Localized neuropathic pain: an expert consensus on local treatments

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Introduction

Neuropathic pain (NP), defined by the International Association for the Study of Pain (IASP) as “pain arising as a direct consequence of a lesion or disease affecting the somatosensory system,”1,2 originates after injury to the central and/or peripheral nervous systems. The mechanisms involved in NP are complex and involve both peripheral and central pathophysiological phenomena, cross talk between A and C fibers and sensitization after injury, formation of ectopic neuronal pacemakers with abnormal or dysfunctional sodium channels, expression of novel ion channels or receptors, activation of several signaling pathways that mediate the induction and maintenance of NP through transcriptional and posttranslational mechanisms.3 NP negatively impacts the quality of life and aggravates functional decline.4,5 It is characterized by clinical manifestations that are present across different NP conditions, and its prevalence is nearly 10% in the general population.6

Localization of NP may be restricted to a small and easily identified area (eg, distal part of a dermatoma in postherpetic neuralgia [PHN]) or a limited area of the body, such as the spine or a single joint in regional pain syndromes. The pain is often localized to a small and easily identifiable area, such as a dermatome or a joint, which may help determine the specific etiology or site of the pain. Precautions for the use of plasters must be followed cautiously.

Conclusion: Although there is a real need for more randomized controlled trials for both drugs, publications clearly demonstrate excellent risk/benefit ratios, safety, tolerance and continued efficacy throughout long-term treatment. A major advantage of both plasters is that they have proven efficacy and may reduce the risk of adverse events such as cognitive impairment, confusion, somnolence, dizziness and constipation that are often associated with systemic neuropathic pain treatment and reduce the quality of life. Topical modalities also may be used in combination with other drugs and analgesics with limited drug–drug interactions.

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knee after prosthetic joint replacement) or may affect a wide region (hemibody in post-stroke central pain). The localized character of NP is more difficult to define when pain is distributed distally or progresses with the natural history of the causal disease, as in diabetic polyneuropathy (DPN) or chemotherapy-induced neuropathy. Localized NP (LNP) is the most common presentation of NP, affecting about 60% of NP patients. Pharmacotherapy is the recommended first-line treatment, and international guidelines are regularly published. Although topical agents such as lidocaine or capsaicin are widely used for peripheral NP, the literature does not clearly define the “localized” NP for which they are recommended, and the clinical characteristics of patients for whom a topical approach should be recommended are poorly described. A core definition of LNP was proposed by an expert group to help clinicians better characterize LNP, and pain localization is one of the hallmarks when determining the choice of first-line treatment in NP.

Methodology
A multidisciplinary expert panel of five pain specialists (pharmacologist, clinical researcher, two pain physicians, and a neurologist) in this article reports an expert consensus on topical approaches that may be recommended to alleviate LNP and on their advantages in clinical practice. The literature search was performed using Medline from 2010 to December 2016, and all studies involving LNP physiology and treatments were examined. Keywords were “neuropathic pain, localized pain, lidocaine, plaster, capsaicin, topical drug, pharmacology, pain relief”; 200 articles (80% reviews) were identified and read, and the results are now presented.

Prevalence of LNP
Estimation of NP prevalence in the general population ranges from 3% to 6.9%, 8% to 9%, 9%, 9%, 3% to 9.8%. LNP represents the bulk of NP and accounts for 60% of NP conditions.

Etiology of LNP
LNP may have different origins. It may be related to an infectious disease, as in PHN or AIDS, or be metabolic as in painful DPN, toxic (alcoholic neuropathy) or related to vitamin deficiency (neuropathy due to B12 deficit). A significant proportion of LNP is postsurgical: post-thoracotomy (29%), post-mastectomy (31%), cesarean scars (21.4%), knee arthroscopy or total knee arthroplasty (11.4%), saphenectomy (15.7%), inguinal hernia repair (11.4%), cholecystectomy (6.1%), etc. Nerve entrapment may also lead to LNP in the long term (Morton’s neuroma, tarsal and carpal tunnel syndrome, etc). Other pathologies affecting nerve radiculae may lead to LNP: radiculalgia sequelae, low back pain, cervicobrachial neuralgia or cervicobrachial syndrome. Complex regional pain syndrome type I is another LNP etiology. Finally, LNP may also be of iatrogenic origin: cancer chemotherapy causes pain with neuropathic characteristics, in 25%–50% of patients treated with vinca alkaloids (vincristine), taxanes, platins, bortezomib or epothilone.

Pathophysiology of NP
The mechanisms underlying NP are not fully known but involve plastic changes in affenter nociceptive fibers from peripheral nerves and central spinal sensory relays, mainly leading to neuronal hyperexcitability. NP is associated with central sensitization and hyperexcitation of spinal nociceptive neurons inducing increase in spontaneous discharge. In addition, concomitant impairment of modulatory descending inhibitory controls has also been suggested, due to their interactions with affenter fibers and interneurons and projecting neurons in the dorsal horn of the spinal cord with an imbalance in descending excitations and inhibitions. A loss of descending inhibitory noradrenaline controls together with a gain of 5-HT3 receptor-mediated facilitations after neuropathy has also been suggested. The efficacy of descending inhibitory controls has been shown to be significantly reduced with the course of aging, possibly contributing to a higher frequency of NP in the elderly. LNP is also characterized by peripheral hyperexcitability, with overexpression of sodium and TRPV family 1 channels located on nerve cell membranes. The analgesic action of topical drugs used for NP treatment specifically concerns such channels, which are widely distributed on the surface of superficial/epidermal nociceptive fibers.
Community physicians (general practitioners [GPs]) are the first health care professionals that chronic pain patients visit, and pain represents about 40% of primary care consultations in Western countries. Referral to a pain clinic or pain specialist is usually made when GPs have tried most treatments at their disposal for a painful condition which becomes chronic. However, only 2% of chronic pain patients are followed up by a pain specialist. GPs thus need easy-to-use specific tools to avoid missing NP diagnosis and to be able to prescribe appropriate treatment. Several tools have been developed to screen and diagnose NP (Leeds Assessment of Neuropathic Symptoms and Signs,10 Douleur Neuropathique 4 questions,11 painDETECT,12 but their use seems not to be frequent in general practice.

An expert consensus conference, based on a literature survey and analysis identifying articles most relevant to LNP, led to a definition of LNP as “a type of NP characterized by consistent and circumscribed area(s) of maximum pain associated with negative or positive sensory signs and/or spontaneous symptoms characteristic of NP.”

For general practice, a screening tool based on IASP criteria was recently developed to identify LNP in patients with chronic pain.13 Experts developed an algorithm using visualized examples to improve early identification of NP and then LNP using simple instruments in nonspecialized clinical practice, in a reliable, easily understood, rapid and hands-on way. Three key questions in the algorithm initially suggest a diagnosis of NP, based on the IASP criteria for NP: 1) check if patient history and history of pain suggest a nerve lesion or disease; 2) check the neuroanatomical plausibility of pain symptom distribution; and 3) proceed with sensory examination to screen for sensory deficits. This is associated with a simple procedure for clinical examination. A final question is pain localization and area, ie, the localized character of NP.

Topical pharmacological treatment of LNP

Despite continuous improvement in understanding NP pathophysiology, treatment remains difficult. Poor patient compliance is often caused by drug-related adverse events, interactions with concomitant medication and long-term therapy.

Systemic pharmacological treatment of NP has limited efficacy, as only 40% patients report significant relief. International guidelines are regularly published10-13 and recommend antidepressants, antiepileptics or opioids, although these drugs have significant side effects that often limit long-term use.14

Transdermal analgesics with systemic action are commonly used in many situations of pain: acute pain (nonsteroidal anti-inflammatory drugs [NSAIDs], fentanyl) or chronic nociceptive pain (fentanyl, buprenorphine). For a strict topical treatment, dermal drug delivery is a noninvasive means acting directly on the skin.

Lidocaine 5% and capsaicin 8% plasters have been used for a few years. Their rationale is that pain is transmitted to the central nervous system by afferent nociceptive fibers, and transmission can be interrupted by local application of blocking drugs with no (or extremely limited) systemic effect.

Topical 5% lidocaine-mediated plaster is recommended as first line for NP treatment by recent guidelines based on randomized controlled trials (RCTs).14,15 In patients suffering from PHN and HIV neuropathy, capsaicin 8% cutaneous patch has been rated as level A efficacy by the European Federation of Neurological Societies.16

5% Lidocaine-mediated plaster

Lidocaine ensures its anesthetic action by irreversibly inhibiting Na+ channels. Topical 5% lidocaine-mediated plaster marketed since 1999 in the USA by Endo Pharmaceuticals (Malvers, PA, USA) as Lidoderm® and since 2007 in the UK by Grünenthal (Aachen, Germany) as Versatis® is indicated for adults in the symptomatic treatment of postherpetic neuralgia.

Mechanism of action and pharmacodynamics

Topical 5% lidocaine-mediated plaster acts in two modes: a pharmacological action through lidocaine diffusion and a protective action of the hydrogel plaster itself. Plasters do provide a mechanical barrier against the stimuli causing allodynia or hyperalgesia in patients with NP (rubbing of clothing or inadvertent touching).

Lidocaine acts via nonselective blockade by bonding in the pore of Na+ channels on sensory afferents of small damaged or dysfunctional pain fibers at the site of application.16-18 This blockade reduces ectopic discharge and signal propagation. Penetration into the intact skin after transdermal diffusion does not produce a complete sensory block of Na+ channels on large myelinated Aβ sensory fibers.19 Na+ channel configuration depends on voltage conditions and will influence the binding rate and the affinity of lidocaine.19,20

Compared to placebo, lidocaine plaster reduces allodynia and neuropathic symptoms in patients with peripheral painful neuropathy.21-23 However, in healthy human volunteers, the lidocaine-mediated plaster induces variable effects on different sensory thresholds, such as for cold, warmth, touch, hot pain and mechanical pain, and on secondary hyperalgesic
areas following intradermal capsaicin-induced pain (flare, allodynia and hyperalgesia). Reduced allodynia area (50%) is an important factor that improves the quality of life.

Pharmacokinetics at glance

The systemically absorbed dose of lidocaine depends on both the area of skin covered by the medicated plaster and the duration of application. About 3% of the maximum recommended dose of lidocaine (three plasters applied simultaneously for 12 hours) is systemically absorbed (for single or multiple repeated applications), while at least 95% (665 mg) remains in the applied medicated plaster. Mean peak lidocaine blood concentration is between 0.13 and 0.23 \( \mu g/mL \) (corresponding of one-tenth of the concentration required to treat cardiac arrhythmia). An important point is that topical lidocaine is associated with low systemic exposure and minimal risk of system toxicity. Lidocaine binds predominantly to alpha-1-acid glycoprotein and presumably passes through passive diffusion across the placental and blood–brain barriers. Local skin metabolism is not known, but systemic lidocaine is metabolized in the liver to nonactive metabolites that are excreted by the kidneys. After medicated plaster application in healthy volunteers, the elimination half-life of lidocaine is 7.6 hours and is delayed in case of cardiac, renal or hepatic insufficiency, but a dosage adjustment is not required.

Tolerability, safety and toxicity

A good tolerability of topical 5% lidocaine-medicated plaster is generally reported. Its limited systemic diffusion reduces the risk of adverse events and potential interaction with concomitant medications. Pathologies such as hepatic or renal deficiency necessitate dose adjustment to avoid the risk of toxic blood lidocaine concentrations. Such precautions are not necessary in patients with mild or moderate renal or hepatic impairment. Potential risk of additive systemic effects must be taken into account in patients treated with another local anesthetics or Class I antiarrhythmic drugs (eg, mexiletine and tocainide).

Mechanisms of action of capsaicin and lidocaine plasters are quite different. While lidocaine acts as an antagonist by blocking Na\(^+\) channel action potentials with a discontinuous mode of action, capsaicin triggers a cascade of events after an agonist effect on TRPV1 channel, with a continuous effect that may last 3 months. Further research on the long-term effect of both patches on several modalities (pressure, cold,
warm, etc.) would be interesting to propose predictive factors of topical treatment efficacy.

**Pharmacokinetics at glance**

Capsaicin is the primary capsaicinoid causing the spicy flavor of chili pepper fruit. It is a lipophilic water-insoluble compound that penetrates the epidermis (keratinocyte layer), with low transdermal penetration. Capsaicin is not soluble in plasma and is not absorbed into the microvasculature. Each 280 cm² patch contains 179 mg of capsaicin (640 µg capsaicin/cm²). After 60-minute application of the 8% capsaicin patch, plasma concentrations are very low ($C_{\text{max}} = 1.38 \text{ ng/mL}; T_{\text{max}} = 1.46 \text{ hours}$). Capsaicin absorption is affected by duration, site and total area of application. Biodisponibility is much lower when the treatment is applied on the feet in HIV-associated neuropathy or painful DPN than in treatment on the trunk in patients with PHN. After 60 or 90 minutes of 8% capsaicin patch application, the apparent volume of distribution is very high (173,000 L). Drug concentration in breast milk after topical application is not known. Capsaicin is metabolized by various cytochrome P450 enzymes in human liver, and it is unlikely that its metabolites act on transient receptor potential vanilloid 1 receptor (TRPV1). In healthy volunteers, elimination half-life of capsaicin after dermal application is 1.64 hours, and drug plasma levels decline very rapidly after patch removal. Capsaicin is mainly excreted as metabolites by the kidneys and in a small untransformed proportion in feces and urine.

**Tolerability, safety and toxicity**

The systemic safety profile of topical capsaicin is optimal, thanks to its low systemic exposure and its rapid elimination half-life after dermal application. Dose adjustment is not required in patients with renal or hepatic impairment. Due to its low plasma concentrations, it is unlikely that capsaicin alters the systemic metabolism of concomitant medications by inhibiting or inducing CYP enzymes. No additive effects of topical 8% capsaicin patch on oral medication were found in patients with PHN. The principal adverse effects are transitory localized skin reactions such as burning, itching and erythema, caused by the release of substance P and subsequent stimulation of afferent C-fibers.

It is clear that special care is needed in using topical analgesic agents in elderly and pediatric populations, due to lower ability to metabolize the drugs rapidly and to thinner skin, liable to increase absorption.

Very little information is available concerning the use of lidocaine and capsaicin patches in pregnant women. Although systemic effects are presumably minimal, women have to be carefully followed up during pregnancy and after childbirth.

Concerning high-concentration topical capsaicin (8%), a recent Cochrane review showed more pain relief than control treatment using a much lower concentration of capsaicin, but the quality of the evidence was moderate or very low. Low concentration of capsaicin (<1%) applied several times daily over several weeks has no meaningful effect beyond that found in placebo cream.

**Other topical agents**

Some other topical treatments for NP have been developed but have not yet been marketed or recommended. N-methyl-D-aspartate (NMDA) receptor antagonists are often used in NP management. Ketamine is a noncompetitive NMDA receptor antagonist that is implicated in central sensitization by lowering the threshold of nerve transduction and reducing central sensitization. It is commonly used at sub-anesthetic doses as an analgesic, by intravenous route, leading to systemic and psychodysleptic adverse events. Only one randomized, double-blind, placebo-controlled study has been reported, showing the analgesic effect of transdermal ketamine patch (25 mg/24 hours) in postsurgical pain following minor procedures. A number of other human randomized controlled studies showed the effectiveness of ketamine as a cream or a gel containing up to 20% ketamine alone or in combination with other analgesics (such as amitriptyline, baclofen, clonidine or pregabalin). A recent review showed the effectiveness of topical racemic ketamine in the treatment of several chronic and NP syndromes, and especially in LNP. Despite these findings, topical ketamine is not currently approved for the treatment of NP, and level I RCTs are needed.

Dextromethorphan is another noncompetitive NMDA receptor antagonist, marketed by Home Aide Diagnostics, Inc. (Deerfield Beach, FL, USA) in September 2015 as an external patch (Permavan, NDC#: 69379-0010-15) for pain relief in a large range of pain (muscle aches and pains as well as arthritis, backache, strain and sprains). This patch, containing trolamine 10%, dextromethorphan 4% and lidocaine 4%, has not been found to be safe and effective, and it has thus not been approved by the FDA.

The Na⁺ channel blocking local anesthetic bupivacaine has been approved by the FDA as a patch (Eladur™) for nerve block and epidural, intrathecal or regional anesthesia. This long-acting transdermal patch provides a continuous delivery of bupivacaine to the covered area for a period of up...
to 3 days after a single application. Its delivery is comparable to a 12-hour application with topical 5% lidocaine-mediated plaster. Bupivacaine patch also showed efficacy in PHN in a randomized, double-blind, placebo-controlled study.73,74

Diclofenac and ketoprofen are NSAIDs available as patches or creams and have proved effective in some chronic pain conditions, with fewer gastrointestinal adverse effects than with the oral formulation.75–78 Although NSAIDs are widely prescribed for NP,79 no randomized placebo-controlled trials have been conducted to assess the efficacy of topical NSAIDs on LNP, and the mechanisms of action of these drugs preclude their use in this indication.

Opioids such as µ-agonist fentanyl and the partial µ-agonist buprenorphine have been approved for local application, given their high lipid solubility and low molecular weight, and have shown their effectiveness in chronic cancer and non-cancer pain. Their use in LNP has not yet been assessed.

Antiepileptics and antidepressants are recommended as first-line oral treatment of NP. Of the various recommended drugs (rivastigmine, rotigotine, amitriptyline, selegiline), only rotigotine (Neupro®, approved in 2007 in the USA and Europe) and amitriptyline were assessed as transdermal to treat pain conditions. In a randomized controlled exploratory pilot study, rotigotine transdermal patch (from 4 mg/24 hours up to 16 mg/24 hours) improved chronic pain in Parkinson’s disease.80 A randomized, double-blind, placebo-controlled study showed that amitriptyline transdermal patch (50 and 100 mmol/L) was shown to be effective against pain only in healthy volunteers.81 No antiepileptic patches were tested, and no antidepressant or antiepileptic patches are currently available for LNP treatment.

Other drugs may also have a potential analgesic effect. Topical use of beta agonists may decrease substance P-mediated pain or irritation in animals.82 Finally, etifoxine, a non-benzodiazepine anxiolytic, potentiates GABAA receptor function and accounts for the long-term reduction in pain symptoms in various NP models. Its topical application in animal on lumbar spinal cord segment confirmed its antinociceptive effect.83

In summary, 5% lidocaine and 8% capsaicin are the only topical plasters specific to LNP available today. Their use in LNP of various etiologies has been the subject of numerous RCTs, reviews, meta-analyses, observational studies and case reports, and their effectiveness has been widely demonstrated. An international advisory board of pain specialists recently agreed that, irrespective of age and intact, not broken, atrophic or infected skin, topical analgesic should be used as first-line treatment, according to the patients’ preference.84 Although reviews63,86 stress the need for additional controlled clinical trials for both drugs, there is agreement from large clinical practice that these topical plasters are efficacious, with low incidence of adverse events.

**Pharmacological advantages of local versus systemic treatment**

The pharmacological advantages of local over systemic treatment are diverse. With local routes, the therapeutic effect extends only to the locally affected area. While the oral route is the most frequently used for a pain medication and one of the most convenient, it puts the patient at risk of adverse effects.76,86 This is particularly true for vulnerable patients, and especially older patients with comorbidities and polypharmacy.35,87,88 Older patients with or without chronic pain often take drugs (eg, gabapentinoids, opioid agonists, selective norepinephrine reuptake inhibitors, tricyclic antidepressants and Na+ channel blockers) causing systemic adverse effects and potential risk of adverse drug–drug interactions.63,89,90 These adverse events induced by pharmacokinetic and pharmacodynamic age-related changes (decreased absorption, impaired distribution due to modified composition of body compartments, diminished hepatic metabolism and renal clearance, medication-related adverse effects) increase the risk of gastrointestinal disorders, confusion, sedation and memory loss commonly seen with medications for pain management and lead to poor compliance in frail geriatric populations.91 Recent NP treatment guidelines highlight 5% lidocaine-mediated plaster as a possible first-line treatment for frail and elderly patients.13

A recent review of various studies concluded that 5% lidocaine-mediated plaster and capsaicin 8% patch were effective in elderly patients with polypharmacy.69

One kind of serious adverse event associated with drugs with central effects, and especially antidepressants and antiepileptics used for NP treatment, is cognitive impairment. Pain itself may induce cognitive impairment, partly explained by pain-induced modulation of brain areas mediating attention, cognition, mood factors or fatigue caused by sleep disorders.92,93 Drugs also directly impact cognitive impairment in pain patients. Pickering et al35 demonstrated negative impacts on various domains of cognition in PHN patients treated with systemic drugs. The cognitive deficits widely observed in NP patients taking antidepressants are not found with 5% lidocaine-mediated plaster. In this vulnerable population, topical pain management is an interesting alternative to alleviate pain and maintain cognitive integrity.35
Another advantage of topical administration is the possibility of combination with other pharmacologic agents acting systemically, so as to achieve an additive or synergistic effect without systemic drug interaction or additional side effects.\(^9\)

In addition to its efficacy and safety, local treatment with lidocaine is easy to administer and shows good patient compliance. The possibility of coupling up to three plasters or trimming the plaster to fit different body sites allows good adaptation to the particular pain site. A clinical trial, in which results analysis is ongoing,\(^9\) clearly shows excellent patient compliance and efficacy in pain following knee arthroplasty.

While the interest of local treatment has been demonstrated in adults and elderly persons, efficacy of pain relief by lidocaine and capsaicin plasters has not been established in large studies in children. Transdermal lidocaine plasters were assessed in children in four studies.\(^96-99\) In a review of NP management in children with cancer, a step-by-step approach recommends adding a 5% lidocaine-mediated plaster to the treatment regimen, cutting the plaster to fit if pain is localized.\(^99\) However, caution is needed because of the immaturity of some neural systems and of pain pathways undergoing a series of transitional functional states before reaching maturity.\(^100\) Caution is also needed because of the theoretic risk of systemic absorption of lidocaine and its severe toxic effects in case of accidental mucosal absorption (by rubbing the patch on the eye or sucking on the mixture). The use of capsaicin, recommended in adults, is also restricted in children because of pain during application, a greater risk of absorption in pediatrics and the absence of specific pediatric studies. These products are thus not currently recommended in pediatric populations, due to lack of data on safety and efficacy.\(^101,102\) They may, however, be an attractive option, given the general reluctance to use systemic analgesics in child pain management.\(^103\)

**Expert consensus**

For the past 5–10 years, successive international guidelines have included topical 5% lidocaine and 8% capsaicin for LNP treatment. These drugs have received regulatory approval in patients with LNP and are now registered in more than 40 countries. There are, however, differences in the registered indication in several countries.

Some guidelines give only weak recommendations for their use as first-line treatment in LNP, and regulatory authorities have sometimes made partial recommendations concerning etiology: in France, for example, lidocaine plasters are recommended (and fully covered by the national health insurance scheme) only in PHN and not in all LNP etiologies.

In light of the large body of literature published on 5% lidocaine and 8% capsaicin, the expert panel considers these plasters as first-line drugs for LNP treatment, especially in older patients and patients with comorbidities and polypharmacy. Regulatory indications in LNP should cover the whole range of etiologies and not be restricted to specific etiologies or pain sites.

Since published controlled studies are heterogeneous, there is a real need for more studies for both drugs.\(^95,104\) However, available publications already clearly suggest that these drugs show an excellent risk/benefit ratio and that they are safe and well tolerated, and show continuous efficacy in long-term treatment. Lidocaine also significantly reduces allodynia and can be applied easily by the patient.

Although sex differences in pain perception are well acknowledged,\(^105\) sex-related effectiveness of medical plasters is still missing in the literature.

Finally, a major advantage of both plasters is that they reduce the risk of adverse events, such as cognitive impairment, confusion, somnolence, dizziness or constipation, which often impair the quality of life during NP treatment. They may also be used in combination with other drugs and analgesics with no significant drug–drug interactions.

**Author contributions**

This article was written by all authors. Pr Gisèle Pickering, Dr Elodie Martin, Dr Florence Tiberghien, Dr Claire Delorme and Dr Gérard Mick provided revision and final approval of the manuscript. All authors contributed toward data analysis, drafting and critically revising the paper and agree to be accountable for all aspects of the work.

**Disclosure**

The authors report no conflicts of interest in this work.

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