

# Clinical and economic impact of the 21-gene recurrence score assay in adjuvant therapy decision making in patients with early-stage breast cancer: pooled analysis in 4 Basque Country university hospitals

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**Purpose:** The 21-gene recurrence score (RS) is a genomic test developed as a prognostic and predictive tool to improve the treatment decision making in cases of estrogen receptor-positive and human epidermal growth factor receptor 2-negative early-stage breast cancer. This study examined the clinical and economic impact of its use in 4 Basque Country university hospitals.

**Methods:** Taking into consideration the RS result, we recorded the recommended initial systemic adjuvant therapy (endocrine therapy with or without chemotherapy) according to standard clinicopathologic factors and the final decision about chemotherapy. Then, if the RS was high, chemotherapy was recommended; it was not recommended if the RS was low; for those with an intermediate RS, clinicopathologic factors were considered, and the initial recommendation based on those factors was maintained. In addition, the probability of switching treatment was calculated. Then, we developed an economic evaluation by measuring the treatment's incremental short-term budget impact from both the societal perspective and that of the Basque Health System. Patients' characteristics and chemotherapy use were analyzed using logistic regressions and receiver operating characteristic curves.

**Results:** Without an RS, chemotherapy would have been prescribed to 56% of 401 patients, but, with RS use, that percentage decreased to 25. The overall rate of decision change was 35.4%. Test inclusion led to a reduction in chemotherapy costs of €922 per patient in the total population. Although this reduction did not entirely offset the cost of the test, the productivity loss per patient was reduced by €1,977.

**Conclusion:** The 21-gene RS test significantly changed the indication for chemotherapy. As chemotherapy treatments with no benefit were avoided, patients' quality of life was improved. The short-term economic impact was negative for the Basque Health Service, but savings resulted when sick-leave costs were included.

**Keywords:** early-stage breast cancer, adjuvant chemotherapy, 21-gene assay, economic evaluation, health care costs

## Introduction

The mainstay of adjuvant treatment in patients with estrogen receptor-positive (ER+) and human epidermal growth factor receptor 2-negative (HER2-) early-stage breast cancer (BC) is endocrine therapy. Chemotherapy is not recommended for all patients, because some have a more favorable prognosis and benefit less from chemotherapy.

However, predictive models based only on clinical and histochemical features have not been shown to accurately identify which patients benefit from adjuvant chemotherapy.<sup>1</sup> Thus, in recent years, genomic tests with a strong prognostic and predictive power have been developed.<sup>2</sup>

The 21-gene recurrence score (RS) for early-stage BC (Oncotype DX; Genomic Health, Redwood City, CA, USA) is based on a reverse transcriptase polymerase chain reaction assay of 5 reference genes and 16 cancer-related genes associated with cell proliferation, invasion, and hormone response.<sup>3</sup> It became commercially available in 2004 and uses a continuous scale (from 0 to 100) to predict 10-year cancer recurrence risk and benefit of adjuvant chemotherapy in patients with early-stage ER+, lymph node-negative disease. That score is subdivided into 3 risk categories: low, intermediate, and high.<sup>3,4</sup> Its impact on the decision-making process for adjuvant therapy in patients with ER+ and HER2– BC was addressed in different studies,<sup>4,5</sup> which determined its inclusion in clinical guidelines.<sup>6–8</sup> However, other experts, like those in the Evaluation of Genomic Applications in Practice and Prevention group, believe that there is no definitive evidence demonstrating the test's utility as a predictive tool of the benefit of adjuvant chemotherapy.<sup>9</sup> The Basque Health Service (Osakidetza) included the 21-gene RS test in its portfolio in September 2012, and its real-life application meant a possible change in the final treatment decision for patients needing evaluation.

The main objective of the study was to carry out an economic evaluation by measuring the assay's incremental short-term budget impact. The second objective was to measure the probability of a treatment change due to the use of the 21-gene RS when compared to treatment based on the use of clinical criteria alone.

## Methods

This study was an observational, prospective, multicenter study to assess the impact of Oncotype DX-RS test use on adjuvant chemotherapy decision making and was carried out in 4 hospitals within the Basque Health Service (Basurto, Donostia, Onkologikoa, and Araba hospitals) between September 1, 2012, and September 30, 2015.

Inclusion criteria were the following: 1) operable early-stage BC and adequate surgery performed for primary tumor; 2) ER+/HER2–; and 3) pathological TNM (TNM): pT1b, if at least 2 of the following 3 factors were present: histologic grade (G) III, Ki-67  $\geq 14\%$  and lymphovascular invasion; pT1c, all except those with both GI and Ki-67  $< 14\%$ ; pT2, all except those with GIII; pN0 or 1mi; and M0. The pT criteria, although arbitrary, were established by the group of experts

from the Basque Country Health System by consensus to optimize the economic cost of the test and perform it when the decision to administer chemotherapy was less clear. Exclusion criteria were as follows: 1) patient's refusal to undergo the assay; 2) patient's refusal to receive chemotherapy; and 3) contraindication for chemotherapy (elderly patients and concomitant diseases).

The recommendation of adjuvant systemic therapy, only endocrine therapy or chemotherapy plus endocrine therapy, was recorded before (based on conventional clinicopathologic factors) and after the result of the test. The recommendation for chemotherapy based on clinicopathologic factors was pT2 (all) and pT1c if at least 1 of 2 factors was present (high Ki-67, GIII); no chemotherapy was recommended for pT1a-b. The recommendation after the test was as follows: 1) if RS was low ( $< 18$ ), no chemotherapy was recommended; 2) if RS was high ( $\geq 31$ ), chemotherapy was recommended; and 3) if RS was intermediate (18–30), the recommendation took into account the clinicopathologic factors and remained unchanged. The final treatment the patient received was also recorded and was performed according to the final patient choice. The study protocol was approved by the Basque Country Central Ethics Committee.

All data for the variables in the study were collected by each participating medical oncologist in an anonymized manner in a specific database. First, the clinician completed a pretest questionnaire to establish the recommended initial treatment according to standard clinical criteria. The following clinical features were recorded: age, TNM classification, ERs, progesterone receptors (PRs), Ki-67,<sup>10</sup> tumor size, tumor grade, surgery type, type of diagnostic (detected at screening program, interval cancer, or outside screening program), and intrinsic subtype according to Prat's classification and menopause status.<sup>11</sup> Variables related to the test such as the RS, the pretest and posttest treatment recommendations (endocrine therapy vs endocrine plus chemotherapy), and final treatment choice were also collected. Follow-up data included recurrence, recurrence data, type of recurrence, last contact, and last contact condition (alive without disease, alive with disease, dead from BC, and dead from other causes), but they were not included in this study, given its short-term approach. The RSs were classified into 3 levels of risk: low (RS  $< 18$ ), medium (RS  $\geq 18 < 31$ ), and high (RS  $\geq 31$ ). These cutoff points were chosen based on the results of the National Surgical Adjuvant Breast and Bowel Project Protocol B20.<sup>3</sup> The economic assessment included the resource use in terms of chemotherapy, first and successive consultations, and adverse effects.

## Statistical analysis

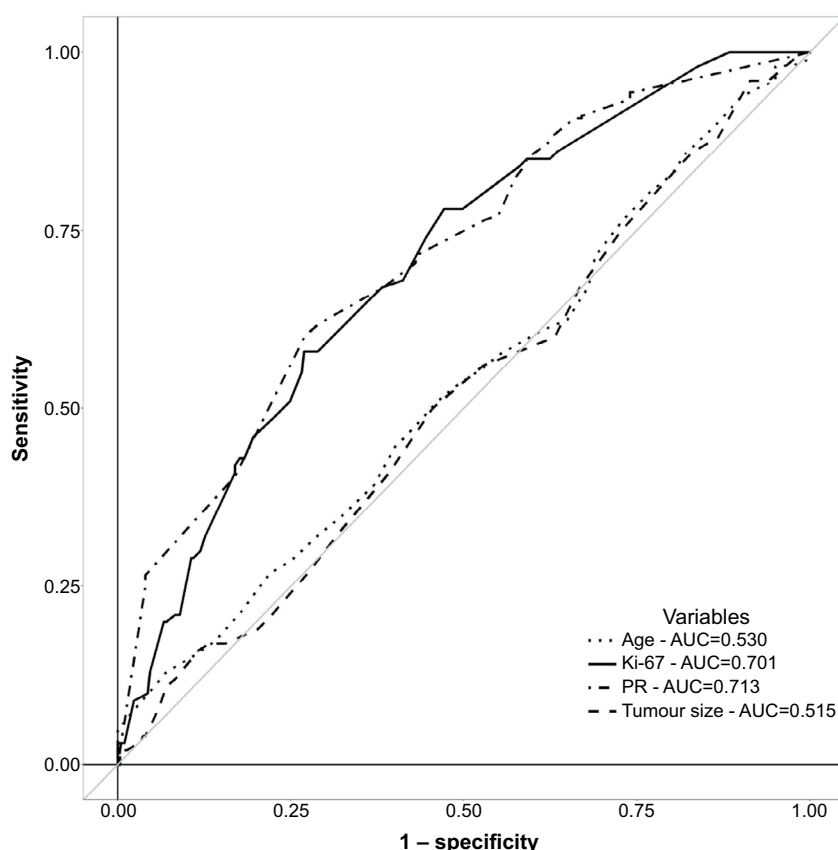
Treatment-pattern change was analyzed through cross tables that included the established treatment and the applied criteria (conventional clinical/histochemical criteria and 21-gene RS test). The Mann–Whitney test was used to compare variables with 2 categories, and the Kruskal–Wallis test was used to compare variables with more than 2 categories. The significance level was set at 95%. Differences in categorical variables were evaluated with the  $\chi^2$  test.

The relationship between the probability of receiving chemotherapy according to applied decision-making criteria (clinical/histochemical or 21-gene RS testing) and patients' characteristics was analyzed by logistic regressions. Zhang et al proposed a network-regularized logistic regression to improve the performance by incorporating the network regularizations.<sup>12</sup> However, we used standard logistic regression as our aim was to explore the change in the predictive capacity of certain variables to identify which patients should receive chemotherapy. Furthermore, we used a complementary approach based on receiver operating characteristic (ROC) curves to measure the discriminative ability of Ki-67, tumor size, and PR to identify which patients would receive chemotherapy based on the 21-gene

RS test.<sup>13,14</sup> ROC curves determined whether a diagnostic test identified the condition of interest (chemotherapy treatment) and were obtained by plotting sensitivity for each break point on the y-axis and its corresponding  $1 - \text{specificity}$  on the x-axis. If the test was sensitive, the ROC curve had sensitivity peaks with associated minimum values of  $1 - \text{specificity}$ . Conversely, if the test was nonsensitive, the ROC curve was near the reference line (diagonal line dividing the axis quadrant into 2 equal parts), which indicated the minimum level of discrimination. To characterize the test as a discriminant, areas  $>70\%$  were required.<sup>13,14</sup> All statistical analyses were performed by using SPSS Version 23 (IBM Corporation, Armonk, NY, USA).

## Cost analysis

The base case short-term budget impact analysis was carried out from the perspective of the public health system; therefore, only the health care costs were included, but a complementary societal approach was also used.<sup>15,16</sup> Resource consumption was recorded prospectively from the beginning of the decision-making process until the end of treatment in terms of visits, chemotherapy, and hospitalizations caused by the chemotherapy toxicity. Treatment decisions about endo-



**Figure 1** Receiver operating characteristic curves relating tumor size, Ki-67, and PR with the decision to treat with chemotherapy based on 21-gene RS test. **Abbreviations:** AUC, area under the curve; PR, progesterone receptor; RS, recurrence score.

crine therapy and chemotherapy prescription were recorded before and after the clinicians received the results of the 21-gene RS testing. Total costs of chemotherapy (ie, chemotherapy drugs, concomitant medications such as granulocyte colony-stimulating factor [G-CSF], administration cost, and follow-up medical visits) were calculated by multiplying resource consumption by unit costs. This cost estimate included only short-term costs associated with chemotherapy treatment; it did not incorporate long-term costs such as long-term side effects. This cost estimate, therefore, constitutes a conservative estimate of the real cost of chemotherapy to the Basque Health Service.

All unit costs were adjusted to 2014 and are displayed in Tables S1 and S2. The hospital admission cost was calculated by the analytical accounting system of the Basque Health Service and the diagnosis-related group system. Unit costs for pharmacy, outpatient visits, emergency room visits, and outpatient clinic service were retrieved from the same accounting system. The costs of adverse effects were calculated by multiplying the frequency of the event by the unit cost. The actual price for the 21-gene RS test to the regional health care payer in Basque Country in 2014 (€2,620) was communicated by the Spanish distributor itself. Given the uncertainty about certain parameters, we carried out a sensitivity analysis by changing the unit costs for chemotherapy and test cost by  $\pm 20\%$ . We also reviewed the economic impact of the range of decision-change percentages in single hospitals.

In addition to the health service perspective, the societal perspective was applied to address the consequences of chemotherapy on patient sick leave. The patient's time lost due to health problems should be independently quantified when it is likely to have a significant economic impact.<sup>15,16</sup> In this case, the lost time as paid work was addressed from the perspective of human capital, where the work time lost due to illness was the outcome measure.<sup>15,16</sup> Thus, the societal perspective was applied by measuring the potential impact of productivity loss.<sup>17</sup> The unit cost for productivity loss for each patient was estimated from the Spanish average monthly gross salary in 2014 (€1,881/month)<sup>18</sup> and the length of the treatment for each chemotherapy regimen.

## Informed consent

The study protocol was approved by the Euskadi Ethical Committee (PI2015185). Patients gave their signed informed consent when required and applicable according to the Ethic Committee Review Board. The research was conducted in accordance with the Declaration of Helsinki (1975).

## Results

The 21-gene RS test was used to evaluate 401 cases of BC. Table 1 lists the patient and tumor characteristics: low (54.6%), intermediate (38.5%), and high (6.9%). One third of the patients were aged <50 years; the mean age was 57 years, and most patients were postmenopausal (64.5%). The group with largest tumor size corresponded to T1c (65.1%).

**Table 1** Patients' clinical characteristics

Characteristic	n (%)
Total	401 (100)
Breast cancer early detection program	
Into program	176 (45)
Out program	211 (55)
Missing	14
Age	
<50 years	98 (24)
≥50 years	303 (76)
Menopause	
Pre	140 (35)
Post	256 (65)
Missing	5
Tumor size	
T1a	3 (1)
T1b	49 (12)
T1c	261 (65)
T2	88 (22)
Tumor grade	
Low	75 (19)
Intermediate	286 (72)
High	39 (10)
Missing	1
Regional lymph nodes	
N0	308 (77)
N1mi	87 (22)
pN0(i+)	6 (2)
Lymphovascular invasion	
No	380 (95)
Yes	20 (5)
Missing	1
Progesterone receptor	
0	40 (10)
1%–20%	37 (10)
>20%	324 (81)
Ki-67 (%)	
<14%	138 (34)
≥14%	263 (66)
Breast surgery type	
Conservative	337 (85)
Mastectomy	64 (16)
Axillary surgery type	
Sentinel lymph node	378 (94)
Lymphadenectomy	23 (6)
Prat's classification	
Luminal A	116 (29)
Luminal B	285 (71)

**Abbreviation:** Ki, cellular proliferation marker.

Three patients presented with T1a tumors, which, therefore, were not within the general criteria for tumor size. However, the results were included in the total data set because these scores were applied in the actual clinical decision making. Using the BC subclassification by Prat et al, we divided the population into individuals with either the luminal A subtype (116 patients, 28.9%) or the luminal B subtype (285 patients, 71.1%).<sup>11</sup> The comparison with RS classification resulted in 79 individuals with the luminal A subtype at a low risk of recurrence (68.1%), 36 at an intermediate risk (31%), and 1 at a high risk (0.9%). The RS classification of the luminal B subtype showed 143 persons to be at a low risk of recurrence (50.2%), 117 at an intermediate risk (41.1%), and 25 at a high risk (8.8%).

Consistent with our results, chemotherapy would have been prescribed to 56% of patients based on conventional

clinicopathologic factors, and that percentage would have decreased to 25% with RS testing. Table 2 summarizes the treatment recommendations and treatment shift between pre- and post-RS. The initial chemoendocrine recommendation was changed to an endocrine recommendation for 124 individuals (31%).

On the ROC curve (Figure 1), PRs and Ki-67 appeared to be accurate predictors of chemotherapy use when decision making was based on the 21-gene RS, because their area under the curve values were >0.70 (Table S3). The results of the logistic regressions (Table 3) reinforce the different roles of PR and tumor size in the effect of the ROC curve. Only Ki-67 showed significant odds ratios in both logistic regressions.

Table 4 displays the disaggregated short-term budget impact with and without 21-gene RS testing distributed by chemotherapy regimen. On the one hand, there was an

**Table 2** Treatment recommendation and treatment shift after 21-gene RS testing

Treatment	Total, n (%)	RS <18, n (%)	RS ≥18<31, n (%)	RS ≥31, n (%)
Pre-21-gene RS test				
CET + HT	224 (56)	118 (53)	84 (38)	22 (10)
HT	177 (44)	104 (59)	69 (39)	4 (2)
Post-21-gene RS test				
CET + HT	100 (25)	5 (5)	69 (69)	26 (26)
HT	301 (75)	217 (72)	84 (28)	0 (0)
Final treatment				
CET + HT	99 (25)	5 (5)	68 (69)	26 (26)
HT	297 (74)	213 (72)	84 (28)	0 (0)
No treatment	5 (1)	4 (80)	1 (20)	0 (0)
<b>Before and after oncotype analysis treatment recommendation</b>	<b>Total, n (%)</b>	<b>RS &lt;18, n (%)</b>	<b>RS ≥18&lt;31, n (%)</b>	<b>RS ≥31, n (%)</b>
Treatment plan changed	142 (35)	113 (80)	25 (18)	4 (3)
HT to CET	9 (2)	0 (0)	5 (56)	4 (44)
CET to HT	133 (33)	113 (85)	20 (15)	0 (0)
Treatment plan not changed	259 (65)	109 (42)	128 (49)	22 (9)
CET to CET	91 (23)	5 (5)	64 (70)	22 (24)
HT to HT	168 (42)	104 (62)	64 (38)	0 (0)

**Abbreviations:** CET, chemoendocrine treatment; HT, hormonal treatment; RS, recurrence score.

**Table 3** Adjusted odds ratio of receipt of chemotherapy according to applied decision-making criteria and patients' characteristics

Patients' characteristics	Chemotherapy based on histochemical criteria		Chemotherapy based on 21-gene recurrence score test	
	Exponential distribution (β) <sup>a</sup>	CI <sup>a,b</sup>	Exponential distribution (β) <sup>a</sup>	CI <sup>a,b</sup>
Age (>50 years vs <50 years)	0.554	0.25–1.22	0.447	0.2–0.99**
Menopause (yes vs no)	0.720	0.35–1.46	1.183	0.56–2.62
Tumor size (T2 vs T1)	23.511	10.39–59.03*	1.136	0.61–2.07
Tumor grade (>grade I vs grade I)	1.357	0.72–2.55	2.014	1.02–4.27**
Regional lymph nodes (> pN0 vs pN0)	1.060	0.57–1.99	0.938	0.51–1.7
Lymphovascular invasion (yes vs no)	0.992	0.31–3.5	0.660	0.18–1.98
Progesterone receptor (≥20 vs <20)	0.619	0.32–1.16	0.213	0.12–0.38*
Ki-67 (%) (≥14 vs <14)	15.227	8.11–30.82*	4.197	2.3–8.13*
Constant	0.002	0–0.04*	0.521	0.03–9.09

**Notes:** Exp (β): adjusted odds ratio; <sup>a</sup>logistic regression results; <sup>b</sup>significant in the univariate analysis. \*p<0.001; \*\*p<0.1.

**Abbreviation:** Ki, cellular proliferation marker.



**Table 4** Disaggregated short-term budget impact on chemotherapy with and without 21-gene recurrence score testing including medical and productivity losses

Chemo type	Without 21-gene recurrence score test use						With 21-gene recurrence score test use					
	Chemotherapies			G-CSF			Chemotherapies			G-CSF		
	Number	Cost (€)	Number	Cost (€)	Number	Cost (€)	Number	Cost (€)	Number	Cost (€)	Number	Cost (€)
TAC	28	61,188	28	13,171	13	21,071	13	28,409	13	6,115	6	9,725
FEC	19	42,770	4	1,882	3	4,862	13	29,264	3	1,411	2	3,242
FEC-Doc	14	30,257	7	3,293	0	0	2	4,322	1	470	0	0
EC-Pac	3	11,083	0	0	0	0	3	11,083	0	0	0	0
TC	67	102,907	28	8,781	6	9,725	29	279,185	12	3,763	3	4,862
AC	16	23,824	0	0	1	1,621	13	19,357	0	0	1	1,621
AC-Pac	73	262,561	6	1,882	16	25,933	23	82,725	2	627	5	8,104
AC-Doc	4	10,290	0	0	0	0	3	7,718	0	0	0	0
Total	224	544,881	73	29,008	37	63,212	99	1,393,291	99	12,387	17	27,554

**Abbreviations:** AC, doxorubicin and cyclophosphamide; AC-Doc, doxorubicin and cyclophosphamide + docetaxel; AC-Pac, doxorubicin and cyclophosphamide + paclitaxel; chemo, chemotherapy; EC-Pac, epirubicin and cyclophosphamide + paclitaxel; FEC, fluorouracil (5FU), epirubicin, and cyclophosphamide; FEC-Doc, fluorouracil (5FU), epirubicin, and cyclophosphamide + docetaxel; G-CSF, granulocyte colony-stimulating factor; TAC, docetaxel (Taxotere®), doxorubicin (Adriamycin), and cyclophosphamide; TC, docetaxel (Taxotere) and cyclophosphamide.

increase in the total cost per patient due to the cost of the 21-gene RS assay. On the other hand, the decision shift in adjuvant treatment resulted in a reduction in the total number of cases requiring chemotherapy. Thus, the inclusion of the test led to a reduction in overall chemotherapy costs (€369,740). Given the estimated cost of €2,620 per test, there were no net savings, as the difference in chemotherapy treatment did not entirely offset the test cost. However, when a societal perspective was taken into account, the overall budget impact of the 21-gene RS test was cost-neutral, as the savings in chemotherapy costs, in addition to the savings in productivity loss, were greater than the incremental costs due to testing. The total net cost associated with sick leaves was estimated to be €792,780, and the reduction in productivity loss per patient was €1,977. Table S4 disaggregates productivity losses according to the type of chemotherapy. The sensitivity analysis (Table 5) showed no relevant change in the impact according to the perspective. The societal perspective revealed a higher cost only when the test price was increased.

## Discussion

The use of the 21-gene RS test has significantly changed the indication for chemotherapy in our group of patients with BC ER+/HER2- and pT1b-2N01miM0 with predefined criteria. In our patient population, an absolute change in the final treatment decision occurred in 35% of cases. These results are similar to the results of other studies in the Spanish population where the change rate was 32%.<sup>17</sup> In the same way, the results of other studies conducted in European<sup>19-21</sup> and non-European countries<sup>22-25</sup> aligned with ours. The most relevant shift was from initial chemoendocrine therapy to hormonal treatment alone. First, a chemotherapy recommendation was advised in 56% of cases, but after the 21-gene RS assay, that recommendation was reduced to 25%. These results are similar to those presented by the cited studies.

Long-term studies have featured the use of the 21-gene RS assay as cost-effective.<sup>26-28</sup> Our results cannot be compared with those of cost-effectiveness studies because we used a short-term budget impact approach. In the Basque Health Service, the net cost per patient was €1,697 higher, in contrast to other studies that found the assay to be cost-saving.<sup>26,27</sup> A budget impact analysis carried out in Ireland found net savings explained by a much higher chemotherapy cost and percentage of change (59%).<sup>29</sup> This short-term impact relied on 2 drivers: percentage of treatment change and chemotherapy unit cost. The higher the percentage change in the treatment decision and the unit cost of chemotherapy, the more favorable the economic result of the

**Table 5** Impact of sensitivity analysis on the short-term budget according to perspective

	Without 21-gene recurrence score test use		With 21-gene recurrence score test use			Health system perspective BIA (€)	Societal perspective BIA (€)
	Treatment cost (€)	Productivity losses (€)	Oncotype (€)	Treatment cost (€)	Productivity losses (€)		
Base case	637,101	1,393,291	1,050,620	267,361	600,511	680,880	-111,900
Chemotherapy cost +20%	746,077	1,393,291	1,050,620	312,845	600,511	617,388	-175,392
Chemotherapy cost -20%	528,125	1,393,291	1,050,620	221,877	600,511	744,372	-48,408
Oncotype test +20%	637,101	1,393,291	1,260,744	267,361	600,511	891,004	98,224
Oncotype test -20%	637,101	1,393,291	840,496	267,361	600,511	470,756	-322,024
Highest patient reclassification rate	933,192	2,054,380	1,050,620	346,510	790,146	463,938	-800,296
Lowest patient reclassification rate	312,436	682,159	1,050,620	147,811	316,058	885,995	519,894

**Abbreviation:** BIA, budget impact analysis.

test. Our results are consistent with the literature in which treatment was changed in approximately one third of cases after the use of the 21-gene RS test.<sup>19–21</sup> The figures for chemotherapy unit cost, however, vary significantly. Thus, Smyth et al applied a total cost of €7,903 in 2014 for each patient in Ireland treated with chemotherapy, including pharmacy and administration costs (€2,161), G-CSF with pegfilgrastim (€4,985), and adverse effects (€756).<sup>29</sup> The main difference between that study and ours is that currently the Basque Health Service uses drugs with a very low unit cost to stimulate the bone marrow. Our study followed the recommendation of experts for economic evaluations and applied 2 perspectives (societal and health system) that produced different results depending on the inclusion of specific stakeholders.<sup>16</sup> The interpretation of the shift from one perspective to the other is that maximizing the welfare of society could not always be consistent with the cost containment obtained by the health care sector.<sup>16,30</sup> Application of a broader perspective by including the costs associated with the productivity losses produced a different conclusion. Chemotherapy-related sick leaves are long, as they last the duration of treatment, and avoiding treatment results in a €1,977 net saving per patient that offsets the test cost. Besides the sick leave, the consequences for the patients' quality of life must be considered. The reclassification avoided the chemotherapy-associated suffering, and thus, the patient's well-being was improved. However, we did not take into account either the costs associated with long-term side effects and potential metastasis or the long-term outcomes associated with the improved quality of life and potentially avoided recurrence due to improved treatment decisions.

As a strength, the present prospective study shows a large patient cohort (401 individuals with BC stages pN0 and pN1mi) in a small population area (2.2 million). A previous Spanish study analyzed a smaller cohort of 107 patients.<sup>19</sup>

In addition, patients analyzed with the 21-gene RS assay corresponded to the entire BC incident population of the participating hospitals. However, the patient cohort presents a limitation. The testing criteria established by the experts excluded 2 large groups of individuals. The patients with a more favorable prognosis and lower recurrence probability (T1a, T1b without high-risk features, and T1cG1 + low Ki-67) received hormonal treatment without other test evaluation, and the patients with a higher risk for recurrence (T2 + G3) who received chemotherapy directly also were not evaluated with the 21-gene RS test. Therefore, the test was applied to a patient pool with a questionable risk for cancer recurrence according to clinicopathologic classic criteria. By selecting those patients, we could, in fact, define the key target population for which the RS test reduced the uncertainty about chemotherapy decision making and therefore ensured its efficient use.

The PR and Ki-67 levels are relevant variables in Prat's BC subclassification as luminal A and B subtypes.<sup>11</sup> The application of the 21-gene RS test modified significantly the relation between the clinical/histochemical characteristics and the probability of chemotherapy treatment. The results are consistent with the literature, indicating that a decreased level of progesterone and an increased Ki-67 level are associated with an increased long-term risk of cancer recurrence.<sup>31</sup> While Ki-67 was maintained as a significant variable in both scenarios, the roles of PR and tumor size were reversed in logistic regressions and ROC curves. In contrast with the study of Jasem et al,<sup>25</sup> we did not ascertain tumor size to be significant in predicting the prescription of chemotherapy when a 21-gene RS test was used. These findings reinforce the inclusion of patients with a large tumor size in the target population for 21-gene RS testing because a larger size does not mean a higher recurrence risk, if we adjust by a 21-gene RS.

## Conclusion

We can underscore that the use of a 21-gene RS assay reduced the percentage of decisions to use chemotherapy from 56% to 25% of cases. Its short-term economic impact was negative for the Basque Health Service, but it became cost-saving when sick-leave costs were included.

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## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary materials

**Table S1** Unit costs of resources

Resource	Unit cost (€)	Reference
G-CSF cost	(314) 78.40	Basque analytical accounting service
Costs of hospitalization due to adverse effects	1.620.81	Basque analytical accounting service
Medical follow-up costs	129.19	Basque analytical accounting service
Day hospital cost	89.11	Basque analytical accounting service
Cyclophosphamide (1,000 mg)	10.00/vial	Basque analytical accounting service
Docetaxel (140 mg)	24.73/vial	Basque analytical accounting service
Doxorubicin (50 mg)	6.50/vial	Basque analytical accounting service
Epirubicin (200 mg)	37.44/vial	Basque analytical accounting service
Fluorouracil (5,000 mg)	10.78/vial	Basque analytical accounting service
Paclitaxel (100 mg)	7.23/vial	Basque analytical accounting service

**Abbreviation:** G-CSF, granulocyte colony-stimulating factor.

**Table S2** Costs of chemotherapies disaggregated by components

Chemotherapy regimen and cost element	Unit cost (€)	Total
TAC (total 6 cycles)		
Drugs	47.26	2,203.22
Pharmacy lab service	22.67	
Day hospital services	103.49	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
FEC (total 6 cycles)		
Drugs	58.22	2,268.98
Pharmacy lab service	22.67	
Day hospital services	103.49	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
FEC-docetaxel (total 3 + 3 cycles)		
Drugs	58.22 + 28.27	2,179.13
Pharmacy lab service	22.67	
Day hospital services	103.49	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
EC-paclitaxel (total 4 + 12 cycles)		
Drugs	23.00 + 9.41	3,614.66
Pharmacy lab service	22.67	
Day hospital services	101.62	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
TC (total 4 cycles)		
Drugs	34.73	1,553.86
Pharmacy lab service	22.67	
Day hospital services	104.98	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
AC (total 4 cycles)		
Drugs	23.00	1,506.94
Pharmacy lab service	22.67	
Day hospital services	104.98	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
AC-paclitaxel (total 4 + 12 cycles)		
Drugs	23.00 + 9.41	3,614.66
Pharmacy lab service	22.67	
Day hospital services	101.62	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	
AC-docetaxel (total 4 + 4 cycles)		
Drugs	23.00 + 28.27	2,629.46
Pharmacy lab service	22.67	
Day hospital services	102.74	
Medical follow-up	129.19	
Pre- and post-follow-up	387.57	

**Abbreviations:** AC, doxorubicin and cyclophosphamide; EC, epirubicin and cyclophosphamide; FEC, fluorouracil (5FU), epirubicin, and cyclophosphamide; lab, laboratory; TAC, docetaxel (Taxotere), doxorubicin (Adriamycin), and cyclophosphamide; TC, docetaxel (Taxotere) and cyclophosphamide.

**Table S3** ROC curves for Ki-67, PRs, and tumor size versus chemotherapy treatment

AUC based on clinical/histopathological criteria					
Variables	AUC	Standard error <sup>a</sup>	Asymptotic significance <sup>b</sup>	Asymptotic 95% confidence interval	
				Lower bound	Upper bound
Age	0.543	0.029	0.143	0.486	0.599
Tumor size	0.695	0.026	0.000	0.644	0.745
Ki-67	0.704	0.027	0.000	0.652	0.756
PR	0.584	0.029	0.004	0.526	0.642
AUC based on 21-gene recurrence score criteria					
Variables	AUC	Standard error <sup>a</sup>	Asymptotic significance <sup>b</sup>	Asymptotic 95% confidence interval	
				Lower bound	Upper bound
Age	0.530	0.033	0.368	0.466	0.594
Tumor size	0.515	0.033	0.643	0.450	0.581
Ki-67	0.701	0.029	0.000	0.644	0.758
PR	0.713	0.029	0.000	0.656	0.769

**Notes:** <sup>a</sup>Under the nonparametric assumption; <sup>b</sup>null hypothesis: true area = 0.5.

**Abbreviations:** AUC, area under the curve; Ki, cellular proliferation marker; PR, progesterone receptor; ROC, receiver operating characteristic.

**Table S4** Potential productivity loss impact with and without 21-gene recurrence score test use

Potential productivity losses						
Chemo type	Chemo treatment period (months)	Working patients	Without oncotype		Working patients	Cost (€)
			Months	Cost (€)		
TAC	4.20	23	96.6	181,734	10	79,015
FEC	4.20	16	67.2	126,423	12	94,818
FEC-Doc	4.20	12	50.4	94,818	2	15,803
EC-Pac	5.60	1	5.6	10,535	1	10,535
TC	2.80	53	148.4	279,185	24	126,423
AC	2.80	15	42	79,015	12	63,212
AC-Pac	5.60	55	308	579,440	17	179,100
AC-Doc	5.60	4	22.4	42,141	3	31,606
Total		179	740.6	1,393,291	81	600,511
Difference						-792,780
% working patients		79.9			81.8	

**Abbreviations:** AC, doxorubicin and cyclophosphamide; AC-Doc, doxorubicin and cyclophosphamide + docetaxel; AC-Pac, doxorubicin and cyclophosphamide + paclitaxel; chemo, chemotherapy; EC-Pac, epirubicin and cyclophosphamide + paclitaxel; FEC, fluorouracil (5FU), epirubicin, and cyclophosphamide; FEC-Doc, fluorouracil (5FU), epirubicin, and cyclophosphamide + docetaxel; TAC, docetaxel (Taxotere), doxorubicin (Adriamycin), and cyclophosphamide; TC, docetaxel (Taxotere) and cyclophosphamide.

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