Patellofemoral pain in athletes

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Abstract: Patellofemoral pain (PFP) is a frequent cause of anterior knee pain in athletes, which affects patients with and without structural patellofemoral joint (PFJ) damage. Most younger patients do not have any structural changes to the PFJ, such as an increased Q angle and a cartilage damage. This clinical entity is known as patellofemoral pain syndrome (PFPS). Older patients usually present with signs of patellofemoral osteoarthritis (PFOA). A key factor in PFPS development is dynamic valgus of the lower extremity, which leads to lateral patellar maltracking. Causes of dynamic valgus include weak hip muscles and rearfoot eversion with pes pronatus valgus. These factors can also be observed in patients with PFOA. The available evidence suggests that patients with PFP are best managed with a tailored, multimodal, nonoperative treatment program that includes short-term pain relief with nonsteroidal anti-inflammatory drugs (NSAIDs), passive correction of patellar maltracking with medially directed tape or braces, correction of the dynamic valgus with exercise programs that target the muscles of the lower extremity, hip, and trunk, and the use of foot orthoses in patients with additional foot abnormalities.

Keywords: anterior knee pain, dynamic valgus, hip strength, rearfoot eversion, single leg squat, hip strength

Introduction

Patellofemoral pain (PFP) is the accepted term for localized pain of the anterior aspect of the knee.1,2,4 The prevalence of PFP is high;1,2 it affects 11–17% of patients who present to general practitioners.1,2 PFP is typically associated with activities that load the patella, such as ascending or descending stairs, jumping, running, and squatting.1,4 Other symptoms often associated with PFP are crepitus and mild/moderate joint effusion.1

It was recently reported that ~25% of recreational athletes diagnosed with PFP will stop participating in sports because of knee pain.5 PFP frequently affects running and jumping athletes.6 In an observational study of 810 adolescent basketball players, the overall prevalence of PFP was 25%, with ~26% of female and 18% of male players affected.6 Functional patellofemoral pain syndrome (PFPS), a synonym for nonspecific PFP,7 was the most common diagnosis with an overall prevalence of 6.4%.6 Other less common diagnoses included Sinding-Larsen-Johansson disease (4.8%), Osgood-Schlatter disease (2.5%), and plica syndrome (2.3%).6 These observations show that PFP can affect patients with and without any structural damage to the patellofemoral joint (PFJ).7 Structural damage that is sometimes associated with PFP includes chondral and osteochondral damage, osteoarthritis (OA), overuse injuries of the extensor apparatus (tendonitis and insertional tendinosis), and patellar...
It has been recommended that patients with a history of dislocations or subluxations be considered separately from other patients with PFP because this subgroup of patients frequently have biomechanical risk factors that require different treatment approaches. The same is true for those with overuse injuries of the extensor apparatus or cartilage damage.

Nonspecific PFP is a common cause of “anterior knee pain” and mainly affects young athletes without any structural abnormalities. Patellofemoral osteoarthritis (PFOA) predominantly affects older athletes with cartilage damage and bone marrow lesions. Well-known causes of PFOA are trauma, deformity, and instability (Figure 1). PFP and PFOA are two separate clinical entities. However, a recent systematic review suggested that nonspecific PFP in young patients could be a precursor to subsequent PFOA.

The purpose of this article is to summarize the evidence regarding the pathophysiology of nonspecific PFP and PFOA and to review the treatment of athletes with these conditions.

**PFP pathophysiology or pathomechanics**

**Patella maltracking and PFP**

A recent study using 3 T magnetic resonance imaging (MRI) demonstrated that structural abnormalities of the patellofemoral cartilage are not associated with PFP. In this study, minor patellar cartilage defects or patellar bone marrow lesions were frequently seen in both PFP patients and subjects without PFP. These observations are in accordance with the findings of arthroscopic studies.

A recent systematic review examined the association of other PFJ imaging features, such as bisect offset, congruence angle, and patellar tilt, with PFP. In this study, an increased MRI bisect offset, increased patellar tilt, and increased congruence angle under load and without load were associated with PFP. All of these imaging parameters are indices of patellar maltracking. This provides strong scientific evidence that PFP is associated with patellar maltracking. Interestingly, both patellar tilt and bisect offset were increased when MRI was performed under load. Wilson et al used skin markers and an optoelectronic motion capture system to examine patellar glide in young patients with PFPS while in a standing position and while squatting. They found that the patellae of patients with PFPS had significantly increased lateral translation (maltracking), lateral patellar spin, and a tendency toward increased lateral tilt compared with the patellae of healthy subjects. The observation that patellar maltracking is most severe in the upright or weight-bearing (squat) positions indicates that muscular activity is the primary determinant of patellar position in PFP patients.

Other studies have also investigated the association between patellar maltracking and PFP. The Health ABC study examined the relationship between patellar tracking and PFOA in a cohort of 3075 men and women aged between 70 and 79 years. In this population, patellar maltracking was associated with PFOA progression. Greater patellar tilt was associated with more severe pain. In the Multicenter Osteoarthritis (MOST) and Framingham Osteoarthritis (FOA) studies, PFP was associated with lateral patellofemoral cartilage damage and concomitant bone marrow lesions but not with medial cartilage damage. Similarly, a recent review concluded that there is strong evidence that PFOA is also associated with signs of patellofemoral instability, such as trochlear dysplasia and frontal plane knee alignment.

In conclusion, patellar maltracking plays a key role in patients with PFP related to PFPS or PFOA (Figure 2).

**Dynamic valgus**

It is well known that the direction of the force generated by the quadriceps influences patellar tracking because the quadriceps force vector is lateral to the center of the joint line. The quadriceps angle (Q angle) is a static measure of the quadriceps force vector. The Q angle is formed by a line from the anterior superior iliac spine to the midpoint of the patella and a line from the midpoint of the patella to the tibial tubercle (Figure 3). The normal value for this angle is 13.5° ± 4.5° (in healthy subjects between 18 and 35 years old). An increase in the Q angle increases the lateral force on the patella. It has been shown that larger Q angles are associated with femoropatellar instability and with PFOA. However, the use of the Q angle to predict PFP is controversial. A recent systematic review reported that greater Q...
angles were associated with PFP, but other studies have not found correlations between Q angle and PFP in young patients. This suggests that there may be a subgroup of patients in whom PFP is not the result of a structural fault. This hypothesis is supported by Dierks et al who showed that among runners with PFP, there was a subgroup of patients with functional knee valgus. The search for an explanation for this seeming contradiction (patellar maltracking without increased Q angle) led to the concept of a dynamic, or functional, valgus malalignment.

Myer et al examined dynamic lower limb alignment in female middle- and high-school basketball players and found that the athletes who developed new PFP had increased knee abduction moments of the symptomatic limb. Nakagawa et al found increased hip adduction and knee abduction in patients with PFPS. Souza and Powers showed that females with PFPS demonstrated greater peak hip internal rotation compared with controls. These results highlight the existence of a subgroup of patients with PFP who do not have a structural valgus deformity but instead have a dynamic valgus malalignment caused by internal rotation of the femur and tibia (Figure 4). Several studies have shown that dynamic valgus malalignment is more frequently observed in female athletes than in male athletes; this may explain the higher prevalence of PFP in young female athletes.

Dynamic valgus malalignment influences patellar tracking because the lateralized quadriceps force vector subsequently leads to lateralization of the patella. Souza et al measured femoral and patellar rotation in PFPS patients using open MRI and found that lateral rotation of the patella in females with PFPS was associated with excessive internal rotation,
not torsion, of the femur. One-legged squats are a clinical test used to visualize dynamic valgus. The one-legged squat task is a qualitative clinical assessment tool that is capable of identifying people with poor hip muscle function. For this clinical test, participants are instructed to fold their arms across their chest and to squat down as far as possible five times consecutively, in a slow, controlled manner. Based on five functional criteria (balance, trunk posture, pelvis posture, hip adduction, and knee valgus), the performance on the single leg squat is rated as good, fair, or poor. Crossley et al showed that the good performers exhibited greater hip abduction torque. Therefore, the one-legged squat can be clinically used to evaluate hip muscle function in patients with PFP.

Muscular dysfunction in PFP patients

There is strong evidence that muscular imbalances play a key role in the pathogenesis of PFP. Many previous studies have focused on dysfunction of vastus medialis muscle and vastus lateralis muscle in PFP patients because these muscles attach directly to the patella. These studies have shown that an imbalance in the activation of m. vastus medialis obliquus and m. vastus lateralis correlates with lateral patellar tracking in PFP patients. Quadriceps dysfunction is also an important factor in PFOA. Several studies have shown that quadriceps muscle size, strength, and force are impaired in patients with POA, and quadriceps weakness is considered a risk factor for PFOA. However, despite these results, it remains unclear whether quadriceps dysfunction is the primary cause of patellar maltracking.

There is some evidence in the literature for the involvement of additional muscular imbalances in PFP development. Several studies have focused on hip muscle dysfunction because of the external rotators of the hip control femoral rotation. These studies have shown that dynamic valgus malalignment does not arise in the knee joint but rather through internal rotation of the femur owing to weakness of hip external rotators and abductors (m. gluteus medius and minimus). A systematic review demonstrated strong evidence that females with PFP have decreased hip abduction, external rotation, and extension strength compared with healthy controls. Padua et al showed that decreased strength of the hip abductors and external rotators is related to knee valgus after landing a drop jump. These results show that hip muscle dysfunction is probably an important factor in the pathogenesis of functional valgus malalignment in young patients with PFPS.

Some authors have also detected hip muscle dysfunction in patients with PFOA. Studies have demonstrated lower gluteus minimus and medius peak muscle forces and lower hip abductor strength compared with that of healthy controls. Other muscular abnormalities detected in PFP patients affect the iliotibial tract (m. tensor fascia latae) and the hamstrings. The influence of the iliotibial tract on patellar tracking may be anatomically explained by Kaplan’s fibers, which connect the iliotibial tract to the patella.

There is also some evidence in the literature that frontal plane knee alignment is not the only kinematic abnormality in patients with PFP. White et al found significant hamstring tightness in patients relative to the control group. Patil et al showed by electromyography that the lateral hamstrings of PFP patients contracted earlier than their medial hamstrings during isometric exercises. Besier et al demonstrated that patients with PFPS have greater cocontraction of the quadriceps and hamstrings than do controls without symptoms of PFPS. By this mechanism, PFP patients might experience greater joint contact forces and joint stresses than healthy subjects. In addition, Tsuji et al found that elderly patients with PFP have less sacral inclination than healthy controls and referred this condition as “knee-spine syndrome”. In a study by Teng et al, a higher knee flexion moment during the second half of the stance phase of gait was associated with PFOA progression. However, although the studies reviewed here report several muscular imbalances that have been observed in patients with PFP, altered hip muscle function is considered to be the main cause of dynamic valgus malalignment in PFP patients.

Foot disorders

Hipp muscle dysfunction is likely not the only factor contributing to dynamic valgus. There is strong evidence that, in some patients, foot disorders or deformities such as increased rearfoot eversion or pes pronatus valgus contribute to functional valgus. This deformity can cause internal rotation of the tibia in patients with PFPS.

A systematic review described the kinematic gait characteristics of patients with PFPS. These gait characteristics included increased rearfoot eversion at heel strike, delayed timing of peak rearfoot eversion, and reduced rearfoot eversion range relative to controls. Other studies have examined midfoot and forefoot abnormalities in patient with PFP. Mølsgaard et al also found increased navicular drop, navicu- lar drift, and dorsiflexion in high-school students with PFP relative to unaffected students. Barton et al demonstrated that PFP patients had greater forefoot abduction than a healthy control group.
In conclusion, the literature provides evidence that in a subgroup of PFP patients, rearfoot and forefoot abnormalities contribute to the pathogenesis of dynamic valgus.

Psychological factors
Several studies have shown that pain and knee function can be associated with psychological factors in some patients with PFP. For example, Thomeé et al found that the pain experience and pain coping in PFP patients were similar to those in other groups of patients with chronic pain. However, patients suffering from PFP had higher scores on the pain catastrophizing scale compared with other chronic pain patients. Similarly, Jensen et al reported that patients with PFPS have greater levels of mental distress and worse self-perceived health than healthy subjects. Two studies have identified fear-avoidance beliefs about physical activity as a psychological predictor of pain and dysfunction in PFP patients.

In conclusion, there is evidence that psychological factors could modify the severity of PFP.

Neurophysiological sources of pain in patients with PFP
The exact source of the pain experienced by patients with PFP is unclear. Most likely the pain develops in the insertions of the extensor muscles or within the subchondral bone. In an experimental arthroscopy performed using local skin anesthesia, a patient experienced strong pain when the probe touched their retinacula, Hoffas fat pad, or peripatellar synovium. Several neurotransmitters, including substance P, neurofilament protein, S-100 protein, and neural growth factor, have been found within these structures. These findings suggest that retinacular innervation may play a key role in the development of anterior knee pain. However, there is also evidence that the pain may also originate in the subchondral bone; a PET CT study demonstrated increased metabolic bone activity in patients with PFP.

Therapy
Surgical treatment choices for PFP
Nonsurgical therapy is the treatment of choice for PFPS in young patients. Kettunen et al performed a prospective, randomized trial to compare the outcome of arthroscopy combined with a home exercise program versus a home exercise program alone in patients with PFPS. Patients included in their study were between 18 and 40 years old and had persistent (>6 months) symptoms of PFP during jumping, running, squatting, or while going up or downstairs. Exclusion criteria were OA, patellar instability, osteochondritis dissecans, and jumper’s knee. The following procedures were performed arthroscopically: resection of the medial plicae, debridement of chondral lesions, partial synovectomy, and lateral release. Fifty-six patients were included in the study and were randomized either to the arthroscopy plus exercise or to the exercise-only group. The mean postintervention improvements in the Kujala knee score and VAS scale score for ascending and descending stairs were greater in the arthroscopy group than in the exercise-only group; however, these differences were not statistically significant. The health care costs for patients in the arthroscopy group were significantly higher than the costs for patients in the control group. The results of this study must be considered with caution because only 56 patients were included and thus the study might be underpowered. With a larger patient population, the observed differences in the clinical scores probably would have been significant. More criticism of this study includes the heterogeneity of the arthroscopic procedures that were actually performed (11 patients had no arthroscopic treatment, 11 patients underwent cartilage shaving, 5 patients underwent plica resection, 1 lateral release, and 2 partial meniscectomies). Only one patient had a grade IV cartilage defect, and all grade III cartilage defects were treated with debridement; thus, the results of this study are not valid for patients with severe cartilage defects. Severe cartilage defects may indicate that cartilage resurfacing procedures such as matrix-augmented chondrogenesis (AMIC) or autologous chondrocyte implantation (ACI) are required. The results of this prospective, randomized trial suggest that arthroscopy might not be the best first-line treatment for patients with PFPS. However, it is likely that a subgroup of patients exists who would benefit from arthroscopic surgery. This subgroup could include patients with structural joint damage such as grade III or IV cartilage defects or with lateral subluxation of the patella. In a previous study, Kettunen et al showed that among patients with PFPS, those with severe lesions of the patellar cartilage or femoral trochlea subjectively reported more symptoms and functional limitations at follow-up than those with no lesions or with small cartilage lesions of the patella or femoral trochlea.

In patients with focal III and IV degrees chondral defects, two studies have reported good clinical results after ACI. In both of these studies, a tibial tubercle transfer and lateral release was used to treat patients with patellofemoral malalignment. In a long-term follow-up study, ACI in combination with anteromedialization of the tibial tuberosity resulted in significant amelioration of symptoms and improvement in function with a low incidence of adverse events in patients with isolated, symptomatic, patellar...
chondral defects after a mean follow-up period of more than 7 years.68 When cartilage damage is associated with patellar instability, the PFJ should be stabilized by either MPFL reconstruction, tibial tuberosity transfer, or rotational osteotomy.69

Surgical treatment options for PFOA
There is consensus that PFOA should be treated primarily non-operatively.2,70 Non-surgical treatment options for PFOA include exercise, knee realignment braces, patellofemoral taping, foot orthoses, and intra-articular injections of hyaluronic acid or platelet-rich plasma.70–72 Surgical treatment is indicated if adequate pain relief cannot be achieved with non-surgical management. Surgical options for PFOA are anteromedial tibial tuberosity transfer or patellofemoral resurfacing.73

Nonsurgical treatment options for PFP
Two recently published articles describe the guidelines for conservative management of PFP: These publications, the “2016 Patellofemoral Pain Consensus Statement” and the “Best Practice Guidelines”,2,74 both recommended interventions that include exercise, taping, bracing, foot orthoses, and combined therapies.2,74 In addition, the “Best Practice Guidelines” recommend acupuncture and patient education. In both publications, these interventions are recommended for young patients with PFPS and for patients with PFOA.2 However, PFP treatment should be individualized for each patient; not all patients will require all treatments but scientific evidence to guide the tailoring of interventions is very limited.2,74

Exercise
Most authors agree that exercise therapy is the treatment of choice for patients with PFP.2,74 Exercise has been the most well-studied form of PFPS therapy and should aim to correct the dynamic valgus malalignment that occurs in many patients.7 Five recently published systematic reviews concluded that exercise had a positive effect on pain and function in patients with PFP.75–79 The most effective intervention programs included exercises targeting the hip external rotator and abductor muscles and knee extensor muscles. However, positive results have also been described for exercises that target only muscles acting on the knee and hip.75–79 One study showed a positive effect of trunk stabilization exercises, which targeted core muscles such as the rectus abdominis. The results of previous studies suggest that, if knee and hip exercises are recommended, then the patient should begin with the more proximal exercises because they have greater benefit and may cause fewer adverse effects.2,74

A positive clinical effect has been described for active stretching exercises, ergometer exercise, squats, static quadriceps exercises, leg presses, active leg raises and lower, and climbing exercises.7,75–79 Open- and closed-chain, balance, and neuromuscular training programs have been described.7,75–79 The most frequent duration of the exercise programs was 6 weeks, and the exercises were generally conducted two to four times daily with 10 repetitions per exercise session.7,75–79 Both supervised and home-based exercise programs have been described.7,75–79 In several studies, additional interventions such as restriction of symptom-inducing activities, tape, braces, and nonsteroidal anti-inflammatory drugs (NSAIDs) were allowed.7

In summary, there is strong evidence that a targeted exercise program is an effective treatment for PFP.7 Exercises to treat PFP should target the muscles of the hip, knee (quadriceps and hamstrings), trunk, and the iliotibial tract (Figure 5).

Pharmacological therapy
The “Best Practice Guidelines” recommend that immediate pain relief should be a priority to gain patient trust.7,4 A
Cochrane review from 2004 concluded that there was limited evidence that NSAIDs effectively reduced acute anterior knee pain in PFPS patients.\textsuperscript{7,40} More recent systematic reviews of pharmacological treatments for PFPP are lacking. The OARSI guideline for the nonoperative treatment of knee OA recommend the use of selective or nonselective NSAIDs for patients without comorbidities.\textsuperscript{70} Thus, despite the limited evidence supporting their use, NSAIDs may be appropriate in patients with PFOA.

**Correction of patellar maltracking by taping**

Taping is a popular treatment for athletes with PFPS,\textsuperscript{81–83} in part because the tape strips do not hinder sporting activity. Classical taping technique is different from Kinesio taping.\textsuperscript{84} The most popular classical taping technique for PFPS treatment was described by McConnell\textsuperscript{85} (Figure 6A). The aim of McConnell taping is to correct lateral patellar maltracking and patellar tilt by applying adhesive strips to the skin.\textsuperscript{85} This technique has been recommended for athletes with PFPS for use during training and competition.\textsuperscript{81}

A recent systematic review concluded that there is moderate evidence that customized classical taping provides pain reduction that is superior to that provided by untailored tape application, and there is limited evidence that tailored taping provides better pain relief than exercise alone after 4 weeks of each intervention.\textsuperscript{83} There was also evidence that tailored taping stimulated earlier m. vastus medialis activation relative to m. vastus lateralis activation.\textsuperscript{82} These findings suggest that in addition to its biomechanical effects, taping may also have neuromotor effects.\textsuperscript{83} However, the finding that even sham tape application produces a clinically meaningful reduction in knee pain in patients with PFPS suggests that placebo or sensory skin effects may contribute to the benefits of taping.\textsuperscript{86} It should be noted that the effects of tape on pain reduction have only been investigated in short-term studies (12-week follow-up).\textsuperscript{7,83} The long-term effects of tape on anterior knee pain have not been established. Therefore, current evidence supports the use of tape for temporary pain relief in the treatment of anterior knee pain in PFPS patients,\textsuperscript{83} but the benefits of long-term taping have not been established. According to the “2016 Patellofemoral Pain Consensus Statement” and the “Best Practice Guidelines”, tailored classical tape application can be recommended in combination with other treatments, such as exercise.\textsuperscript{2,74}

During the past few years, the use of Kinesio tape has become increasingly popular (Figure 6B). Kinesio taping uses a different approach than classical taping; in Kinesio taping, the elastic tape strips are used to increase the space between muscle and fascia. In this way, Kinesio taping can stimulate cutaneous mechanoreceptors and improve knee proprioception.\textsuperscript{81} The tape can either stimulate muscle activity or prevent muscle overuse, depending on how it is applied.\textsuperscript{97}

A recently published systematic review compared studies that used Kinesio taping with studies that used the classic McConnell taping technique.\textsuperscript{81} This review reported that both taping techniques had a positive effect on pain and quality of life, while meta-analysis showed that Kinesio taping provided more effective pain relief than McConnell taping.\textsuperscript{81} However, both methods work in different ways. Kinesio taping increases muscle flexibility, improves motor function, and has a moderate effect on muscle activity in PFPS patients compared with controls.\textsuperscript{83} Meta-analysis has shown a small effect on pain reduction and motor function improvement and a moderate effect on muscle activity, in PFPS patients treated with Kinesio taping.\textsuperscript{81} The positive effects of McConnell taping have been attributed to correction of patellar maltracking.\textsuperscript{81} The authors of this systematic review concluded that both patellar taping techniques can be useful in the treatment of PFPS patients.\textsuperscript{81}

**Patellar braces**

A disadvantage of taping is that its effects decrease over time. Patellar braces are an alternative option; these apply an external, medially directed force that may counteract lateral patella maltracking (Figure 7).\textsuperscript{7} Biomechanical studies have shown that patellar braces can alter patellar tracking. Draper et al\textsuperscript{88} demonstrated using real-time MRI that a knee brace could reduce patellar lateralization and tilt in women with PFPS. Becher et al\textsuperscript{89} demonstrated that a dynamic realignment brace significantly affected the reduction in the three patellar height ratios, patellar tilt angle, and bisect offset, as well as TT–TG distance. This dynamic realignment brace

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**Figure 6** Tape applications for patients with PFPP.  
**Notes:** (A) Application of a classical McConnell tape. (B) Application of a Kinesio tape.
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Patellofemoral pain

Increased stress to the patella and retinacula

Overload (increased activity)

Patella maltracking

Quadriceps dysbalance

Iliotibial tract

Dynamic valgus

Hip muscles

Rear foot eversion, pes planovalgus

Flexion contracture

Sacral inclination

Hamstrings

Low back problems

Psychological factors

Notes: Patellar maltracking due to a functional malalignment in the coronal and sagittal planes may be an underlying cause of patellofemoral pain. Possible causes of functional malalignment may be decreased hip muscle strength, low back problems, or foot abnormalities. Secondary consequences include quadriceps imbalance, hamstring tightness, or iliotibial tract tightness. Psychological factors could additionally modify the pain sensation.

Abbreviation: PFPS, patellofemoral pain syndrome.

Figure 8 Algorithm for the pathogenesis of PFPS.

Figure 9 Algorithm for the treatment of PFPS.

Abbreviation: PFPS, patellofemoral pain syndrome.

References


