Novel role of granulocyte-macrophage colony-stimulating factor: antitumor effects through inhibition of epithelial-to-mesenchymal transition in esophageal cancer

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Purpose: Recent studies demonstrate the possible antitumor effects of granulocyte-macrophage colony-stimulating factor (GM-CSF); however, the exact mechanism is still unclear. The aim of our study was to analyze the effects of GM-CSF on multiple biological functions of human esophageal cancer (EC) cell lines and to explore the potential mechanism of its antitumor effects.

Materials and methods: Eca109/9706 human EC cells were examined. Cell proliferation, apoptosis, and migration were analyzed using cell proliferation assay, flow cytometry, and transwell assay, respectively. The expression of signaling molecules were examined by reverse transcription polymerase chain reaction and Western blot.

Results: Our results provide experimental evidence that GM-CSF inhibits growth and migration, as well as induction of apoptosis in EC cells. In addition, EC cells stimulated with GM-CSF were more likely to have suppressed epithelial-to-mesenchymal transition (EMT), accompanied by increased E-cadherin and decreased vimentin expression.

Conclusion: Our data demonstrate that GM-CSF inhibits cancer cell proliferation and migration, as well as induction of apoptosis. Moreover, our findings indicate that GM-CSF may regulate EMT through JAK2-PRMT5 signaling, and thereby exhibit its antitumor effects on EC cells.

Keywords: granulocyte-macrophage colony-stimulating factor, cancer, antitumor, epithelial-to-mesenchymal transition, esophageal cancer

Introduction

Esophageal cancer (EC) is one of the most frequently diagnosed cancers and a leading cause of cancer-related death in the world.1 China’s statistics in 2015 revealed an extremely high EC-related morbidity and mortality.2 Because of the poorly defined clinical manifestations, ~70%~80% of EC patients present with locally advanced or distant metastatic disease at preliminary diagnosis, and the survival rate is extremely low.3 The efficiency of current multimodal treatments of EC, which include surgery, chemotherapy, and radiotherapy is still low. Therefore, a new effective therapy for EC patients is urgently needed.

Granulocyte-macrophage colony-stimulating factor (GM-CSF), a 22 kDa glycoprotein, was first described as an in vitro inducer of differentiation and proliferation of bone marrow progenitor cells into distinct colonies, including granulocytes and macrophages.4 GM-CSF can also act on relatively early progenitor cells and interacts with erythropoietin to stimulate eosinophil and megakaryocyte colony formation in vitro.5 Thus, recombinant GM-CSF is an important agent used to enhance
bone marrow recovery after myelotoxic chemotherapy in cancer patients. In addition, G-CSF and GM-CSF are broadly administered to acute leukemia and other patients to accelerate bone marrow recovery, as well as induction of leukocyte proliferation and maturation in solid-organ transplantation, aplastic anemia, and neutropenia caused by acquired immune deficiency syndrome. In addition, recombinant GM-CSF seems likely to become an important novel therapeutic agent in several inflammatory diseases. Recent studies have revealed a possible antitumor effect of GM-CSF through enhancing the immune response to tumor cells. Furthermore, promising results from both experimental and clinical studies were provided for GM-CSF gene-transduced tumor cell vaccine (GVAX).

Epithelial-to-mesenchymal transition (EMT) is a phenomenon whereby intercellular adhesions of epithelial cells are loosened such that the cells become isolated, mobile, and resistant to apoptosis (anoikis). EMT plays important roles not only in embryogenesis and tissue development but also in tumor progression and metastasis. EMT could contribute to an enhanced ability for cancer cells to migrate and to acquire greater resistance to apoptosis based on the evidence from human and mouse models of breast tumorigenesis. Several important hallmark processes, including loss of E-cadherin and cell polarity, as well as increased N-cadherin and vimentin expression, are needed for EMT to occur. Upon initiation of EMT, loss of E-cadherin could promote tumor progression by enhancing the capacity of cells to invade. These findings provide evidence that EMT is closely related to the development of highly aggressive tumors. In the present study, we demonstrate the possible correlation between GM-CSF and EMT in EC cells, and thereby explore the therapeutic potential of GM-CSF for EC patients.

Materials and methods

Cell culture

Eca109/9706 human EC cells were obtained from Shandong Academy of Medical Sciences (Shandong, People’s Republic of China). Human EC cells lines Eca109 and 9706 were cultured according to Liang et al. All cells were cultured at 37°C in tissue culture flasks (Corning Incorporated; Corning, NY, USA) containing Roswell Park Memorial Institute 1640 medium (Gibco, Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 10% fetal bovine serum (FBS; Gibco, Thermo Fisher Scientific) and 1% penicillin–streptomycin (HyClone; GE Healthcare UK Ltd,Little Chalfont, UK) in a humidified incubator containing 95% air and 5% CO2. The culture medium was replaced every second day, and all cultures were periodically examined for mycoplasma contamination. Eca109/GM-CSF and 9706/GM-CSF cells were obtained by adding recombinant human GM-CSF (rhGM-CSF; R&D systems, Minnesota, USA) to 6-well culture plates in which Eca109/9706 cells were incubated, and then culturing for a further 48 h. Phosphate-buffered saline (PBS) was bought from Sigma-Aldrich Co. (St. Louis, MO, USA).

Patients

We retrospectively included 99 patients diagnosed with esophageal squamous cell carcinoma in Shandong Provincial Qianfoshan Hospital from September 2010 to June 2015. This study was performed in compliance with the 1975 Declaration of Helsinki and was approved by the local ethics committee of Qianfoshan Hospital. Paraffin-embedded samples were collected from all patients involved who received curative-intent surgical resections. Among them, some patients accepted a course of chemotherapy or radiotherapy. The protocol for radiotherapy was a median total dose of 60 Gy, using 1.8–2.2 Gy fractional doses on a five times per week schedule. The chemotherapy was mostly performed with docetaxel/cisplatin. Histological types of tumors were identified based on the World Health Organization criteria. Tumor stages were confirmed according to the 7th edition of the American Joint Committee on Cancer TNM classification system. The pathological characteristics and patient demographic data were obtained from medical records. Patients who died of non-tumor-related factors were excluded. All patients signed the informed consent approved by our institutional Committee on Human Rights in Research.

Cell proliferation assay

Cell counting kit-8 (CCK8; Dojindo Molecular Technologies, Inc. Rockville, MD, USA) was used to assess cell proliferation. In brief, 10% FBS was used to dissociate Eca109/9706 cells to obtain a monoplast suspension. Approximately 3,000 cells per well, in 100 μL of culture medium, were incubated in 96-well culture plates. Control wells contained the same volumes of culture media. All EC cells incubated with 10 μL of rhGM-CSF (2 μg/μL) or with 10 μL culture medium were recovered and stabilized for 24 h, and then culture was continued for 24 h, 28 h, 72 h, and 96 h in 5% CO2 at 37°C. Subsequently, 10 μL of CCK-8 reagent was added to each well and culture was continued for another 2 h. The optical density (OD) values were measured immediately at 450 nm using a microplate spectrophotometer (Epoch; Biotek,
Winooksi, VT, USA). Cell growth curves were plotted, with time as the horizontal axis and OD as the vertical axis.

Flow cytometry
Cells cultured for 24 h with (Eca109/GM and 9706/GM) or without (Eca109 and 9706) rhGM-CSF were washed twice with PBS and then re-suspended in 1× working solution at 1×10^6 cells/mL. A total of 100 μL of cell suspension was incubated with 5 μL of annexin v-phcoerythrin (fluorescein isothiocyanate; eBioscience, San Diego, CA, USA) and 5 μL of 7-amino-actinomycin (propidium iodide; eBioscience) in the dark for 20 min. After staining, 400 μL of binding buffer was added and data were acquired by flow cytometry within 4 h of staining.

Transwell assay
We used transwell polycarbonate filters (8.0 μm pore size; Costar®, Sigma-Aldrich Co.) in 24-well plates to perform the migration assay according to the manufacturer’s instructions. Eca109/9706 cells stimulated with and without GM-CSF were used for the assays. The lower chamber contained 600 μL of 10% PBS complete culture medium as chemoattractant, while 6×10^4 cells were incubated with 100 μL of serum-free medium in the upper chamber. After incubation in 5% CO_2 atmosphere at 37°C for 15 min, stained with 0.25% crystal violet (Beyotime; Haimen, People’s Republic of China), the membranes were incubated for 15 min with sheep anti-rat immunoglobulin G conjugated peroxidase (Abcam) at 4°C. An ABI ViiA7 Dx instrument (Applied Biosystems; Thermo Fisher Scientific) was used to perform PCR reactions. Each 10 μL reaction mixture contained 5 μL of SYBR® Green (Toyobo), 2 μL of nuclease-free water, 1 μL of forward primer, 1 μL of reverse primer, and 1 μL of cDNA. The thermo-cycling conditions were as follows: 35 cycles of 15 s at 95°C, 15 s at 61°C, and 45 s at 72°C. The primer sequences were as follows: GM-CSF, forward primer 5′-GGGACATGTGAATGCCATC-3′ and reverse primer 5′-GGCTCCTGGAGGTCAAACAT-3′; glyceraldehyde-3-phosphate dehydrogenase (GAPDH), forward primer 5′-CAGAACATCATCCTCCTGCTCTAC-3′ and reverse primer 5′-TTGAAGTCAAGGAGACCACCTG-3′.

GAPDH served as endogenous control to account for variations in PCR products due to possible differences in the efficiency of the RT and/or PCR reactions. Each sample was amplified independently in triplicate. The cycle threshold (Ct) was defined as the PCR cycle at which an increase in the fluorescence above the baseline signal was first detected. The Ct value is inversely related to the copy number of the target sequence. PCR results were quantified using the ΔCt method according to the following formula: expression ratio = 2^(-ΔCt), where ΔCt = ΔCt target gene – ΔCt endogenous control gene.  

Western blotting
Cells were washed twice with PBS before protein extraction. Total protein was extracted using radioimmunoprecipitation assay lysis buffer (Sigma-Aldrich Co.) according to the manufacturer’s instructions. After cell dissociation on ice for 30 min, liquid supernatant was carefully collected after centrifugation. The appropriate amount of loading buffer was added according to the protein concentration as determined using a bicinchoninic acid assay kit (Solarbio, Beijing, People’s Republic of China). Total protein samples were isolated by 10% sodium dodecyl sulfate polyacrylamide gel electrophoresis at 30 μg per well and then transferred to a polyvinylidene difluoride membrane (Beyotime Institute of Biotechnology, Haimen, People’s Republic of China). Protein bands were determined using Protein Marker (Thermo Fisher Scientific). The membrane was subsequently blocked with 5% non-fat milk at 37°C for 1 h, incubated overnight with primary antibody, including vimentin (1:1,000; Abcam, Cambridge, UK), E-cadherin (1:1,000; Abcam), and GAPDH (1:5,000; Abcam) at 4°C. After washing three times with Tris-buffered saline containing Tween-20 (Beyotime Institute of Biotechnology), the membranes were incubated with sheep anti-rat immunoglobulin G conjugated peroxidase.
(1:500; Sigma-Aldrich Co.). Based on the manufacturer’s instructions, immunosignals were visualized using Luminol Reagent (Merck Millipore, Billerica, MA, USA), while a FluorChem E instrument was used to capture enhanced chemiluminescence images (Cell Biosciences, Santa Clara, CA, USA). The band intensity was analyzed using ImageJ software (version 1.62; National Institutes of Health, Bethesda, MD, USA).

**Immunochemistry staining**

The formalin-fixed and paraffin-embedded tumor samples obtained from the pathology institution were serially sectioned at 4 μm thickness. Paraffin sections were baked overnight at 58°C, deparaffinized with xylene, and hydrated through a graded ethanol series. Subsequently, GM-CSF and E-cadherin antigens were retrieved by heat using sodium citrate buffer (pH 6.0) at 100°C for 20 min. After being immersed in 3% H₂O₂ for 15 min at room temperature to inactivate endogenous peroxidase, sections were incubated at 4°C overnight with either rabbit monoclonal antibodies against GM-CSF (1:100 dilution; Abcam) or E-cadherin (1:50 dilution; Proteintech Inc., Chicago, IL, USA). Immunostaining was performed using horseradish peroxidase-conjugated streptavidin (Zhongshan Inc., Beijing, People’s Republic of China). Sections were subsequently stained with 3,3′-diaminobenzidine and counterstained with hematoxylin. Finally, sections were dehydrated with an ethanol gradient and mounted with rhamsan gum.

The proportions of positive tumor cells were estimated as the number of stained cells divided by the total number of cells. Two independent pathologists who were blinded to clinical data assessed the sections. All disagreements were resolved through discussion. Expression of GM-CSF and E-cadherin was scored as absent, rare, moderate, or marked as dense. Scores of absence or rare density were considered low, whereas moderate or marked density were considered to be highly expressed, and therefore used for statistical analysis.

**Statistical analysis**

All statistical analyses were performed using SPSS software (version 12.0; SPSS Inc., Chicago, IL, USA). Values are expressed as mean ± standard deviation. Unpaired Student’s t-tests were utilized for comparisons between values. Spearman’s rho test was used to investigate the strength of correlation between GM-CSF and E-cadherin expression. *P*<0.05 was considered to indicate a statistically significant difference.

**Results**

**GM-CSF and EMT**

Western blot and RT-PCR analyses showed significantly increased E-cadherin expression and decreased vimentin expression, which are considered typical hallmarks of epithelial and mesenchymal phenotypes, respectively. Thus, there was a significant inhibition of EMT phenomenon in EC cells after GM-CSF stimulation (Figure 1). *P*-values for Eca109 and

![Figure 1](https://www.dovepress.com/)

**Figure 1** Protein and mRNA expression levels of E-cadherin and vimentin in EC cells.

**Notes:** Representative Western blotting showing the expression of E-cadherin (A) and vimentin (D) in EC cells treated with and without GM-CSF stimulation. Quantification of the relative protein expression levels of E-cadherin (B) and vimentin (E), normalized against GAPDH protein expression. Quantification of the mRNA expression levels of E-cadherin (C) and vimentin (F) was normalized against GAPDH expression. Data are presented as mean ± standard deviation. *P*<0.05.

**Abbreviations:** EC, esophageal cancer; GM-CSF, granulocyte-macrophage colony-stimulating factor; mRNA, messenger RNA.
9706 cells were all <0.001 for gain of E-cadherin, and <0.007 (Eca109) and <0.004 (9706) for loss of vimentin.

**GM-CSF inhibits EC-cell proliferation**

The CCK-8 assay was used to assess the effects of GM-CSF on the proliferation of Eca109 and 9706 cells. The proliferation rates were significantly lower in GM-CSF-stimulated than unstimulated Eca109 cells at 72 h \( (P=0.011) \) and 96 h \( (P=0.003) \) (Figure 2). In addition, the proliferation rates for 9706 cells at 24 h \( (P=0.004) \), 72 h \( (P=0.040) \), and 96 h \( (P=0.003) \) were also significantly decreased. However, the proliferation rates of Eca109 cells incubated for 24 h and 48 h, as well as 9706 cells incubated for 48 h with or without GM-CSF, were not significantly different \( (P>0.05) \).

**GM-CSF inhibits EC-cell migration**

The transwell migration assay was used to evaluate the inhibitory effects of GM-CSF on EC cells. The number of invading Eca109 cells was 81.67±2.31 and 357.67±5.13 for GM-CSF stimulated and unstimulated cells, respectively. The corresponding numbers for 9706 cells were 63.67±4.16 and 369.33±2.31, respectively (Figure 3). These differences were statistically significant at \( P=0.023 \) for Eca109 and \( P=0.012 \) for 9706 cells.

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**Figure 2** Effect of GM-CSF on proliferation of EC cells.

**Notes:** The enhanced proliferation rates of Eca109 (A) and 9706 (B) cells. Quantification of proliferation rates of EC cells at 24 h (C), 48 h (D), 72 h (E), and 96 h (F). Data are presented as mean ± standard deviation. \* \( P<0.05 \).

**Abbreviations:** EC, esophageal cancer; GM-CSF, granulocyte-macrophage colony-stimulating factor.
GMC5F enhances EC-cell apoptosis
Flow cytometry was used to analyze apoptotic rates of EC cells. The apoptotic rates were higher in cells incubated for 48 h in complete culture medium than without GM-CSF. The number of apoptotic cells was 12.4 ± 0.62 and 8.64 ± 0.64 in the GM-CSF-stimulated and unstimulated Eca109 cells, respectively, and this difference was statistically significant at P = 0.019 (Figure 4). Corresponding numbers were 7.27 ± 0.31 and 4.13 ± 0.21 for stimulated and unstimulated 9706 cells, respectively, which was also statistically significant at P = 0.023.

Immunohistochemistry (IHC) staining
In EC tissue samples, both GM-CSF and E-cadherin expressions were increased. Based on GM-CSF staining intensities, the samples were divided into high and low groups, and the number of GM-CSF high and low groups were 47 (47.5%) and 52 (52.5%), respectively. Similarly, E-cadherin expression could be dichotomized into high (44 patients, 44.4%) and low (55 patients, 55.6%) groups. Among the GM-CSF-high group, 42 (80.8%) patients also showed high E-cadherin expression, while 34 (72.3%) patients with low E-cadherin expression identified with the GM-CSF-low group. Figure 5 shows representations of the immunostaining results. There was a significant positive correlation between GM-CSF levels and E-cadherin expression (correlation coefficient = 0.473, P < 0.001).

Discussion
As one of the most aggressive malignant tumors, EC is generally associated with poor survival. Due to the aggressive biological behavior of EC cells, developing a novel and efficient therapy for EC patients is presently a big challenge. GM-CSF can be secreted by several cell types, including myeloid cells, dendritic cells, T cells, B cells, endothelial cells, and notably, tumor and stromal cells in the tumor microenvironment. Recent studies have shown that GM-CSF has a much broader range of biological activities and exerts antitumor effects on cancer patients in an immune-dependent and -independent manner. A previous publication by our group showed that increased serum GM-CSF levels during radiotherapy were associated with better survival, which indicated the potential antitumor effects of GM-CSF, although the exact mechanism was unclear. Many other studies of pancreatic ductal adenocarcinoma have also provided supporting evidence for the antitumor effects of GM-CSF. Urduinguio et al discovered that the 5-year survival rates were high in colon cancer patients overexpressing GM-CSF and their receptors. Bayne et al demonstrated that tumor-derived GM-CSF could act as an integral part of cancer inflammation by suppressing antigen-specific T cells, thereby blocking the growth of pancreatic ductal adenocarcinoma. Overexpression of GM-CSF had the potential to increase the susceptibility of cancer cells to anticancer drugs. Recent studies also reveal that the combination of GM-CSF with
Figure 4 Effect of GM-CSF on apoptosis of EC cells.

Notes: Flow cytometric analysis of apoptosis in Eca109 (A), Eca109/GM (B), 9706 (C), and 9706/GM (D) cells. Statistical analysis of apoptotic rate of EC cells (E). Data are presented as mean ± standard deviation. *P < 0.05.

Abbreviations: EC, esophageal cancer; GM-CSF, granulocyte-macrophage colony-stimulating factor; FITC-A, fluorescein isothiocyanate; PI-A, Annexin V Propidium Iodide.
radiotherapy and/or chemotherapy could enhance efficacy and safety, and significantly improve the overall survival of patients with metastatic disease. These findings represent a promising strategy for antitumor therapy, and this has become an intense area of both clinical and basic research. The various research findings are consistent with an important role for GM-CSF in delaying cancer progression. However, the mechanism of tumor suppression exhibited by GM-CSF is not yet clear. Thus, the present study focused on examining the effects of GM-CSF on multiple biological functions of EC cell lines and exploring the potential mechanism of its antitumor effects.

We investigated the anti-proliferative and anti-metastatic effects of GM-CSF in EC cells by cell proliferation and transwell migration assays, respectively. The cell proliferation assay showed that GM-CSF suppressed cell proliferation in a time-dependent manner. Significantly decreased cell migration was also observed in the Eca109/GM and 9706/GM groups compared to the untreated groups. We speculated that the effect of GM-CSF might be correlated with the levels of COX-2 and iNOS. COX-2 and iNOS, which play critical roles in tumor proliferation, metastasis, prognosis, and many other biological behaviors, are highly upregulated in tumor cells.28–31 iNOS can generate a tumorigenic environment consisting of high levels of nitric oxide (NO),32 while COX-2 could delay the metabolic progression of cancer cells. The COX-2-encoding gene, PTGS2, is an early-response gene induced by carcinogens, tumor promoters, and oncogenes.33 Jiang et al demonstrated that the expression of GM-CSF was negatively correlated with COX-2 and iNOS levels in cervical cancer cells, and thus, tumor tissues expressing high levels of GM-CSF were likely to have lower levels of iNOS and COX-2. Thus, in cervical cancer, the mechanism of the protective role of GM-CSF is partly through the downregulation of pro-tumor factors such as COX-2 and iNOS, thereby interfering with tumor progression. However, Condamine and Gabrilovich discovered that iNOS expression was upregulated in peripheral blood mononuclear cells from a healthy person after being incubated with GM-CSF. Moreover, Cruz et al showed a synergistic function of GM-CSF and transcription factors, including IL-6 and NF-kB, in the enhancement of COX-2 expression. These contradictory results might be because GM-CSF has different effects on different cell types.

Flow cytometry was used to investigate the effect of GM-CSF on the apoptotic rates of EC cells. The apoptotic rates were higher in GM-stimulated than in unstimulated Eca109 and 9706 cells. We hypothesized that the
proapoptotic effect of GM-CSF was associated with the Bcl-2 family and IL-23. The Bcl-2 family, known as anti-apoptotic proteins, plays important roles in inhibiting apoptosis and impeding the antitumor efficiency of cancer therapies.\(^{37}\) However, this effect could be suppressed by IL-23.\(^{38}\) The proapoptotic functions of GM-CSF on EC cells might correlate with IL-23 production, which sensitizes and enhances apoptosis via Bcl-2 degradation in a proteasome-dependent pathway.\(^{39}\) Bcl-2 downregulation enhances the activation of CASP9 and promotes proapoptotic oxidative stress. These results suggested a new pathway for the antitumor effects of GM-CSF via an IL-23-dependent signaling pathway. However, it was also reported that GM-CSF could increase the expression of Bcl-2 family members,\(^{40}\) probably through the activation of STAT5. The PI3K–AKT and RAS–MAPK pathways are other important signaling pathways used by GM-CSF to inhibit apoptosis in hematopoietic lineage cells.\(^{41,42}\) Thus, the roles of GM-CSF on cancer cells need further exploration.

Results of Western blot, RT-PCR, and IHC analysis have suggested that GM-CSF stimulation could suppress EMT in EC cells and tissues, though the exact mechanism is still under investigation. Studies suggest that GM-CSF activates JAK2, which associates with the cytoplasmic domains of a number of cytokine receptors.\(^{43}\) Moreover, JAK2 is an important mediator of signals triggered by several hematopoietic growth factors, including GM-CSF. Liu et al\(^{44}\) showed that the arginine methyltransferase, PRMT5, originally identified as JAK-binding protein 1, could be phosphorylated and attenuated by oncocgenic JAK2 mutant kinase. The tumorigenic effect of PRMT5 has been demonstrated, and its overexpression correlated with poor prognosis and patient survival.\(^{45}\) In addition, PRMT5 has been associated with EMT, though the exact mechanism is unknown. Ibrahim et al\(^{46}\) demonstrated a relationship between the intracellular localization of PRMT5 and the expression of vimentin and E-cadherin. In cells expressing high levels of vimentin and low levels of E-cadherin, PRMT5 was localized in the cytoplasm; however, it became nuclear in cells expressing high E-cadherin and low vimentin. Hou et al\(^{47}\) discovered that PRMT5 could form a complex with AJUBA to downregulate E-cadherin in a SNAIL-dependent pathway. The depletion of PRMT5 resulted in upregulated E-cadherin expression, which indicated that PRMT5–SNAIL–AJUBA complex played an important role in E-cadherin regulation. Thus, we speculate that GM-CSF may regulate EMT through JAK2–PRMT5 signaling, and thereby exhibit its antitumor effect in EC cells.

**Conclusion**

In conclusion, our data are consistent with the inhibition of cancer cell proliferation and migration, as well as induction of apoptosis, by GM-CSF. The effect of GM-CSF on proliferation and migration might correlate with COX-2 and iNOS levels, while the Bcl-2 family and IL-23 might play roles in the proapoptotic effects of GM-CSF. However, the effects of GM-CSF on human EC cells and other cancer cell types require further investigation. Our data may further broaden the potential application of GM-CSF and suggest GM-CSF as a promising therapeutic agent for antitumor therapy.

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**Disclosure**

The authors report no conflicts of interest in this work.

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