAMA/ LABA, or other.

**Results:** In total, 795 patients were included (59.6% male), with a mean age of 69.5 $\pm$ 8.7 years and post-bronchodilator FEV<sub>1</sub> of 50.0% $\pm$ 18.6%. The ICS/LAMA/LABA (32.9%) and ICS/LABA (27.7%) combinations were the most common medications used, followed by LABA/LAMA (11.3%), SABA or SAMA (7.9%), LABA (6.4%), LAMA (5.8%), and ICS (4.3%). The types of medication most commonly used in each Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2013 category were ICS/LABA (A: 32.7%; B: 19.8%; C: 25.7%; D: 28.2%) and ICS/LAMA/LABA (A: 17.3%; B: 30.2%; C: 33%; D: 41.1%). The use of long-acting bronchodilators showed the highest adherence (good or high adherence >50%) according to the TAI questionnaire.

**Conclusion:** COPD management in specialist practice in Latin America does not follow the current guideline recommendations and there is an overuse of ICSs in patients with COPD from this region. Treatment regimens including the use of long-acting bronchodilators are associated with the highest adherence.

Keywords: adherence, inhaled therapy, COPD, Latin America

#### Introduction

Optimal pharmacological treatment for COPD patients reduces symptoms, reduces the frequency and severity of exacerbations, improves health status, and increases exercise tolerance.<sup>1</sup> However, despite the frequent changes in COPD classification systems and the emergence of new inhaled therapies recommended in some new evidence-based guidelines,<sup>1–3</sup> poor adherence to treatment and lack of accessibility to these drugs in many countries generate a considerable gap between optimal treatment and real-life prescribing patterns.<sup>4–6</sup>

In Latin America, the undertreatment and suboptimal treatment of COPD patients occur frequently, with wide variations between countries. The population-based

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prevalence study of COPD in Latin America (PLATINO)<sup>7</sup> showed that only a quarter of COPD patients received any respiratory medication, 75% of patients with a previous medical diagnosis had received respiratory medication in the past year, and over half of treated subjects were on medication without a diagnosis of airway obstruction.<sup>8</sup> In primary care settings in Latin America, long-acting bronchodilators (LA-BDs) are frequently underused as regular maintenance therapy for COPD, and the treatment received by patients does not strictly follow the COPD guidelines' recommendations; short-acting bronchodilators (SA-BDs) were most commonly used as monotherapy in patients with COPD.<sup>9</sup> Overtreatment with inhaled corticosteroids (ICSs) was also common in patients with a previous diagnosis of COPD.<sup>10</sup>

No information exists regarding the real-life types and patterns of inhaled medication use in COPD patients attended by specialist doctors in Latin America, nor is there any information on adherence to the different types of medication. Therefore, the aims of this non-interventional study were to describe the types of respiratory medication used in COPD patients attended by specialist doctors overall and according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2013 categories, and to determine the adherence to different inhaled therapies in stable COPD patients from seven Latin American countries.

#### **Methods**

The Latin American Study of 24-hour Symptoms in Chronic Obstructive Pulmonary Disease (LASSYC) was a prospective observational, multicenter, multinational, cross-sectional, non-interventional study in stable COPD patients from seven Latin American countries: Argentina, Chile, Colombia, Costa Rica, Guatemala, Mexico, and Uruguay.<sup>11,12</sup> The study has been registered at <u>ClinicalTrials.gov</u> (identifier: NCT02789540). Here, we analyzed prescribed medication use and adherence to different inhaled therapies in this patient population.

In total, 795 patients were enrolled and distributed among convenient specialist doctors in the seven selected countries. Each country recruited 100–130 patients and each site around 10–15 patients. The recruitment was competitive in each country after the expected site recruitment time of 1 month, up to a total recruitment period of 3 months. The study team identified suitable ambulatory pulmonology sites (ie, those whose databases had information on diagnostic procedures, etc.) to ensure an adequate sample of both specialist doctors and patients. The ethics committees for each site approved the study protocol and all patients provided written informed consent (Table S1).

Site staff collected, retrospectively, information from patients' medical records to determine eligibility, and those patients with stable COPD were screened for inclusion.

The methodology of the study has been described previously. In brief, outpatients  $\geq$ 40 years of age with a diagnosis of COPD for at least 1 year, at least one spirometry value with a COPD diagnosis using the post-bronchodilator FEV<sub>1</sub>/FVC <0.70 criterion in the previous 12 months, current or ex-smokers ( $\geq$ 10 pack-years), and stable disease (without treatment for an exacerbation or changes in current treatment in the previous 2 months) were included in the study.<sup>11</sup> Patients with a diagnosis of sleep apnea or any other chronic respiratory disease, or any acute or chronic condition that would limit their ability to participate in the study were excluded.

The following information was collected for each patient: social demographics, health insurance system, lifestyle, smoking history, presence of comorbidities, level of dyspnea, disease severity, prescribed COPD treatments, exacerbation history, and health care resource utilization during the past 12 months.

COPD classification was performed according to the GOLD 2013 criteria for groups A–D based on post-bronchodilator FEV,, exacerbation risk, and level of symptoms.<sup>13</sup>

The type of medication used was assessed using the following classification: short-acting  $\beta$ -agonist (SABA) or short-acting muscarinic antagonist (SAMA) only, long-acting muscarinic antagonist (LAMA), long-acting  $\beta$ -agonist (LABA), LABA/LAMA, ICS, ICS/LABA, ICS/LAMA/LABA, and other (such as methylxanthine, mucolytics, and phosphodiesterase-4 inhibitors).

#### Assessment of medication adherence

Self-reported adherence to medication was measured using the Test of Adherence to Inhalers (TAI) questionnaire.<sup>14</sup>

The TAI questionnaire includes 10 items (patient domain), is self-administered, and is scored from 1 to 5 (where 1= worst possible score and 5= best possible score). The total score for the 10-item questionnaire ranges from 10 to 50. Adherence is rated as good (score =50), intermediate (score =46–49), or poor (score  $\leq$ 45).<sup>14</sup>

The adherence profiles to inhaled therapies in general and the level of agreement between two patient self-report adherence questionnaires in stable COPD patients from seven Latin American countries have been published elsewhere.<sup>11</sup>

#### Statistical analysis

Descriptive statistics included the absolute and relative frequencies for categorical variables and the mean  $\pm$  SD for numerical variables. To test for differences in some variables according to the type of medicine taken, a Student's *t*-test was used for continuous variables as the medicines are all dichotomous variables. We considered a *p*-value less than 5% as statistically significant. All analyses were performed using Stata 13.1 (Stata Statistical Software: Release 13, 2013; StatCorp LP, College Station, TX, USA).

# Results

In total, 795 patients were included between May and August 2016 (59.6% male), with a mean age of  $69.5\pm8.7$  years and a mean post-bronchodilator FEV<sub>1</sub> of  $50.0\%\pm18.6\%$ 

Table I Patients' characteristics, overall and by country

of predicted. The general characteristics of the overall patient population and by country are shown in Table 1. Among these patients, 787 (99%) completed the TAI questionnaire. The sample characteristics of the patient population according to the type of medication used are shown in Table 2.

The type and frequency of medication used in patients with COPD, overall and by individual country, are shown in Figure 1. Approximately 3% of the overall study population were not receiving treatment. The ICS/LAMA/LABA and ICS/LABA combinations were the most frequently used treatments in most countries (Argentina, Chile, Guatemala, Mexico, and Uruguay), with more than one-third of patients using an ICS/LAMA/LABA combination. In Colombia, the most common medications used were SABA or SAMA, and in Costa Rica this was LABA monotherapy.

Variable	All	Argentina	Chile	Colombia	Costa Rica	Guatemala	Mexico	Uruguay
Number of patients	795 (100)	193 (100)	107 (100)	150 (100)	60 (100)	32 (100)	142 (100)	(100)
Gender								
Male	474 (59.6)	106 (54.9)	54 (50.5)	90 (60.0)	41 (68.3)	26 (81.2)	91 (64.1)	66 (59.5)
Age (complete years)								
<60	114 (14.3)	31 (16.1)	14 (13.1)	17 (11.3)	10 (16.7)	5 (15.6)	18 (12.7)	19 (17.1)
60–69	280 (35.2)	69 (35.8)	35 (32.7)	53 (35.3)	16 (26.7)	14 (43.8)	50 (35.2)	43 (38.7)
≥70	401 (50.4)	93 (48.1)	58 (54.2)	80 (53.4)	34 (56.7)	13 (40.6)	74 (52.1)	49 (44.I)
Highest level of schooling								
Less than primary school	137 (17.2)	4 (2.1)	7 (6.5)	69 (46.0)	15 (25.0)	2 (6.3)	18 (12.7)	22 (19.8)
Finished primary school	200 (25.2)	39 (20.2)	23 (21.5)	41 (27.3)	23 (38.4)	10 (31.2)	21 (14.8)	43 (38.7)
Finished secondary school	252 (31.7)	80 (41.5)	51 (47.7)	21 (14.0)	14 (23.3)	9 (28.1)	41 (28.9)	36 (32.4)
University/college degree	206 (25.9)	70 (36.3)	26 (24.3)	19 (12.7)	8 (13.3)	11 (34.4)	62 (43.6)	10 (9.0)
BMI (kg/m²)								
<18.5	235 (29.6)	39 (20.2)	18 (16.9)	75 (50.0)	20 (33.3)	9 (28.1)	51 (35.9)	23 (20.7)
18.5–24.9	425 (53.5)	104 (53.9)	62 (57.9)	71 (47.3)	33 (55.0)	16 (50.0)	73 (51.4)	66 (59.5)
≥25	135 (17.0)	50 (25.9)	27 (25.2)	4 (2.7)	7 (11.7)	7 (28.1)	18 (12.7)	22 (19.8)
Physical activity level								
Low	484 (60.9)	72 (37.3)	33 (30.8)	71 (47.3)	20 (33.3)	5 (15.6)	58 (40.9)	40 (36.0)
Moderate	268 (33.7)	38 (18.7)	37 (34.6)	37 (24.7)	13 (21.7)	8 (25.0)	33 (23.2)	18 (16.2)
High	43 (5.4)	83 (43.0)	37 (34.6)	42 (28.0)	27 (45.0)	19 (59.4)	51 (35.9)	53 (47.8)
Payment for health care								
Insurance	200 (25.5)	110 (58.9)	26 (24.8)	46 (30.7)	l (l.8)	0 (0.0)	15 (10.7)	2 (1.8)
Out of pocket	145 (18.5)	48 (25.3)	13 (12.4)	8 (5.3)	7 (12.2)	27 (84.4)	37 (26.4)	5 (4.5)
Public sector	440 (56.0)	32 (16.8)	66 (62.9)	96 (64.0)	49 (86.0)	5 (15.6)	88 (62.9)	104 (93.7)
Exacerbation in past year								
Yes	470 (59.1)	99 (51.3)	102 (95.3)	87 (58.0)	19 (31.7)	27 (84.4)	87 (61.3)	49 (44.I)
Smoking history (pack-years)	41.8±19.0	47.5±18.1	34.7±15.5	39.2±18.9	42.2±20.3	33.5±12.0	39.7±19.7	49.5±19.7
Lung function parameters								
FEV <sub>1</sub> (post-BD, % predicted)	49.4±17.5	48.9±16.5	52.3±16.4	48.7±18.4	46.3±15.9	45.9±16.6	47.0±18.1	54.6±18.6
FVC (post-BD, % predicted)	73.2±18.9	71.4±17.4	78.6±16.8	72.1±20.3	68.8±18.0	64.9±17.8	71.0±18.9	80.2±19.6
FEV/FVC (%)	49.3±11.4	49.7±10.3	49.1±12.4	49.6±12.8	49.4±11.8	52.0±9.7	47.8±11.0	49.6±10.8
mMRC grade	1.8±1.0	1.5±0.9	2.2±0.8	2.0±1.1	1.8±1.2	1.8±1.3	1.9±1.1	1.8±0.9
CAT score	15.2±8.2	11.8±6.8	22.2±8.1	16.1±7.8	15.8±8.7	15.5±8.0	14.2±7.0	4. ±7.7
BODEx index	2.9±1.9	2.5±1.8	3.1±1.8	3.5±2.0	3.1±1.8	2.9±2.0	3.0±1.8	2.4±1.7

Note: Data are shown as n (%) or mean  $\pm$  SD.

Abbreviations: BMI, body mass index; BD, bronchodilator; mMRC, modified Medical Research Council Dyspnea Scale; CAT, COPD Assessment Test; BODEx, BMI, Obstruction, Dyspnea, and Exacerbations.

score     3   2.5±2.5    9   3.7±4.0    1   3.5±4.1    2   2.2±2.6    1   2.6±2.9    1   2.6±2.9    3   3.3±2.5    6   3.2±3.7    6   3.2±3.7    6   3.2±3.3	Treatment	Gender (male)	Age (years)	FEV <sub>1</sub> (post-BD, % predicted)	FVC (post-BD, % predicted)	FEV <sub>1</sub> /FVC (%)	m <b>MRC</b> grade	<b>CAT</b> score	<b>BODE</b> x index	Daytime symptom	Early morning symptom	Night-time symptom
12 (52.2) 67.849.6 51.9417.8 70.6419.5 54.0410.6 1.4±1.0 13.7±10.1 2.4±1.9 88±6.3 2.5±2.5   43 (68.3) 69.5±9.4 50.7±17.8 69.7±19.5 53.3±11.8 1.6±1.0 15.1±7.8 2.9±1.6 10.7±6.9 3.7±4.0   29 (56.9) 69.2±81 51.3±16.6 74.3±16.5 53.3±11.8 1.6±1.1 15.6.8±9.6 2.5±1.8 10.2±8.1 3.5±4.1   29 (56.5) 70.3±8.1 51.3±16.6 74.3±16.5 50.3±11.0 1.6±1.1 15.6.8±9.6 2.5±1.8 10.2±8.1 3.5±4.1   26 (56.5) 70.3±8.1 51.3±16.6 74.3±16.5 50.3±11.0 1.6±1.1 15.6.8±9.6 2.2±2.6 2.2±2.6   26 (56.5) 70.3±8.1 51.3±16.6 74.3±16.5 75.4±10.1 47.6±10.9 18±1.2 15.6.8±9.6 2.2±2.6 </th <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>score</th> <th>score</th> <th>score</th>										score	score	score
43 (68.3) 69.5±9.4 50.7±17.8 69.7±19.5 53.3±11.8 1.6±1.0 15.1±7.8 2.9±1.6 10.7±6.9 3.7±4.0   29 (56.9) 69.2±8.1 51.3±16.6 74.3±16.5 50.3±11.0 1.6±1.1 15.68±9.6 2.5±1.8 10.2±8.1 3.5±4.1   26 (56.5) 70.3±8.1 55.9±19.9 81.8±20.9 49.6±10.8 1.7±1.0 11.9±7.2 2.3±2.0 7.1±5.6 2.2±2.6   26 (56.5) 70.3±8.1 55.9±19.9 81.8±20.9 49.6±10.8 1.7±1.0 11.9±7.2 2.3±2.0 7.1±5.6 2.2±2.6   23 (58.9) 69.2±9.0 48.9±15.7 75.4±17.1 47.6±10.9 1.8±1.0 1.9±7.2 2.3±2.0 7.1±5.6 2.2±2.6   22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 53.7±10.0 1.8±1.2 15.5±8.7 2.7±1.9 10.0±7.1 2.6±2.9   22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 51.2±10.7 1.8±1.1 15.5±8.7 2.7±1.9 10.6±7.5 3.6±3.7   24 (56.4) 69.2±8.5 44.7±16.8 71.0±19.3 46.0±11.5 2.0±1.0 15.7±7.6 3.3±1.8 10.5	No treatment	12 (52.2)	67.8±9.6	51.9±17.8	70.6±19.5	54.0±10.6	I.4±1.0	13.7±10.1	2.4±1.9	8.8±6.3	2.5±2.5	2.4±3.3
29 (56.9) 69.248.1 51.3±16.6 74.3±16.5 50.3±11.0 1.6±1.1 156.8±9.6 2.5±1.8 10.2±8.1 3.5±4.1   26 (56.5) 70.3±8.1 55.9±19.9 81.8±20.9 49.6±10.8 1.7±1.0 11.9±7.2 2.3±2.0 7.1±5.6 2.2±2.6   53 (58.9) 69.2±9.0 48.9±15.7 75.4±17.1 47.6±10.9 1.8±0.9 15.1±7.9 2.7±1.7 10.0±7.1 2.6±2.9   22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 53.7±10.0 1.8±1.2 15.6±7.0 2.8±2.0 9.5±6.0 3.3±2.5   22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 53.7±10.0 1.8±1.2 15.6±7.0 2.8±2.0 9.5±6.0 3.3±2.5   22 (64.7) 69.2±8.9 52.4±17.2 74.7±18.0 51.2±10.7 1.8±1.1 15.5±8.7 2.7±1.9 10.5±7.5 3.6±3.7   Abb 161 (61.5) 69.8±8.5 44.7±16.8 71.0±19.3 46.0±11.5 2.0±1.0 15.7±7.6 3.2±1.6 3.0±3.3   A (66.7) 70.5±8.2 45.0±25.2 61.4±29.6 52.7±11.2 1.3±0.8 14.0±7.5 2.4±1.8 5.0±3.3<	SABA or SAMA (only)	43 (68.3)	69.5±9.4	50.7±17.8	69.7±19.5	53.3±I I.8	I.6±I.0	I 5.I±7.8	2.9±1.6	10.7±6.9	3.7±4.0	3.I±4.7
26 (56.5) 70.3±8.1 55.9±19.9 81.8±20.9 49.6±10.8 1.7±1.0 11.9±7.2 2.3±2.0 7.1±5.6 2.2±2.6   53 (58.9) 69.2±9.0 48.9±15.7 75.4±17.1 47.6±10.9 1.8±0.9 15.1±7.9 2.7±1.7 10.0±7.1 2.6±2.9   22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 53.7±10.0 1.8±1.2 15.6±7.0 2.8±2.0 9.5±6.0 3.3±2.5   124 (56.4) 69.2±8.9 52.4±17.2 74.7±18.0 51.2±10.7 1.8±1.1 15.5±8.7 2.7±1.9 10.5±7.5 3.6±3.7   AbA 161 (61.5) 69.8±8.5 44.7±16.8 71.0±19.3 46.0±11.5 2.0±1.0 15.7±7.6 3.3±1.8 10.5±6.6 3.2±3.7   A (66.7) 70.5±8.2 45.0±25.2 61.4±29.6 52.7±11.2 1.3±0.8 14.0±7.5 2.0±1.0 3.0±3.3	LABA	29 (56.9)	69.2±8.1	51.3±16.6	74.3±16.5	50.3±11.0	I.6±I.I	I 56.8±9.6	2.5±1.8	I 0.2±8. I	3.5±4.1	3.I±4.4
53 (58.9) 69.249.0 48.9±15.7 75.4±17.1 47.6±10.9 1.8±0.9 15.1±7.9 2.7±1.7 10.0±7.1 2.6±2.9   22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 53.7±10.0 1.8±1.2 15.6±7.0 2.8±2.0 9.5±6.0 3.3±2.5   124 (56.4) 69.2±8.9 52.4±17.2 74.7±18.0 51.2±10.7 1.8±1.1 15.5±8.7 2.7±1.9 10.5±7.5 3.6±3.7   ABA 161 (61.5) 69.8±8.5 44.7±16.8 71.0±19.3 46.0±11.5 2.0±1.0 15.7±7.6 3.3±1.8 10.2±6.6 3.2±3.7   ABA 161 (61.5) 69.8±8.5 44.7±16.8 71.0±19.3 46.0±11.5 2.0±1.0 15.7±7.6 3.3±1.8 10.2±6.6 3.2±3.7   A (66.7) 70.5±8.2 45.0±25.2 61.4±29.6 52.7±11.2 1.3±0.8 14.0±7.5 2.4±1.8 3.0±3.3	LAMA	26 (56.5)	70.3±8.1	55.9±19.9	81.8±20.9	<b>49.6</b> ±10.8	1.7±1.0	11.9±7.2	2.3±2.0	7.I±5.6	2.2±2.6	I.5±2.6
22 (64.7) 69.6±9.4 53.4±19.3 72.3±19.8 53.7±10.0 1.8±1.2 15.6±7.0 2.8±2.0 9.5±6.0 3.3±2.5   \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	LABA/LAMA	53 (58.9)	69.2±9.0	48.9±15.7	75.4±17.1	47.6±10.9	I.8±0.9	I5.I±7.9	2.7±1.7	10.0±7.1	2.6±2.9	I.5±2.3
AB   124 (56.4)   69.2±89   52.4±17.2   74.7±18.0   51.2±10.7   1.8±1.1   15.5±8.7   2.7±1.9   10.5±7.5   3.6±3.7     AMA/LABA   161 (61.5)   69.8±8.5   44.7±16.8   71.0±19.3   46.0±11.5   2.0±1.0   15.7±7.6   3.3±1.8   10.2±6.6   3.2±3.7     AMA/LABA   161 (61.5)   69.8±8.5   44.7±16.8   71.0±19.3   46.0±11.5   2.0±1.0   15.7±7.6   3.3±1.8   10.2±6.6   3.2±3.7     4 (66.7)   70.5±8.2   45.0±25.2   61.4±29.6   52.7±11.2   1.3±0.8   14.0±7.5   2.4±1.8   5.3±4.0   3.0±3.3	ICS	22 (64.7)	69.6±9.4	53.4±19.3	72.3±19.8	53.7±10.0	1.8±1.2	I 5.6±7.0	2.8±2.0	9.5±6.0	3.3±2.5	2.6±3.3
AMA/LABA 161 (61.5) 69.8±8.5 44.7±16.8 71.0±19.3 46.0±11.5 2.0±1.0 15.7±7.6 3.3±1.8 10.2±6.6 3.2±3.7 2   4 (66.7) 70.5±8.2 45.0±25.2 61.4±29.6 52.7±11.2 1.3±0.8 14.0±7.5 2.4±1.8 5.3±4.0 3.0±3.3 1	ICS/LABA	124 (56.4)	69.2 <u>±</u> 8.9	52.4±17.2	74.7±18.0	51.2±10.7	I.8±I.I	I 5.5±8.7	2.7±1.9	10.5±7.5	3.6±3.7	2.4±3.6
4 (66.7) 70.5±8.2 45.0±25.2 61.4±29.6 52.7±11.2 1.3±0.8 14.0±7.5 2.4±1.8 5.3±4.0 3.0±3.3	ICS/LAMA/LABA	161 (61.5)	69.8±8.5	44.7±16.8	71.0±19.3	46.0±I I.5	2.0±1.0	15.7±7.6	3.3±I.8	10.2±6.6	3.2±3.7	2.2±3.7
	Other	4 (66.7)	70.5±8.2	<b>45.0±25.2</b>	61.4±29.6	52.7±11.2	I.3±0.8	I 4.0±7.5	2.4±1.8	5.3±4.0	3.0±3.3	I.7±2.3

A small proportion of patients were using LABA (6.4%) or LAMA (5.8%) monotherapy or dual bronchodilatation (LABA/LAMA [11.3%]) in the overall COPD population (Figure 1). The pattern of use of respiratory medication, either as-needed or as regular maintenance therapy, is shown in Figure S1. Of the patients who used SA-BD monotherapy (SABA or SAMA), more than 50% used it regularly as a maintenance therapy.

**Dove**press

Figure 2 shows the types of medication used according to the GOLD 2013 COPD categories. The medication most frequently used in each category was ICS/LABA (A: 32.7%; B: 19.8%; C: 25.7%; D: 28.2%) and ICS/LAMA/LABA (A: 17.3%; B: 30.2%; C: 33%; D: 41.1%).

Figures S2 and S3 show the type of medication used according to prior medical diagnosis of asthma and history of exacerbations (ambulatory or requiring hospitalization) in the past year, respectively. The majority of patients ( $\geq$ 90%) using any ICS combination did not have a prior medical diagnosis of asthma (Figure S2). In patients using any ICS combination, more than 35% and 75% of patients did not have an ambulatory exacerbation or an exacerbation requiring hospitalization, respectively.

Adherence to treatment in the overall population according to the type of medication used, based on the TAI questionnaire, is shown in Figure 3. According to the TAI questionnaire, the use of SA-BDs and ICS monotherapy showed the lowest adherence (poor adherence in 52.4% and 44.0% of patients, respectively); treatment with LA-BDs had better adherence (good adherence in >50% of patients) (Figure 3).

#### Discussion

The main findings of this study on the treatment of stable COPD patients attended by specialist doctors in Latin America were: first, ICS/LAMA/LABA or ICS/LABA combinations were the most frequently used classes of medication in the overall population and also in each of the GOLD 2013 categories; second, a substantial proportion of patients were using an ICS combination without a prior medical diagnosis of asthma or exacerbation history; and third, the use of treatment regimens involving LA-BDs showed the highest adherence (good or high adherence >50%) according to the TAI questionnaire.

Pharmacological overtreatment, in particular the overprescription of triple therapy in patients with COPD, many of whom have mild disease, has been frequently reported.<sup>4,9,10,15–21</sup> In an analysis of a large UK primary care database, Price et al reported that ~50% of COPD patients

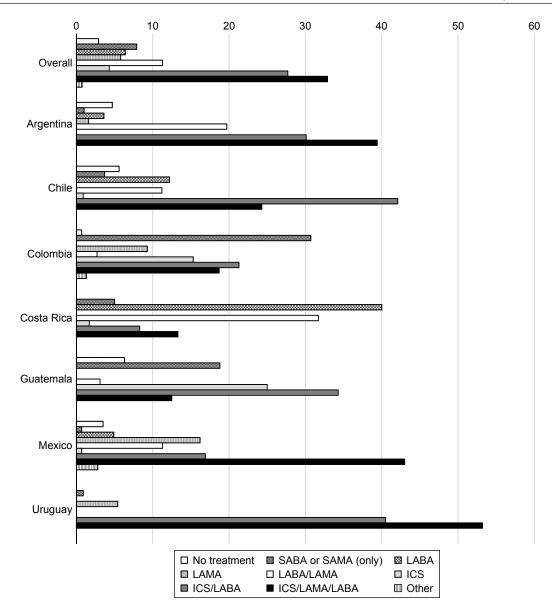


Figure I Type and frequency of medication used, overall and by country.

Note: LABA, LAMA, LABA/LAMA, ICS, ICS/LABA, ICS/LAMA/LABA, and ICS/LAMA could be used alone or in combination with SABA or SAMA. **Abbreviations:** LABA, long-acting  $\beta$ -agonist; LAMA, long-acting muscarinic antagonist; ICS, inhaled corticosteroid; SABA, short-acting  $\beta$ -agonist; SAMA, short-acting muscarinic antagonist.

received an ICS, in combination with either a LABA (26.7%) or a LABA/LAMA (23.2%).<sup>4</sup> Similarly, here we found that ICS/LABA and triple therapy were the most frequently used treatments in patients categorized as GOLD A or B and only a small proportion of patients were using LABA or LAMA monotherapy (1.8% and 7.9%, respectively). In another large database study in Spain, up to 45.2% of patients newly diagnosed with COPD were treated with an ICS and up to 12% were receiving triple therapy.<sup>6</sup> In a real-life study from France, ICS/LAMA/LABA and ICS/LABA combinations were used in 33% and 24% of the total COPD population, respectively.<sup>16</sup> In addition, these combinations

were commonly used in patients categorized as GOLD A (ICS/LAMA/LABA [20%] and ICS/LABA [16%]) or B (ICS/LAMA/LABA [32%] and ICS/LABA [14%]). A retrospective study from the USA indicates that 25.5% of COPD patients were treated with triple therapy, and the majority of the patients had mild/moderate disease.<sup>15</sup>

Limited information is available regarding the real-life types and frequencies of medication used in the treatment of COPD patients in Latin America. Data from patients with prior COPD diagnosis in a primary care setting indicate that 64.7% used any bronchodilator, 37.6% used a corticoster-oid, and 25.6% used a bronchodilator plus corticosteroid.<sup>9,10</sup>

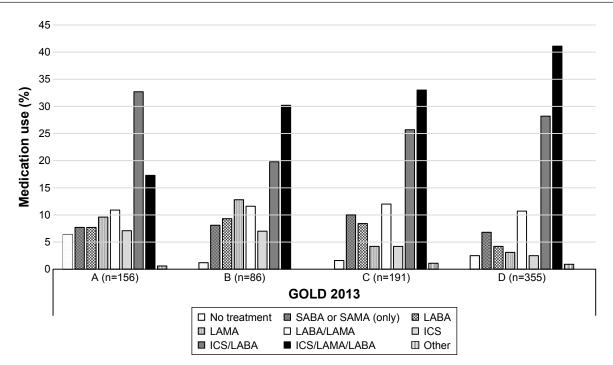


Figure 2 Type of medication used according to the GOLD 2013 stage classification in the overall population.

Note: LABA, LAMA, LABA/LAMA, ICS, ICS/LABA, ICS/LAMA/LABA, and ICS/LAMA could be used alone or in combination with SABA or SAMA.

**Abbreviations:** GOLD, Global Initiative for Chronic Obstructive Lung Disease; LABA, long-acting  $\beta$ -agonist; LAMA, long-acting muscarinic antagonist; ICS, inhaled corticosteroid; SABA, short-acting  $\beta$ -agonist; SAMA, short-acting muscarinic antagonist.

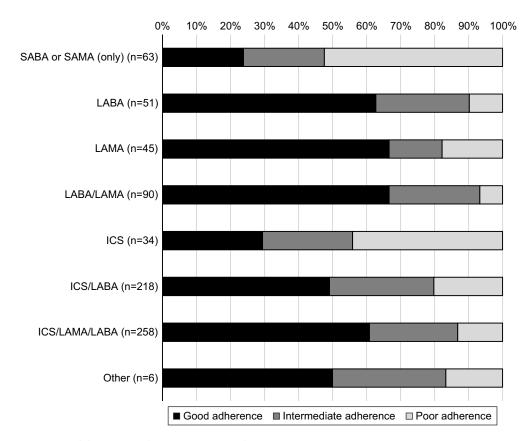


Figure 3 Adherence to treatment (TAI questionnaire) according to the type of medication used in the overall population.

Note: LABA, LAMA, LABA/LAMA, ICS, ICS/LABA, ICS/LAMA/LABA, and ICS/LAMA could be used alone or in combination with SABA or SAMA.

Abbreviations: TAI, Test of Adherence to Inhalers; LABA, long-acting β-agonist; LAMA, long-acting muscarinic antagonist; ICS, inhaled corticosteroid; SABA, short-acting β-agonist; SAMA, short-acting muscarinic antagonist.

LA-BDs appeared to be underused as regular maintenance therapy (<30%), while 79% of patients were using a corticosteroid despite not having airway obstruction or exacerbation.<sup>9,10</sup>

The results of this study are consistent with those reported in other populations showing the underuse of LA-BD monotherapy (LAMA or LABA) and dual bronchodilatation (LAMA/LABA) as maintenance therapies in COPD patients, as well as the overuse of ICS/LAMA/LABA and ICS/LABA combinations in patients in GOLD groups A and B. Regarding the prescribing pattern of ICSs among the countries participating in the study, in six out of seven countries (Argentina, Chile, Guatemala, Mexico, Colombia, and Uruguay) ICSs were used in more than 55% of the patients; only Costa Rica had a low use of ICSs (28%). On the other hand, the countries with the highest use of SA-BDs were Colombia (31%) and Guatemala (19%), and those with the highest use of LA-BDs (without ICS) were Costa Rica (72%) followed by Argentina (25%) and Chile (23%). This suggests that a substantial proportion of patients are not treated with the most appropriate medication according to GOLD recommendations or local guidelines.<sup>1,2,22</sup> These findings can be explained by a number of factors, one of which is the low implementation of or low physicians' adherence to the current COPD guidelines around the world.<sup>18-21,23-25</sup> Another factor that may help to explain the differences from the prescribing pattern previously reported in primary care physicians from Latin America,<sup>9,10</sup> as well as the high prescription of triple therapy found in the present study, is the sites selected for the study, which were all COPD patients' centers attended by specialist physicians, who have a greater tendency to prescribe this type of therapy. It is also possible that other factors, not assessed in the present study (such as variations in the characteristics of the local health care systems, medical coverage for respiratory medications, accessibility to medication, and reimbursement or regulatory policies), may contribute to the current COPD treatment practice and explain in part the differences observed among the countries.

The main indication for the use of ICS combination therapy in COPD patients is two or more exacerbations or one hospitalization due to an exacerbation in the past year (GOLD 2013 groups C and D). In addition, the use of ICS has been recommended for patients with the overlap phenotype (asthma plus COPD).<sup>26</sup>

Analysis from a UK primary care setting showed that 53.7% of the COPD patients without a concomitant diagnosis of asthma were receiving an ICS, as well as 49% and 64% of the patients who had experienced no exacerbations or one

exacerbation in the previous year, respectively.<sup>4</sup> Similarly, a study involving a primary care population from Latin America reported that 70.4% of patients with a correct prior diagnosis of COPD were receiving a corticosteroid despite not having experienced any exacerbations in the past year.<sup>10</sup> In the present study, we also found that more than 90% of the COPD patients using any ICS combination did not have a prior medical diagnosis of asthma, and more than 35% and 75% did not have ambulatory exacerbation or exacerbations requiring hospitalization, respectively. These data allow us to conclude that there is an overuse of ICSs in patients with COPD in the Latin American region. Difficulties in distinguishing between asthma and COPD in adults with airways disease, or in establishing when these two conditions coexist (asthma and COPD overlap), could play an important role in the ICS prescribing pattern.27

Several studies have reported frequent suboptimal adherence to inhaled medication in COPD patients.<sup>28–30</sup> Limited information exists regarding adherence according to the different types of medication in these patients. However, a study using pharmacy records found that adherence to medications was poor, with 19.8% of patients adherent to ICS, 30.6% adherent to LABA, and 25.6% adherent to ipratropium.<sup>30</sup> A separate study analyzing pharmacy records showed that 54% of patients prescribed a LABA were adherent to therapy while only 40% were adherent to an ICS.<sup>28</sup> Data from the Copenhagen General Population Study found that adherence varied from 29% to 56% across COPD GOLD stages 1–4 for ICS/LABA therapy, from 51% to 68% for LAMA monotherapy, and from 25% to 62% for LABA

A study using a PHARMO database showed that the persistence rates with initial therapy for COPD at 1, 2, and 3 years were 25%, 14%, and 8%, respectively, for LAMA therapy; 21%, 10%, and 6% for LABA therapy; and 27%, 14%, and 8% for ICS/LABA fixed-dose combination therapy.<sup>31</sup> Another study reported that ~37% of new users of tiotropium continued treatment for 1 year compared with only 14% for ipratropium, 13% for LABA, and 17% for ICS/LABA.<sup>32</sup> In contrast, a large database study from Spain found higher levels of adherence: around 50% of patients newly initiated with LAMA therapy were persisting with their treatment after 9 months.<sup>33</sup>

To our knowledge, no previous study has evaluated adherence to different inhaled medications using patients' selfreport methods in a selected COPD population. The results of the present study are in line with previous studies showing improved adherence with the use of LA-BDs compared with SA-BD or ICS monotherapy regimens. In addition, improved adherence to LAMA, LABA, or LABA/LAMA treatment regimens compared with ICS/LABA was observed. The well-documented superiority of LA-BDs for improving lung function and quality of life, and reducing exacerbations and hospital admissions compared with SA-BDs, together with the potential advantages of newer, easier-to-use devices, could help to explain the greater adherence to LA-BDs regimens.<sup>34,35</sup> That said, the adherence to ICS therapy could be lower than that with LA-BDs because of the lack of a direct symptom-relieving effect of the corticosteroids.

# Limitations

This study has some limitations that should be mentioned. Medication adherence was only assessed using self-reported measurements, and self-reported use frequently leads to an overestimation of medication use. Although the study included a large number of COPD patients from seven countries, it cannot be concluded that the sample is representative of the entire COPD population attended by specialist doctors in Latin America; however, the sample included patients with different degrees of severity and may provide a valid estimation of patients' characteristics from this region. Finally, since this was a cross-sectional study, it was not possible to analyze prospectively the type of medication used and adherence according to the different types of medication over time.

### Conclusion

Our results indicate that the management of COPD patients attended by specialist doctors in Latin America does not usually follow GOLD or local recommendations, particularly regarding the use of LA-BDs, ICS/LABA, and triple therapy in patients with low exacerbation risk or without a prior diagnosis of asthma. Treatment regimens that include LA-BDs are associated with the highest adherence. Further efforts are needed to improve our knowledge on COPD management in specialist practice in the Latin American region and to ensure that COPD patients have access to the most appropriate medication regimens.

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# Author contributions

For all data, all authors confirm that they have personally reviewed the data, understand the statistical methods employed for the analyses, and have an understanding of these analyses, that the methods are clearly described, and that they are a fair way to report the results. AC, MMO, AM, FCW, MVLV, LM, LR, and MM contributed substantially to the study design, data collection, interpretation, and the writing of the manuscript. AM and FCW performed the data analysis while all authors were involved with data interpretation. All authors approved the final version of the manuscript and agreed to its submission to the *International Journal of Chronic Obstructive Pulmonary Disease*.

# Disclosure

AM has been paid for her work as a statistician for the LASSYC study. LR is an employee of AstraZeneca. The other authors report no conflicts of interest in this work.

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# Supplementary materials

Table SI Approval committees in the various countries involved in the LASSYC study

Country	Ethics committee
Argentina	IRB: Iniciativa y Reflexión Bioética
Mexico	LEC: Comité de Etica en Investigacion del Hospital Dr Angel Leaño, Comité de Etica
	del Hospital General del Estado de Sonora, Comité de Ética del Hospital Clínico de
	la Fuerza Aérea de Chile
	IRB: Comite de Investigacion del Hospital Dr Angel Leaño
	Comité de Ética en Investigación de México Centre for Clinical Research SA de CV
	Comité Etica del Hospital General del Estado de Sonora
	Institudo Jalisciense de Investigacion Clinica SA
	Winsett Rethman SA de CV
	Comite de Etica en Investigacion de Mexico, Centre for Clinical Research, SA de CV
	Instituto Jaliscience de Investigacion Clinica SA de CV (IJICSA)
	Comité de Etica en Investigacion
	Comité de Investigacion del Hospital Angeles de Las Lomas
Chile	Comité de Etica del Servicio de Salud Metropolitano Sur Oriente
	Comité Etico Científico del Servicio de Salud Metropolitano Oriente (RC)
	Comité de ética del Hospital Clínico de la Fuerza Aérea de Chile
Colombia	Comité de Etica en la Investigacion CAIMED
	Comité de Etica en Investigación Fundación Neumológica Colombiana (CEI-FNC)
	Comité Corporativo de Etica (CCEI)
Costa Rica	Comité ético Científico UCIMED
Guatemala	Comité de Ética Independiente Zugueme

Abbreviations: LASSYC, Latin American Study of 24-hour Symptoms in Chronic Obstructive Pulmonary Disease; IRB, institutional review board; LEC, local ethics committee.

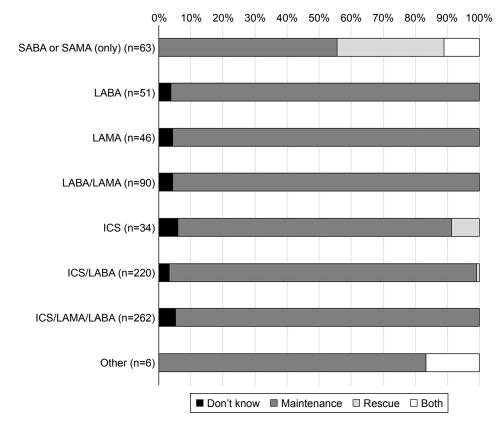


Figure SI Pattern of use of respiratory medication, either as-needed or as regular maintenance therapy.

**Abbreviations:** SABA, short-acting  $\beta$ -agonist; SAMA, short-acting muscarinic antagonist; LABA, long-acting  $\beta$ -agonist; LAMA, long-acting muscarinic antagonist; ICS, inhaled corticosteroid.

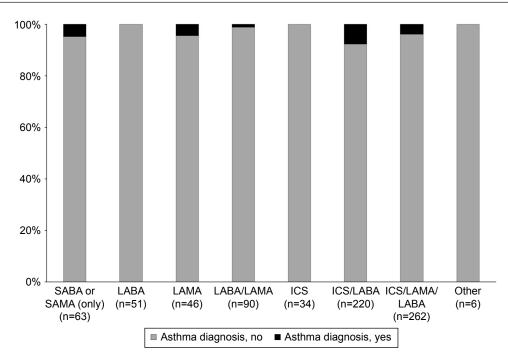


Figure S2 Type of medication used according to prior medical diagnosis of asthma.

**Abbreviations:** SABA, short-acting  $\beta$ -agonist; SAMA, short-acting muscarinic antagonist; LABA, long-acting  $\beta$ -agonist; LAMA, long-acting muscarinic antagonist; ICS, inhaled corticosteroid.

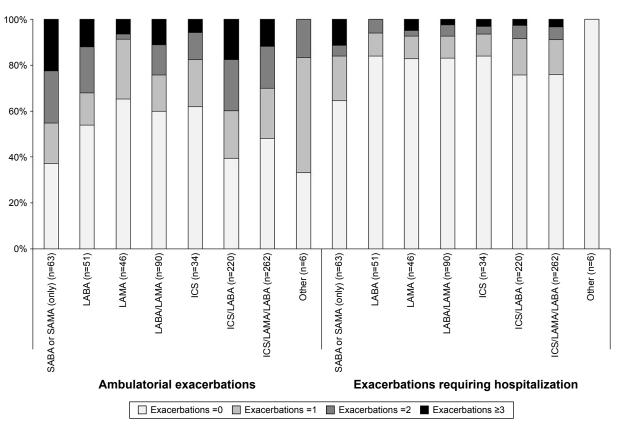


Figure S3 History of exacerbations (ambulatory or requiring hospitalization) in the past year.

**Abbreviations:** SABA, short-acting  $\beta$ -agonist; SAMA, short-acting muscarinic antagonist; LABA, long-acting  $\beta$ -agonist; LAMA, long-acting muscarinic antagonist; ICS, inhaled corticosteroid.

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