

# Tailored nanostructured platforms for boosting transcorneal permeation: Box–Behnken statistical optimization, comprehensive in vitro, ex vivo and in vivo characterization

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**Abstract:** Ocular drug delivery systems suffer from rapid drainage, intractable corneal permeation and short dosing intervals. Transcorneal drug permeation could increase the drug availability and efficiency in the aqueous humor. The aim of this study was to develop and optimize nanostructured formulations to provide accurate doses, long contact time and enhanced drug permeation. Nanovesicles were designed based on Box–Behnken model and prepared using the thin film hydration technique. The formed nanodispersions were evaluated by measuring the particle size, polydispersity index, zeta potential, entrapment efficiency and gelation temperature. The obtained desirability values were utilized to develop an optimized nanostructured in situ gel and insert. The optimized formulations were imaged by transmission and scanning electron microscopes. In addition, rheological characters, in vitro drug diffusion, ex vivo and in vivo permeation and safety of the optimized formulation were investigated. The optimized insert formulation was found to have a relatively lower viscosity, higher diffusion, ex vivo and in vivo permeation, when compared to the optimized in situ gel. So, the lyophilized nanostructured insert could be considered as a promising carrier and transporter for drugs across the cornea with high biocompatibility and effectiveness.

**Keywords:** thin-film hydration, in situ, insert, confocal, transcorneal, tenoxicam

## Introduction

Tenoxicam is a nonsteroidal anti-inflammatory drug which belongs to the oxicam class.<sup>1</sup> It is used in the symptomatic control of joint and musculoskeletal diseases such as rheumatoid arthritis and osteoarthritis.<sup>2</sup> It is administered through various routes such as oral, intramuscular, intravenous and rectal, all with the same dose (20 mg). After oral administration, it is completely absorbed (bioavailability ~99%), approaching the maximum plasma concentration after 2 h in the case of fasting conditions, while food may lead to a delayed absorption for about 6 h.<sup>3</sup> It has about 98.5% plasma protein binding, which leads to its extended elimination half-life (60–70 h).<sup>4</sup> Tenoxicam could be used for the prevention and treatment of ocular inflammatory disorders.<sup>5,6</sup> Biodistribution of tenoxicam was previously studied after intramuscular injection in rabbits, and it was found that only 5% of the administered drug was distributed into the vitreous and aqueous humor with very low  $C_{max}$  (about 2.5% of its value in the plasma).<sup>7</sup>

Transcorneal permeation of topically applied formulations is generally restricted by different physical and biologic obstacles. Immediate blinking may lead to removal of

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about 95% of the applied volume.<sup>8</sup> Furthermore, the nasolacrimal pathway can uptake a considerable portion of the dose to the systemic circulation, leading to possible side effects.<sup>9</sup> The cornea as a physical barrier is composed of three layers: epithelium, stroma and endothelium. The epithelium consists of five to seven layers of tightly connected cells allowing only the passage of small lipophilic molecules.<sup>10</sup> The stroma is a watery thick layer, while the endothelium is composed of a thin layer of cells; so, both layers are not considered a significant obstacle against drug permeation.<sup>11</sup> Permeation through the cornea is dependent on drug lipophilicity and molecular weight. Increasing the drug or the nanoformulation partition coefficient could increase the drug permeability.<sup>12</sup> On the other hand, drugs with molecular weight larger than 10<sup>3</sup> Da may not be able to cross the cornea at a measurable rate and extent.<sup>10</sup>

Nanovesicles are widely used to enhance drug permeation through the cornea. Liposomes have been used for encapsulation and delivery of various drugs, for example, acetazolamide, acyclovir and ibuprofen, to the eye.<sup>13–15</sup> Mucoadhesive liposomes have been developed through incorporation of chitosan, carbopol and xanthan gum to increase contact to the corneal surface.<sup>16–19</sup> Niosomes were also investigated as an ocular nanocarrier for several active ingredients such as timolol maleate, naltrexone hydrochloride, ketoconazole and natamycin.<sup>20–23</sup> Other nanovesicles have been developed and optimized for enhancement of the corneal permeation (eg, bilosomes and transfersomes).<sup>24,25</sup> All these trials have succeeded to different extents to permeate active ingredients through the cornea. However, they are still suffering from the conventional drawbacks of aqueous nanodispersion, including the relatively low physical stability during storage, inaccurate dosing especially for delivering potent drugs and the short ocular retention time after application.<sup>26–28</sup>

In situ gelling systems had been developed to allow the patient to administer an accurate dose, and then, sol–gel transformation is taking place as it applied to the physiologic conditions. Gelling of these systems takes place in the presence of physical or chemical stimulus.<sup>29</sup> Pluronics have the ability to form a gel at physiologic temperature in a tailored pattern based on the type and concentration of the applied polymer solution.<sup>30</sup> Gellan gum (GG) solutions form gel due to formation of insoluble polymer with the divalent ions present in the eye.<sup>31</sup> On the other hand, ocular inserts could be considered as another carrier to retain the active ingredient for a prolonged period in the cul-de-sac to overcome one of the main obstacles for the transcorneal permeation. Poly(acrylic) acid and poly(lactic co-glycolic) acid were previously used as insert matrix to release the drug

in a sustained pattern.<sup>32,33</sup> Conversely, soluble lyophilized inserts have been formulated to maintain the drug stability during shelf-life and allow administration of an accurate dose upon instillation into the eyes.<sup>34</sup>

The aim of this study is to develop and optimize two types of novel nanostructured formulations. The first is a nanostructured in situ gel, in which the drug is carried in lipid nanovesicles to facilitate corneal permeation. Upon application into the eye, the nanodispersion will be converted into gel to increase the contact time with the corneal surface and minimize immediate drainage. The second formulation is a nanostructured insert, where the nanovesicles are kept in lyophilized matrices. This could help the patient to administer accurate doses. Moreover, the inserts could be rapidly reconstituted after instillation into the eyes to produce the original nanodispersion.

## Materials and methods

### Materials

Tenoxicam was kindly gifted by El Kahira Pharmaceutical Company (Cairo, Egypt). Pluronic F127 (PF127), glyceryl tripalmitate (GTP), trehalose dihydrate, and GG were purchased from Sigma Aldrich Co. (St Louis, MO, USA). Absolute ethanol, methanol, chloroform, disodium hydrogen phosphate and potassium dihydrogen phosphate were purchased from El Nasr Pharmaceutical Company (Cairo, Egypt). All other chemicals were of analytical grade and used as received without any further purification.

### Preparation of the nanostructured formulations

Tenoxicam-loaded nanostructured formulations were prepared using a modified thin-film hydration technique.<sup>35,36</sup> Briefly, the drug (20 mg), Pluronic F127 (PF127) and GTP were dissolved in 10 mL chloroform/methanol mixture in a ratio of 2:1 v/v. The prepared solution was fed into a Rotavap flask (Heidolph VV 2000; Heidolph, Burladingen, Germany) rotating at 120 rpm to be evaporated under reduced pressure at the temperature 60°C±0.5°C for 15 min.<sup>37</sup> GG aqueous solution (10 mL) was added to hydrate the formed film at the same temperature and rotation speed, for 1 h under atmospheric pressure. The resulting vesicles were sonicated for 1 min in a bath sonicator to reduce their size and stored at 4°C till further characterization.

### Statistical planning

Using Design-Expert™ 7 software, Box–Behnken model was employed for the design of 13 formulations. This was based

**Table I** Experimental runs, independent variables and measured responses of the Box–Behnken model for tenoxicam nanostructured formulations

Formulae	GTP % (w/v)	PF127% (w/v)	GG % (w/v)	PS (nm)	ZP (mV)	EE (%)	GT (°C)
NF1	0.25	4	0.3	631.6±22.7	−14.4±1.2	94.4±3.4	45±3.9
NF2	0.25	7	0.1	1,020.1±45.2	−10.5±0.8	89.7±2.7	44±1.4
NF3	0.25	7	0.5	107.8±3.3	−21.1±1.5	92.7±4.0	34±1.3
NF4	0.25	10	0.3	26.3±1.0	−21.5±0.7	90.5±6.1	31±0.9
NF5	0.625	4	0.1	937.5±31.7	−8.6±0.5	94.8±6.9	44±1.1
NF6	0.625	4	0.5	968.7±58.9	−29.2±2.0	95.7±3.3	36±2.8
NF7	0.625	7	0.3	799.9±10.3	−15.6±0.7	91.4±1.9	38±3.1
NF8	0.625	10	0.1	1,160.4±24.2	−9.7±0.3	91.2±4.8	46±0.8
NF9	0.625	10	0.5	900.2±40.8	−13.1±0.9	91.5±7.2	38±1.0
NF10	1	4	0.3	933.4±36.9	−18.5±0.2	93.4±2.0	37±2.7
NF11	1	7	0.1	1,035.4±25.0	−9.9±1.1	91.2±6.1	46±1.7
NF12	1	7	0.5	1,640.5±57.1	−25.0±0.1	87.9±3.7	32±3.9
NF13	1	10	0.3	1,968.0±14.6	−15.6±1.4	90.0±4.3	45±2.6

**Note:** Data are presented as mean ± SD.

**Abbreviations:** EE, entrapment efficiency; GG, gellan gum; GT, gelation temperature; GTP, glyceryl tripalmitate; PF, Pluronic F; PS, particle size; ZP, zeta potential.

on three studied independent factors: the concentrations of GTP (A), PF127 (B) and GG (C), as demonstrated in Table 1. Two sets of responses were established to develop a pair of optimized formulations. The first set was composed of three responses, particle size (PS: Y1), zeta potential (ZP: Y2) and entrapment efficiency (EE: Y3), to optimize a nanostructured formulation (Pre-insert: PRE-I) to be lyophilized for the development of the ocular insert. The second set had four responses, PS, ZP, EE in addition to gelation temperature (GT: Y4), employed for the optimization of a nanostructured in situ gel (optimized in situ gel: OIG). Factorial analysis was performed for each response at a confidence limit of 0.05. Desirability was used for the simultaneous optimization of the measured responses.<sup>38,39</sup>

## Characterization of the prepared nanostructured formulations

### PS, polydispersity index (PDI) and ZP

Samples from each formula were diluted five times with double-distilled water.<sup>40</sup> The diluted samples were analyzed using dynamic light scattering technique (Zetasizer; Malvern Instruments Ltd, Worcestershire, UK) for the measurement of PS, PDI and ZP. Each analysis was repeated three times and the mean ± SD values were recorded.

### Entrapment efficiency

The ability of the prepared nanovesicles to encapsulate tenoxicam was determined by centrifugation of samples taken from each formulation (cooling centrifuge; Beckman Coulter, Fullerton, CA, USA) performed for 1 h at a temperature of 4°C and a rotation speed of 15,000 rpm. The clear supernatant was separated with a micropipette and diluted

with ethanol. The diluted supernatant was analyzed for its drug content using an ultraviolet spectrophotometer (model UV-1601 PC; Shimadzu, Kyoto, Japan). Analysis was done at predetermined  $\lambda_{\max}$  (368 nm) and the untrapped drug amount was calculated based on the previously constructed calibration curve. Finally, the EE amount was calculated using the following equation:<sup>41</sup>

$$EE\% = \frac{\text{Total drug amount} - \text{untrapped drug amount}}{\text{Total drug amount}} \times 100 \quad (1)$$

### Gelation temperature

Each formulation was mixed with artificial tears (Orchatears Plus; Orchidia Pharmaceutical Industries, Cairo, Egypt) in the ratio of 1:20 v/v using a magnetic stirrer hotplate at a speed of 350 rpm.<sup>42</sup> The temperature at which the magnet stopped was measured using a thermometer and counted as the GT.<sup>43,44</sup> Each measurement was performed in triplicate and the mean ± SD values were tabulated.

## Preparation and characterization of the ocular inserts

Trehalose (2.5% or 5% w/v) as a cryoprotectant was added to different samples of the PRE-I formula to prepare the formulations L2 and L3, respectively. A trehalose-free PRE-I sample (L1) was taken as a reference to investigate whether the cryoprotectant was required. Constant volume from each sample (0.1 mL) was accurately transferred into a polyethylene blister (diameter: 5 mm) and frozen overnight at −20°C. Later, the frozen samples were subjected to lyophilization

in a freeze dryer (Novalyph-NL 500; Savant Instruments Corp., Hyderabad, India) running at a pressure of  $7 \times 10^{-2}$  mbar and a temperature of  $-45^{\circ}\text{C}$  for 24 h.<sup>45</sup> The frozen samples were physically investigated regarding their color, shape and consistency. PS, ZP and EE were analyzed for each lyophilized formula after reconstitution using distilled water with the original dried volume.

## Imaging

### Transmission electron microscopy (TEM)

One drop was taken from the diluted OIG formula and placed over a copper grid coated with carbon. Then, it was negatively stained by adding one drop of phosphotungstic acid aqueous solution (1% w/v).<sup>46</sup> After air drying of the stained samples, they were visualized using TEM (JEM-2100; JEOL, Tokyo, Japan) operating at 100 kV.

### Scanning electron microscope (SEM)

The morphologic characteristics of the selected lyophilized formulation (L3) were investigated by imaging of its surface using field emission SEM (Quanta 250 FEG; FEI, Brno-Černovice, Czech Republic). The SEM was equipped with energy-dispersive X-ray spectroscopy (Edax Ametek, Mahwah, NJ, USA) and a compact cold cathode gauge (Pfeiffer, Asslar, Germany). Samples were tested at low vacuum and without prior gold sputtering.<sup>47</sup>

## Rheological characterization

Viscosity is an important parameter to be evaluated to characterize the prepared nanostructured formulations. The OIG formula was heated to  $32^{\circ}\text{C}$  after mixing with artificial tears in a ratio of 1:20 v/v to form a gel, while the selected lyophilized formula (L3) was reconstituted using artificial tears to restore its original volume. After that, 1 mL was taken from each formula and filled into rheometer plate equipped with spindle CPE-41. The torque was adjusted to be within the accepted range (10%–100%) under a rotation speed that ranged from 0.3 to 12 rpm.<sup>48</sup> The shear stress and viscosity were determined at each shear rate value and the results were fitted to the power law model (Equation 2) to determine the rheological pattern of each formula:

$$\tau = K\gamma^n \quad (2)$$

where  $\tau$  is the shear stress ( $\text{dyne/cm}^2$ ),  $K$  is the consistency index ( $\text{dyne/cm}^2 \cdot \text{s}^n$ ),  $\gamma$  is the rate of shear ( $\text{s}^{-1}$ ) and  $n$  is the flow index. The latter value could be approximately equal to 1 in the case of Newtonian flow, whereas it indicates a

shear thinning system if its value is less than 1. On the other hand, systems with shear thickening behavior could have a flow index value exceeding 1.<sup>49</sup>

## In vitro release

The drug release profiles from the OIG and the selected lyophilized formulations, compared to the drug diffusion from its aqueous solution, were investigated through dialysis membranes (typical molecular weight cut-off 14,000 Da; Sigma-Aldrich Co.) after soaking overnight in the diffusion medium.<sup>50</sup> The dialysis membrane was fitted into a Franz diffusion cell having an acceptor capacity of 20 mL filled with PBS (pH 7.4) and rotating at 100 rpm, and the temperature of the surrounding medium was adjusted to  $32^{\circ}\text{C}$ .<sup>51,52</sup> One drop of the OIG formula, one insert of the selected lyophilized formula, or an equivalent volume of the drug solution in PBS was added to 2 mL artificial tears and the diluted samples were filled into the donor compartment. Samples (0.2 mL) were withdrawn through the sampling port using a tuberculin syringe at the time intervals 0.5, 1, 2, 4, 5, 6, 8, 12 and 24 h and then replaced with fresh medium to maintain a constant volume. Each sample was poured into a disposable microcuvette and analyzed at the predetermined tenoxicam  $\lambda_{\text{max}}$  (368 nm). The drug diffusion from each formula, in addition to the drug solution was determined three times and the mean  $\pm$  SD was calculated. The obtained diffusion profiles were compared to each other utilizing the similarity factor ( $f_2$ ) calculated from the following equation:<sup>53</sup>

$$f_2 = 50 \times \log \left\{ \left[ 1 + \left( \frac{1}{n} \right) \sum_{j=1}^n |R_j - T_j|^2 \right]^{-0.5} \times 100 \right\} \quad (3)$$

where  $n$  is the number of samples withdrawn and  $T$  and  $R$  are the % of drug diffusing at each time interval ( $j$ ) from the test and reference formulations, respectively. Furthermore, the data diffusion was fitted into the zero, first and Higuchi models to determine the highest coefficient of determination ( $r^2$ ).<sup>54</sup> Consequently, the most suitable model was used to calculate the diffusion half-life ( $t_{1/2}$ ) of each profile as an indicator for the diffusion rate. Finally, the calculated half-lives were statistically compared by applying one-way analysis of variance (ANOVA) followed by post hoc test for the multiple comparison using SPSS 19 software® (IBM Corporation, Armonk, NY, USA).

## Ex vivo permeation

The study was first revised and approved by the ethics committee, Faculty of Pharmacy, Cairo University (PI 1951) and



complied with the Guide for Care and Use of Laboratory Animals published by the US National Institute of Health (NIH Publication No 85-23, revised 2011). Male albino rabbits (weight 2–3 kg) were used for the study after anesthetizing them by intramuscular injection of 35 mg/kg ketamine and 5 mg/kg xylazine. Then, the rabbits were decapitated to remove the cornea and sclera, which were washed using artificial tears solution and immediately mounted on the acceptor cell filled with 20 mL PBS, whereas the central transparent cornea only was exposed to the permeation medium. After that, the donor cell was fixed and filled with the 2 mL artificial tears solution containing one drop of the OIG formula, one disk of the selected lyophilized formula or one drop of the drug solution in PBS (pH 7.4). The permeation medium was thermostatically kept at a temperature of 32°C under a rotation speed of 100 rpm. Samples were withdrawn from the acceptor cell using a tuberculin syringe at the same time intervals employed in the *in vitro* diffusion study. The collected samples were filtered using 0.45 µm membrane filter. Afterward, the permeated drug at each time interval was analyzed using high-performance liquid chromatography (Shimadzu, Tokyo, Japan) equipped with Hypersil C18 column (150×4.6 mm, 5 µm) and an ultraviolet detector at the same predetermined  $\lambda_{\text{max}}$  (368 nm). The mobile phase was composed of 0.05 M sodium phosphate, pH 2.8:acetonitrile (55:45 v/v), running with a flow rate 1 mL/min.<sup>55</sup> Reported results are the amount permeated at each time interval (average of triplicates) ± SD. The diffusion ( $P_2$ ; h<sup>-1</sup>), partition ( $P_1$ ; cm) and permeability ( $K_p$ ; cm/h) coefficients were calculated using the following equations:<sup>56</sup>

$$P_2 = \frac{1}{6T_L} \quad (4)$$

$$P_1 = \frac{J}{A \cdot C_0 \cdot P_2} \quad (5)$$

$$K_p = P_1 \cdot P_2 \quad (6)$$

where  $T_L$  is the lag time determined from the linear regression x-intercept of the time–amount of drug permeated correlation,  $A$  (cm<sup>2</sup>) is the corneal surface area exposed to the permeation medium and  $C_0$  is the initial drug concentration in the donor cell.

## In vivo investigations

### Histopathologic evaluation

The biocompatibility of the optimized formulations was evaluated and compared to the drug solution. One drop

of OIG formula, an insert of the L3 formula and one drop of normal saline were instilled in both eyes of each of the three male albino rabbits (2–3 kg) used in the study. The dosing was twice daily for 1 week.<sup>24</sup> After that, the animals were anesthetized and then decapitated under the same conditions followed for the *ex vivo* permeation study. The corneas were excised from the eyeball, washed by artificial tears and stored overnight in formalin saline solution (10% v/v). Specimens were dehydrated by alcohol, fixed in melted paraffin and left to solidify in the form of cubic blocks. Microtome was used to prepare skinny slices (~2 mm), which were deparaffinized and pigmented by hematoxylin and eosin. Finally, the stained samples were visualized using a digital microscope (DMS1000 B; Leica, Cambridge, UK).

### Confocal laser scanning microscopy (CLSM)

The drug in the optimized formulations was replaced by 0.1% w/w Rhodamine B (RhB) to be visualized under CLSM (LSM 710; Carl Zeiss, Jena, Germany). The RhB-loaded formulations (100 µL) were instilled, each in both eyes of a male albino rabbit (2–3 kg), and compared to the RhB aqueous solution. After 6 h, the animals were killed by decapitation after being anesthetized.<sup>57</sup> The clear corneas were carefully excised, washed, stored in artificial tears and imaged on the same day. RhB was fluorescently visualized by excitation at 485 and 595 nm using argon and helium–neon lasers, respectively. Confocal images were processed and rectified using LSM software version 4.2 (Carl Zeiss MicroImaging, Jena, Germany).<sup>24</sup> Imaging started from the outer corneal surface with the z-stack mode in the directions xy and xz. Layer by layer was imaged with 2 mm increments till the characteristic color of RhB disappeared.

## Results and discussion

### Preparation of the nanostructured formulations

GTP was used to sustain the drug release from the prepared nanovesicles, in addition to giving a tailored environment for entrapment of different drugs with different characteristics in combination with the hydrophilic PF127.<sup>58</sup> On the other hand, PF127 was added as a primary stabilizer for the formed nanovesicles and acts by reduction of their surface tension.<sup>59</sup> The incorporation of GG was important to act as a secondary physical stabilizer by increasing the viscosity and negative charge induction.<sup>60</sup> Furthermore, PF127 and GG had a common role of enhancing *in situ* gelling together, within the eye physiologic conditions. The ophthalmic temperature and ions

could initiate the gelling of PF127 and GG aqueous liquid, respectively, upon ocular application.<sup>61</sup> The simultaneous utilization of thermosensitive and ion-based in situ gelling techniques was supposed to fasten and facilitate the gel formation at lower concentrations of excipients and, therefore, decrease the possible irritation or high viscosity arising from using a large amount of PF127 or GG, respectively. Regarding the preparation techniques, the method was slightly modified to allow the incorporation of all ingredients as GG solution was utilized for hydration of the formed film.

## Characterization of the prepared nanostructured formulations

### PS, PDI and ZP

PS of the formulations lay within the range 26.3–1,968.0 nm, as displayed in Table 1. More than 50% of the formulations had a PS in the nano-range. The smallest vesicles were observed in the formula NF4 composed of 0.5% GTP, 10% PF127 and 0.3% GG, whereas the largest ones were noticed in the formula NF13 containing 1% GTP, 10% PF127 and 0.3% GG. This could give an indication about the effect of increasing GTP on the vesicular size growth and/or aggregation. To ensure the significance of each factor on the PS, two-factor interaction was utilized as an analysis model with a significance  $p < 0.0001$ . Factorial ANOVA was validated through calculation of the adjusted, predicted  $R^2$  and adequate precision. The difference between the adjusted (0.9111) and predicted (0.8025)  $R^2$  was reasonable with adequate precision value of 21.34, indicating the model's ability to navigate the design space with acceptable signal-to-noise ratio.<sup>62</sup> Moreover, lack of fit was statistically nonsignificant ( $p = 0.0895$ ), revealing that the data were fitting within the employed model.<sup>63</sup> The polynomial equation describing the effect of different factors on the PS was as follows:

$$\text{PS} = 898.74 + 481.61 A + 51.81 B - 80.71 C + 394.82 AB + 379.53 AC - 100.06 BC \quad (7)$$

Factorial ANOVA denoted that GTP concentration was the only factor having a significant effect on the PS ( $p < 0.0001$ ) with significant interactions with each of the PF127 and GG concentrations ( $p = 0.0002$  for both). On the contrary, the concentrations of PF127 and GG had no effect, with  $p$  values of 0.3084 and 0.1256, respectively. Figure 1A demonstrates the increase in PS upon increasing the GTP concentration, especially at a high level of PF127. Similar observations were previously reported by Lason et al who noticed a direct relation between the lipid concentration and the PS of the lipid nanoformulations.<sup>64</sup>

The values of PDI ranged between 0.3 and 0.5 (data not shown) for all formulations. This indicates that the prepared nanodispersions were polydisperse, but within the acceptable limits.<sup>65,66</sup> This parameter was not used as a response in the factorial analysis as the obtained PDI values failed to yield a valid statistical model, indicating that the studied factors had no significant effect on the PDI.

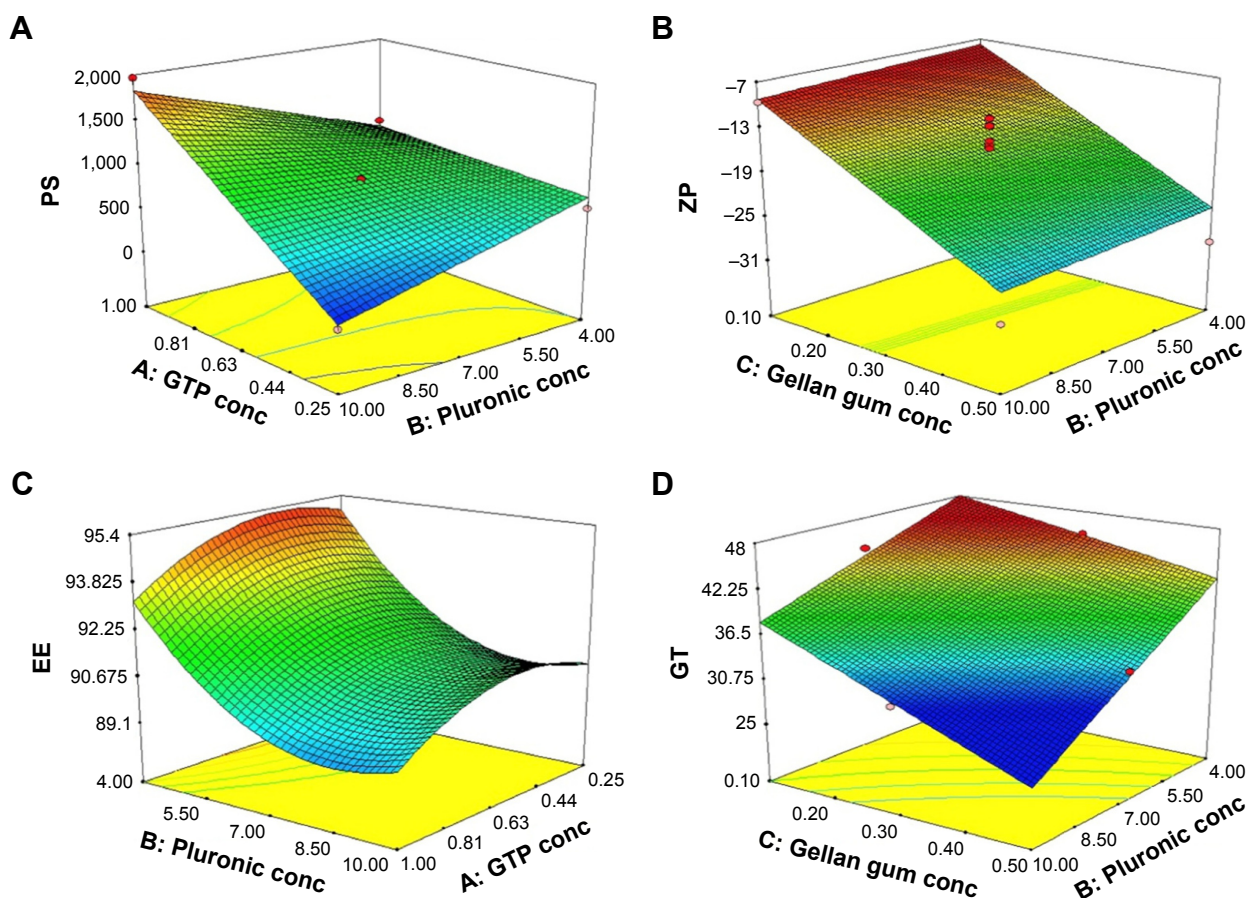
The ZP values lay in the range from  $-8.57$  to  $-30.05$  mV, as demonstrated in Table 1. High ZP is more desirable during optimization of nanovesicles and nanoparticles. The presence of potential similar charges could protect against agglomeration of the prepared nanovesicular formulations through generation of adequate repulsive forces.<sup>67</sup> The negative charges originally came from the lone electron pairs present within the tenoxicam structure on the hydroxyl, carbonyl, sulfonyl, thienyl and secondary amine groups.<sup>68</sup> The linear model was the best fit for the factorial analysis of the obtained ZP values with a  $p$ -value  $< 0.0001$ . The model was validated through determination of the values of adjusted and predicted  $R^2$ , which were found to be in reasonable agreement with each other (0.7620 and 0.6454, respectively). In addition, the adequate precision was  $> 4$  (11.67), indicating the model's capability to navigate and cover the design space. Finally, the lack of fit was nonsignificant ( $p = 0.0798$ ) showing that the measured effects were valid.<sup>69</sup> The linear equation representing the effects of the studied factors on the measured ZP was as follows:

$$\text{ZP} = 16.82 + 0.16 A + 0.77 B + 8.35 C \quad (8)$$

GG was the only factor having a significant effect on the ZP values ( $p < 0.0001$ ). Increasing GG led to increase in the ZP, wherein the highest values were observed at the highest level of GG (0.5%), as shown in Figure 1B. This might be due to the anionic nature of GG encouraging its use as a negative charge inducer for stabilization of different nanoparticles.<sup>60,70</sup> On the other hand, the nonionic characteristic of both GTP and PF127 could explain their nonsignificant effect on the ZP values.<sup>71</sup>

### Entrapment efficiency

Relatively high EEs were observed with a minimum value of 87.90% and a maximum value of 95.74%, as displayed in Table 1. This could be attributed to the relatively high partition coefficient of tenoxicam forcing it to escape from the aqueous environment, in addition to the presence of lipophilic GTP and hydrophilic PF127 in different ratios in the composition of nanovesicles, which configure a tailored environment adequate for entrapment of the employed drug.<sup>72</sup>



**Figure 1** Response surface plots for the effects of the independent variables on the PS (A), ZP (B), EE (C) and GT (D) of the prepared nanostructured formulations. **Abbreviations:** conc, concentration; EE, entrapment efficiency; GT, gelation temperature; GTP, glyceryl tripalmitate; PS, particle size; ZP, zeta potential.

Quadratic model was selected for the factorial analysis of the obtained data and validation was confirmed as mentioned (adjusted  $R^2=0.9635$ , predicted  $R^2=0.8223$ , adequate precision=25.73 and lack of fit  $p=0.1495$ ). The polynomial equation describing the selected quadratic model was as follows:

$$EE = 93.35 - 0.16 A - 1.96 B + 0.06 C + 0.12 AB - 1.58 AC - 0.28 BC - 1.05 A^2 + 1.76 B^2 + 0.08 C^2 \quad (9)$$

There was a significant effect of the GTP and PF127 concentrations and their squared terms on the EE values with a significant interaction between the GTP and the GG concentrations ( $p<0.05$ ). On the contrary, GG concentration had no statistically significant effect on EE ( $p=0.6418$ ). The intermediate percentage of GTP was the optimum for the entrapment of tenoxicam, while increasing PF127 promoted the drug escape from the nanovesicles, as illustrated in Figure 1C. This could be explained in the context of the possible formation of separate PF127 micelles containing certain drug fractions instead of achievement of extra stabilization of the already formed nanovesicles.<sup>73</sup> Moreover, increasing

the surfactant concentration could disrupt the nanovesicular membrane, making it more permeable and leaky and, thus, facilitating the drug escape.<sup>74</sup>

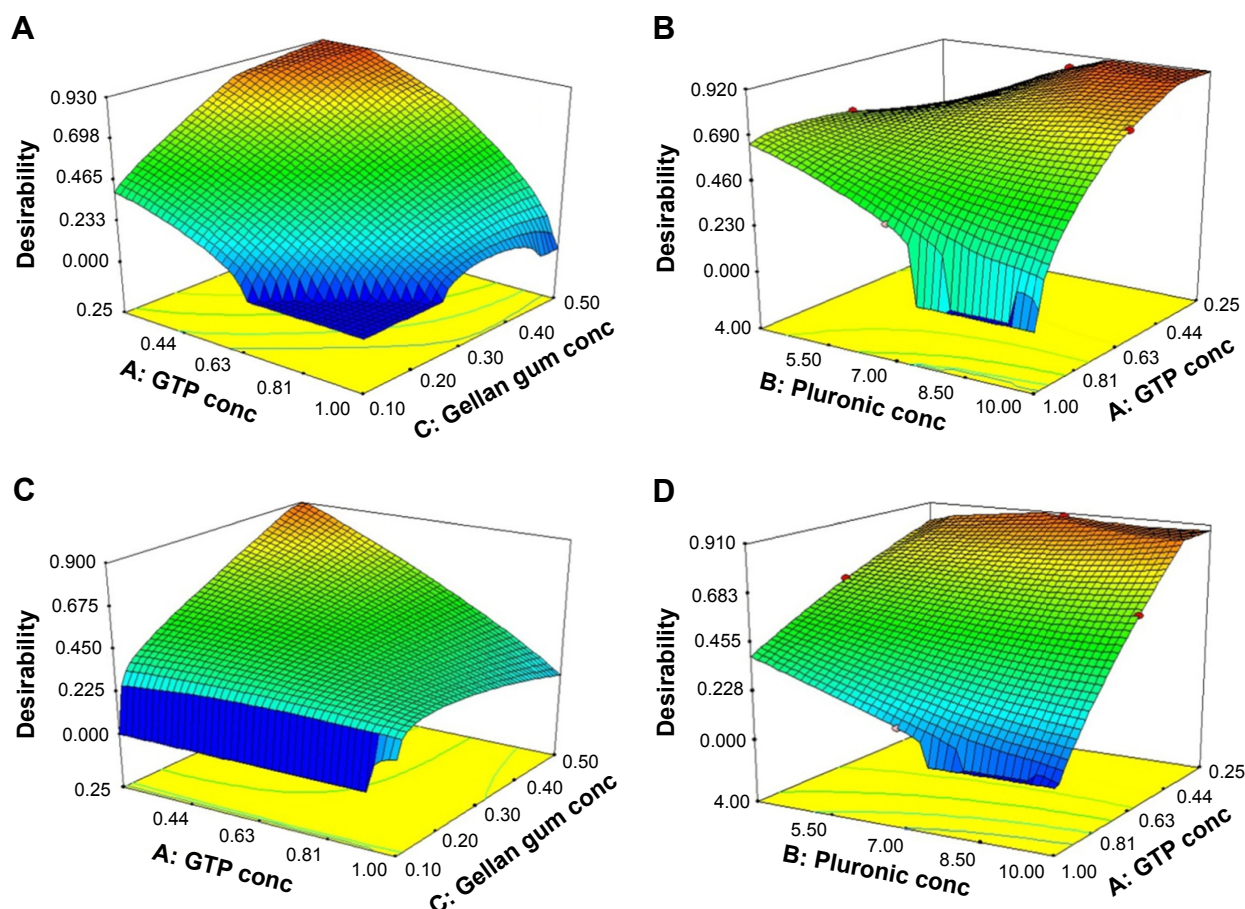
### Gelation temperature

Gelation temperatures arrayed between 31°C and 48°C, as shown in Table 1. Two-factor interaction model was used for analysis of the measured values. The model was valid and able to navigate the design space. There was no need for model reduction or removal of outliers (adjusted  $R^2=0.9635$ , predicted  $R^2=0.9102$ , adequate precision=25.52 and lack of fit  $p=0.0732$ ). The factorial ANOVA was conducted and the resultant polynomial equation was as follows:

$$GT = 39.00 + 0.75 A - 1.12 B - 5.88 C + 5.50 AB - 1.00 AC - 1.75 BC \quad (10)$$

The GT values were statistically affected by the concentrations of PF127 and GG with significant interactions between the effect of concentration of PF127 and the other two factors (concentrations of GTP and GG). It can be





**Figure 2** Desirability values for the development of OIG (A and B) and PRE-I (C and D) formulations.  
**Abbreviations:** conc, concentration; GTP, glyceryl tripalmitate; OIG, optimized in situ gel; PRE-I, pre-insert.

observed from Figure 1D that increasing GG and/or PF127 could lead to a clear decrease in the GT. The impact of the two significant factors on the GT matched our expectations during the experimental design due to the ability of PF127 and GG to enhance in situ thermal and ionic gelation, respectively.<sup>75</sup>

## Statistical optimization

Selection of the optimized formulations was dependent on the desirability function which allowed consideration of all responses at the same time.<sup>69</sup> Minimizing the PS and maximizing each of the ZP and EE were the common targets for preparing both PRE-I and OIG formulations. In addition, minimizing the GT was desired for the development of OIG, as the lowest obtained value was 31°C which was very close to the corneal surface temperature.<sup>76</sup> The PRE-I and OIG formulations were similar in their content of GTP (0.25%) and GG (0.5%) with the desirability values of 0.905 and 0.917, respectively, as shown in Figure 2. The only difference was in the PF127 percentages, which were 6.53% in

PRE-I and 10% in OIG. The optimized formulations were prepared and re-examined for their previously considered parameters. The obtained results, shown in Table 2, supported their involvement in the subsequent formulation and/or characterization.

## Characterization of the ocular inserts

The lyophilized formulations had almost the same ZP and EE with no significant difference ( $p > 0.05$ ), as illustrated in Figure 3. Similar findings were previously recorded by Souza et al while investigating the effect of trehalose on the physical characteristics of topotecan-loaded lipid nanoparticles.<sup>77</sup> On the other hand, the nanovesicular size

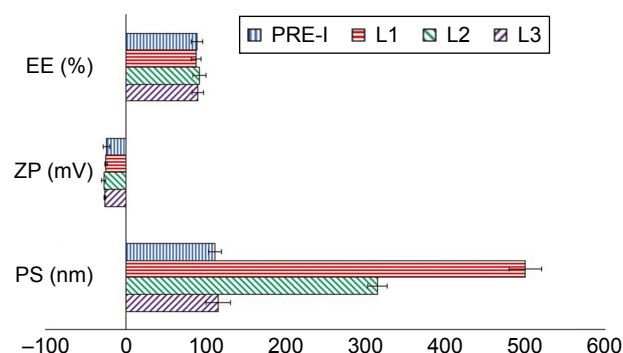
**Table 2** Physical characteristics of the optimized formulations

Formulae	PS (nm)	ZP (mV)	EE (%)	GT (°C)
PRE-I	112.0±8.2	-24.6±3.8	89.0±7.4	—
OIG	93.6±5.9	-25.8±1.6	93.3±5.7	32±0.6

**Note:** Data presented as mean ± SD.

**Abbreviations:** EE, entrapment efficiency; GT, gelation temperature; OIG, optimized in situ gel; PRE-I, pre-insert; PS, particle size; ZP, zeta potential.





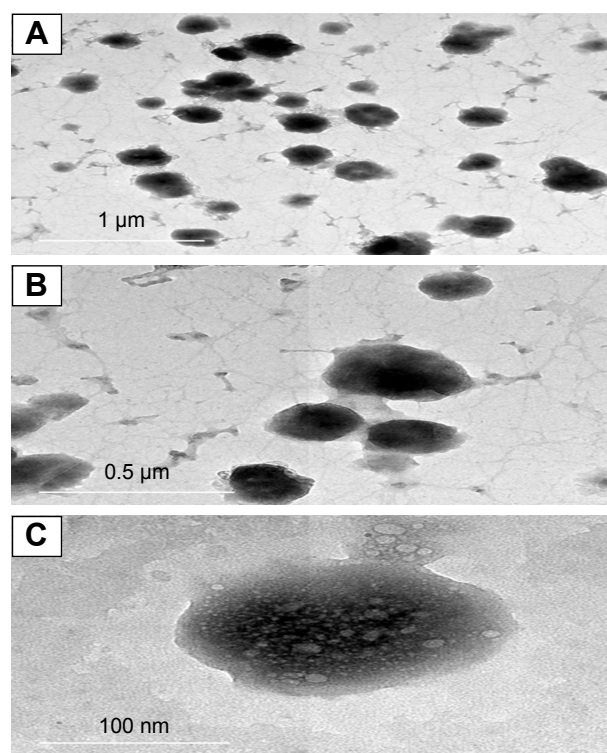
**Figure 3** Characteristics of the lyophilized formulations, compared to the original nanostructured formulation (PRE-I).

**Abbreviations:** EE, entrapment efficiency; PRE-I, pre-insert; PS, particle size; ZP, zeta potential.

significantly increased ( $p < 0.001$ ) upon lyophilization in the absence of trehalose, revealing the need for a cryoprotectant. These results opposed the previous conclusions stated by El-Dahmy et al<sup>78</sup> and Szleifer et al<sup>79</sup> that Pluronic itself could act as a cryoprotectant through its long polyethylene oxide chains. So, Pluronics might be able to exert a steric hindrance preventing the nanoparticles' agglomeration. In our nanovesicles, the employed percentages of Pluronic (4%–10%) might not be enough to act as a cryoprotectant due to its incorporation into the vesicular structure as a primary stabilizer. Addition of trehalose significantly reduced the PS, and the presence of 5% trehalose in L3 formula was effective to regain the original PS measured before lyophilization ( $p = 0.094$ ). The same percentage of trehalose was previously recorded as an optimum for stabilization of several nanoparticle formulations.<sup>80–82</sup> Finally, the L3 formula containing 5% trehalose was selected as a candidate for the subsequent characterization.

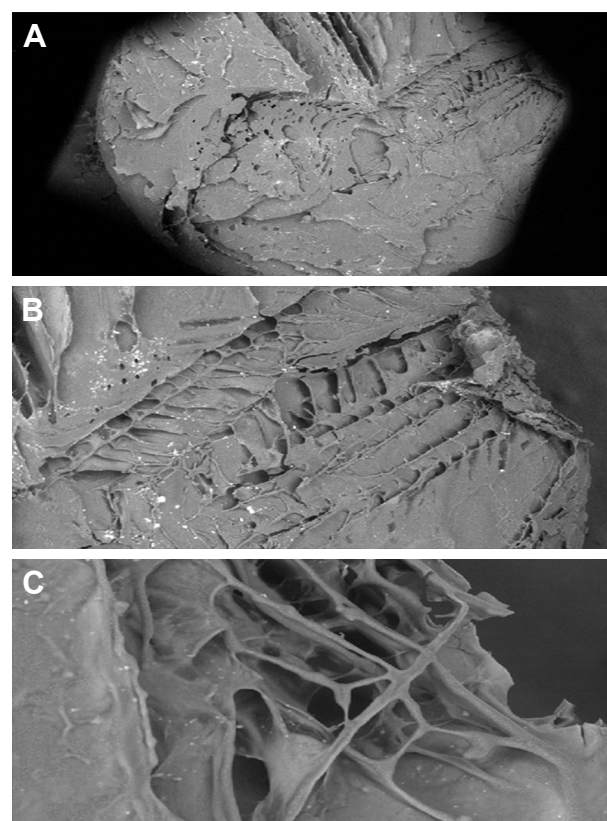
### Imaging by TEM and SEM

The OIG formula was visualized using TEM to study its morphologic characteristics. The nanovesicular surfaces were relatively smooth and spherical. The nanovesicles were well scattered without almost any aggregations, and their size was in the range of 100 nm, as demonstrated in Figure 4. This diameter was in line with the previously measured size using the Zetasizer. This could be adequate for enhancing the drug corneal permeation either through intercellular or intracellular pathways.<sup>83</sup> On the other hand, the lyophilized formula L3 containing 5% trehalose as a cryoprotectant was imaged using SEM. It can be observed from Figure 5 that the lyophilized matrix was highly porous and this could allow the rapid reconstitution within the limited tear fluid after application into the eye.<sup>84</sup>



**Figure 4** Transmission electron micrographs of the OIG formula at different magnifications.

**Abbreviation:** OIG, optimized in situ gel.



**Figure 5** Scanning electron micrographs of the selected lyophilized formula (L3) at magnifications of 60× (A), 140× (B) and 800× (C).

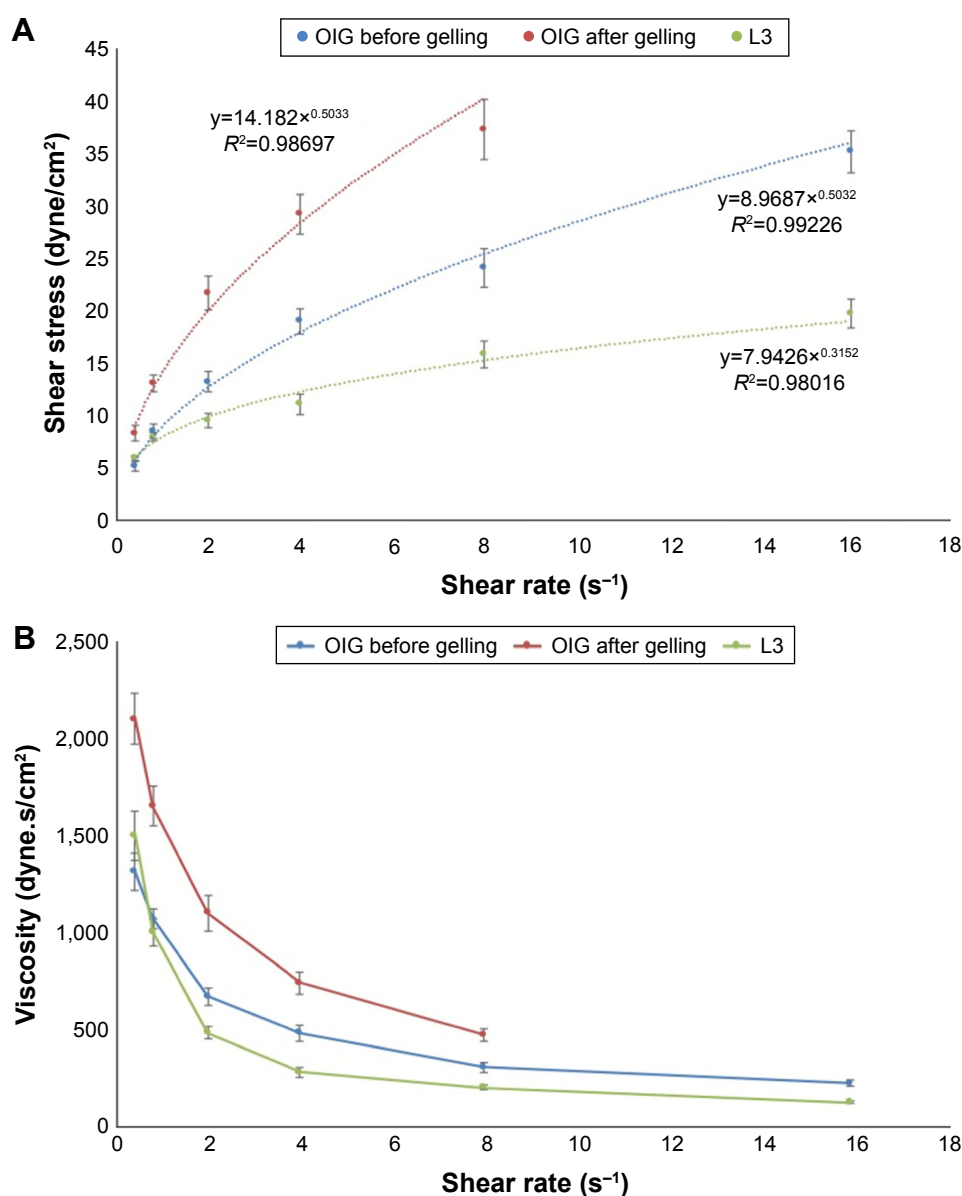
## Rheological characterization

The rheological characteristics of the OIG and the selected lyophilized L3 formulations were investigated to predict their *in vivo* behavior. It was found that the flow index values were lower than unity in all tested samples, indicating the pseudoplastic behavior of the formulated nanodispersions, as demonstrated in Figure 6A. This result was confirmed by studying the direct relation between the rate of shear and viscosity, as illustrated in Figure 6B. This could be useful, especially in the OIG formula, by keeping the dispersion at a relatively high viscosity during storage and, thus, minimizing the possibility of nanovesicular sedimentation and aggregation.<sup>85</sup> Moreover, this viscosity can be reduced by

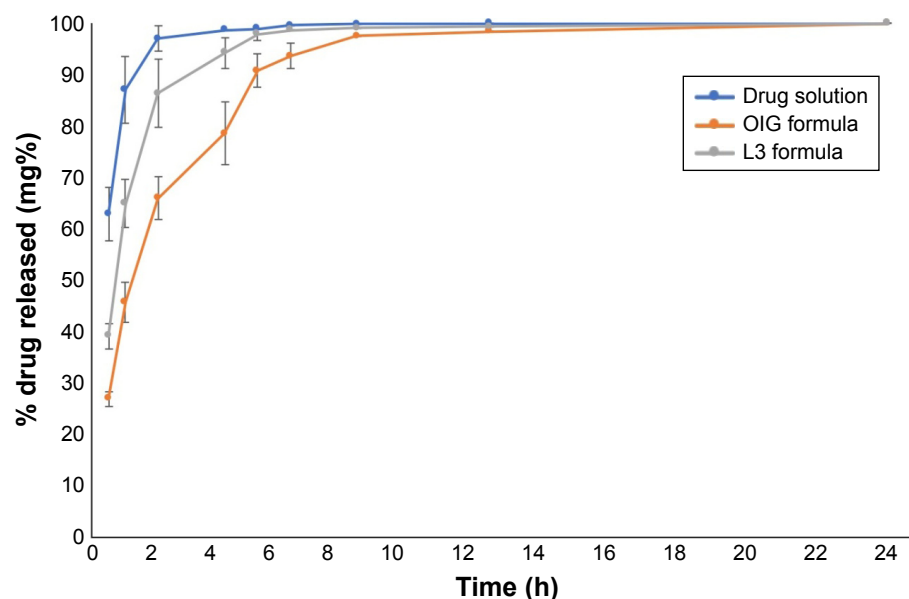
shaking before use to enable dripping of the required dose. After application into the eye, the viscosity is supposed to rise again due to the *in situ* gelling which could be noticed by comparing the viscosity of the OIG formula before and after gelling at each rate of shear. Furthermore, it could be concluded that the viscosity of the reconstituted L3 formula was lower than that of the OIG formula. This might be due to the presence of more PF127 in the OIG formula, which could lead to its higher viscosity.<sup>86</sup>

## In vitro release

The drug release profiles from the OIG and L3 formulations were investigated and compared to the drug diffusion from



**Figure 6** Rheological characteristics of the optimized formulations, including the changes in shear stress (**A**) or viscosity (**B**) with increasing rate of shear. **Abbreviations:** OIG, optimized *in situ* gel; L3, selected lyophilized formula.



**Figure 7** In vitro drug release from the optimized formulations, compared to the drug solution.

**Abbreviations:** OIG, optimized in situ gel; L3, selected lyophilized formula.

its aqueous solution, as shown in Figure 7. It can be observed from the displayed data that the drug diffused from the drug solution with a rate faster than the investigated formulations. This could be statistically confirmed by calculating the similarity factor ( $f_2$ ), which was 10 and 23 upon comparing the drug solution profile to the formulas OIG and L3, respectively. This could be because of the lipophilic nature of the nanovesicles due to the presence of GTP which limited the water diffusion into the vesicles and, subsequently, slowed the drug release.<sup>87</sup> Moreover, the drug release from the OIG formula was significantly slower than that from L3 with a similarity factor 33. The drug release kinetics were studied and found to be best fitted to Higuchi diffusion equation with  $R^2 > 0.9$ , and higher than its respective values, representing the zero and/or the first release kinetics. The release half-life was statistically higher in the case of the OIG formula (1.66 h) when compared to the L3 formula (1.48 h), with  $p < 0.05$ . This could be correlated to the higher viscosity measured in the case of the OIG formula, which might slow down the drug release according to Fick's law of diffusion.<sup>88</sup>

## Ex vivo permeation

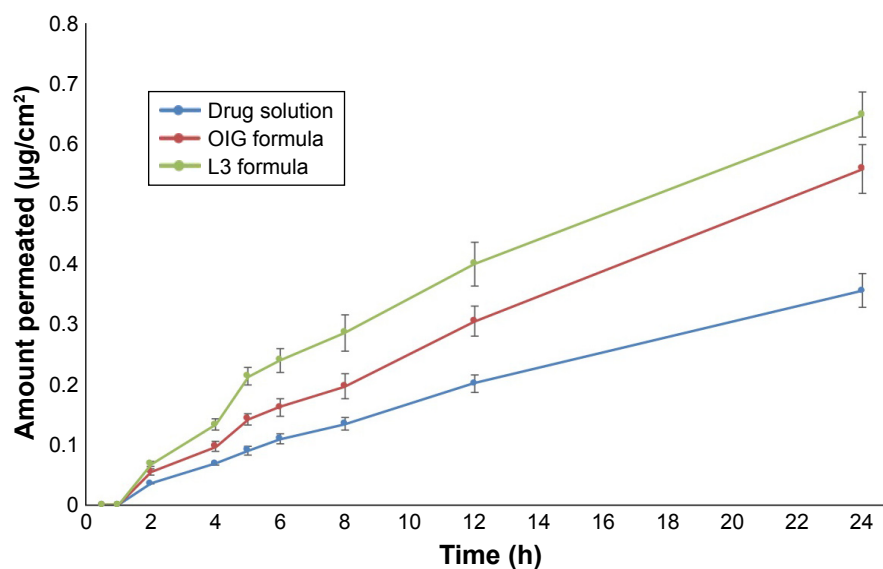
The drug permeation from the drug solution, OIG, and L3 formulations was measured at different time intervals as demonstrated in Figure 8. It can be observed that each of the investigated formulations had a flux significantly higher than the drug solution, with higher diffusion, partition and permeability coefficients. These results were in opposition to the high in vitro drug diffusion rate from the drug solution.

From this, it could be concluded that the in vitro drug release/diffusion was not an ideal predictive tool for the ex vivo and in vivo permeation behavior. On the other hand, the high permeation from the optimized formulations could be explained by their nanosize in addition to the presence of PF127 acting as a permeation enhancer through the cornea.<sup>89</sup> Furthermore, the selected lyophilized formula (L3) showed a higher drug permeation rate and extent than the OIG formulation. The low ex vivo drug permeation rate and extent from the OIG formula could be an expected consequence of its previously observed slow in vitro release and its high viscosity which might minimize the drug release and permeation.

## In vivo investigations

### Histopathologic evaluation

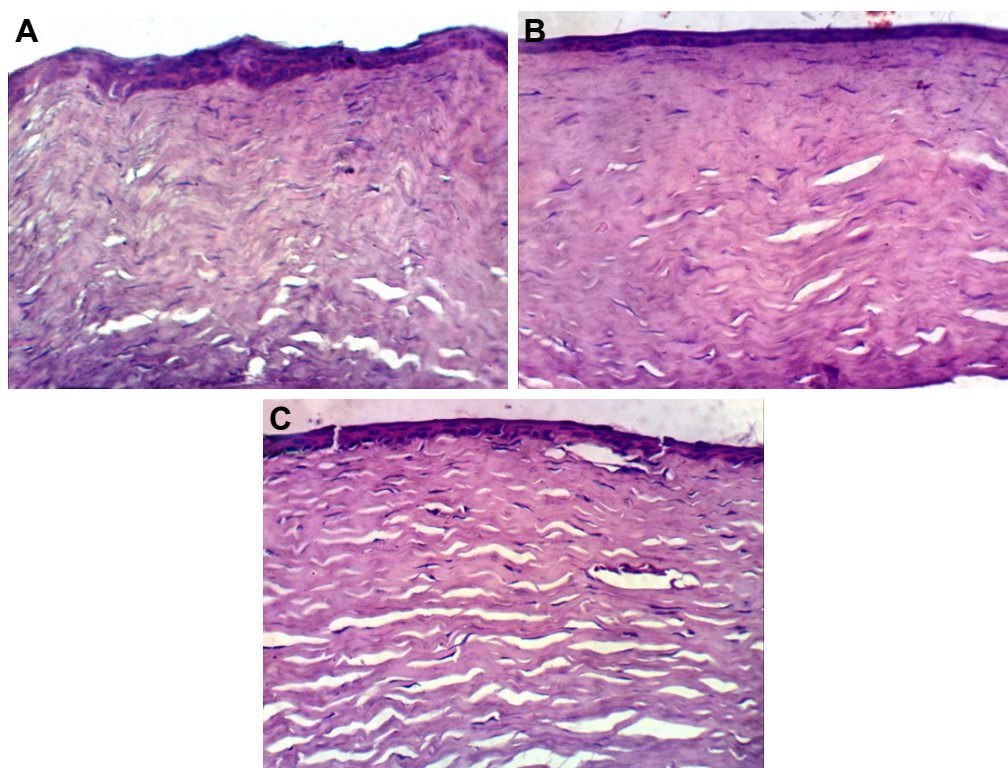
The corneal tissues were imaged after 1 week of exposure to the optimized formulations and normal saline to investigate the safety and biocompatibility of the employed excipients. The corneal images captured by light microscope are demonstrated in Figure 9. The corneal tissue treated by normal saline solution was considered as a negative control and is demonstrated in Figure 9A with normal stratified epithelium and stromal layer. Moreover, Figure 9B and C reveals the absence of any alteration or inflammation signs within the corneal structure. This could be confirmed by the previous results observed by Al Khateb et al on investigating the safety of 20% PF127 in situ gel after ocular instillation.<sup>90</sup> Consequently, our percentages of PF127 (either 6.53% in the L3 formula or 10% in the OIG) were  $< 20\%$  and consequently had no negative effect



Parameters	$T_L$ (h)	$J$ ( $\mu\text{g/h}$ )	$P_2$ ( $\text{h}^{-1}$ )	$P_1$ (cm) $\times 10^{-5}$	$K_p$ (cm/h) $\times 10^{-5}$
Drug solution	0.73	0.02	0.23	4.65	1.07
OIG formula	0.75	0.03	0.22	7.35	1.63
L3 formula	0.90	0.05	0.19	13.3	2.46

**Figure 8** Ex vivo drug permeation from the optimized formulations, compared to the drug solution.

**Abbreviations:** OIG, optimized in situ gel;  $P_2$ , the diffusion;  $J$ , flux;  $P_1$ , partition;  $K_p$ , permeability;  $T_L$ , the lag time; L3, selected lyophilized formula.



**Figure 9** Histopathologic evaluation of the rabbit corneas after instillation of normal saline solution (A), OIG (B) and L3 (C) formulations.

**Abbreviations:** OIG, optimized in situ gel; L3, selected lyophilized formula.

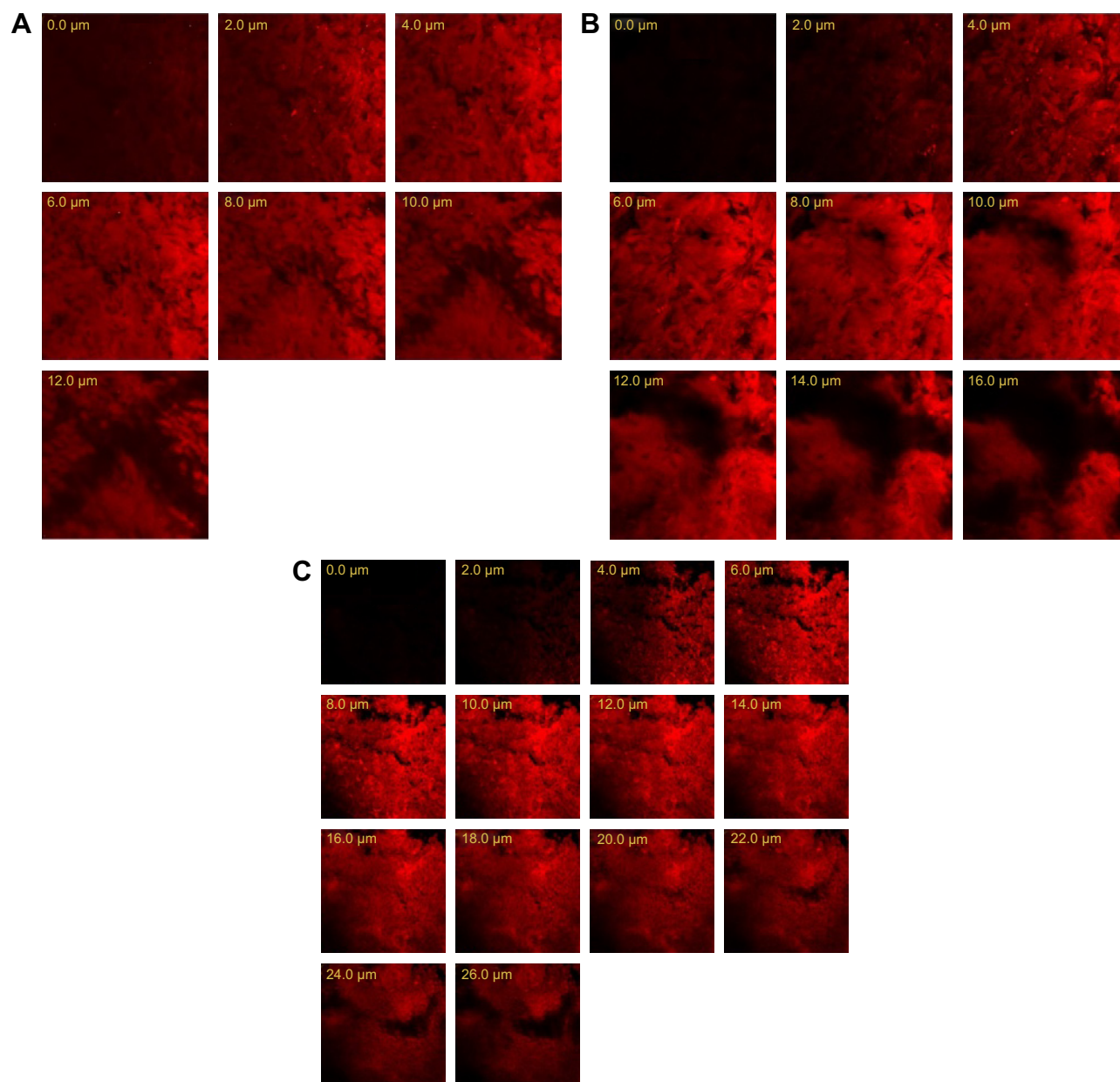


on the corneal tissues. Furthermore, the other components used (GTP, GG and trehalose) were previously found to be biocompatible and suitable for the ocular application.<sup>91</sup>

### Confocal laser scanning microscopy

CLSM was utilized for imaging the corneal layers and evaluating the abilities of formulations to enhance the drug permeation without any mechanical slicing of the investigated tissues. RhB was used as an indicator for its fluorescent visualization by CLSM. It can be noticed from Figure 10A

that the RhB solution was detected at a depth of 12  $\mu\text{m}$ , while the optimized formulations (OIG and L3) enhanced the deep penetration of RhB down to 16 and 26  $\mu\text{m}$ , respectively, as demonstrated in Figure 10B and C. This was in agreement with the ex vivo permeation results with the same order of permeation enhancement (L3 > OIG > aqueous solution). The obtained results are comparable to the previously recorded RhB permeation (18  $\mu\text{m}$ ) loaded in Tri/Tetra-block copolymeric nanocarriers in a study conducted by Salama and Shamma.<sup>57</sup>



**Figure 10** Confocal laser scanning micrographs of the rabbit corneas after instillation of RhB loaded in an aqueous solution (A), OIG (B) and L3 (C) formulations. **Abbreviations:** OIG, optimized in situ gel; RhB, Rhodamine B; L3, selected lyophilized formula.

## Conclusion

In this study, nanostructured in situ gels as well as inserts were fabricated as novel systems for the ocular delivery of tenoxicam. The nanovesicles were successfully prepared using simple modified thin film hydration technique and designed based on Box–Behnken model. The optimized insert formulation showed superiority in the drug diffusion, ex vivo and in vivo permeation, when compared to the OIG formulation. Consequently, the prepared lyophilized nanostructured insert could be considered as a promising ocular delivery carrier with high biocompatibility and efficiency.

## Acknowledgment

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## Disclosure

The authors report no conflicts of interest in this work.

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