

# pH-sensitive and folic acid-targeted MPEG-PHIS/FA-PEG-VE mixed micelles for the delivery of PTX-VE and their antitumor activity

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**Abstract:** The aim of this study was to simultaneously introduce pH sensitivity and folic acid (FA) targeting into a micelle system to achieve quick drug release and to enhance its accumulation in tumor cells. Paclitaxel-(+)- $\alpha$ -tocopherol (PTX-VE)-loaded mixed micelles (PHIS/FA/PM) fabricated by poly(ethylene glycol) methyl ether-poly(histidine) (MPEG-PHIS) and folic acid-poly(ethylene glycol)-(+)- $\alpha$ -tocopherol (FA-PEG-VE) were characterized by dynamic light scattering and transmission electron microscopy (TEM). The mixed micelles had a spherical morphology with an average diameter of  $137.0 \pm 6.70$  nm and a zeta potential of  $-48.7 \pm 4.25$  mV. The drug encapsulation and loading efficiencies were  $91.06\% \pm 2.45\%$  and  $5.28\% \pm 0.30\%$ , respectively. The pH sensitivity was confirmed by changes in particle size, critical micelle concentration, and transmittance as a function of pH. MTT assay showed that PHIS/FA/PM had higher cytotoxicity at pH 6.0 than at pH 7.4, and lower cytotoxicity in the presence of free FA. Confocal laser scanning microscope images demonstrated a time-dependent and FA-inhibited cellular uptake. In vivo imaging confirmed that the mixed micelles targeted accumulation at tumor sites and the tumor inhibition rate was 85.97%. The results proved that the mixed micelle system fabricated by MPEG-PHIS and FA-PEG-VE is a promising approach to improve antitumor efficacy.

**Keywords:** pH sensitive, folic acid targeting, mixed micelles, drug delivery, in vivo antitumor activity

## Introduction

Nowadays, chemotherapy is considered as one of the most effective methods of cancer treatment.<sup>1,2</sup> However, the development of chemotherapy treatment has been severely hindered by high systemic toxicity and low antitumor efficacy. The major reason for systemic toxicity is nonspecific distribution of antitumor agents throughout the body.<sup>3,4</sup> However, multidrug resistance (MDR), either intrinsic or acquired upon repeated chemotherapy cycles, decreases the antitumor efficacy of antitumor agents. This decrease has been attributed to overexpression of superfamily of ATP-binding cassette (ABC) proteins, including P-glycoprotein (P-gp) and multidrug resistance-associated protein (MRP), resulting in increased cellular efflux of antitumor agents.<sup>5,6</sup>

In order to solve these problems, pharmaceutical researchers have put forward a hypothesis that a high dose of drug accumulates at cellular level rather than at systemic level. Therefore, polymeric micelles as a drug-delivery system have drawn more attentions due to their tumor targeting efficiency and responses to tumor microenvironment.<sup>7-9</sup> Solid tumors are often characterized by overexpression of specific antigens or receptors on cell surfaces.<sup>10-12</sup> Therefore, tumor selective ligands have been modified on the surface of micelles to improve antitumor efficacy and reduce toxicity, resulting from



increasing intercellular drug level in tumors.<sup>13–15</sup> Despite the efficient tumor targeting achieved, relatively slow drug release at tumor sites and intracellular internalization barriers have been considered as major problems, especially for MDR tumor cells.<sup>16,17</sup> After micelles internalization into tumor cells by receptor-mediated endocytosis, the micelles become localized inside the endosomes and later would be trafficked into lysosomes.<sup>18</sup> Both these compartments are provided with acidic conditions and multitudinous enzymes. Hence, micelles might eventually be digested by enzymes or transported out of the cells via exocytosis, if they cannot escape from these acidic compartments.<sup>19</sup>

Therefore, it is urgent to find a new micelle-delivery system for intracellular targeting based on triggered release mechanism to achieve maximal therapeutic efficacy.<sup>20,21</sup> It has been reported that the extracellular pH of most of the tumor tissues (pH 6.5–7.2) is lower than that of normal tissues and blood stream (pH 7.4).<sup>22–24</sup> Moreover, intracellular organelles such as endosomes and lysosomes develop more acidic environment (pH 4.5–6.0).<sup>25</sup> Therefore, pH-sensitive micelles have been chosen as the most attractive candidate to deliver antitumor agents to the cytoplasm or the nucleus where they are supposed to function. Among them, poly(histidine) (PHIS) is a promising “proton sponge” polymer,<sup>26–28</sup> because the imidazole ring endows PHIS with an amphoteric nature by protonation–deprotonation,<sup>29,30</sup> which makes micelles escape from endosomes/lysosomes and trigger the accelerated release of encapsulated drugs. However, PHIS is too sensitive to environmental pH that may influence the stability of micelles at normal pH.

In order to tailor the triggering pH of the PHIS-based micelles to a lower pH of the tumor and increase the stability of the micelles at physiological pH, mixed micelles with another amphiphilic polymer had been constructed.<sup>31–33</sup> Hence, the pH-sensitive mixed micelles have been integrated with active targeting function in order to further enhance drug delivery.<sup>34–36</sup> This has been partially proven through the mixed micelles composed of PHIS-PEG and folic acid (FA)-conjugated poly(L-lactic acid) (PLLA)-PEG (FA-PEG-PLLA).<sup>27</sup> The mixed micelles were destabilized at a pH ranging from 6.5 to 7, demonstrating a high cytotoxicity against tumor cells after folate-mediated endocytosis.

In this study, we aimed to prepare a mixed micelle delivery system composed of pH-sensitive polymers and FA-targeted polymers. Paclitaxel-(+)- $\alpha$ -tocopherol (PTX-VE) synthesized in a previous work was chosen as a model drug,<sup>37</sup> which was encapsulated into the mixed micelles fabricated of synthesized poly(ethylene glycol) methyl ether-poly(histidine) (MPEG-PHIS) and folic acid-poly(ethylene glycol)

-(+)- $\alpha$ -tocopherol (FA-PEG-VE). The characteristics of the mixed micelles such as particle size, zeta potential, and pH sensitivity were tested. pH sensitivity and FA targeting of the mixed micelles were tested by in vitro cytotoxicity and cell uptake experiments. Most importantly, in vivo imaging and pharmacodynamic experiments were carried out to test the antitumor efficacy of mixed micelles.

## Materials and methods

### Materials

PTX-VE and sulforhodamine B-(+)- $\alpha$ -tocopherol (SRB-VE) were synthesized in our laboratory (Figure S1).<sup>37,38</sup> FA-PEG-VE was synthesized according to a previous work.<sup>39</sup> The synthetic route and <sup>1</sup>H-NMR characterization of MPEG-PHIS is shown in Figures S2 and S3. PTX was purchased from Hengrui Pharmaceutical Co., Ltd (Jiangsu, China). 1,1'-dioctadecyl-3,3,3',3'-tetramethylindotricarbocyanine iodide (DiR) was obtained from Fanbo Biochemicals (Beijing, China). FA was obtained from Longsheng Chemical Products Co., Ltd (Zhengzhou, China). Sodium carbonate (Na<sub>2</sub>CO<sub>3</sub>) was obtained from Bodi Chemical Industry Co., Ltd (Tianjin, China). Acetone was obtained from Kexin Chemical Industry Co., Ltd (Tianjin, China). RPMI-1640 medium was obtained from Hyclone Co., Ltd and RPMI 1640 medium (free of FA) was obtained from Gibco (Thermo Fisher Scientific, Waltham, MA, USA). Fetal bovine serum was obtained from Sijiqing Co., Ltd (Hangzhou, China). 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) and trypsin were obtained from Sigma-Aldrich (St Louis, MO, USA).

### Preparation of mixed micelles

An organic solvent evaporation method was used to prepare the mixed micelles by blending MPEG-PHIS with FA-PEG-VE at a weight ratio of 40/60 (wt/wt%). First, 0.8 mg MPEG-PHIS was dissolved in 1.6 mL deionized water after sonication for 15 min, and 1.2 mg FA-PEG-VE was dissolved in 2.4 mL aqueous solution containing 0.1% (w/v) Na<sub>2</sub>CO<sub>3</sub>. After that, a chosen amount of PTX-VE in 2 mL acetone was added slowly to the mixed water phase with continuous magnetic stirring at 50°C until the organic solvent had evaporated completely. Finally, the PTX-VE-loaded mixed micelles (PHIS/FA/PM) solution was obtained by passing through a 0.45  $\mu$ m syringe filter to remove aggregates and free drugs.

### Characterization of mixed micelles

#### Determination of encapsulation and loading efficiencies (EE and LE)

The EE and LE of PTX-VE in the mixed micelles were determined by HPLC. The HPLC system was equipped with

a SPD-10AVP ultraviolet light detector (Shimadzu, Kyoto, Japan) and a C18 column (4.6×150 mm, 5 μm; Dikma, Tianjin, China). The column was eluted with methanol/water (97:3, v/v) at a flow rate of 1.0 mL/min. PTX-VE was detected at 227 nm and the sample injection volume was kept constant at 20 μL. Each run was done in triplicate. The EE (%) and LE (%) of the mixed micelles were calculated by the following equations:

$$EE (\%) = \frac{\text{Weight of drug encapsulated in micelles}}{\text{Weight of total drug}} \times 100\% \quad (1)$$

$$LE (\%) = \frac{\text{Weight of drug encapsulated in micelles}}{\text{Weight of drug and carrier}} \times 100\% \quad (2)$$

### Transmission electron microscopy (TEM)

The surface morphology of PHIS/FA/PM was observed using a JEM-100SX electron microscope (JEOL, Tokyo, Japan). Briefly, a drop of micelle solution with a selected concentration was dropped onto a carbon-coated copper grid. A few minutes after the deposition, the extra solution was removed using a strip of filter paper and negative staining was performed with a droplet of 1% (w/v) phosphotungstic acid for 1 min. Then, the excess liquid was removed with a filter paper and dried prior to TEM observation.<sup>40</sup>

## Characterization of pH-sensitivity of the mixed micelles

### pH-dependent critical micelle concentrations (CMC)

The CMC of the mixed micelles was determined by fluorescence probe technique with pyrene as a probe.<sup>31,41</sup> Aliquots of pyrene solution (6×10<sup>-6</sup> M, 1 mL) in acetone were added to volumetric flasks and the acetone was removed by vacuum drying followed by mixing with blank mixed micelle solutions dispersed in PBS (pH 7.4, 6.5, 5.0) with concentrations ranging from 1×10<sup>-4</sup> mg/mL to 1×10<sup>-1</sup> mg/mL. The final pyrene concentration in the copolymer solution was fixed at 6×10<sup>-7</sup> mol/L. All the solutions were then sonicated for 30 min and kept in dark for 24 h at room temperature to reach soluble equilibrium. The fluorescence of the soluble pyrene was measured at an excitation wavelength of 335 nm and an emission wavelength of 373 nm (I<sub>1</sub>) and 394 nm (I<sub>2</sub>), respectively, using a microplate reader (Thermo Scientific, Waltham, MA, USA). The CMC was determined by plotting the intensity ratio I<sub>394</sub>/I<sub>373</sub> versus the logarithm of the copolymer solution concentration.

### Particle size and zeta potential of the mixed micelles

Dynamic light scattering (DLS) was used to determine the particle size, zeta potential, and size distribution of the mixed micelles. To investigate the pH-sensitivity of the mixed micelles, the mixed micelles were exposed to 10 mM PBS at different pH values (pH 7.4, 6.5, and 5.0) for 15 min before the measurement.<sup>31</sup> All the measurements were carried out on a Zetasizer Nano ZS90 (Malvern Instruments, Malvern, UK) at 25°C after equilibration for 2 min. Each measurement was performed in triplicate.

### Transmittance of the mixed micelles

The pH-dependent light transmittance of the mixed micelles was measured using a UV-Vis-8000 spectrophotometer (Yuan Analysis Instrument Co., Ltd, Shanghai, China) at λ=500 nm. The solution concentration was 0.1 g/L and the initial pH was 9. Then the pH was gradually decreased by adding 0.01 M HCl solution. Relative transmittance of the mixed micelles solution was obtained in a selected pH range with respect to a transmittance at pH 9.0.<sup>26</sup>

## Cell tests in vitro

### Cell cultures

Human breast adenocarcinoma (MCF-7) cells were purchased from Cell Bank, Chinese Academy of Sciences. All cells were cultured in RPMI-1640 medium supplemented with 10% fetal bovine serum, penicillin (100 μg/mL), and streptomycin (100 μg/mL) at 37°C under a humidified atmosphere of 5% CO<sub>2</sub>. Cells in the exponential phase of growth were used in the experiments.

### Cytotoxicity test

The cytotoxicity tests were carried out at different times, different pH values, and different FA concentrations. Briefly, the cells were seeded at a concentration of 5×10<sup>3</sup> cells per well in a 96-well plate and incubated for 24 h. After removing the culture medium, 200 μL culture medium (FA-free) containing different concentrations of free PTX-VE or PTX-VE-loaded PHIS/FA mixed micelles (PHIS/FA/PM) were added to the plate. After further incubation for 24 h and 48 h, cell viability was measured using MTT. For this, 20 μL of MTT stock solutions (5 mg/mL) was added to each well, and then the cells were incubated for another 4 h at 37°C. The medium was then removed and 150 μL of dimethyl sulfoxide was added to solubilize the formazan crystals. The absorbance of each well was measured at 490 nm using a microplate reader (US Valley Molecular Instruments Co., Ltd, Shanghai, China).

In addition, to evaluate the pH-sensitive characteristics of the mixed micelles, cytotoxicity was determined at different

pH values. The pH of the culture medium was adjusted with 0.1 M HCl to the desired pH (pH 7.4, 6.5, and 6.0). Various formulations (PHIS/FA/PM and free PTX-VE solution) containing different concentrations (1, 5, and 10  $\mu\text{g/mL}$ ) were added to the cells. After incubation for 48 h, the cells were treated as discussed previously. Furthermore, FA inhibition cytotoxicity test was also carried out to evaluate the targeting capacity of FA of the mixed micelles to MCF-7 cells. The mixed micelles solutions at different concentrations (0.5, 5, 10, and 20  $\mu\text{g/mL}$ ) diluted with RPMI-1640 medium (containing free-FA and 0.002, 0.1, 1 mM FA) were added to the plate. After incubation for 24 h, the cells were treated as discussed previously. The cell viability was calculated using the following equation:

$$\text{Cell viability (\%)} = \frac{\text{OD}_{\text{test}} - \text{OD}_{\text{blank}}}{\text{OD}_{\text{control}} - \text{OD}_{\text{blank}}} \times 100\% \quad (3)$$

### Confocal laser scanning microscopy (CLSM)

The cellular uptake of the mixed micelles was observed by CLSM (Carl Zeiss LSM 710; Carl Zeiss AG, Oberkochen, Germany). The insoluble fluorescent dye was encapsulated with SRB-VE instead of PTX-VE into the mixed micelles (PHIS/FA/SM). For this, MCF-7 cells were seeded on the sterile cover slip placed in a 24-well plate and incubated for 24 h. Then, fresh FA-free RPMI-1640 medium containing free SRB-VE solution or PHIS/FA/SM (SRB-VE concentration was 4  $\mu\text{g/mL}$ ) was added to the plate for further incubation for 1, 2, 4, and 6 h. Beyond that, RPMI-1640 medium containing different concentrations of FA (0.002, 0.1, and 1 mM) was added into each well and preincubated for 1 h. Afterward, the medium was replaced by PHIS/FA/SM (SRB-VE concentration was 4  $\mu\text{g/mL}$ ) along with different concentrations of FA and incubated for another 4 h. The cells were washed three times with cold PBS and fixed with 4% paraformaldehyde for 30 min at room temperature. Then, the nuclei were stained with 4',6-diamidino-2-phenylindole (DAPI) for 5 min. The coverslips were then mounted on microscope slides using a fluorescence-free buffered mounting medium prior to imaging. The excitation wavelength of DAPI and SRB-VE are 405 nm and 543 nm, respectively.

### Animals and tumor model

Female Kunming mice (20–25 g) were obtained from Shenyang Pharmaceutical University Animal Institution and were housed under standard conditions. All animal experiments were carried out in accordance with the Rules of Shenyang Pharmaceutical University Bioethics Committee and in

compliance with the Guide for the Care and Use of Laboratory Animals of the national laws. The ethical committee approval number of animal studies is SYP-U-IACUC-C2016-0629-101. Sarcoma-180 (S-180) cell suspension ( $2 \times 10^6$  cells in 0.2 mL saline) was implanted subcutaneously into mice via armpits to produce the tumor-bearing mouse model.

### In vivo tumor targeting imaging

The in vivo distribution and targeting effect of the mixed micelles were investigated using a FX Pro in vivo imaging system (Carestream Health, Xiamen, China). The near infrared fluorescence dye DiR was used for fluorescence imaging. Tumor-bearing mice were established as described previously. DiR-loaded PHIS/FA micelles (PHIS/FA/DiR) or DiR in ethanol/water (1:4 v/v) solution (10 nmol DiR) was intravenously injected into the tumor-bearing mice via a tail vein.<sup>42</sup> The fluorescent images were recorded at 2, 4, 8, 12, 24, and 48 h post-injection. The mice anesthetized via inhalation of isoflurane were moved into the imaging chamber for scanning, with the following parameters for DiR: excitation at 720 nm and emission at 790 nm. Ex vivo images of organs and tumors excised at 48 h post-injection were also obtained.

### In vivo tumor growth inhibition study

Tumor-bearing mice were used to evaluate the antitumor efficacy. When the tumor volume reached 100–200  $\text{mm}^3$ , they were randomly assigned to four groups ( $n=5$  per group). The mice were treated at a 3-day interval (day 1, 4, 7, 10, 13) with saline, Taxol, PTX-VE, and PHIS/FA/PM. All formulations were injected intravenously via the tail vein at a dose of 5 mg/kg. The tumor inhibitory activity was assessed with the tumor volume ( $V = L \times S^2/2$ ) and body weight, which were measured every other day. Here,  $L$  is the length of the longest tumor axis (mm) and  $S$  is the length of the shortest axis (mm) as measured by a slide caliper. Then, after a 15-day treatment, the mice were euthanized, and the tumors were excised and weighed. The tumor inhibition rate (TIR) was calculated by the equation  $(W_s - W_t)/W_s \times 100\%$ , where  $W_t$  is the tumor weight of the test group and  $W_s$  is the tumor weight of the saline group.

## Results and discussion

### Preparation and characterization of the mixed micelles

PTX-VE-loaded PHIS/FA mixed micelles fabricated from a mixture of two block copolymers MPEG-PHIS (40%) and FA-PEG-VE (60%) were successfully prepared by organic solvent evaporation method. The hydrophobic deprotonated

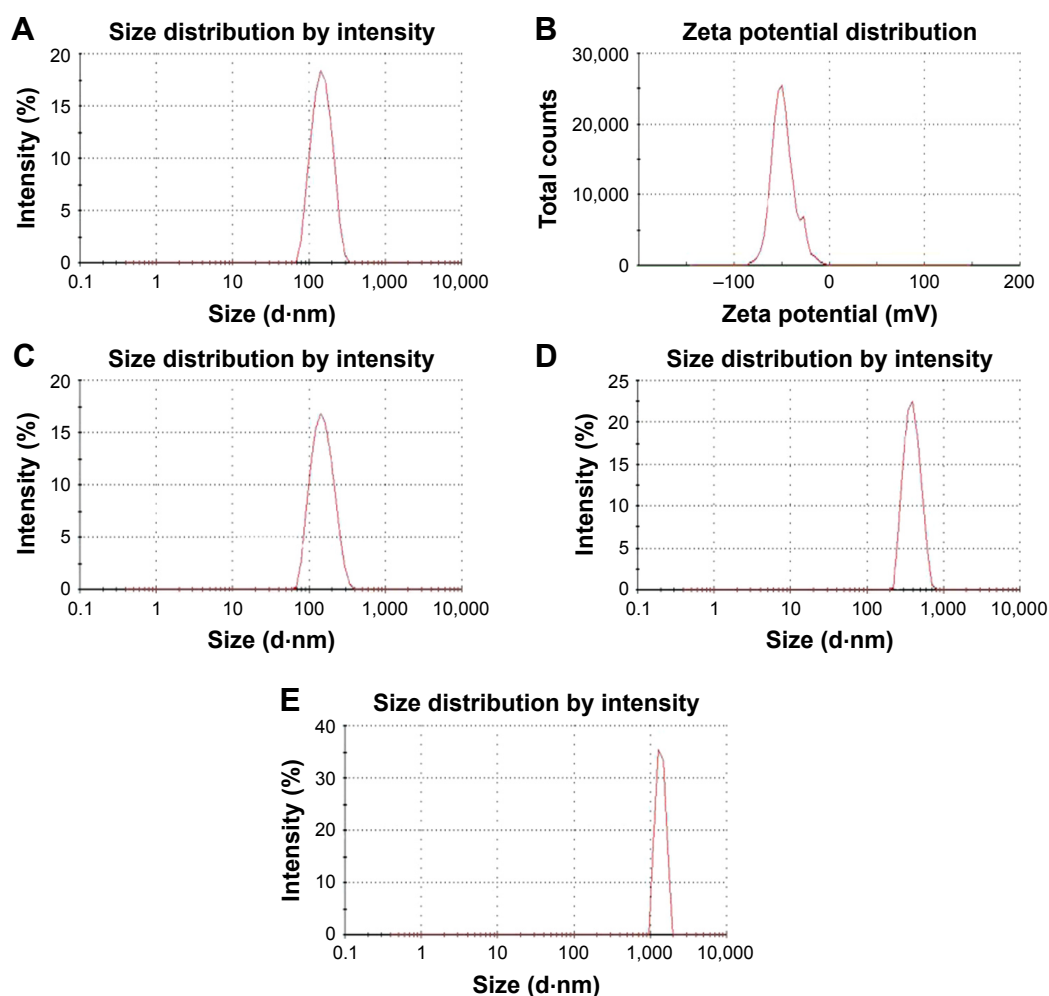


PHIS and VE blocks formed a hydrophobic inner core due to hydrophobic interactions. A hydrophilic reticuloendothelial system block formed an outer shell to avoid clearance by the reticuloendothelial system and prolong the circulation time, and the fragment FA on the surface of the mixed micelles was aimed to target the folate receptors on the surface of tumor cells. It is well known that particle size is a main factor that determines blood circulation time by altering physiological processes. An optimum particle size of <200 nm can avoid clearance by phagocytic uptake and hepatic filtration and favor tumor tissue accumulation through the enhanced permeability and retention effect.<sup>43</sup> As shown in Figure 1A and B, the mixed micelles exhibited a uniform particle size distribution with a diameter of  $137.0 \pm 6.70$  nm and a zeta potential of  $-48.7 \pm 4.25$  mV. Data are expressed as mean  $\pm$  SD ( $n=3$ ). Drug EE and LE were  $91.06\% \pm 2.45\%$  and  $5.28\% \pm 0.30\%$ , respectively. Additionally, the shape of micelles also has an influence on their delivery characteristics.<sup>44</sup> As

shown in Figure 2, the morphology of mixed micelles was homogeneous and spherical. However, the size of the mixed micelles observed by TEM was smaller than that measured by DLS. This may be due to the shrinkage of the micelles during the drying process when TEM samples were made.<sup>45,46</sup>

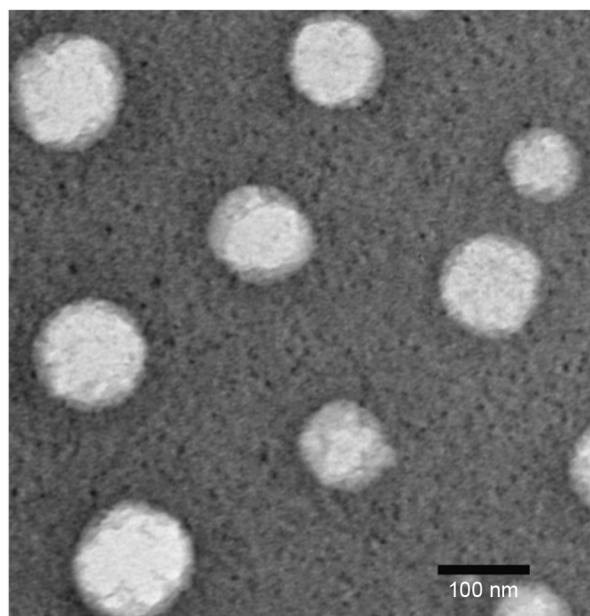
### pH sensitivity of the mixed micelles

Application of a mixed micelle system fabricated from a pH-sensitive polymer and a pH-insensitive polymer was an effective way to control and fine-tune pH sensitivity.<sup>34</sup> To investigate the pH-sensitive properties of the mixed micelles, CMC, particle properties, and light transmittance were examined under different pH conditions. The change in the micropolarity of the mixed micelles as a function of pH was clearly related to the  $pK_a$  of the copolymer. As pH decreased, the protonation of imidazole groups in the PHIS block caused a hydrophobic to hydrophilic transition and a progressive increase in the electrostatic repulsive force.<sup>27</sup>



**Figure 1** Size distribution of PHIS/FA/PM (A), zeta potential (B), and size distribution of PHIS/FA/PM under pH 7.4 (C), pH 6.5 (D), and pH 5.0 (E).

**Abbreviations:** PHIS, poly(histidine); FA, folic acid; PTX-VE, paclitaxel-(+)- $\alpha$ -tocopherol; MPEG, poly(ethylene glycol) methyl ether; PHIS/FA/PM, PTX-VE-loaded MPEG-PHIS/FA-PEG-VE mixed micelles.



**Figure 2** TEM image of PHIS/FA/PM. Magnification  $\times 250$ .

**Abbreviations:** TEM, transmission electron microscopy; PTX-VE, paclitaxel-(+)- $\alpha$ -tocopherol; MPEG, poly(ethylene glycol) methyl ether; PHIS, poly(histidine); FA, folic acid; PHIS/FA/PM, PTX-VE-loaded MPEG-PHIS/FA-PEG-VE mixed micelles.

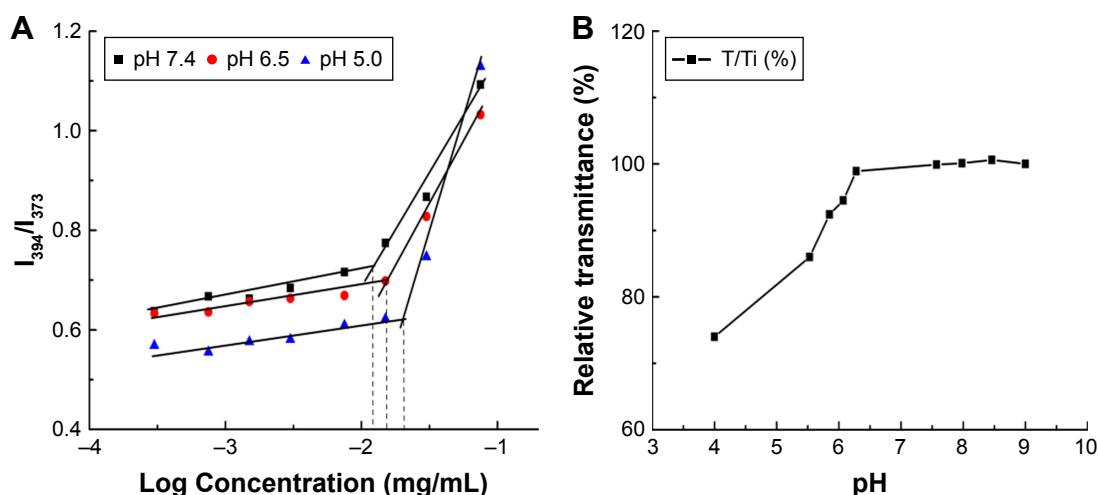
Moreover, the combination of FA-PEG-VE was aimed to modulate the pH response values and make the micelle stable at pH 7.4.

The CMC change induced by pH was investigated by standard pyrene method. Pyrene shows strong fluorescence intensity in a nonpolar environment, but weakly fluorescence in a polar environment. The change in the total emission intensity vs log concentration of mixed micelles indicates the formation of micelle or the change from micelle to unimer (dissociated polymer from disrupted micelle).<sup>31</sup>

The influence of pH on the intensity ratio ( $I_{394}/I_{373}$ ) of pyrene is shown in Figure 3A; the CMC increased from 13.9 to 22.9  $\mu\text{g/mL}$  when the pH decreased from 7.4 to 5.0. It was demonstrated that as the pH of the mixed micelles solution decreased from 7.4 to 5.0, the imidazole groups of PHIS tended to ionize and became more hydrophilic. Therefore, the concentration of amphiphilic copolymers self-assembled into core-shell micelles needs to be larger.

The variations in the particle size of the mixed micelles induced by pH were examined by DLS, as shown in Table 1 and Figure 1C–E. Compared with the original formulation, at pH 7.4, the average diameter of the mixed micelles changed only a little (from 137.0 to 145.3 nm), and the size distribution remained uniform (polydispersity index [PDI] 0.113). Moreover, at pH 6.5, the average diameter and size distribution gradually increased (size 375.4 nm, PDI 0.186), indicating that the hydrophobic core PHIS began to ionize and tended to solubilize in aqueous solution. As the pH decreased to 5.0, the diameter of PHIS/FA/PM sharply increased from 137.0 nm to 1,404.5 nm, and the particle size distribution was nonuniform (PDI 0.667). This could be due to the aggregation of FA-PEG-VE after destabilization of mixed micelles at acidic pH.<sup>25</sup> This suggested that mixed micelles could respond to acidic PH environment that makes it to swell further, which is beneficial in accelerating drug release from the micelle core.<sup>47</sup>

Furthermore, the change in the transmittance of the mixed micelles solution as a function of pH is shown in Figure 3B. With a decrease in the pH value, the relative transmittance of the micelle solution gradually decreased. With regard to the pH-dependent structural change of mixed micelles, the PHIS/FA/PM remained stable at pH 7.4 (physical pH) and



**Figure 3** The fluorescence intensity ratio of  $I_{394}/I_{373}$  from emission spectra vs log concentration of mixed micelles as a function of pH (A). The relative transmittance of pH 9.0 ( $\lambda=500$  nm) (B).

**Table 1** Characteristics of PHIS/FA/PM at different pH conditions

| PHIS/FA/PM | Particle size (nm) | PDI         |
|------------|--------------------|-------------|
| pH 7.4     | 145.3±5.0          | 0.113±0.075 |
| pH 6.5     | 375.4±3.1          | 0.186±0.046 |
| pH 5.0     | 1,404.5±19.7       | 0.667±0.577 |

**Notes:** Paclitaxel-(+)- $\alpha$ -tocopherol-loaded mixed micelles; data are expressed as mean  $\pm$  SD.

**Abbreviations:** FA, folic acid; PHIS, poly(histidine); PHIS/FA/PM, PTX-VE-loaded MPEG-PHIS/FA-PEG-VE mixed micelles; PDI, polydispersity index.

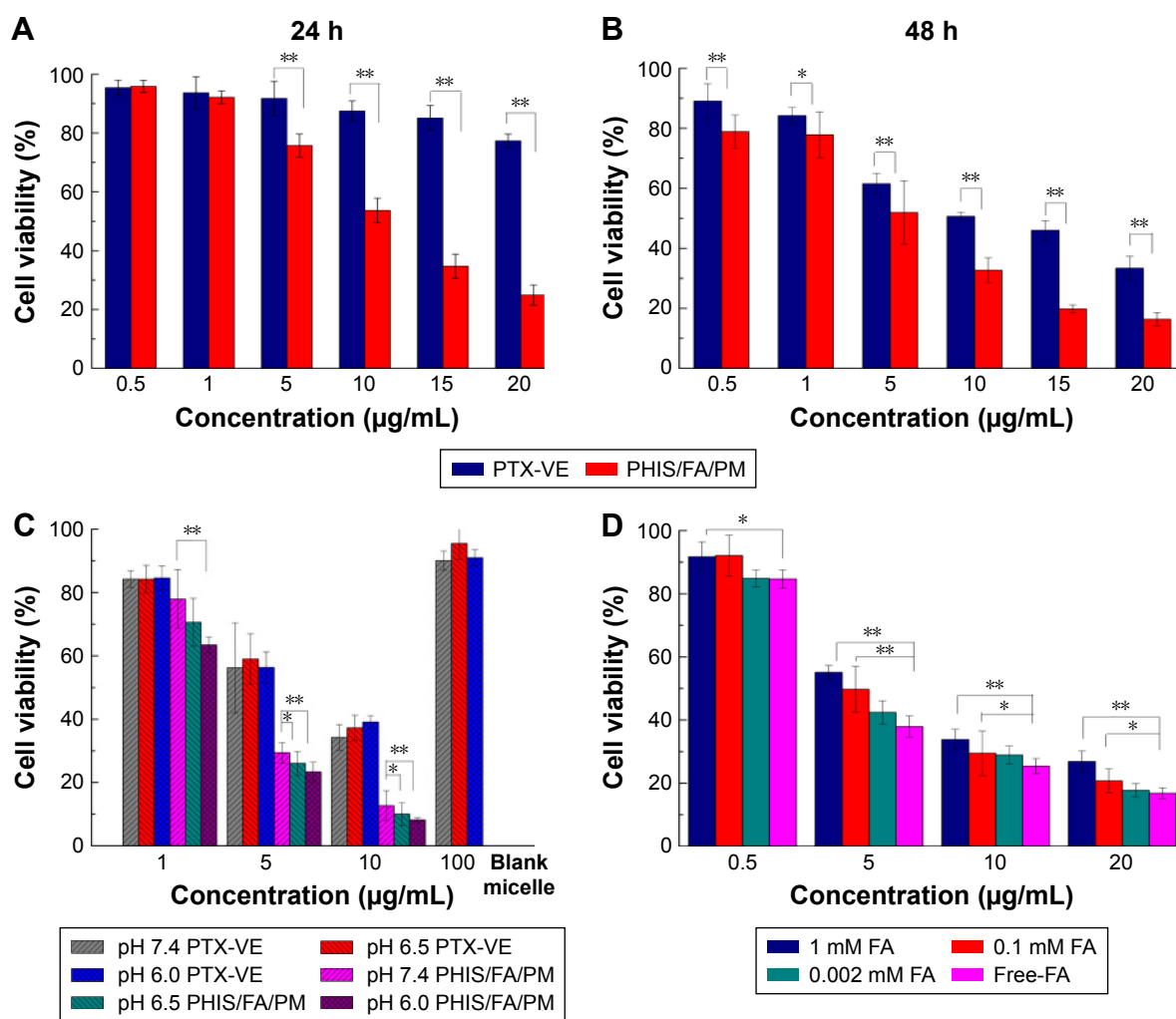
tended to depolymerize at pH 5.0 (lysosomes/endosomes in tumor cells), which plays an important role in accelerating drug release in tumor cells and reversing MDR.<sup>6</sup>

## Cytotoxicity assay

The effect of the mixed micelles in cell viability was evaluated using MTT assay.<sup>48</sup> The cell viabilities of MCF-7 cells

after incubation with PTX-VE and PHIS/FA/PM for 24 and 48 h are shown in Figure 4A and B. We concluded that PTX-VE and PHIS/FA/PM had significant time-dependent and concentration-dependent growth inhibition effects on cancerous cells according to the IC<sub>50</sub> value of the PTX-VE and PHIS/FA/PM after incubation for 48 h (10.33 vs 3.52  $\mu$ g/mL, respectively). Obviously, the cytotoxicity of micelle solution was higher than that of the corresponding free drug solution. This cytotoxicity developed by PTX-VE encapsulated into mixed micelles might be due to enhanced cellular uptake and accumulation in tumor cells.

The cytotoxicity of PHIS/FA/PM at different pH values, that is at normal tissue pH (7.4), tumor interstitial pH (6.5), and early endosomal compartment pH (<6.0), were also examined.<sup>31,49</sup> As illustrated in Figure 4C, blank micelles and



**Figure 4** In vitro cell viability of PTX-VE and PHIS/FA/PM against MCF-7 cells after incubation for 24 h (A) and 48 h (B). Cell viability of PTX-VE, blank micelles, and PHIS/FA/PM against MCF-7 cells at different pH values (7.4, 6.5, and 6.0) after incubation for 48 h (C). Cell viability of PHIS/FA/PM against MCF-7 cells at different concentrations of FA (free of FA, 0.002, 0.1, and 1 mM) after incubation for 24 h (D). Significant differences are indicated as follows: \* $P$ <0.05 and \*\* $P$ <0.01.

**Abbreviations:** PTX-VE, paclitaxel-(+)- $\alpha$ -tocopherol; MPEG, poly(ethylene glycol) methyl ether; PHIS, poly(histidine); FA, folic acid; PHIS/FA/PM, PTX-VE-loaded MPEG-PHIS/FA-PEG-VE mixed micelles.

PTX-VE solution were treated as controls. No cytotoxicity against MCF-7 cells (after 48 h of incubation at three different pH values) was observed in blank mixed micelles (polymer concentration was 100  $\mu\text{g/mL}$ ). PTX-VE solution treated against MCF-7 cells at three different pH values showed remarkable concentration-dependent cytotoxicity. However, there was no cytotoxic difference among different pH values. On the contrary, the cytotoxicity of the PHIS/FA/PM significantly increased with decreased pH. This might be due to the fact that pH-sensitive micelles facilitate drug release at acidic conditions and efficiently kill the tumor cell.

Furthermore, the effect of FA in inhibiting PHIS/FA/PM was also investigated. As shown in Figure 4D, the cytotoxicity of PHIS/FA/PM diluted with FA-free culture medium was higher than that of PHIS/FA/PM at different concentrations of FA (0.002, 0.1, and 1 mM). With increased concentration of free FA, the cell viability also increased. The competition between free FA and PHIS/FA/PM decreased the internalization of PHIS/FA/PM against tumor cells.

Overall, PTX-VE-loaded PHIS/FA mixed micelles showed pH excellent responsiveness and targeting efficiency, which could efficiently kill tumor cells.

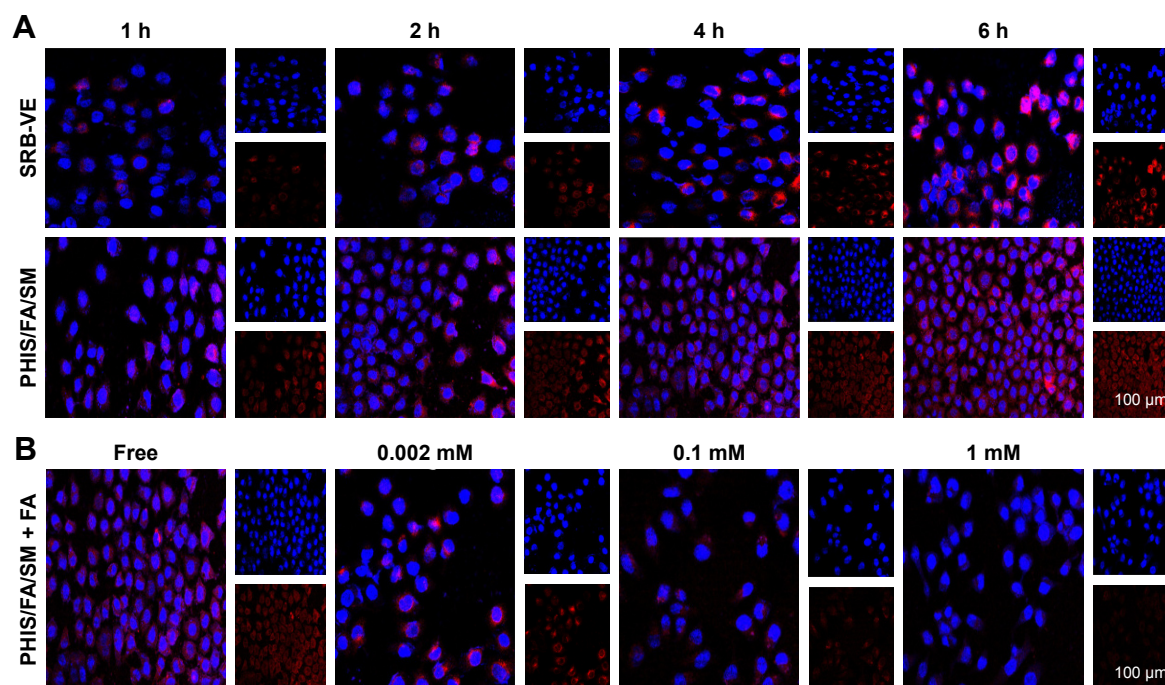
## Cellular uptake

The cellular uptake and intracellular distribution of PHIS/FA mixed micelles were performed by encapsulating a fluorescent

dye SRB-VE as a biomarker. SRB-VE solution was used as control. Blue represents the cell nucleus and red represents SRB-VE. As shown in Figure 5A, from the merged channels, it was evident that fluorescence visualized in the cytoplasm was time dependent. Furthermore, the fluorescence intensity of SRB-VE-loaded PHIS/FA mixed micelles (PHIS/FA/SM) was higher than that of SRB-VE. This might be probably due to different cell uptake pathways of free SRB-VE molecules and micelles delivery system. Moreover, free FA was added into the culture medium to investigate whether folate receptor-mediated endocytosis was introduced. Figure 5B shows that with increased concentration of free FA, the cellular uptake of PHIS/FA/SM decreased. The results suggested that folate receptor-mediated endocytosis was involved in the uptake of PHIS/FA mixed micelles against tumor cells.

## In vivo imaging

To monitor tumor accumulation and evaluate tumor-targeting properties of PHIS/FA micelles in vivo, a noninvasive and real time-near infrared optical imaging was employed. As shown in Figure 6A, PHIS/FA/DiR displayed effective tumor targeting efficiency and sustained presence at tumor sites. The strongest fluorescence was obtained after treated with PHIS/FA/DiR for 24 h. Afterward, the fluorescence lasted for more than 48 h at tumor sites and showed weak accumulation in body organs. However, most of the



**Figure 5** CLSM images of MCF-7 cells incubated with SRB-VE and PHIS/FA/SM for 1, 2, 4, and 6 h (A) and with PHIS/FA/SM and FA (free of FA, 0.002, 0.1, and 1 mM) for 4 h (B). Blue, fluorescence of DAPI; red, fluorescence of SRB-VE. Scale bar: 100  $\mu\text{m}$ ; magnification  $\times 20$ .

**Abbreviations:** CLSM, confocal laser scanning microscopy; MPEG, poly(ethylene glycol) methyl ether; PHIS, poly(histidine); SRB-VE, sulforhodamine B-(+)- $\alpha$ -tocopherol; FA, folic acid; PHIS/FA/SM, SRB-VE-loaded MPEG-PHIS/FA-PEG-VE mixed micelles; DAPI, 4',6-diamidino-2-phenylindole.



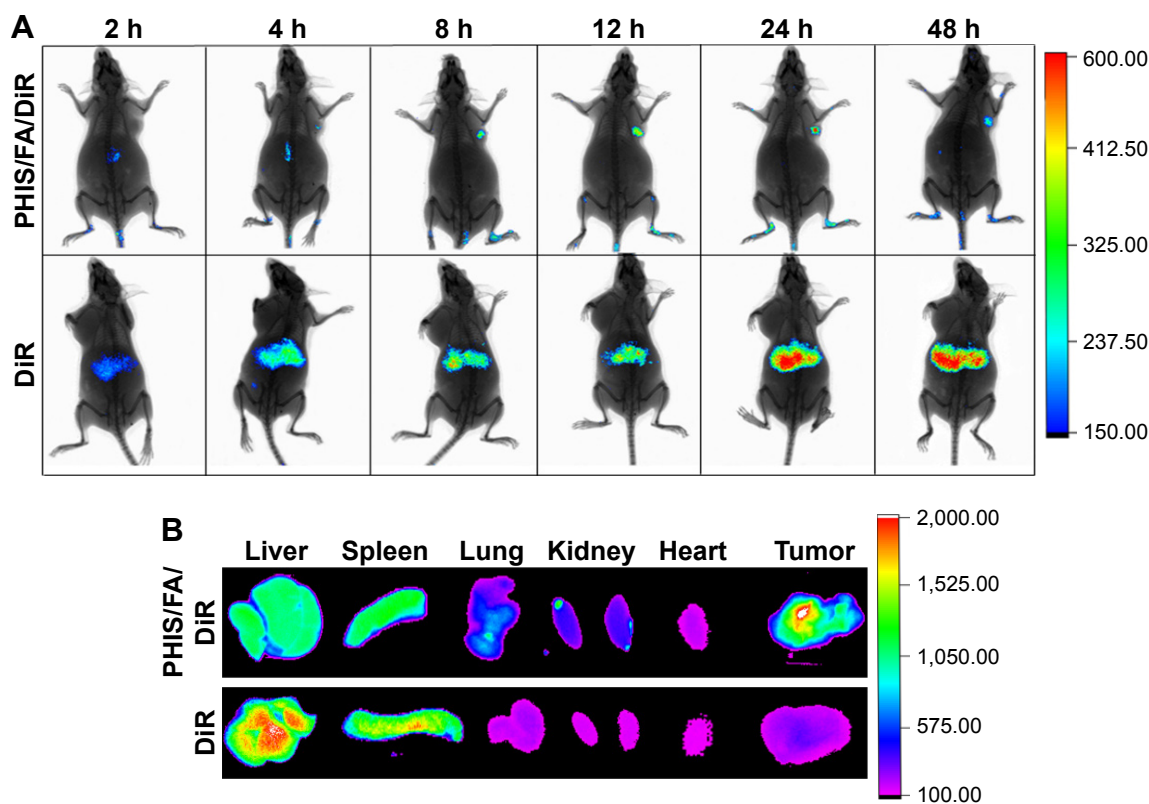
administered DiR solution accumulated in liver and spleen. Most of the organs (liver, heart, lung, spleen, and kidney) and tumors were isolated post-injection 48 h and the ex vivo images are shown in Figure 6B. The PHIS/FA/DiR showed stronger fluorescence intensity in tumor sites and weaker fluorescence intensity in liver and spleen compared to the fluorescence intensity obtained by DiR solution. This might be due to the biodistribution of DiR was changed after encapsulating DiR into the mixed micelle delivery system, and more DiR selectively residence at tumor sites, and less accumulation in circulation and in healthy organs.<sup>50</sup> From current experimental results, we may deduce that the specific accumulation of PHIS/FA micelle in tumor tissues might be due to the specific ligand FA, and extended circulation time might be due to the PEG block.

## Antitumor efficacy

To investigate the antitumor efficacy and assess the toxicity of the mixed micelles, the tumor volume and body weight of tumor-bearing mice were measured after being treated with saline, Taxol, PTX-VE solution, and PHIS/FA/PM solution at a dose of 5 mg/kg. During 15-day treatment, compared with the saline group, all the other groups exhibited

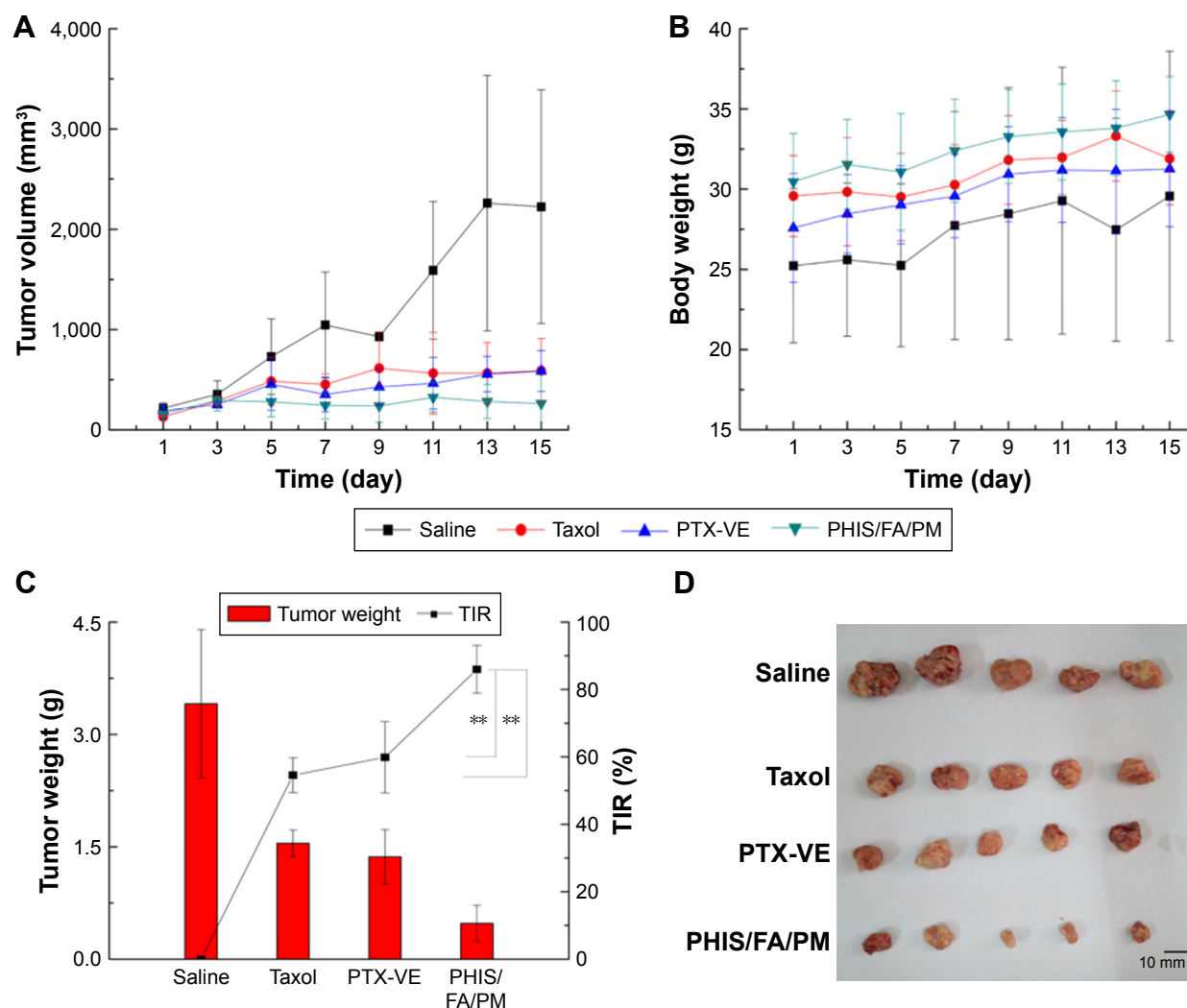
different degrees of tumor inhibitory activity. As shown in Figure 7A, compared with the tumor volume of the saline group, which was regarded as normal without any treatment, the Taxol group and PTX-VE group showed similar tumor growth inhibitory effect with an average tumor volume of 589.42 mm<sup>3</sup> and 586.27 mm<sup>3</sup>, respectively. In addition, PHIS/FA/PM displayed the most effective inhibitory activity with an average tumor volume of 261.76 mm<sup>3</sup>. Thus, the drug-loaded mixed micelles showed intensive antitumor efficacy, probably due to its accumulation at target tumor sites and accelerated release in tumor cells. Changes in body weight are associated with the acute toxicity of formulation. Figure 7B shows the change in body weight of different groups. Mice treated with saline and Taxol demonstrated a minimal weight loss at first 5 days and gradually recovered after that period. This might be due to the rapid growth of tumor and a decline in mice body weight gain. However, PHIS/FA/PM and PTX-VE groups showed a steady gain in body weight with minimal growth of tumors.

Then, the tumor tissues were excised and weighed to calculate the TIR (Figure 7C and D). Commercially available Taxol and PTX-VE solution exhibited similar suppression ability with a TIR of 54.58% and 59.89%, respectively. PHIS/FA/PM



**Figure 6** In vivo fluorescent images of tumor-bearing mice administrated with PHIS/FA/DiR or DiR at different time points (A) and isolated heart, liver, spleen, lung, kidney, and tumor after administration for 48 h (B).

**Abbreviations:** DiR, fluorescent dyes; MPEG, poly(ethylene glycol) methyl ether; PHIS, poly(histidine); FA, folic acid; PHIS/FA/DiR, DiR-loaded MPEG-PHIS/FA-PEG-VE mixed micelles.



**Figure 7** Changes in tumor volume (A) and body weight (B) of tumor-bearing mice administrated with saline, Taxol, PTX-VE, and PHIS/FA/PM. Tumor weight and tumor inhibition rate (TIR) of various groups (C) and image of excised tumors (D). \*\* $P < 0.01$ .

**Abbreviations:** PTX-VE, paclitaxel-(+)- $\alpha$ -tocopherol; MPEG, poly(ethylene glycol) methyl ether; PHIS, poly(histidine); FA, folic acid; PHIS/FA/PM, PTX-VE-loaded MPEG-PHIS/FA-PEG-VE mixed micelles.

showed significant inhibitory effect with a TIR of 85.97%, which was 1.44- and 1.57-fold of PTX-VE and Taxol, respectively. Therefore, it can be concluded that drug-loaded micelles fabricated by pH-sensitive copolymer MPEG-PHIS and FA-targeted copolymer FA-PEG-VE provided effective tumor inhibitory efficacy and minimal side effects.

## Conclusion

In this study, we successfully prepared a mixed micelle system fabricated by MPEG-PHIS and FA-PEG-VE, which is stable at normal pH and whose pH can be fine-tuned at acidic pH so as to deliver PTX-VE at target tumor sites and to obtain effective antitumor activity. It has been confirmed that the mixed micelles had a uniform particle size and pH-sensitive characteristics. In vitro cell studies demonstrated

pH responsiveness and FA-targeting capacity of the mixed micelles against MCF-7 cells. Effective tumor targeted accumulation and sustained presence at tumor sites were observed through in vivo imaging. Most importantly, the prepared mixed micelles could significantly inhibit tumor growth. Therefore, we hypothesize that MPEG-PHIS/FA-PEG-VE mixed micelle system is expected to be a potential carrier to deliver antitumor drugs in case of antitumor therapy.

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## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary materials

### The synthesis of poly(ethylene glycol) methyl ether-poly(histidine) (MPEG-PHIS)

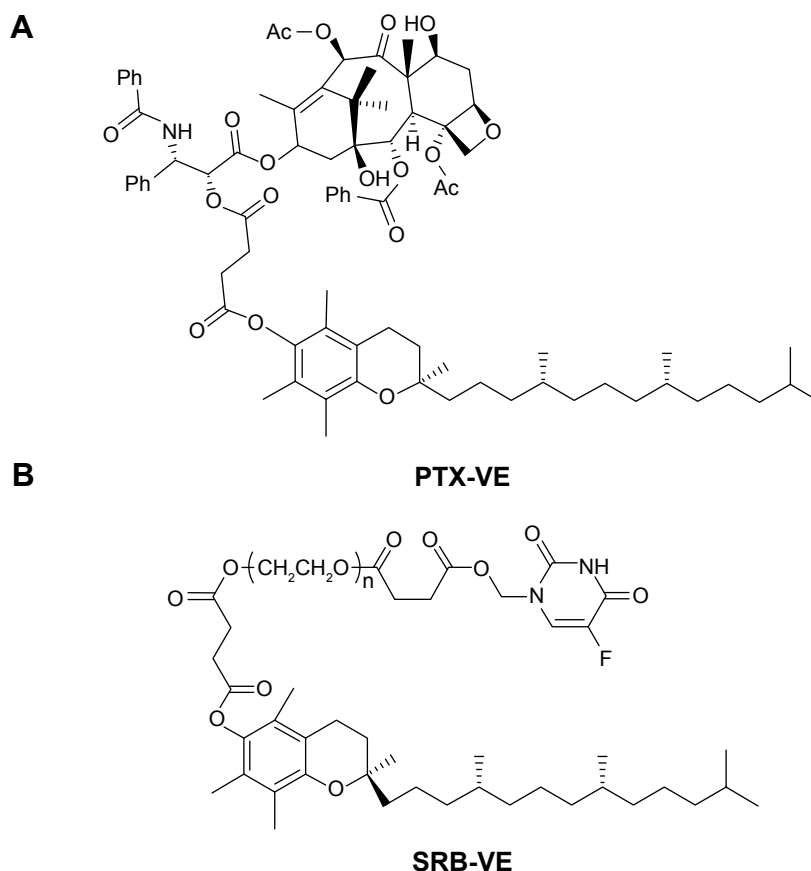
#### Materials

N-(t-butyloxycarbonyl)-1-(2,4-dinitrophenyl)-L-histidine [Boc-His(DNP)-OH] was purchased from GL Biochem Ltd (Shanghai, China). MPEG<sub>2000</sub>-NH<sub>2</sub> was purchased from Sinopeg Biological Technology Co., Ltd (Xiamen, China). Tetrahydrofuran (THF), dichloro sulfoxide (SOCl<sub>2</sub>), and dimethyl sulfoxide (DMSO) were purchased from Damao Chemical Reagent Factory (Tianjin, China). 2-Mercaptoethanol was purchased from Haiqu Chemical Industry Co., Ltd (Shanghai, China). Dimethylformamide (DMF) was purchased from Yongda Chemical Reagent Co., Ltd (Tianjin, China).

#### Synthesis of MPEG-PHIS

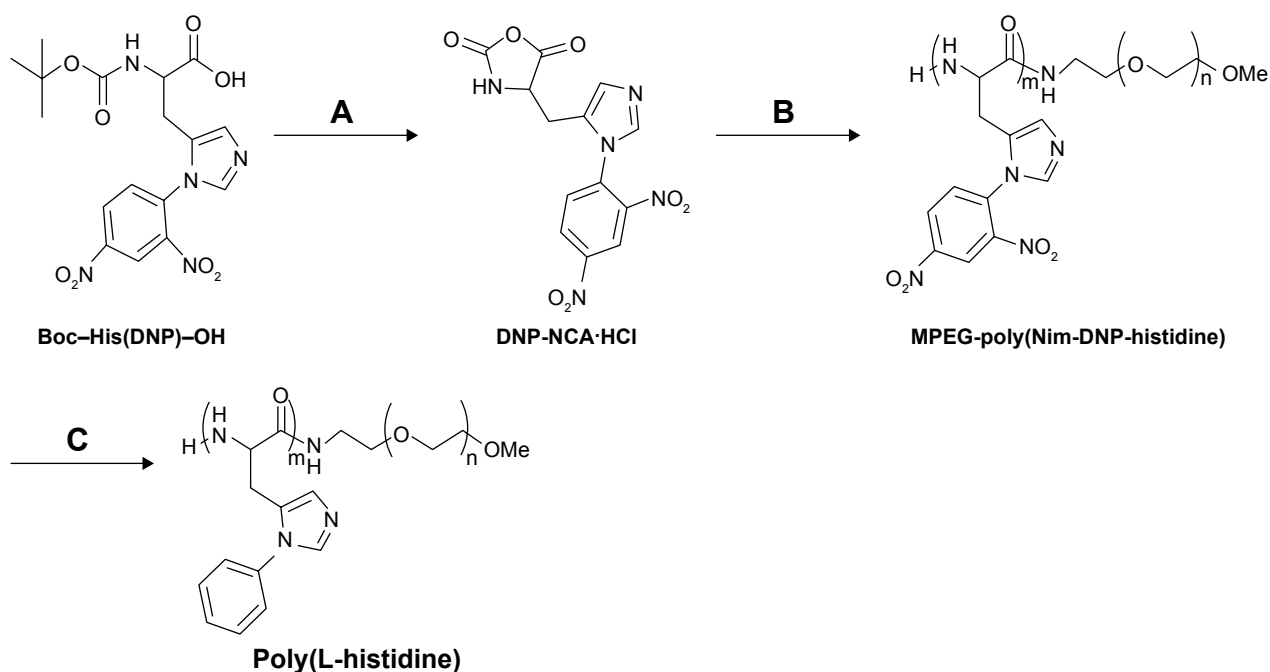
MPEG-PHIS was synthesized according to the previous report.<sup>1</sup> The synthetic route is shown in Figure S2. Boc-His(DNP)-OH (2 g) was dissolved in 15 mL dry THF under a nitrogen atmosphere, and 1.2 mL redistilled SOCl<sub>2</sub> was added and reacted at room temperature for 2 h.

The sediment (DNP-NCA·HCl) was obtained after washing by anhydrous ether and dried under vacuum overnight. Then, DNP-NCA·HCl (1.03 g) was dissolved in dry DMF. After complete dissolution, 421 mg sodium carbonate was added and stirred at room temperature for 1 h under a nitrogen atmosphere. The MPEG-NH<sub>2</sub> dissolved in DMF was then added in drops to the reaction solution, and the mixture was stirred at room temperature for another 72 h. A total of 1.12 g MPEG-poly(Nim-DNP-histidine) was obtained after filtration and dried under vacuum overnight. Afterward, MPEG-poly(Nim-DNP-histidine) was dissolved in 30 mL dimethyl sulfoxide (DMSO), and 15 mL of 2-mercaptoethanol was added and stirred at room temperature for 12 h. After the reaction was completed, the reaction solution was dialyzed against deionized water for 3 days and the final product was obtained after lyophilization. The product was confirmed by <sup>1</sup>H-NMR and spectrum is shown in Figure S3: <sup>1</sup>H-NMR (400 Hz, DMSO-d<sub>6</sub> with TMS): δ 10.16 (a, -NH-), δ 7.98 (b, -N=CH-), δ 7.51 (g, -CONH-), δ 7.26 (c, -C=CH-), δ 4.57 (e, -NH<sub>2</sub>), δ 3.68 (i, -CH<sub>2</sub>-), δ 3.51 (j, -CH<sub>2</sub>-), δ 3.37 (h, -CH<sub>2</sub>-), δ 3.24 (k, -OCH<sub>3</sub>), δ 2.12 (d, -CH<sub>2</sub>-).



**Figure S1** Chemical structure of PTX-VE (A) and SRB-VE (B).

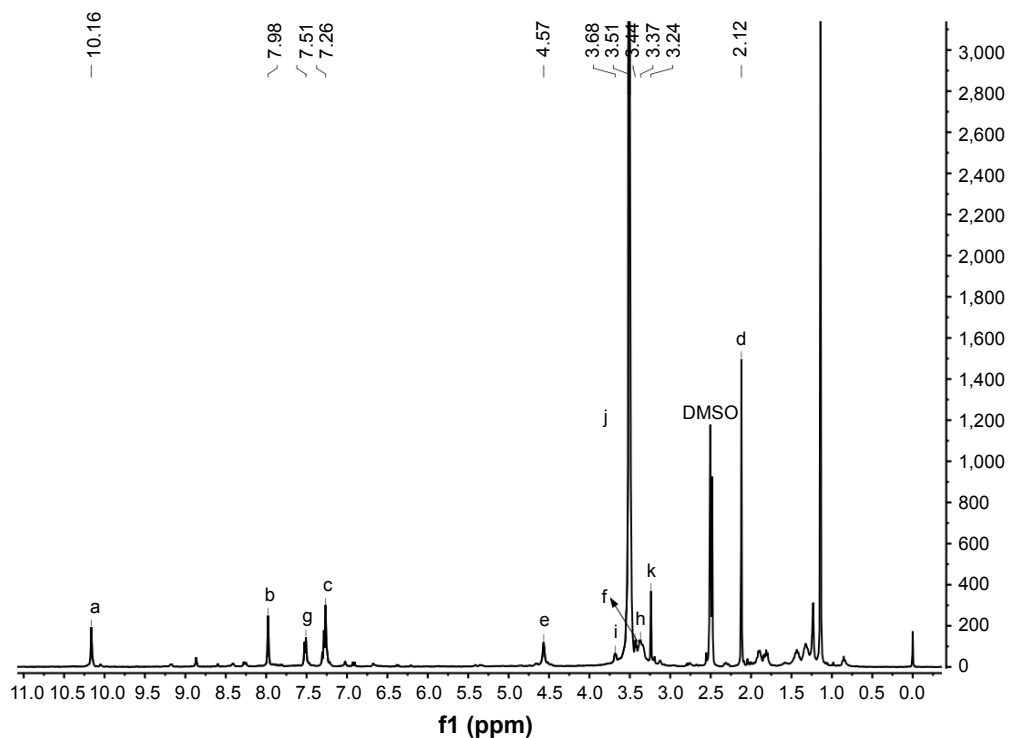
**Abbreviations:** PTX-VE, paclitaxel-(+)-α-tocopherol; SRB-VE, sulforhodamine B-(+)-α-tocopherol.



**Figure S2** Chemical synthesis of MPEG-PHIS.

**Notes:** Reagents and condition: (A)  $\text{SOCl}_2$ , THF, RT, 2 h; (B) MPEG- $\text{NH}_2$ , RT, 72 h,  $\text{Na}_2\text{CO}_3$ ; (C) 2-mercaptoethanol, DMSO, 12 h.

**Abbreviations:** MPEG-PHIS, poly(ethylene glycol) methyl ether-poly(histidine); DMSO, dimethyl sulfoxide; RT, room temperature.



**Figure S3**  $^1\text{H}$ -NMR spectrum of MPEG-PHIS.

**Abbreviations:** MPEG-PHIS, poly(ethylene glycol) methyl ether-poly(histidine); DMSO, dimethyl sulfoxide.

## Reference

1. Li X, Yang X, Lin Z, et al. A folate modified pH sensitive targeted polymeric micelle alleviated systemic toxicity of doxorubicin (DOX) in multi-drug resistant tumor bearing mice. *Eur J Pharm Sci.* 2015;76:95–101.

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