Real-time functional magnetic resonance imaging in obsessive-compulsive disorder

Abstract: The current literature provides substantial evidence of brain alterations associated with obsessive-compulsive disorder (OCD) symptoms (eg, checking, cleaning/decontamination, counting compulsions; harm or sexual, symmetry/exactness obsessions), and emotional problems (eg, defensive/appetitive emotional imbalance, disgust, guilt, shame, and fear learning/extinction) and cognitive impairments associated with this disorder (eg, inhibitory control, working memory, cognitive flexibility). Building on this evidence, new clinical trials can now target specific brain regions/networks. Real-time functional magnetic resonance imaging (rtfMRI) was introduced as a new therapeutic tool for the self-regulation of brain–mind. In this review, we describe initial trials testing the use of rtfMRI to target brain regions associated with specific OCD symptoms (eg, contamination), and other mind–brain processes (eg, cognitive – working memory, inhibitory control, emotional – defensive, appetitive systems, fear reduction through counter-conditioning) found impaired in OCD patients. While this is a novel topic of research, initial evidence shows the promise of using rtfMRI in training the self-regulation of brain regions and mental processes associated with OCD. Additionally, studies with healthy populations have shown that individuals can regulate brain regions associated with cognitive and emotional processes found impaired in OCD. After the initial “proof-of-concept” stage, there is a need to follow up with controlled clinical trials that could test rtfMRI innovative treatments targeting brain regions and networks associated with different OCD symptoms and cognitive-emotional impairments.

Keywords: real-time fMRI, obsessive-compulsive disorder, self-regulation, neurofeedback, neuromodulation

Introduction

Obsessive-compulsive disorder (OCD) remains as one of the most disabling psychiatry disorders.1 OCD patients report overwhelming intrusive thoughts, images, ideas, or urges (obsessions – eg, contamination, harm or sexual, symmetry or exactness, and somatic fears), which they attempt to neutralize by repetitive behaviors or mental actions (compulsions – eg, checking, cleaning/decontamination, and counting).

The Diagnostic and Statistical Manual of Mental Disorders – Fifth Edition2 has integrated OCD in a new classification designated obsessive-compulsive and related disorders (OCRDs). Likewise, the working group for the eleventh edition of the International Classification of Diseases and Related Health Problems suggested a new category grouping all OCRDs along with body dysmorphic disorder, hoarding disorder, trichotillomania, and excoriation disorder.3 Overall, the new classification criteria emphasize inhibitory control deficits (ie, repetitive behaviors and mental actions) rather than problems with emotional regulation (ie, anxiety). Despite this recent emphasis on cognitive impairments, there is widespread evidence that both cognitive and emotional impairments are present in OCD.4 OCD is characterized by intense emotional arousal

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and executive control impairments. These two mechanisms influence one another and are responsible for maintaining the obsessive-compulsive cycle.\(^5\)

In this review, we start by summarizing main conclusions of the research looking for mind–brain signatures in OCD. Building on these studies, we then address recent avenues opened by new methodologies aimed at modulating brain regions and circuits using self-regulatory real-time neuroimaging methods. Finally, initial trials testing the use of real-time functional magnetic resonance imaging (rtfMRI) to target brain regions associated with OCD impairments are reviewed.

**OCD: mind–brain signatures**

A variety of neuroanatomical models were advanced to explain the pathogenesis of OCD with a common agreement on the existence of dysfunctional cortico-striato-thalamo-cortical (CSTC) circuitry – a series of loops projecting from the prefrontal cortex (PFC) to the striatum, the thalamus (via the globus pallidus), and back to the cortex.\(^6\) Despite this consensus, recent studies have been identifying additional brain correlates associated with OCD symptomatology,\(^7\) helping in generating new hypotheses for the OCD pathogenesis.\(^8,9\) In what follows, we briefly summarize some of the core findings about the brain’s structural and functional signatures of cognitive and emotional impairments present in OCD.

The research on gray matter morphological alterations in OCD endorses the hypothesis of changes in areas associated with the CSTC pathways, particularly dorsolateral prefrontal cortex (dPFC), orbitofrontal cortex (OFC), anterior cingulate cortex (ACC), thalamus, and striatum.\(^10,11\) However, there is now evidence for the involvement of regions outside these pathways, such as several regions of the parietal cortex, amygdala, hippocampus, and cerebellum.\(^12\) For example, a recent MRI volumetric study\(^13\) confirmed alterations in not only the traditional frontal (decrease of gray matter in the middle frontal gyrus) and subcortical regions (increase of white matter in the pallidum) but also the temporal-parietal regions. These temporal-parietal abnormalities are highly correlated with OCD symptom severity: decrease of gray matter in the superior parietal lobe and white matter in the angular and superior temporal gyri. These morphological abnormalities may help to explain prominent symptoms in OCD, such as difficulties with inhibitory control (pallidum, angular gyrus), executive functioning (middle frontal gyrus), compulsive checking (superior temporal gyrus), and visual-spatial deficits (superior parietal lobe). Although this relationship between cognition and morphological abnormalities in OCD was not extensively investigated, initial evidence suggests that brain morphological alterations are associated with not only different OCD symptoms\(^14,15\) but also specific cognitive deficits\(^16,17\) observed in OCD.

A recent review of gray matter volumetric and shape alterations in OCD confirmed abnormalities in the following regions: PFC, OFC, thalamus, striatum, and cerebellum (possible associations with impairments in inhibitory control); ACC, insula, amygdala, and supramarginal gyrus (possible association with emotional regulation and fear extinction deficits); dIPFC and hippocampus (possible associations with difficulties in memory monitoring); and superior parietal lobule, occipital lobe, and the precuneus (possible associations with visual-spatial impairments).\(^18\)

Consistent with morphological alterations, there is now evidence for structural connectivity abnormalities in major white matter tracts: superior longitudinal fasciculus (memory, inhibitory control), inferior fronto-occipital fasciculus (inhibitory control), inferior longitudinal fasciculus (emotional regulation, visual-spatial processing), cingulum bundle (inhibitory control, emotional regulation), internal capsule (inhibitory control); different regions of the corpus callosum (inhibitory control, emotional regulation, visual spatial processing), optic radiation (visual-spatial processing), and uncinate fasciculus (memory).\(^19,20\) For example, recently, we showed connectivity alterations in core regions (ie, different parcellations of the inferior frontal gyrus [IFG]) associated with different components of inhibitory control as evidenced by low levels of fractional anisotropy and high levels of diffusivity in OCD patients.\(^21\)

More important are the results of functional studies in OCD showing abnormal brain activity during rest conditions as well as during cognitive/executive (working memory, cognitive flexibility, and inhibitory control) and emotional tasks (fear/defensive, disgust, guilt, shame).\(^5\) For example, a dissociation pattern was found between the activity of the defensive and appetitive survival systems in OCD, by showing that patients react to a basic threat with a strong activation of the defensive system mobilizing many of the brain network systems. In an opposite way, activation of the appetitive system is blocked when facing positive emotional triggers (eg, erotic images) from the initial stages of visual processing.\(^22\) Consistent with this finding, a more recent study showed that OCD patients had difficulties in the deactivation of the default mode network (self-referential processes) even when the non-rest condition included the presentation of provoking emotional stimuli, particularly evident in the case of images with pleasant content.\(^23\) Additionally, recent studies...
Abbreviations: OCD, obsessive-compulsive disorder.

have shown that OCD patients present impairments in fear-related circuits, particularly illustrated by deficits in extinction retention (ie, patients can extinguish fear-acquired behaviors, but failed to retain this extinction over the time)\textsuperscript{24,25}

Summing up, evidence from neuroimaging studies is helping to understand OCD as both an emotional (ie, anxiety and fear-related processes) and a cognitive (ie, inhibitory control) disorder, with a diversity of psychological and symptomatic expressions. But above all, distinct specific cognitive (eg, inhibitory control, working memory, cognitive flexibility) or emotional impairments (eg, affective-motivational imbalance, fear extinction retention) can now be traced to specific brain signatures. Figure 1 illustrates the objectives for intervention based on current findings from neuroimaging research on emotional and cognitive OCD impairments.\textsuperscript{22,26} Abbreviation: OCD, obsessive-compulsive disorder.

Figure 1 Objectives for clinical intervention based on findings from neuroimaging research on emotional and cognitive OCD impairments.

Brain self-regulation with rtfMRI

For more than a century, a variety of clinical interventions were used to regulate brain functioning. These methods can be differentiated dependent on the degree of invasiveness (psychological versus surgical) and regulation strategy (external versus self-regulatory). As illustrated in Figure 2, in opposite quadrants are deep brain stimulation\textsuperscript{30} (DBS, ie, a method for regulating brain functioning by implanting, deep in the brain, a stimulation device) and self-regulatory neuromodulation (aka as neurofeedback – a technique by which individuals learn how to regulate a specific brain response by noninvasive real-time feedback of brain activity). Between these two extremes, and occupying different positions in the four quadrants, we have electroconvulsive therapy,\textsuperscript{31} transcranial magnetic stimulation,\textsuperscript{32} transcranial direct current stimulation,\textsuperscript{33} psychopharmacology, and psychotherapy.\textsuperscript{34}

Self-regulatory neuromodulation (SRN) refers to a diversity of techniques with the aim of modulating brain activity by having the individual monitoring real-time signals of brain activity and learning how to self-regulate this activity by mechanisms of associative learning.\textsuperscript{35} SRN was established as a way of modulating electrical neural activity while taking advantage of the cost-effectiveness and high temporal (time) resolution (ie, it can show the time at which changes are occurring in the brain) of the electroencephalogram (EEG). Building on the groundbreaking work done in the late 1960s by an outstanding cohort of researchers, it was shown that animals and humans could be trained in regulating the brain activity.\textsuperscript{36}

We can differentiate SRN methods in terms of both signal resolution (time and spatial) and ecological context (laboratory versus natural environment) (Figure 3). Some signals, such as the EEG, have a quick temporal resolution and can be collected in natural environments. Changes in the brain’s electrical activity are associated in time with the brain’s response to internal/external events. However, EEG signals, as captured from scalp sensors, are the product of a distributed synaptic activity from multiple brain sources and thus lack information about which brain regions or networks are active. The measurement at each EEG channel is not specific from the brain region below the scalp electrode. This is why we refer to EEG as having a high temporal but a low spatial resolution.

To overcome these limitations, low-resolution electromagnetic tomography (eg, LORETA, sLORETA, eLORETA)
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rtfNIRS uses the measurement of light absorption in the near-infrared wavelength to quantify oxygenated and deoxygenated hemoglobin in cortical regions. This is a low-cost technique (compared to MRI) with a reasonable high space/time resolution ratio. Difficulties in mapping subcortical regions limit the scope of rtfNIRS for SRN, but several studies are using rtfNIRS to modulate the regions of the frontal cortex, particularly those associated with motor behavior.42

rtfMRI was introduced as a process for training the self-regulation of brain–mind processes using the BOLD response as a proxy for brain response. As shown in Figure 4, rtfMRI requires a complex technological procedure that includes: 1) brain signal acquisition inside an MRI scanner; 2) reconstruction, realignment (motion correction), and processing of brain images as soon as they are acquired (typically around 2 seconds); and 3) online feedback presentation related to the activity of brain regions of interest (ROIs) using images (eg, functional maps, thermometer graphs), sounds, or even tactile devices.43

Typically, the experiments follow an experimental paradigm encompassing the following sequence: 1) experimental task designed to trigger specific responses (ie, cognitive processes, emotions, symptoms); 2) fMRI localizer run in order to individually map the brain region activated by the experimental task; and 3) rtfMRI run with instructions to upregulate, downregulate, or maintain brain activity as presented in the feedback devices. In cases of clinical trials and group comparisons, the experimental group receives real feedback, while the control group receives sham feedback (most often recorded feedback from another participant or a stochastic-based feedback, such as a random walk).44

Even though some technological barriers are still present (eg, real-time correction of movement, signal trends, physiological artifacts; and BOLD signal temporal delay), the number of studies using rtfMRI has been exploding.45 To tackle the methodological issues, some computational packages were developed (eg, http://www.brainvoyager.com/TurboBrainVoyager.html).46–48

Recent reviews49 highlight that rtfMRI is effective in regulating several cortical and subcortical regions (eg, amygdala, anterior insula, IFG, ACC, superior temporal gyrus, and a diversity of sensorimotor regions), impacting multiple psychological processes (eg, affective states,50 evaluation of emotional visual stimuli, auditory attention, or language) and improving several clinical conditions (eg, tinnitus,51 nicotine dependence,52 depression,53 psychopathy54). Even when aimed at specific brain regions, the effects of rtfMRI are mediated by changes in extended brain network systems.55 Additionally, recent studies have also focused on testing the effectiveness
of rtfMRI beyond the activation of specific ROIs by providing real-time feedback on the patterns of functional/effective connectivity of certain networks.\textsuperscript{56–58}

Another technological advance that moves beyond single-region activity or functional/effective connectivity modulation is real-time pattern recognition or brain decoding. The basic idea is to apply machine learning methods to classify brain states (output; eg, positive and negative valence) using the BOLD signal from several voxels (even whole brain) as input. Thus, these methods aim to provide feedback based on the recognition patterns of activity across different voxels. Thus, these methods aim to extract functional signatures of certain brain states from a multivariate perspective, that is, by taking into account all input voxels in a joint analysis (multivoxel pattern analysis, MVPA).\textsuperscript{59}

Next, we review initial trials testing the use of rtfMRI to target brain regions associated with specific OCD symptoms (eg, contamination) and other mind–brain processes (eg, cognitive – working memory, inhibitory control, emotional – defensive and appetitive systems, fear counter-conditioning) found impaired in OCD patients.

### Results of studies targeting OCD mind–brain signatures with rtfMRI

Using rtfMRI with psychiatric disorders is recent with only a few pilots trials available, involving, among others, clinical conditions such as depression, psychopathy, schizophrenia, chronic pain, and smoking.\textsuperscript{49} Overall, the results show that we can train individuals in regulating multiple regions thought to be relevant in each disorder.\textsuperscript{49} Most of these studies are still proof-of-concept trials, lacking the validation rigor required by controlled clinical trials.\textsuperscript{60} This is also true for OCD in which the few pilot studies available contrast with abundant evidence for specific brain alterations found in OCD patients. In order to identify rtfMRI studies in OCD, we started by searching PubMed databases using the following terms: real-time neuroimaging/fMRI/neurofeedback and OCD. Further, we explored reference sections of these articles in order to identify further relevant studies. Only three published studies were found using rtfMRI in OCD or, at least, targeting OCD symptoms.

Next, we review the three published studies using rtfMRI addressing OCD symptoms and then present examples of rtfMRI studies aimed at the modulation of mind–brain processes relevant for OCD as potential avenues for future research (Table 1 presents a summary.).

In a first feasibility study by Buyukturkoglu et al.,\textsuperscript{62} three OCD patients, with prevalent contamination symptoms, took part in an rtfMRI experiment across 10 days with the aim of decreasing contamination/washing symptoms by downregulating the activity in bilateral anterior insula. The rtfMRI started with a functional localizer run to select, for
Table 1 Examples of rtfMRI studies aimed at the modulation of mind–brain processes relevant for OCD

<table>
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Note: rtfMRI studies with healthy samples targeting mind–brain processes relevant for OCD. 
Abbreviations: rtfMRI, real-time functional magnetic resonance imaging; OCD, obsessive-compulsive disorder.

Each patient, ROIs activated by symptom provocative (ie, disgust pictures) and neutral stimuli (ie, landscapes, daily life objects). During baseline blocks, participants were instructed to look at the stimuli without attempting to regulate their emotions. For the downregulation blocks, instructions were to decrease the BOLD signal from the anterior insula using any strategy of their choice. Every time patients were effective in downregulating the BOLD signal, a visual monetary feedback presented contingently. The authors included transfer blocks in each training session (ie, instruction to downregulate the BOLD signal without feedback). Overall, all of the three patients could downregulate the activity on the anterior insula while looking at symptom provocative stimuli. Downregulation of the anterior insula was accompanied, in all participants, with increased activity in middle temporal and left postcentral gyrus, regions associated with the reappraisal or suppression emotional regulation strategies reported by the patients during the rtfMRI downregulation blocks. Finally, in two patients, there was a significant association between brain regulation and clinical changes, as evidenced by their ratings of symptom-provoking pictures and their capability to approach in vivo symptom-provoking stimuli.

The rtfMRI regulation of contamination symptoms in a healthy sample was also addressed in a study by Scheinost et al as part of a research program on the use of rtfMRI with OCD, tic disorder, and posttraumatic stress disorder.\textsuperscript{63–65} This time, the OFC was the brain ROIs.\textsuperscript{64} The authors hypothesize that regulation training of the OFC (up- and downregulation) would impact the reorganization of relevant brain resting-state networks, contributing to reducing contamination anxiety. Twenty participants with contamination anxiety completed an rtfMRI experiment with four scanning sessions. In the first session, after a resting-state run, a functional localizer (ie, presentation of contamination versus neutral images) was acquired to establish individualized OFC target regions. After being trained and assessed offline in the ability to control anxiety in face of provoking stimuli, participants underwent the rtfMRI training (sessions 2 and 3) in which instructions were given to control (upregulating, downregulating, rest) the OFC BOLD line graph signal while being presented contamination or neutral pictures. The rtfMRI runs were interleaved with control runs in which individuals had to apply the strategies they found effective in regulating their emotions but, this time, without feedback. The fourth session included a final assessment and another resting-state acquisition. A control group completed an identical procedure but with sham feedback from a paired participant. The results showed that participants in the experimental conditions learned how to control the activity of the OFC. But, more important, the rtfMRI procedure was effective in producing a connectivity decrease, only in the experimental group, in regions associated with emotional arousal (eg, insula, hippocampus, parahippocampal and entorhinal cortices, right amygdala, brain stem, substantia nigra, temporal pole, superior temporal sulcus, thalamus, fusiform gyrus) and a correlation increased connectivity in core regions for emotional regulation (eg, right lateral PFC). As hypothesized, the effects of brain self-regulation positively impacted contamination anxiety in the experimental group.

In a follow-up study,\textsuperscript{65} the authors extend this study to a cohort of OCD patients, testing this time if resting-state brain connectivity would be a good predictor of rtfMRI efficacy in decreasing contamination anxiety symptoms in both healthy and OCD samples. A group of five OCD patients with moderate contamination symptoms followed a similar protocol to the one described above. The authors confirmed the effectiveness of the procedure showing a significant clinical improvement in OCD participants. Interestingly, resting-state connectivity in the OFC before the rtfMRI was a significant predictor of behavioral improvement in contamination symptoms in both healthy and OCD cohorts. As suggested by the authors, it is interesting to note the neurobiological communalities in healthy and clinical population sharing identical symptoms, suggesting that studies with healthy...
population can have important translational implications for OCD patients.

A recent rtfMRI study suggested an alternative method to exposure and response prevention in fear reduction with healthy participants with relevance for OCD treatment. Using a retinotopic mapping paradigm, the authors were able to classify a red versus green grating patterns with an accuracy of ~72% (significantly above chance). Then, participants were fear-conditioned to both stimuli (red and green). Finally, during the rtfMRI phase, participants viewed achromatic visual gratings and were asked to use any mental strategy they wanted to increase the diameter of a disc to receive a monetary reward. The size of the diameter (and the reward) was proportional to how similar was their pattern of visual cortex activation for one of the CS+ stimuli (ie, green). Participants could decrease autonomic emotional response and amygdala activation to the green pattern (target condition), suggesting that the training was effective in implicitly reducing the fear via counter-conditioning. Interestingly, none of the subjects reported fear during the training phase, and all of them remained unaware of the association between the disc diameter and the occurrence of the target CS+ representation.

As described, OCD patients exhibit impairments in working memory. While working memory tasks seem to activate similar brain networks in OCD and healthy controls, OCD patients seem to overcompensate for their working memory impairments with increased dorsolateral (dlPFC) activations. There is now evidence that by learning how to self-regulate, the dlPFC individuals can improve working memory. For example, Sherwood et al tested if they could train healthy participants to control the left dlPFC response and amygdala activation to the green pattern (target condition), suggesting that the training was effective in implicitly reducing the fear via counter-conditioning. Interestingly, none of the subjects reported fear during the training phase, and all of them remained unaware of the association between the disc diameter and the occurrence of the target CS+ representation.

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As stated, an emotional imbalance seems to be present in OCD, characterized by an overreactivity to threat (ie, the predominance of a defensive system) contrasting with decreased reactivity to positive safe stimuli (ie, under-dominance of the appetitive system). Therefore, it may be interesting to explore if the use of rtfMRI strategies targeting regions is associated with the processing of threat. Additionally, it would be important to explore the effectiveness of rtfMRI in upregulating brain networks associated with positive affect.

Paret et al tested the effects of rtfMRI regulation of amygdala activity on the modulation of emotional networks. Thirty-two healthy individuals took part in an experiment requiring downregulation of either the amygdala activity (experimental group) or a basal ganglia region (control group) while seeing aversive versus neutral pictures. The rtfMRI took place during only one session, alternating training with rest conditions and ending with a transfer run (similar to the training runs but without feedback). The authors found that, first, the training was effective in downregulating amygdala activity.

Second, and most important, the experimental condition was effective in increasing the connectivity between the right amygdala and the ventromedial prefrontal cortex.
(vmPFC) along with increased connectivity between the vmPFC with the visual cortex, hippocampus, and ventrolateral prefrontal cortex. That is, the rtfMRI seemed to impact functional connectivity in a network associated with top-down regulation of the defensive emotional system.

On a different direction, a study by Li et al76 used MVPA and rtfMRI in order to test the possibility of upregulating brain positive emotional networks. More specifically, 23 healthy subjects went through the following five-stage rtfMRI procedure: 1) resting-state run; 2) mental imaging run in which participants were instructed to activate emotions, in alternating blocks, associated with happy, sad, and neutral autobiographical memories; 3) the results from this stage were used to train a classification model (happy versus sad emotional states) using MVPA; 4) in stage 4, participants from the experimental group were instructed to try to raise the bar of a graphical “thermometer” (using the activation of autobiographical memories) indicating the activations match with brain regions previously established by the classifier (ie, extent and class of emotion); training blocks alternated with resting blocks; and 5) resting-state run. The results showed that the training was effective in increasing positive emotions (ie, separation between happy and sad neural representations) and that these findings were associated with an increased activity in brain networks associated with emotional processing regions (eg, left insula, left amygdala, ACC, and dorsomedial PFC) and emotional regulation (eg, PFC, temporal cortex, putamen, left caudate, precuneus).

Discussion

While studies using rtfMRI in OCD are still preliminary, some tentative conclusions can be drawn: 1) Some OCD patients seem to learn how to regulate brain regions (eg, anterior insula; OFC) associated with their symptoms (eg, contamination) with significant clinical impact. 2) Studies with healthy populations have shown that individuals can regulate brain regions associated with cognitive (eg, working memory, inhibitory control) and emotional processes (eg, defensive and appetitive systems) found to be impaired in OCD.

Although promising, these studies are still in “a proof-of-concept” stage. We need to follow up with controlled clinical trials that should: 1) test rtfMRI in brain regions and networks associated different OCD symptoms (eg, checking, cleaning/decontamination, counting compulsions; contamination; harm or sexual, symmetry or exactness obsessions); 2) try innovative treatments (eg, counter-conditioning) in patient populations; 3) test the translation for OCD of studies done with healthy populations and targeting brain regions associated with OCD cognitive and emotional impairments.

A final note to remark is that rtfMRI is still paving the road to becoming an effective and cost-effective method.77 A panel of experts recommended establishing standard procedures for quality control in six domains: neurofeedback signal (eg, artifacts, participant’s discriminability signal-to-noise ratio, temporal delay), type of instructions (eg, signal delay, implicit versus explicit), task design (eg, block versus event-related, number of blocks, number of runs per session, number of sessions), transfer (eg, transfer runs without feedback, debriefing), experimental control (eg, sham feedback, feedback from unrelated brain regions, regulation without feedback, inverted feedback), and behavioral effects (eg, motor, cognitive, emotional).78 Likewise, several guidelines were put forward to potentiate the clinical translation.79 Due to the required environment and high cost of fMRI scanning, clinical translation of rtfMRI is challenging. One possible path is using rtfMRI to establish target regions or functional signatures estimated using NIRS and EEG, since these two modalities are less exploratory than fMRI. Thus, rtfMRI studies with simultaneous acquisition of NIRS80 or EEG81 signals could be helpful to bridge the gap between research and clinical applications.

While researchers are still struggling with these technological and methodological issues, the field is already exploring new avenues such as closed loop82 and hyperscanning (eg, two brains)83 strategies that may help extend even further the potentialities of rtfMRI.

Disclosure

The authors report no conflicts of interest in this work.

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