Perioperative chemotherapy with pemetrexed and cisplatin for pulmonary large-cell neuroendocrine carcinoma: a case report and literature review

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Background: Pulmonary large-cell neuroendocrine carcinoma (LCNEC) is associated with poor prognosis, and its treatment strategy is still controversial, especially regarding chemotherapy regimens.

Case report: We present the case of a 49-year-old Chinese male with primary pulmonary LCNEC treated with neoadjuvant and adjuvant chemotherapy with cisplatin plus pemetrexed. A suspected quasi-circular mass in the left lower pulmonary lobe and an enlarged mediastinal lymph node were found. The patient was diagnosed with adenocarcinoma with neuroendocrine differentiation based on computerized tomography-guided percutaneous lung biopsy. An *EGFR* gene mutation test showed negative results. Cisplatin and pemetrexed were administered as the neoadjuvant chemotherapy regimen. The primary lesion had reduced markedly, and the enlarged mediastinal lymph node had disappeared after two cycles of neoadjuvant chemotherapy. A left lower lobectomy and mediastinal lymph node dissection were performed. The lesion was confirmed as LCNEC based on postoperative histopathological analysis and immunohistochemical results. The patient underwent four cycles of adjuvant chemotherapy with cisplatin and pemetrexed for a month postoperatively, followed by postoperative adjuvant radiotherapy. The patient was still alive after a follow-up of 24 months, with no evidence of tumor recurrence.

Conclusion: Cisplatin combined with pemetrexed is effective and safe for patients with pulmonary LCNEC.

Keywords: large-cell neuroendocrine carcinoma, pulmonary tumor, cisplatin, pemetrexed

Introduction

Neuroendocrine tumors (NETs) are categorized into typical carcinoids, atypical carcinoids, small-cell lung cancer (SCLC), and large-cell neuroendocrine carcinoma (LCNEC) in accordance with morphological and biological features. Pulmonary LCNEC, a subtype of non-small-cell lung cancer (NSCLC) by definition, was first introduced as a variant of large-cell carcinoma (LCC) in 1999 by the World Health Organization (WHO). Pulmonary LCNEC is a rare entity in NSCLC known for its poor prognosis, and accounts for ~3% of all pulmonary malignancies.¹⁻⁵ As has been described in many reports, the 5-year survival rate of LCNEC after surgical resection is much worse than that of other histological variants of NSCLC.³⁻⁸ However, for survival time, LCNEC is closer to SCLC. A Japanese study with a large sample size demonstrated no difference in prognosis between LCNEC and SCLC.⁸

There may be some clinicopathological differences between LCNEC and SCLC in terms of periphery, size, and grade in NETs,⁹ but several studies reveal that LCNEC

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is similar to SCLC in clinical characteristics and morphology, including a preponderance of males and smokers.^{8,10–12} Furthermore, it is difficult to distinguish the comparable genetic alteration in LCNEC from SCLC, as reported by Jones et al.¹³ In addition to histological similarities, LCNEC is similar to SCLC in recurrence rate after radical resection, even at an early stage. Therefore, treatment strategy for LCNEC remains a source of controversy at present.¹⁴ Most chemotherapeutic regimens are formulated based on etoposide combined with cisplatin or carboplatin.^{15–19} Pemetrexed combined with platinum is rarely reported to be used in perioperative chemotherapy for pulmonary LCNEC. A patient with stage IIIA pulmonary LCNEC who received pemetrexed combined with platinum in perioperative chemotherapy, is presented in this article. Clinicopathological features of the patient with pulmonary LCNEC are described in this article, and he achieved remarkable therapeutic efficacy using pemetrexed with cisplatin. Written informed consent was obtained from the patient to have their case details and any accompanying images published.

Case report

A 49-year-old man was admitted with a suspected mass in the left lower pulmonary lobe found during health examination. The patient was a smoker with no family history of cancer. Chest computerized tomography (CT) (Figure 1) showed a quasi-circular mass (25.1 × 22.2 mm) in the left lower pulmonary lobe, together with an enlarged mediastinal lymph node (7.7 mm). The patient underwent CT-guided percutaneous biopsy in the left lung neoplasm. Pathological analysis revealed a poorly differentiated adenocarcinoma with neuroendocrine differentiation (Figure 2A). Immunohistochemistry showed positive staining of cytokeratin (CK), with CK7 and Ki-67 index of 60%, but negative staining of

P63 and thyroid transcription factor-1 (Figure 2B–F). The discovered serum tumor markers included carcinoembryonic antigen (3.67 ng/mL), neuron-specific enolase (NSE; 19.36 ng/mL), and circulating cytokeratin 19 fragments (CYFRA21-1; 2.15 ng/mL). Molecular analyses of exons 18, 19, 20, and 21 of *EGFR* revealed no mutation.

The patient was diagnosed with clinical stage IIIA (T1bN2M0) pulmonary adenocarcinoma with mediastinal lymph node metastasis. Optimal management requires both local and systemic disease controls; as a result, treatment for clinical stage IIIA (N2) NSCLC has been one of the most controversial topics in *Thoracic Oncology*. Neoadjuvant chemotherapy and/or radiotherapy prior to surgical resection has been demonstrated to provide better progression-free survival (PFS) than definitive chemoradiotherapy for patients with stage IIIA (N2) NSCLC, in several reports.^{20,21} The tumor was technically resectable, which involved single-zone mediastinal node. Therefore, neoadjuvant chemotherapy was selected, with an aim to induce tumor downstaging, thus facilitating and simplifying the subsequent surgical treatment. After a multidisciplinary team meeting, the patient proceeded to undergo two cycles of neoadjuvant chemotherapy with pemetrexed (500 mg/m² on day 1, every 3 weeks) and cisplatin (25 mg/m² from days 1 to 3, every 3 weeks) from March to April 2015. Side effects were limited to grade 1 fatigue and grade 1 nausea. Chest CT scan in May 2015 showed that the left lower lobe tumor had significantly reduced to 12.7 × 7.4 mm in size, and the enlarged mediastinal lymph node almost disappeared (Figure 3). The patient achieved partial remission (PR) after neoadjuvant chemotherapy.

The patient underwent left lower radical lobectomy completely (R0) resection and mediastinal lymph node dissection in video-assisted thoracoscopic surgery in May 2015. The postoperative sample was confirmed as pT1aN2M0 LCNEC

Figure 1 Prior to neoadjuvant chemotherapy, computerized tomography images of chest in March 2015 indicated a quasi-circular mass in the left lower pulmonary lobe, together with an enlarged mediastinal lymph node.

Figure 2 Histopathological examination of the biopsy specimen revealed a poorly differentiated adenocarcinoma with neuroendocrine differentiation (A, $\times 100$), immunohistochemical staining for CK (B, $\times 200$), CK7 (C, $\times 200$), Ki-67 (D, $\times 200$), P63 (E, $\times 200$), and transcription factor-1 (F, $\times 200$).

Abbreviation: CK, cytokeratin.

upon pathological examination. Immunohistochemical analysis (Figure 4) demonstrated intense immunoreactivity of CK, CK7, as well as NSE, chromogranin-A (CgA), and cluster of differentiation 56 (CD56), which was a typical LCNEC component. Immunohistochemistry showed focally positive results of synaptophysin (Syn), and negative results of P63, P40, and NapsinA. No surgery-related complications occurred. Chest CT scan 1 month after surgical resection revealed no tumor recurrence (Figure 5A and B).

The patient convalesced well after surgery and received adjuvant chemotherapy of the same regimen for four cycles. Meanwhile, sequential postoperative radiotherapy (PORT) at a total radiation dose of 45 Gy was given, which was divided into a daily dose of 1.5 Gy for twice after surgery for a month. The patient is followed up by tumor marker every month,

and CT scan every 2 months. No evidence of recurrence has been detected during the 24-month follow-up after surgery (Figure 5C and D).

Discussion

LCNEC is previously classified as a subtype of LCC, which is considered as a part of pulmonary NET spectrum.^{22–24} Diagnostic criteria for LCNEC have been revised in the 4th WHO Classification (2015), in which LCNEC is moved from the LCC chapter to the NET chapter.^{25,26} As LCNEC is a poorly recognized entity that is underdiagnosed, it is frequently mistaken for poorly differentiated NSCLC, atypical carcinoid, or intermediate cell-type SCLC.^{3,15,27,28} Patient in this case is diagnosed with lung adenocarcinoma with neuroendocrine differentiation based on CT-guided percutaneous

Figure 3 After two cycles of pemetrexed with cisplatin neoadjuvant chemotherapy, computerized tomography images of chest in May 2015 indicated that the left lower lobe tumor shrank significantly than before and the enlarged mediastinal lymph node almost disappeared.

Figure 4 Histopathological examination of surgical specimens revealed LCNEC.

Notes: H&E staining for specimens (**A**, $\times 200$) and immunohistochemical staining for CD56 (**B**, $\times 200$), synaptophysin (**C**, $\times 200$), chromogranin-A (**D**, $\times 200$), neuron-specific enolase (**E**, $\times 200$), and NapsinA (**F**, $\times 200$).

Abbreviations: CD56, cluster of differentiation 56; LCNEC, large-cell neuroendocrine carcinoma.

biopsy before surgery. The obscure morphology of NET, together with the occasional coexistence of other histological types, is responsible for misdiagnosis, especially in the case of cytology or small biopsy sample.²⁸

LCNEC and SCLC share the same characteristics in terms of neuroendocrine morphology shown as

follows: 1) neuroendocrine morphology, such as organoid nesting, nuclear palisading, rosettes, and trabecular pattern; 2) a high mitotic rate of at least 11 per 2 mm² (10 high-power fields); and 3) large areas of tumor necrosis. However, LCNEC also has the cytological features of NSCLC, including large cell size, low ratio of nuclear to cytoplasm volume, vesicular or

Figure 5 Computerized tomography images of chest indicated that no evidence of recurrence has been detected during the 24-month follow-up. (**A** and **B**) Images in June 2015; (**C** and **D**) Images in March 2017. (**A** and **C**) Images of vertical window; (**B** and **D**): images of lung window.

fine chromatin, or frequent nucleoli, or a combination of these features, which are different from SCLC. The immunohistochemical analysis of neuroendocrine markers is mandatory to establish a reliable diagnosis of LCNEC. Neuroendocrine differentiation in other lung cancers, for example, adenocarcinomas, is thought to be a predictor of worse survival.²⁹ LCNEC must be confirmed by an argyrophilic reaction or immunopositive results of CgA, SyN, or CD56.^{30,31} The awareness of this rare entity among pathologists, together with an increased application of immunohistochemical neuroendocrine markers, such as CD56, SyN, and CgA, in routine diagnostics has improved the diagnostic rate of LCNEC in recent years. Thus, obtaining biopsy sample that is sufficient in size is essential for accurate pathological diagnosis.

The patient diagnosed with pulmonary LCNEC with mediastinal lymph node metastasis is successfully treated with surgery and perioperative chemotherapy with pemetrexed and cisplatin. Today, owing to the lack of literature data related to these neoplasms and because of their peculiar features, the best treatment strategy for this group of malignancies remains unclear. Primary surgery should be the preferred choice for operable patients with pulmonary LCNEC, which also represents the principal method for accurate diagnosis. In addition, lobectomy or pneumonectomy with a systematic nodal dissection is the preferred choice as it may prolong survival.⁷ Furthermore, it was demonstrated in a retrospective study that surgical treatment can achieve satisfactory results in early tumor stages, which are comparable with other NSCLCs, with a low perioperative mortality rate;²⁹ but, the 5-year survival in patients at stage III was only 29.7% and the presence of lymph node metastasis was also associated with a worse 5-year survival. Therefore, radical surgery is recommended but does not seem to be sufficient for resectable pulmonary LCNEC.

Perioperative chemotherapy has been demonstrated to bring survival benefit for patients with pulmonary LCNEC, as is shown in some retrospective studies with small sample size. Perioperative chemotherapy is recommended even for patients with resectable stage I LCNEC due to its characteristics such as aggressiveness, high potential for metastasis, and remarkably dismal prognosis.^{6,32–34} However, no randomized clinical trial investigating the optimal treatment of LCNEC is available up to now. Malapelle et al³⁵ suggest that CCDC6 and USP7 have a predictive value for the clinical usage of USP7 inhibitors in combination with the PARP inhibitors in L-NET in addition to standard therapy. Iyoda et al^{33,36} confirmed the superiority of platinum-base adjuvant chemotherapy over nonplatinum adjuvant chemotherapy

or nonadjuvant therapy. A pilot study has applied adjuvant chemotherapy in treating completely removed high-grade pulmonary neuroendocrine carcinoma, which includes LCNEC and SCLC. Our results indicate that chemotherapy regimens with irinotecan and cisplatin result in the 3-year relapse-free survival of 74% and the 3-year OS of 86% among LCNEC,³⁷ but the authors did not elaborate on the median PFS and OS, especially the PFS and OS for patients with IIIA. Meanwhile, nedaplatin combined with irinotecan is also effective and safe for patients with pulmonary LCNEC.³⁸ Most of the chemotherapy regimens for LCNEC in these studies have adopted the chemotherapy regimens for SCLC. It was reported in the latest clinical study by Kim et al that the median PFS was 11.9 months of resectable LCNEC whose chemotherapy regimens were intravenous paclitaxel, docetaxel with cisplatin, or carboplatin, and the PFS was significantly improved in this case compared to those patients without adjuvant chemotherapy. It was also demonstrated in this study that in patients with pathological stage II or higher, those who underwent adjuvant treatment showed significantly better 5-year OS rates and 5-year DFS rates. The OS and DFS for patients with IIIA were only ~3 and 1 year, respectively.³⁹

Pemetrexed is a TS inhibitor, which can inhibit TS, thus leading to futile cycles of misincorporation of Dump, excision repair, and ATP depletion.^{40,41} TS has been recognized as a target in Oncology since the synthesis of fluoropyrimidines in 1957.⁴² Pemetrexed, which has comparable efficacy and significantly lowered toxicity, has now become a gold standard in first-line maintenance and second-line treatment for patients with nonsquamous NSCLC.^{43–45} Several studies have shown that TS level varies from histology to histology. Lower TS expression level is seen in adenocarcinoma and nonneuroendocrine LCC, while higher level can be observed in squamous and LCNEC and the highest level can be seen in SCLC.^{46–49} Patient in this case has biopsy-proven adenocarcinoma before operation; as a result, pemetrexed and cisplatin are used as the neoadjuvant chemotherapy. The patient achieves PR in accordance with Response Evaluation Criteria in Solid Tumor (RECIST) (Version 1.0). No treatment-related complications are seen in the patient during perioperative adjuvant chemotherapy and PORT. Furthermore, the patient has a better prognosis with at least 2 years without recurrence. Pemetrexed combined with cisplatin is confirmed to be inferior to etoposide combined with cisplatin in SCLC,⁵⁰ but its efficacy in LCNEC remains uncertain. Therefore, it is necessary to conduct prospective randomized trials to identify its therapeutic efficacy.

To the best of our knowledge, this is the first report regarding perioperative adjuvant chemotherapy with pemetrexed and cisplatin for pulmonary LCNEC.

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Disclosure

The authors report no conflicts of interest in this work.

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