

# Bronchiectasis in COPD patients: more than a comorbidity?

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Abstract: Computed tomography scan images have been used to identify different radiological COPD phenotypes based on the presence and severity of emphysema, bronchial wall thickening, and bronchiectasis. Bronchiectasis is defined as an abnormal dilation of the bronchi, usually as a result of chronic airway inflammation and/or infection. The prevalence of bronchiectasis in patients with COPD is high, especially in advanced stages. The identification of bronchiectasis in COPD has been defined as a different clinical COPD phenotype with greater symptomatic severity, more frequent chronic bronchial infection and exacerbations, and poor prognosis. A causal association has not yet been proven, but it is biologically plausible that COPD, and particularly the infective and exacerbator COPD phenotypes, could be the cause of bronchiectasis without any other known etiology, beyond any mere association or comorbidity. The study of the relationship between COPD and bronchiectasis could have important clinical implications, since both diseases have different and complementary therapeutic approaches. Longitudinal studies are needed to investigate the development of bronchiectasis in COPD, and clinical trials with treatments aimed at reducing bacterial loads should be conducted to investigate their impact on the reduction of exacerbations and improvements in the long-term evolution of the disease.

**Keywords:** COPD, bronchiectasis, infection, exacerbations, natural history, clinical phenotype

#### Introduction

Patients with COPD may present with different clinical characteristics, prognoses, and response to treatment. This has resulted in increased efforts to identify subgroups of patients that share similar characteristics – the so-called clinical phenotypes – in order to provide more individualized and effective therapy.<sup>2,3</sup> Some studies have attempted to determine these phenotypes by investigating the morphological findings observed in lung computed tomography (CT) scans. In this respect, the presence of pulmonary emphysema, bronchial wall thickening, and bronchiectasis have been proposed as three of the main morphological findings likely to provide relevant information about different phenotypes of COPD.<sup>4,7</sup>

Bronchiectasis is defined as irreversible and generally progressive dilation of the airways. Some 30 years ago, Cole8 proposed a pathogenic vicious circle originating from chronic bronchial infection caused by potentially pathogenic microorganisms (PPMs) and the consequent chronic inflammation which results in remodeling of the airways and damage to local defense mechanisms, which in turn facilitate the persistence of PPMs in the bronchial tree despite the administration of treatment. Chronic bronchial infection is also frequently found in patients with COPD and would provide a link between the two diseases.9

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The relationship between bronchiectasis and COPD has generated several questions. Is there any real increased prevalence of bronchiectasis in patients with COPD? Does the presence of bronchiectasis have an impact on the clinical characteristics, prognosis, or response to treatment in COPD, to the extent that it can be considered a distinct clinical phenotype? Should bronchiectasis in patients with COPD be seen as merely a comorbidity, or as a consequence of the disease's natural history? Is there a causal relationship between COPD and bronchiectasis? If this is the case, what are the pathophysiological mechanisms responsible for this relationship? And, finally, what is the role of chronic bronchial infection and exacerbations in this relationship?

## **COPD** and bronchiectasis: underand misdiagnosis

COPD and bronchiectasis are very prevalent in the general population. In Spain up to 10% of the adult population has COPD10 and 1.5% of men older than 65 years have bronchiectasis;11 therefore, they may both coexist. Although the diagnosis of COPD is based on physiology and that of bronchiectasis on morphology, both diseases may result in similar lung function abnormalities and non-specific respiratory symptoms. As a consequence, there is a strong possibility of misdiagnosis in favor of COPD, because spirometry is more widely available than CT scans and physicians usually think primarily about COPD when confronted with a smoker with cough, sputum production, and airflow obstruction.<sup>12</sup> In this respect, O'Brien et al<sup>13</sup> observed that approximately one third of patients referred from primary care with a COPD diagnosis had bronchiectasis demonstrated by CT scan, even with normal spirometric values. Furthermore, in COPD patients with pulmonary hypertension, the diagnostic criterion for bronchiectasis (based on the demonstration of a bronchial lumen diameter greater than the diameter of the adjacent vessel) may be misleading.14

## Prevalence of bronchiectasis in COPD

The prevalence of bronchiectasis in patients with COPD has been analyzed in several studies, with conflicting results ranging from 4% to 72% (Table 1).4,15-28 The methodologies used in these studies were very diverse. They differed in the characteristics of the patients included (different severity, non-consecutive inclusion, or inclusion during exacerbations), the use of different CT diagnostic criteria for bronchiectasis, or the study's objective (related or unrelated to bronchiectasis analysis). These differences may partly explain the disparities found in the reported prevalence.

outcomes related to the presence of bronchiectasis in COPD patients Characteristics of the studies analyzing the prevalence and Table I

Study	Selection	ء	Study design	Age (years)/	Age (years)/ Main objective Imaging	Imaging	COPD criteria	tudy Selection n Study design Age (years)/ Main objective Imaging COPD criteria BCH	ВСН	Main outcomes related
(year)	criteria			gender		technique	and severity	criteria	prevalence	to BCH
O'Brien	Primary care	0	Prospective	66.5	Diagnosis of	Consecutive	BTS guidelines	Naidich and Hansell 29%	29%	<ul> <li>Increased sputum purulence</li> </ul>
et al	diagnosis of		2 years follow-up 58% males	58% males	COPD in	HRCT	No COPD: 30%		Cystic: 15.5%	<ul><li>Decreased FEV, in</li></ul>
(2000)	COPD with acute		30% were not		primary care		Mild: 18%		Varicose: 12.5%	cystic BCH
	exacerbation		COPD				Moderate: 33%		Tubular 72%	
							Severe: 19%			
Patel et al	Stable moderate-	54	Prospective	69 years	Prevalence and	Consecutive	ATS/ERS criteria	Naidich (diagnosis)	20%	<ul> <li>Increased airway</li> </ul>
(2004)17	to-severe COPD		1,197 days		extent of BCH	HRCT		and Smith	66.7% lower lobes	inflammation and
			follow-up		and emphysema			(0–4 points grading)		bacterial load
								Score <2 was		<ul> <li>Prolonged exacerbations</li> </ul>
								considered normal		
Roche et al	Roche et al Hospitalized	8	Prospective	68.4 (12.1)	Sputum	Consecutive GOLD	GOLD	<b>Bronchi/vessel</b>	19.8%	<ul> <li>Positive sputum culture</li> </ul>
(2007)18	COPD		2 years follow-up 74% males	74% males	examination	HRCT in 98% FEV <sub>1</sub> : 40.2%	FEV <sub>1</sub> : 40.2%	(diameter) > I		
					analysis	of patients				
Garcia-	Hospitalized	88	Prospective	72.1 (10)	Incidence and	Consecutive	COLD	Less than two	52%	<ul> <li>No relationship with FEV,</li> </ul>
Vidal et al	COPD		I year follow-up	95% males	risk factors	HRCT in 88	<b>GOLD II: 34.6%</b>	affected segments		<b>BODE</b> index, PA isolation
(2006)	(previous BCH				for PA	randomized	<b>GOLD III: 50.5%</b>	were considered		or 6MWT
	was excluded)					patients out	<b>GOLD IV: 14.9%</b>	normal		
						7 I 88	FEV - 44%			

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<ul> <li>CT scan performed to analyze emphysema quantification</li> </ul>	<ul> <li>No relationship with lung function, exacerbations or bacterial load</li> </ul>	<ul> <li>Risk factors for BCH were severe COPD, PPM isolates, and at least one hospital admission in the</li> </ul>	previous year  - Severe exacerbations  - Time to recovery  - Decreased FEV,  - Bacterial colonization	<ul> <li>Increased age,</li> <li>exacerbations, BODE and</li> <li>GOLD stage</li> <li>Decreased FEV, and BMI</li> </ul>	<ul> <li>Increased mortality, exacerbations, bacterial isolation including PA and CRP levels</li> </ul>	<ul> <li>Increased exacerbations,</li> <li>Bhalla score, CRP and ESR concentrations</li> <li>Decreased FEV,</li> </ul>	- BCH (score >5) was a risk factor for PA isolation - Mortality was higher in the PA group - CC by mucoid PA was associated with BCH (score >5)
4% Stage II: 1%–2% Stage II: 3%–6% Stage IV: 7%–9%	27%	57.6% 34.7% moderate 72.5% severe 90.6% cylindrical	60.4% lower lobes 47.8% Moderate 31.3% Severe 62.2% 82% cylindrical 67% lower lobes 73% bilateral	20.8% GOLD II: 18.8% GOLD III: 24% GOLD IV: 24%	57.2% 87% cylindrical 81% lower lobes 8.3 Bhalla score	33.8% – 40% in moderate-to- severe patients	47%  - 52% only in lower lobes  - 25% in more than 4 lobes  - BCH score: 4.2
No criteria available	Naidich (diagnosis) and 0–4 points (grading)	Naidich More than one segment	No criteria available	Visual assessment	Naidich More than one segment Bhalla (grading)	Naidich Modified Bhalla (grading)	Naidich (diagnosis) and Smith (grading) Score ≤1 was considered normal
GOLD GOLD II: 44.1% GOLD III: 42.1% GOLD IV: 13.7% FEV: 48.3%	GOLD FEV <sub>I</sub> : 46%	GOLD Moderate: 44.6% Severe: 55.4%	GOLD FEV <sub> </sub> : 56% Moderate FEV <sub> </sub> : 45% Severe	GOLD	GOLD GOLD II: 49.2% GOLD III: 42.3% GOLD IV: 8.5% FEV: 49%	GOLD FEV <sub>1</sub> : 57.1%: Moderate: 46% Severe: 30%	GOLD FEV, 34% GOLD IV: 60%
Consecutive low-dose CT scan	Non- consecutive HRCT	Consecutive	Consecutive	Consecutive	Consecutive	Consecutive	Consecutive
Characterization of COPD heterogeneity	CT scan COPD phenotypes	Factors associated with BCH	Incidence of BCH	Prevalence and clinical impact of BCH	Prognostic value of BCH	Radiological COPD phenotypes	Prevalence and risk factors for PA
63.4 (7.1) 65% males	67 (43–88) 58% males	71.3 (9.3) 99% males	59.4–60.4 95% males	62.8–65.5 55% males	70.3 (8.9) 90.5% males	68 (8) 95% males	69.5 (8.2) Predominantly males
Prospective 3 years follow-up	Cross-sectional	Prospective 3 years follow-up	Cross-sectional	3,752 Prospective	Prospective 48 months follow-up	Cross-sectional	Prospective I,003 (306) days follow-up
2,164	75	92	69	3,752	201	80	8
ECLIPSE cohort of GOLD II-IV stable COPD (previous BCH was excluded)	Stable COPD (only if previous CT scan)		Moderate-to- severe stable COPD	Stable COPD GOLD II⊣V COPD Gene	Stable moderate- to-severe COPD. Previous BCH was excluded	Stable COPD (BCH or clinical evidence of BCH was excluded)	Exacerbation of COPD with exacerbator phenotype
Agusti et al (2010) <sup>20</sup>	Bafadhel et al (2011) <sup>4</sup>	Martinez- Garcia et al (2011) <sup>16</sup>	Arram and Eirakhawy (2012) <sup>21</sup>	Steward et al (2012) <sup>22</sup>	Martinez- Garcia et al (2013) <sup>23</sup>	Tulek et al (2013) <sup>24</sup>	Gallego et al (2014) <sup>15</sup>

(Continued)
Table I
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Study	Selection	ء	Study design	Age (years)/	Main objective Imaging	Imaging	COPD criteria	ВСН	ВСН	Main outcomes related
(year)	criteria			gender		technique	and severity	criteria	prevalence	to BCH
Gatheral et al	First hospitalized COPD	406	Retrospective	71 (11) 56% males	Impact of BCH on clinical	Non- consecutive	ICD-10 code j440/1/8/9	Naidich 0 (absent) –4	69% Minor (40%)	<ul> <li>BCH severity correlates with BWT but not with</li> </ul>
$(2014)^{25}$					outcomes	HRCT		severe BCH points	Mild (29%)	emphysema
						(406/2,414).		(no reference)	Moderate (22%)	<ul> <li>BCH related to PA and</li> </ul>
						Not all			Severe (8%)	NTM isolation, and annual
						HRCT (41%)			Increase with age	respiratory admissions,
									and male gender	lower Charlson index and
										in-patient days but not
										survival
Jairam et al	COPD without	338	Prospective	71 (61–76)	Incidental CT	Non-	GOLD	Fleischner Society	32.5%	<ul> <li>No relationship with future</li> </ul>
$(2015)^{26}$	previous		4.4 years	54% males	findings and risk	consecutive		Criteria (diagnosis)	Score =1: 14%	exacerbations or death
	exacerbations		dn-wolloj		of hospitalization	CT		Lobe-based visual	Score =2: 9%	
	and CT performed				or death due			grading system	Score >2: 9%	
	because of non-				to COPD			(0–3 points		
	pulmonary causes				exacerbation			per lobe)		
Mao et al	Stable COPD	968	Retrospective	66.2 (9.6)	Prognostic value	Non-	GOLD	Naidich	34.7%	<ul> <li>PA colonization</li> </ul>
$(2015)^{27}$	(only if previous		21 months	85% males	of BCH	consecutive				<ul> <li>Increased all-cause mortality</li> </ul>
	CT scan)		dn-wolloj			HRCT				
da Silva	Stable COPD	9	Retrospective	64.2 (8.5)	COPD	Consecutive	GOLD	Bhalla system	33.8%	<ul> <li>No relationship with</li> </ul>
et al	(previous BCH		2.5 years	66% males	phenotypes on	HRCT				functional variables
$(2016)^{28}$	was excluded)		follow-up		HRCT					
Tan et al	Stable COPD	451	Prospective	62.8–69	CT	Non-	Spirometric values	Fleischner Society	Mild: 14.1%	<ul> <li>BCH related to higher</li> </ul>
$(2016)^{30}$	(Canadian cohort)			46%-50% males	abnormalities	consecutive	(LLN)	Criteria	Moderate: 22.2%	dyspnea, chronic cough, and
						HRCT			Severe: 35.1%	wheeze
										<ul><li>CAT score ≥ 10</li></ul>
										No relationship with

Note: HRCT: I mm collimation at 10 mm intervals from the lung apex to the diaphragm.

Abbreviations: BCH, bronchiectasis; CT, computed tomography; HRCT, high-resolution computed tomography; ESR, erythrocyte sedimentation rate; PA, Pseudomonas aeruginosa; CC, chronic colonization; BMI, body mass index; PPM, potentially pathogenic microorganism; 6MWT, 6-minute walking test; EEV, forced expiratory volume in 1 second; GOLD, Global Initiative for Chronic Obstructive Lung Disease; CRP, C-reactive protein; ATS/ERS, American Thoracic Society; EVI, forced expiratory volume in 1 second; GOLD, Global Initiative for Chronic Obstruction, dyspnea, exercise; BTS, British Thoracic Society; BWT, bronchial wall thickening; NTM, non-tuberculous mycobacteria; LLN, lower limit of normal; CAT, COPD assessment test.

exacerbation frequency

**Table 2** Characteristics of patients with COPD and bronchiectasis compared with COPD patients without bronchiectasis

General characteristics

- Older patients
- Higher frequency of males
- Heavier smokers

Clinical features

- Increased daily sputum production
- Increased number of exacerbations

Lung function parameters

 More severe airflow obstruction (measured by FEV<sub>1</sub>/FVC and FEV<sub>1</sub>% predicted)

Inflammatory markers

- Higher levels of peripheral CRP
- Lower concentration of albumin

Microbiological profile

- Increased prevalence of PPM colonization
- Increased prevalence of Pseudomonas aeruginosa colonization
   Prognostic features
- Doubled risk of all-cause mortality

**Notes:** Based on two meta-analyses by Ni et al<sup>31</sup> and Du et al.<sup>32</sup> Variables in bold are those with the highest odds ratios in the meta-analysis.

**Abbreviations:** CRP, C-reactive protein; FEV<sub>1</sub>/FVC, forced expiratory volume in I second/forced vital capacity; PPM, potentially pathogenic microorganism.

Moreover, most of these studies excluded patients with previously known bronchiectasis and those with bronchiectasis in only one pulmonary segment, as this circumstance can be found in a significant percentage of elderly people in the general population, or in smokers with no airway obstruction.<sup>29</sup> Recently, Tan et al<sup>30</sup> found that 19.9% of healthy individuals had bronchiectasis compared with 35.1% of severe COPD patients of the same age. This means that the real prevalence of bronchiectasis in COPD patients might be overestimated, and other CT characteristics such as bronchial wall thickening should be used to differentiate real bronchiectasis in COPD from bronchiectasis associated with aging.<sup>14</sup>

In patients with COPD the characteristics found to be associated with a higher prevalence of bronchiectasis include advanced age,<sup>22</sup> male gender,<sup>25</sup> and a history of previous exacerbations.<sup>13,15,18,19,25</sup> The bronchiectasis observed in patients with COPD is usually cylindrical (72%–90%), predominantly in both pulmonary bases (52%–81%), bilateral (52%–67%), and with moderate scores in radiological analyses of severity.<sup>13–17,21,23</sup> Interestingly, one consistent finding is the relationship between the increased severity of COPD and the higher prevalence of bronchiectasis.<sup>16,20–22,24,25</sup>

# Impact of bronchiectasis on COPD

The impact of bronchiectasis on the natural history of COPD has been analyzed in two recent meta-analyses<sup>31,32</sup> and can be summarized as follows (Table 2).

#### Clinical aspects

The presence of bronchiectasis in COPD has been associated with a lower body mass index,<sup>22</sup> older age,<sup>22,25</sup> a greater production and purulence of sputum,<sup>17</sup> a greater number of comorbidities,<sup>25</sup> and a higher body mass index, airflow obstruction, dyspnea, and exercise index.<sup>22</sup> However, the most widely recognized association is a greater frequency and severity of exacerbations, identified for the first time by Patel et al,<sup>17</sup> and confirmed in subsequent studies.<sup>16,22–26</sup>

#### Microbiological aspects

The variable most consistently associated with the presence of bronchiectasis in patients with COPD is chronic bronchial infection by PPMs (odds ratio [OR] between 3.76–7.33), particularly *Pseudomonas aeruginosa* (OR between 3.5–4.75),<sup>31,32</sup> along with the existence of a greater bacterial load.<sup>17</sup>

#### **Functional** aspects

There is also significant agreement that the presence of bronchiectasis is associated with more severe bronchial obstruction. <sup>13,15,21–23</sup>

#### Inflammatory aspects

The presence of bronchiectasis has been associated with an increase in both local and systemic inflammation.<sup>17,23,24</sup> Patel et al<sup>17</sup> observed that patients with bronchiectasis presented an increase in the concentrations of IL-8 and IL-6 in sputum as a result of the greater bacterial load. Similarly, two studies observed an increase in C-reactive protein and erythrocyte sedimentation rate in peripheral blood.<sup>23,24</sup>

## Prognostic aspects

Only a few studies have analyzed the relationship between the presence of bronchiectasis and poor outcomes in patients with COPD. A prospective study on 201 consecutive patients with stable COPD followed-up for 48 months concluded that the presence of bronchiectasis was associated with greater mortality (OR: 2.45; P=0.02), independent of age, the presence of comorbidities, or the severity of airway obstruction;23 these results were confirmed by Mao et al.27 In contrast, another two studies - one performed on 406 COPD patients during a severe exacerbation<sup>25</sup> and another on 338 patients with no previous exacerbations<sup>26</sup> - did not find any association between the presence of bronchiectasis and greater mortality. However, the meta-analysis by Du et al<sup>32</sup> concluded that the mortality risk in COPD is significantly increased in the presence of bronchiectasis with an OR of 1.96 (95% confidence interval =1.04-3.70).

# Searching for causality: is this possible?

A further advance in our understanding of the interaction between COPD and bronchiectasis would be to know whether bronchiectasis in COPD patients develops as a result of COPD or its determining factors, such as smoking, presence of chronic bronchial infection, and exacerbations.

Even though some etiological classifications of bronchiectasis include COPD as one of its causes, so far no longitudinal study has demonstrated any causal relationship, although Bradford Hill's classic etiologic criteria<sup>33</sup> could provide the basis for an argument in favor of this possibility.

#### Strength of association

Two recent meta-analyses concluded that the presence of PPMs (OR: 7.33 and 3.76, respectively), colonization by *P. aeruginosa* (OR: 3.50 and 4.75, respectively), and a greater number of exacerbations (OR: 1.97 and 1.54, respectively)<sup>31,32</sup> were the variables most strongly associated with the presence of bronchiectasis in COPD. Although it is still unknown whether chronic bronchial infection and frequent exacerbations occur before or after the development of bronchiectasis in patients with COPD, the results of these meta-analyses support the pathophysiological hypothesis that development of bronchiectasis in COPD is associated with chronic bronchial infection and frequent exacerbations.

## Time sequence

This would be based on the observation of "de novo" bronchiectasis in COPD patients in whom it was not previously present. Figure 1 shows a patient who, in 2007, presented with severe COPD (forced expiratory volume in 1 second [FEV<sub>1</sub>]: 49% predicted), chronic bronchitis, and frequent exacerbations but had no bronchiectasis detected by high-resolution computed tomography (HRCT) scan at that time. The same patient participated in a new study 8 years later, when his FEV<sub>1</sub> was 29% predicted, revealing bibasal cylindrical bronchiectasis. In spite of an exhaustive etiological study, it was impossible to identify any cause for bronchiectasis other than COPD itself. Longitudinal studies involving repeated HRCT are required to confirm a time sequence that would support a causal relationship between the two diseases.

## Dose-response effect

The dose-response effect could be applied to the higher prevalence of bronchiectasis observed in patients with a greater severity of COPD. 16,20–22,24,25

#### Consistency

Consistency refers to the percentage of studies that reach the same conclusions. In this respect, most studies have observed not only a high prevalence of bronchiectasis, but also a similar pattern, comprising cylindrical, bilateral, and bibasal bronchiectasis. <sup>15–17,23</sup> Furthermore, bronchiectasis seems to be found more frequently in older patients<sup>22</sup> and heavier smokers, which would concur with the hypothesis that bronchiectasis occurs as a consequence of years of bronchial inflammation or infection.

# Biological plausibility

Subjects with COPD present different forms of impaired immunity that facilitate the survival and proliferation of

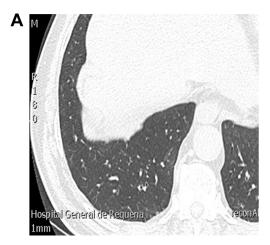




Figure 1 Development of bronchiectasis in a patient with severe COPD.

Notes: (A) HRCT scan without bronchiectasis in 2007 and (B) HRCT scan from the same patient and slide in 2015.

Abbreviation: HRCT, high-resolution computed tomography.

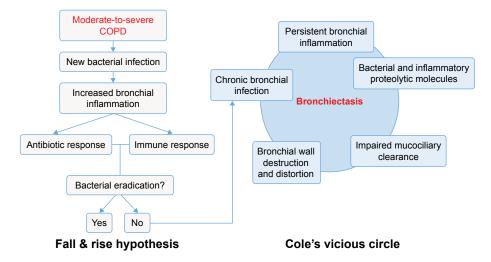


Figure 2 Pathophysiological hypothesis of the development of bronchiectasis in patients with COPD.

Notes: Reproduced from Martinez-Garcia MA, Maiz L, De la Rosa D. The overlap with bronchiectasis. In: Anzueto A, Heijdra Y, Hurst JR, editors. Controversies in COPD. European Respiratory Society; 2015:105.<sup>43</sup> With permission from European Respiratory Society. © 2015, European Respiratory Society.

PPMs in the lower airways. In fact, microbiological cultures of sputum are positive for PPMs in about 40%-70% of patients with stable COPD. 9,34-36 This chronic bronchial infection unleashes a persistent bronchial inflammation and these two together progressively damage the bronchial wall through the release of bacterial and inflammatory proteolytic products, leading to the formation of bronchiectasis, in accordance with the classic postulates of Cole,8 described previously. The reduced activity of many antimicrobials as a result of the chronic bronchial infection and the difficult diffusion to the bronchial secretions resulting from the presence of bronchiectasis, makes it much more difficult to eradicate the PPMs, and so the process becomes chronic, thereby increasing the frequency and severity of the exacerbations in a patient with COPD. This sequence of events is illustrated in Figure 2.

## Analogy

This refers to the existence of similar examples that would explain the relationship that needs to be demonstrated. The origin of bronchiectasis in patients with cystic fibrosis could be a valid example in this respect.<sup>37</sup>

# Experimental evidence

There is no evidence to date of any delay to, or non-appearance of bronchiectasis in patients with COPD as a result of prophylactic treatment for exacerbations or long-term anti-inflammatory or antibiotic treatment, although this would undoubtedly be one of the main objectives of future studies.

# Bronchiectasis-COPD overlap: comorbidity or a distinct clinical phenotype?

COPD can exist without bronchiectasis and bronchiectasis is often not present alongside chronic airflow obstruction; however, when bronchiectasis of unknown etiology is demonstrated in a patient with COPD it is difficult to accept that it is merely a comorbidity that appeared by chance. Tobacco smoking is the main etiological factor for COPD, and it also impairs lung defense mechanisms and facilitates chronic and acute infection. The role of infection in the cascade of events that accelerate the progression of COPD in smokers, and how it can be a key player in the development of bronchiectasis, has already been addressed. It is therefore reasonable to assume that in some patients the two diseases, COPD and bronchiectasis, may be clinical manifestations of the same process, justifying the overlapping term or clinical phenotype COPDbronchiectasis. 38-40 It is interesting to observe that a significant proportion of patients with bronchiectasis included in clinical trials were also smokers with chronic airflow obstruction. 41 This highlights the strong relationship between these two entities, but is it a case of bronchiectasis with airflow obstruction, or COPD with bronchiectasis? Hurst et al<sup>40</sup> highlighted the importance of differentiating between patients with bronchiectasis who present with not fully reversible airflow obstruction and COPD patients presenting the anatomical abnormalities of bronchiectasis. This second case would fulfil the criteria of a phenotype of the COPD disease spectrum.40

The diagnosis of the COPD-bronchiectasis phenotype will have clinical implications for more frequent chronic

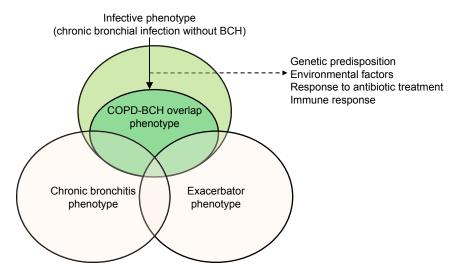


Figure 3 Relationship between COPD-bronchiectasis overlap phenotype and infective and chronic bronchitis and exacerbator phenotypes. Abbreviation: BCH, bronchiectasis.

bronchial infection, impairment in respiratory symptoms (cough and sputum), more frequent and severe exacerbations, and impaired health-related quality of life. These signs and symptoms are very similar to those of the COPD-infective phenotype and there must be a huge overlap between them.<sup>3,42</sup> It can be speculated that the COPD-bronchiectasis phenotype may be a sub-phenotype or an evolution of the infective phenotype of COPD (Figure 3), characterized by chronic bronchial infection by PPMs and frequent bacterial exacerbations.<sup>42</sup> Some patients with COPD and infective phenotype may have developed bronchiectasis, but others may be in the initial stages of the disease and would be susceptible to therapeutic strategies aimed at suppressing bacterial growth and avoiding bacterial persistence, in order to prevent the development of bronchiectasis. Unfortunately, no longitudinal studies are yet available to confirm this sequence of events.

The COPD-bronchiectasis phenotype would also overlap with the chronic bronchitis and frequent exacerbator phenotypes<sup>3</sup> (Figure 3). In contrast to the previously mentioned phenotypes, the COPD-bronchiectasis phenotype is stable and can be objectively diagnosed via imaging.<sup>43</sup> Some national guidelines have included the COPD-bronchiectasis phenotype as an important clinical phenotype that need to be considered in the treatment of COPD.<sup>44</sup>

# Bronchiectasis in COPD: therapeutic consequences

Although the presence of bronchiectasis in patients with COPD is usually associated with chronic bronchial infection and more frequent exacerbations, mostly of a bacterial etiology, 25,31,32 this infective component is not adequately

covered by the usual treatment for COPD based on inhaled therapy with bronchodilators, with or without inhaled corticosteroids (ICS). Some recent studies have demonstrated that even patients on triple therapy may suffer from frequent exacerbations. One of the reasons would be the lack of appropriate treatment for the infective component of the disease. An HRCT scan of the thorax must be performed in patients with COPD and frequent exacerbations, and when bronchiectasis is identified, they must receive treatment for both COPD and bronchiectasis, in the latter case mainly aimed at controlling chronic and acute infection.

There have not been any trials of therapies for COPD complicated by bronchiectasis. In most pharmacologic clinical trials on COPD the presence of significant bronchiectasis is an exclusion criterion; therefore, no recommendations can be formulated on the basis of solid evidence, although special attention must be paid to anti-inflammatory and antibiotic therapies.

The efficacy of ICS in COPD is controversial, particularly in patients with frequent bacterial exacerbations and/or low concentrations of bronchial or blood eosinophils, as may be the case in patients with associated bronchiectasis. Furthermore, the use of ICS may be associated with an increase in the bronchial bacterial load in patients with COPD and chronic bronchial infection;<sup>46</sup> in fact, ICS are not indicated as maintenance treatment in bronchiectasis,<sup>47</sup> and the last update of the GOLD strategy indicates that in the case of associated bronchiectasis, ICS may not be indicated in patients with bacterial colonization or recurrent lower respiratory tract infections.<sup>48</sup> Therefore, unless patients present with high blood eosinophil levels and/or clinical signs of bronchial hyper-responsiveness,<sup>49</sup> ICS should not be used,

or only used at the lowest possible dosage. One alternative may be the use of macrolides or roflumilast, which is effective in neutrophilic inflammation and in patients with chronic cough and sputum production,<sup>50</sup> both characteristics of bronchiectasis in COPD.

There have been therapeutic trials on bronchiectasis that include adult smokers with airflow obstruction that is not fully reversible and similar to COPD.<sup>41</sup> From these trials we can speculate that the use of long-term macrolides or inhaled antibiotics could be beneficial in reducing exacerbations in these patients.<sup>50</sup> Other strategies such as physiotherapy must also be considered.<sup>47</sup>

# What do we need from future studies on this topic?

To analyze the true prevalence of bronchiectasis in COPD patients, large studies of consecutive stable COPD patients from international registries should be performed. Bronchiectasis diagnosis should be made by means of HRCT scans interpreted with uniform radiological criteria, taking into account the existence of bronchial dilations mimicking bronchiectasis in the elderly.

The causal relationship between COPD and bronchiectasis requires longitudinal studies to be adequately assessed. These studies should include evaluation of the lungs by repeated HRCT scans to verify the development of "de novo" bronchiectasis in subjects with COPD, and they should investigate the related factors that predispose to this association. Longitudinal studies should also confirm the prognostic impact of bronchiectasis on outcomes such as exacerbations, hospitalizations, and mortality in COPD.

Another interesting aspect for future research is the study of specific biomarkers linking COPD and bronchiectasis, especially those related to neutrophilic inflammation, COPD severity, exacerbations, bronchial wall thickening, or increased susceptibility to chronic bronchial infection.<sup>51</sup> The composition and relevance of the lung microbiome and its changes with the evolution of the disease, or with treatment, provide another area for future research.<sup>52</sup>

Finally, therapeutic trials should be conducted on patients with COPD and bronchiectasis to investigate the efficacy and safety of specific treatments such as mucolytics, phosphodiesterase IV inhibitors, long-term macrolides, and inhaled antibiotics, particularly in more severe patients with a high risk of exacerbations and chronic bronchial infection.

#### **Conclusion**

The prevalence of bronchiectasis in patients with COPD is high. Some of the etiological factors for bronchiectasis are

present in patients with COPD, and may be responsible for the development of bronchiectasis in susceptible individuals. It is not clear why some patients with COPD develop bronchiectasis and others do not, but the presence of a chronic bronchitis phenotype may determine an increased risk of chronic bronchial infection and recurrent infective exacerbations, which perpetuate the vicious circle of infection, inflammation, and tissue destruction. The presence of bronchiectasis in COPD is associated with more frequent and severe exacerbations, impaired quality of life, and possibly reduced survival. Longitudinal studies are needed to investigate the development of bronchiectasis in COPD, and clinical trials with treatments aimed at reducing bacterial loads should be conducted to investigate their impact on the reduction of exacerbations and improvements in the long-term evolution of the disease.

#### **Disclosure**

The authors report no conflicts of interest in this work.

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