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ORIGINAL RESEARCH

Real-life evaluation of COPD treatment in a Bulgarian population: a I-year prospective, observational, noninterventional study

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Introduction: This was the first study designed to prospectively evaluate treatment patterns in chronic obstructive pulmonary disease (COPD) and the degree of adherence with the Global Initiative for Chronic Obstructive Lung Disease (GOLD) strategy recommendations in routine clinical practice in Bulgaria.

Methods: The study was conducted in an outpatient setting and enrolled patients of both genders, aged >40 years, who were diagnosed with COPD (as per GOLD 2013). Evaluations were performed at baseline and at 6- and 12-month visits.

Results: Of the 811 enrolled patients, 719 were assessed and completed the 12-month observation period. Overall, a substantial number of patients experienced moderate airflow limitation (~49% patients, GOLD 2 as per GOLD 2013; mean postbronchodilator forced expiratory volume in 1 second value was ~50% of the predicted value), belonged to GOLD group D (~51% patients), and had COPD assessment test score ≥ 10 or modified Medical Research Council score ≥ 2 (~79% patients), and ≤ 1 exacerbation in the past 1 year (~80% patients). Short-acting β 2-agonists (~63% patients), inhaled corticosteroids/long-acting β 2-agonist fixed-dose combination (~62% patients), and long-acting muscarinic antagonists (~59% patients) were the most frequently used medications at all visits, regardless of severity. High levels of deviation from GOLD recommendations were observed in GOLD groups A and B patients. The deviation comprised high use of inhaled corticosteroid-containing regimens in ~45% and 63% of patients in GOLD groups A and B, respectively. Only 25 (3%) of the 796 patients reported at least one adverse event.

Conclusion: The routine clinical practice for COPD in Bulgaria deviates from the GOLD recommendations largely in patients at a low risk (GOLD groups A and B), while the deviation was lesser in those at a higher risk (GOLD groups C and D).

Keywords: Bulgaria, COPD, observational, prospective, real-life, treatment, GOLD strategy

Introduction

Chronic obstructive pulmonary disease (COPD) is one of the major causes of disability and mortality globally and imposes a significant burden on the health care system and economy.¹⁻³ It affects ~64 million individuals worldwide and contributes to ~3 million deaths every year.⁴ In Europe, the prevalence of COPD is estimated to be ~10%.⁵ In Bulgaria, the prevalence rate of COPD is on the rise, with a relatively high percentage (45%) of severe COPD patients;⁶ a Bulgarian epidemiological study showed a COPD prevalence of 14.9% in the Pleven region.⁷

Commonly prescribed medications for COPD include short-acting β 2-agonists (SABAs), short-acting muscarinic antagonists (SAMAs), long-acting β 2-agonists

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(LABAs), long-acting muscarinic antagonists (LAMAs), inhaled corticosteroids (ICS), methyl xanthines (eg, oral theophylline), phosphodiesterase (PDE)-4 inhibitors (eg, roflumilast), and fixed-dose combinations (FDCs) of LABA/ LAMA and ICS/LABA.8 The Global Initiative for Chronic Obstructive Lung Disease (GOLD) strategy, revised up to 2016, provides a holistic approach for treating COPD.⁸ The combined assessment suggested by GOLD 2013 categorizes patients into four groups based on lung function, symptom burden, and exacerbations: group A (low risk and fewer symptoms), group B (low risk and more symptoms), group C (high risk and fewer symptoms), and group D (high risk and more symptoms). As per the GOLD 2013 recommendations, short-acting bronchodilators (SABAs and SAMAs) are the preferred option in group A patients and long-acting bronchodilator monotherapy (LABAs and LAMAs) is the preferred choice in group B patients. For patients who remain symptomatic despite monotherapy, an intensified treatment approach with bronchodilator combination therapy (LABA + LAMA) has been advocated as an alternative for GOLD group B patients. Use of ICS has been recommended only in high-risk patients (groups C and D), where ICS + LABA and/or a LAMA are treatments of first choice.8 The recently published GOLD 2017 strategy acknowledges the limitation of forced expiratory volume in 1 second (FEV,) in predicting COPD prognosis and excludes spirometry values as a criterion for the ABCD grouping, with much emphasis on patient symptoms and exacerbation risk in making diagnostic and therapeutic decisions for an individualized patient care.9

Several studies have shown that a low degree of adherence to GOLD recommendations could potentially lead to under- or overprescription of medications.^{10,11} Approximately 24% of clinicians have reported unfamiliarity with the GOLD strategy.¹² In addition to GOLD, several other guidelines for the diagnosis and management of COPD have been published worldwide.¹³ A large degree of variation has been noted in the type of guidelines used and the extent to which they are adhered to by physicians in routine clinical practice.¹³ Implementation of guidelines seems to be a major issue in clinical settings, causing significant negative impact on patient outcomes, health care resource utilization, and cost of care for COPD patients.¹³

In general, studies assessing adherence to guidelines in COPD are few, with very limited number of reports available on the Bulgarian population. This study was designed to prospectively evaluate the treatment patterns in COPD and degree of adherence with GOLD 2013 recommendations in routine clinical practice in Bulgaria.

Methods Study design

This prospective observational study in COPD patients was performed at 19 key outpatient and hospital centers throughout Bulgaria. The study centers were selected according to their COPD capacity, availability of COPD patients, technical capability to perform COPD-related tests (eg, spirometry), and capability to follow-up patients in the course of 1 year. Approximately 30 sites in Bulgaria fulfilled these conditions; all were approached with the aim to include 20 sites in the study. Observing physicians were expected to enroll consecutive patients who comply with the inclusion and exclusion criteria. Patient enrollment began in August 2013 and the last patient's last visit occurred in December 2014. The evaluation period comprised three clinical visits scheduled at an interval of 6 months between each visit, which was consistent with local routine clinical practice (baseline visit, 6-month visit, and 12-month visit). Patients enrolled in the study continued to be on their prior treatments. The observing pulmonologist performed assessments as per usual clinical practice at each visit. Data were collected from medical charts and with the help of questionnaires that patients completed at every visit. Data were recorded in an electronic case report form.¹⁴ The complete list of the participating centers and respective contributors is provided in Table S1.

Patients

Patients of either gender, aged \geq 40 years, and diagnosed with COPD (as per GOLD 2013) were consecutively enrolled in the study. The key exclusion criteria were unwillingness to provide informed consent, participation in a clinical trial, presence of a malignancy, and any contraindication for COPD medications approved by the European Medicines Agency and/or the Bulgarian Drug Agency. All patients were informed about the study procedures and signed a written informed consent. The study was approved by the Ethics Committee for Scientific Research of the Medical University of Sofia, and the Ministry of Health was notified.

Assessments

Efficacy

Data collected by the observing pulmonologist and those related to COPD diagnosis and/or treatment were used for analyses. Data regarding demographic characteristics were collected at baseline: age, gender, smoking history, and duration of disease. Data regarding COPD treatment (medications used), concomitant diseases and their treatment, COPD severity stages according to FEV₁ values (using spirometry),

COPD symptoms based on COPD assessment test (CAT) and modified Medical Research Council (mMRC) scores, number of exacerbations in the past year, comorbidities, number of hospital admissions and visits to emergency care, general practitioner, and pulmonologist related to COPD in the past year (from medical charts and patient questionnaires) were collected at baseline and at 6- and 12-month visits.

Safety

Safety data were collected at 6- and 12-month visits. All adverse events (AEs) and serious AEs (SAEs) were recorded.

Statistical analysis

The estimated sample size was 384 patients assuming that the ratio of adequate-to-nonadequate therapy according to GOLD guidelines was 50%–50% or P=0.5 and q=0.5, and the probability of error was 5%. However, as the study was descriptive and in order to obtain a better overview of local clinical practice, the number was increased to 800 patients. Descriptive statistics was used to summarize data, including number and frequency for categorical variables and number, mean, standard deviation (SD), and 95% confidence interval (CI) for continuous variables. Statistical evaluation was performed using SPSS 19.0.0. Correlation analyses were performed using Pearson's correlation coefficient to establish the relation between exacerbation rate and airflow limitation, GOLD group D, and number of hospitalizations and between treatment used and airflow limitation and symptoms. Efficacy analysis set included all enrolled patients with correctly completed inclusion and exclusion criteria and primary end point variables. Safety analysis set included all patients enrolled in the study.

Results Patient disposition and baseline characteristics

Of the 811 patients enrolled, 719 were assessed and completed the observation period (Figure 1). A majority of patients were men (64.9%), and the mean patient age was 66.75 years (Table 1). Of the total study population, 28 patients (3.9%) were newly diagnosed. The mean time since COPD diagnosis was 7.78 years. None of the enrolled patients had a previous diagnosis of asthma.

COPD severity classification

The majority of enrolled patients had moderate airflow limitation (GOLD 2, as per GOLD 2013) at baseline

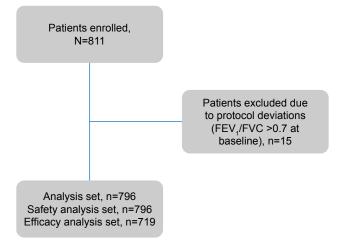


Figure I Patient disposition.

 $\mbox{Abbreviations: FEV}_{1},$ forced expiratory volume in 1 second; FVC, forced vital capacity.

(48.5% patients), 6 months (48.0% patients), and 12 months (46.5% patients) (Figure 2A). The mean percentage predicted postbronchodilator FEV_1 values was stable over 1 year (~50% of predicted value) in most patients. Most patients belonged to group D (46%–51%), followed by group B (26%–32%) at all visits (Figure 2B). The overall distribution of patients in GOLD groups did not change significantly over 12 months; however, there was a numerical increase in the

 Table I Baseline demographics and clinical characteristics (efficacy analysis set)

| Characteristics | N=718ª | |
|--|---------------|--|
| Age (years), mean (SD) | 66.75 (9.31) | |
| Gender | | |
| Men | 466 (64.9) | |
| Women | 252 (35.1) | |
| COPD history | | |
| Newly diagnosed | 28 (3.9) | |
| Formerly diagnosed | 661 (91.9) | |
| Unknown | 30 (4.2) | |
| Time since COPD diagnosis (years), mean (SD) | 7.78 (5.52) | |
| Smoking habits | | |
| Exsmoker | 310 (43.1) | |
| Current smoker | 212 (29.5) | |
| Nonsmoker | 197 (27.4) | |
| BMI (kg/m²), mean (SD) | 27.0 (5.39) | |
| Pack-years, mean (SD) | 34.31 (18.31) | |
| Postbronchodilator FEV, (% predicted), mean (SD) | 50.24 (16.10) | |
| FVC (% predicted), mean (SD) | 69.94 (18.76) | |
| FEV ₁ /FVC (%), mean (SD) | 49.23 (22.90) | |
| FEV reversibility (%), mean (SD) | 5.44 (5.54) | |

Notes: Data are n (%) unless otherwise stated. ^aData missing from one patient. **Abbreviations:** BMI, body mass index; COPD, chronic obstructive pulmonary disease; FEV₁, forced expiratory volume in I second; FVC, forced vital capacity; SD, standard deviation.

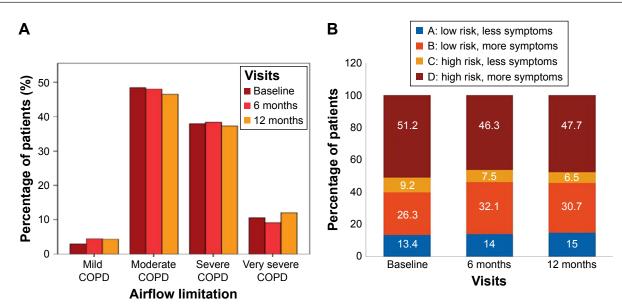


Figure 2 COPD severity as per GOLD classification based on (A) airflow limitation and (B) GOLD groups (efficacy analysis set). Notes: Data are presented as percentage of patients. A: GOLD group A; B: Gold group B; C: GOLD group C; and D: GOLD group D. Abbreviations: COPD, chronic obstructive pulmonary disease; GOLD, Global Initiative for Chronic Obstructive Lung Disease.

number of patients in groups A (by 12 patients; P=0.271) and B (by 32 patients; P=0.008) and a decrease in groups C (by 21 patients; P=0.037) and D (by 25 patients; P=0.068), as shown in Figure 2B. scores were particularly high in patients with moderate and severe airflow limitation (Figure 3A and B).

Health status and dyspnea

The largest proportion of patients had a CAT value of ≥ 10 or an mMRC value of ≥ 2 at baseline (77.5%), 6 months (78.4%), and 12 months (78.6%), indicating high level of symptoms in this population (Figure 3A). As expected, these

Exacerbations

Most patients either had ≤ 1 or no exacerbation in the past year at baseline (69.4%) and at 6- (79.8%) and 12-month visits (79.3%; Figure 4). The proportion of patients who experienced ≥ 3 exacerbations was ~3 times higher in severe COPD groups than in moderate/mild groups (~15% vs 5%). The frequency of exacerbations strongly correlated with lung

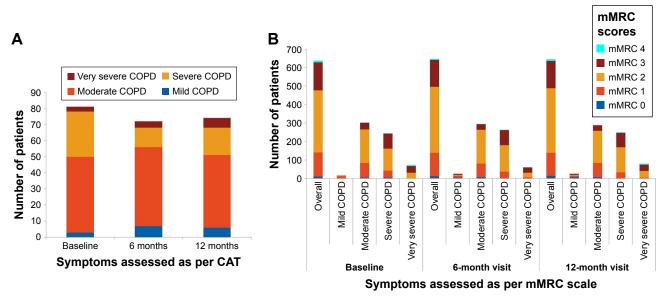


Figure 3 Distribution of patients according to (A) CAT scores and (B) mMRC scores (efficacy analysis set). Note: Data are presented as number of patients. Abbreviations: CAT, COPD assessment test; COPD, chronic obstructive pulmonary disease; mMRC, modified Medical Research Council.

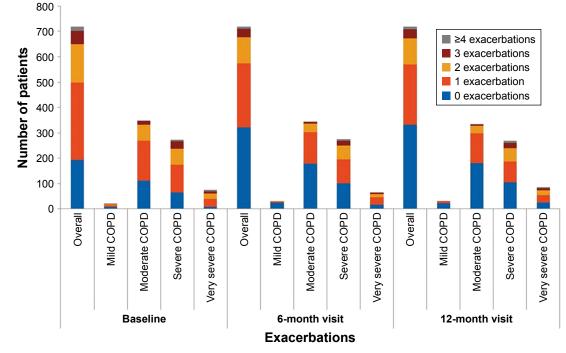


Figure 4 Proportion of patients experiencing exacerbations (efficacy analysis set). Note: Data are presented as number of patients. Abbreviation: COPD, chronic obstructive pulmonary disease.

function and GOLD D (Pearson coefficient =1; P=0.01) at baseline and at 12 months. Patients with \geq 2 exacerbations had a mean FEV₁ reversibility value of ~5.5% and a mean COPD duration of ~10 months; up to 72% were men and exsmokers (47.7%). A strong correlation was noted between exacerbations and number of hospitalizations (Pearson coefficient =1; P=0.01) at baseline and at 12 months, indicating increase in hospitalizations with increase in exacerbation rates.

Comorbidities

Overall, the majority of patients had cardiovascular comorbidities at study inclusion (72.5%), followed by diabetes (9.6%), benign prostatic hyperplasia (3.0%), neurological or psychiatric disorders (2.2%), bronchiectasis (1.1%), gout (1.0%), status post-tuberculosis (0.9%), glaucoma (six patients 0.7%), and renal diseases (0.6%). Hypertension (25%) was the most common comorbidity in patients experiencing exacerbations.

COPD management

Patients previously diagnosed with COPD were on regular pharmacological treatment at baseline. Patients who were newly diagnosed had regular treatment initiated at baseline. The most frequently used medication classes, alone or in combination with other classes were as follows: SABA

(61%-63%), ICS/LABA FDC (62%), or LAMA (56%-59%) at baseline and at 6- and 12-month visits (Figure S1), regardless of COPD severity. The level of adherence to GOLD recommendations was the highest in GOLD group D and the lowest in GOLD group A (Figure S2). Most of the patients (~75%) in GOLD group D received the recommended firstline treatment; however, deviation from GOLD guidelines was observed in ~17% of patients in this high-risk COPD group. Conversely, in most patients in GOLD groups A $(\sim 67\%)$ and B $(\sim 63\%)$, the medications prescribed by pulmonologists were not in line with the GOLD recommendations (only ~3% group A patients and ~24% group B patients received GOLD recommended first-choice therapy). ICScontaining regimens were used in 45.8%, 44.5%, and 43.5% of group A patients and in 59.8%, 62.8%, and 60.7% of group B patients at baseline, 6 months, and 12 months, respectively (Figure S2). A triple combination of ICS + LAMA + LABA was prescribed in few (up to six) patients; these patients had percentage predicted FEV₁ values ranging between 45% and 68% and mMRC scores ≥ 2 (only one patient had an mMRC score of 1). Specifically, increased use of LABA + LAMA was observed in patients (19-25 patients) with more frequent dyspnea (mMRC score ≥ 2). Overall (for all GOLD groups), treatment approaches used in routine clinical practice produced no notable differences during the follow-up period in mean percentage predicted FEV₁ levels (50.24% at baseline, 50.60% at 6 months, and 49.4% at 12 months), CAT (CAT scores: 14.5 at baseline, 13.9 at 6 months, and 13.8 at 12 months), and mMRC scores (the proportion of patients with mMRC \geq 2 was 77.9%, 78.6%, and 78.4% at baseline, 6 months, and 12 months, respectively) over 12 months. On the other hand, both airflow limitation and symptoms were the influential factors in choosing COPD treatments at baseline (Pearson coefficient =1, *P*=0.002 and *P*=0.001, respectively) and at 12 months (Pearson coefficient =1, *P*=0.0001 [for both the factors]).

Health care resource utilization

The majority of patients had not been hospitalized over the past 12 months (58.7% patients at baseline, 74.7% at 6 months, and 78.4% at 12 months; Figure S3). Approximately 75% of patients had visited a general practitioner most of whom (~55% patients) had one or two visits. Most patients had two visits to a pulmonologist's office each year (\geq 90%). More than 90% of patients did not require COPDrelated rehabilitations, physiotherapy, or balneotherapy at all visits.

Factors influencing treatment choice

Overall, the patients' demographic/clinical characteristics had no significant (P > 0.05) influence on the treatment decisions or choices. However, selection of COPD treatment correlated with both airflow limitation and symptoms at baseline (Pearson coefficient =1, P=0.002 and P=0.001, respectively) and at 12 months (Pearson coefficient =1, P=0.0001 [for both the factors]). The COPD exacerbation history of the patients, in particular, seemed to be significantly correlated (P<0.05) with the treatment prescribed. Furthermore, use of ICS regimens varied significantly (P<0.05) based on gender, smoking status, COPD exacerbation history, and duration of disease.

Safety

Twenty-five of the 796 patients had reported at least one AE. The complete list of AEs by System Organ Class is provided in Table S2.

Discussion

This study prospectively assessed the COPD treatment paradigms in real-world clinical settings in Bulgaria. The study showed that the management approaches for COPD in routine clinical practice deviate considerably from GOLD 2013 recommendations, particularly more in low-risk patients (groups A and B) and less in high-risk patients (groups C and D). Most of the enrolled patients had an established COPD diagnosis, moderate airflow limitation (GOLD 2), and a greater number of symptoms and belonged to group D. A total of 27% patients were nonsmokers, suggesting that factors other than smoking, such as air pollution, occupational exposure, and passive smoking, had contributed to the development of COPD. This observation was consistent with an observational study in the Bulgarian population, reporting 26% of nonsmokers.⁶ A similar trend was observed in DACCORD study in the German population (20% nonsmokers).¹⁵

Short-acting β -agonists, ICS/LABA, and LAMAs were the more commonly used medications in routine clinical practice in Bulgaria. Notably, use of ICS-containing regimens was higher in patients belonging to GOLD groups A and B, which is outside the GOLD recommendations.¹⁶ Similarly, an observational study in COPD patients in Bulgaria showed a substantial overuse of LABA/ICS in GOLD groups A (43% patients) and B (73% patients), which may imply a need for more education of health care professional with regard to GOLD recommendations for optimal use of ICS.⁶ Furthermore, the findings were consistent with data on primary care prescribing patterns in the UK, wherein overtreatment with ICS was reported in ~50% of the study population,¹⁷ and with real-life studies INCARE in Greece and DACCORD in Germany.^{15,17}

Airflow limitation and symptoms were correlated with treatment choices, thus indicating that both lung function and symptoms were factors in selecting a COPD therapy. As symptoms are not evaluated using CAT and mMRC in local daily practice, pulmonologists rely only on verbal assessment of symptom severity, which could be inaccurate. The same could be true for exacerbation history. So, spirometry remains the single most influential determinant of treatment choice in routine clinical practice. On the other hand, the relatively long-term use of the ICS/LABA combination for the treatment of COPD, when they were one of the few choices available, is not to be neglected. The change in treatment practices usually lags behind scientific data.

It is worthwhile mentioning that the overall treatment strategies used by local physicians in Bulgaria showed no worsening in FEV_1 levels, symptoms, quality-of-life scores, and exacerbation and hospitalization rates over 1 year. Maybe the short follow-up period influenced these results.

Almost half of the study population was classified as GOLD 2 (moderate COPD), and only a few (~4.5%) had mild COPD; this disproportionate distribution of patients

reflects a certain level of underreporting. Presumably, many patients ignore early symptoms and visit the doctor only when symptoms deteriorate (specifically dyspnea). Another reason why COPD is diagnosed at a relatively later stage of disease could be that in Bulgaria, general practitioners do not perform spirometry and COPD patients are referred to a pulmonologist.6 Nevertheless, the overall distribution was in line with that reported in other studies.¹⁸⁻²⁰ As per GOLD, only patients experiencing ≥ 2 or ≥ 1 exacerbations leading to hospitalization are classified in GOLD groups D or C, respectively. Surprisingly, in this study, ~28% patients from GOLD group D and ~75% patients from GOLD group C had not experienced any exacerbation; this could have been due to missed reporting as is possible in routine clinical practice. The severity classification of COPD in this study population showed better correlation with mMRC scores and FEV, levels; patients categorized into GOLD D had mMRC scores of 2/3/4 and a mean FEV₁ value of 40% of the predicted value. Notably, half of the recruited patients had experienced exacerbations in 1 year before study entry. Hypertension was the most frequent comorbidity among COPD patients; this was consistent with the observational study in COPD patients in Bulgaria and other real-life studies that have reported high prevalence of cardiovascular-related comorbidities in COPD patients.^{21,22} Furthermore, a strong correlation was observed between exacerbation and hospitalization rates in this study. Risks of exacerbations and hospitalizations were high in patients with longer duration of COPD (>0.8 years).

Results from the present study were viewed in line with GOLD 2013 recommendations, which were the latest guidelines at the time of protocol development. Recently published GOLD 2017 guidelines emphasize a few important revisions in the diagnostic and treatment approaches for COPD:⁹ 1) spirometry grades are now separated from ABCD combined assessment; 2) for GOLD group B patients who remain symptomatic despite monotherapy, step-up therapy with LABA + LAMA combination has been recommended as a preferred option; and 3) ICS treatment is considered as an alternate choice for groups C and D patients together with long-acting bronchodilators. Use of ICS triple therapy (ICS + LAMA + LABA) has been recommended only for group D patients who experience exacerbations despite treatment with long-acting dual bronchodilation or LABA/ICS combinations. It is important to take into account these changes in GOLD recommendations to optimize the treatment of COPD patients in clinical practice.

There are limitations to this study that must be acknowledged. As this was an observational noninterventional study,

selection bias could be an important factor that might have influenced study outcomes. To overcome this bias, a large number of study centers across different parts in Bulgaria were included in the study. Moreover, consecutive enrollment of patients and the targeted number of patients above the calculated minimum sample size should allow the generalizability of data. The possibility of random error from both physician-reported and patient-reported data should be considered. Although not directly related to the outcomes of this study, it should be noted that medications administered to COPD patients in Bulgaria are typically paid for by the National Health Insurance Fund. It is also important to note that although national guidelines are often aligned with global guidelines for disease management, local clinical practice differences, usually based on historical reasons such as differences in the availability of medications, may contribute to nonadherence to global guidelines at a country level.^{23,24}

Conclusion

COPD treatment prescribed in local clinical settings in Bulgaria was generally adequate. This study also shows that routine clinical practice for COPD in Bulgaria deviates from the GOLD 2013 strategy for low-risk patients (groups A and B), while the discrepancy was smaller in high-risk patients (groups C and D). Additional studies are warranted to better understand reasons for this deviation in Bulgaria.

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Disclosure

Yavor Ivanov has received honoraria for delivering lectures for Novartis, GlaxoSmithKline, AstraZeneca, Boehringer Ingelheim Chiesi, and Roche, and reports no other conflicts of interest in this work. Ivan Nikolaev is a Novartis employee and reports no other conflicts of interest in this work. Imola Nemeth reports no conflicts of interest in this work.

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Supplementary materials

| Table SI List of study centers and contributors |
|---|
|---|

| City | Title, first name, last name |
|----------------|------------------------------|
| Gabrovo | Dr Ivo Stanchev |
| Pleven | Assoc Prof Yavor Ivanov |
| Plovdiv | Dr Grozdanka Ivanova |
| Plovdiv | Assoc Prof Vladimir Hodzhev |
| Ruse | Dr Miglena Radeva |
| Sliven | Dr Dina Koleva |
| Sofia | Dr Boyko Milev |
| Sofia | Dr Natalia Stoeva |
| Sofia | Dr Denitsa Dencheva |
| Sofia | Dr Kiril Palaveev |
| Sofia | Assoc Prof Zlatka Yankova |
| Sofia | Assoc Prof Penka Nikolova |
| Stara Zagora | Dr Dimo Dimov |
| Stara Zagora | Dr Bistra Kostadinova |
| Troyan | Dr Miroslav Subashki |
| Varna | Dr Rumiana Veselinova |
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| Velingrad | Dr Elena Shopova |
| Vidin | Dr lvo lliev |

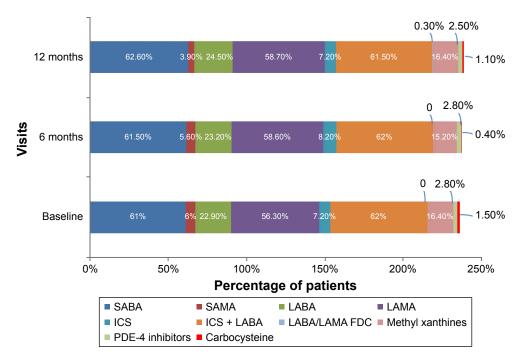


Figure SI Treatment patterns over 12 months (efficacy analysis set).

Note: Data are presented as percentage of patients.

Abbreviations: FDC, fixed-dose combination; ICS, inhaled corticosteroid; LABA, long-acting β_2 -agonist; LAMA, long-acting muscarinic antagonist; PDE-4, phosphodiesterase-4; SABA, short-acting β_2 -agonists; SAMA, short-acting muscarinic antagonists.

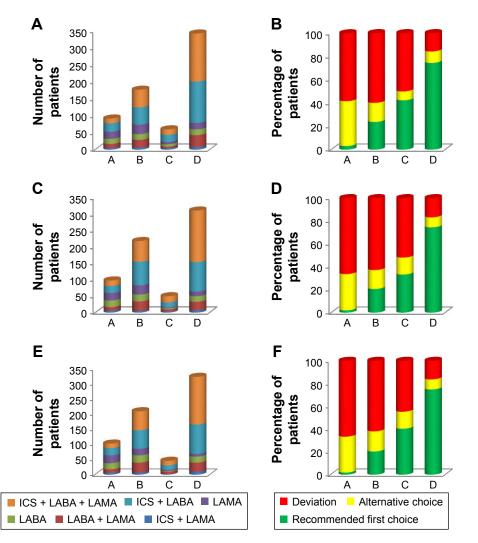


Figure S2 Long-acting inhaled therapy and agreement of treatment with GOLD guidelines (A and B) at baseline; (C and D) at 6 months; (E and F) at 12 months (efficacy analysis set).

Notes: Data are presented as number of patients (A, C, and E) and percentage of patients (B, D, and F). A: GOLD group A; B: GOLD group B; C: GOLD group C; D: GOLD group D.

Abbreviations: GOLD, Global Initiative for Chronic Obstructive Lung Disease; ICS, inhaled corticosteroid; LABA, long-acting β_2 -agonist; LAMA, long-acting muscarinic antagonist.

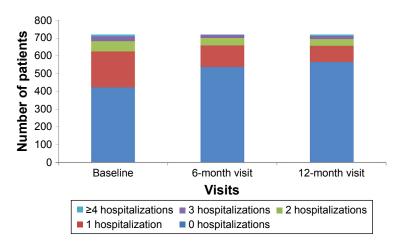


Figure S3 Number of patients experiencing hospitalization (efficacy analysis set).

Table S2 Adverse events and serious adverse events, accordingto system organ class

| Parameter | Number |
|--|--------|
| Patients with $\geq I$ adverse event | 25 |
| Adverse events | 27 |
| Respiratory, thoracic, and mediastinal disorders | 18 |
| Cardiac disorders | 4 |
| Neoplasms, benign, malignant, and unspecified | 2 |
| (including cysts and polyps) | |
| Infections and infestations | 2 |
| Musculoskeletal and connective tissue disorders | I |
| Serious adverse events | 16 |
| Respiratory, thoracic, and mediastinal disorders | 11 |
| Cardiac disorders | 2 |
| Neoplasms, benign, malignant, and unspecified | 2 |
| (including cysts and polyps) | |
| Infections and infestations | I |
| Death | 4 |

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