Spotlight on nivolumab in the treatment of renal cell carcinoma: design, development, and place in therapy

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Abstract: Several tyrosine kinase inhibitors targeting the vascular endothelial growth factor receptors and molecules inhibiting the mammalian target of rapamycin are being used for management of metastatic renal cell carcinoma (mRCC); however, there is still a potential for improvement. Immune checkpoint inhibitors like nivolumab and other PD-1/PD-L1 inhibitors provide an alternative approach for patients with mRCC. In this article, the authors review the safety profile and outcomes of phase 1, 2, and 3 clinical trials of nivolumab in mRCC.

Keywords: renal cell carcinoma, kidney cancer, PD-1, nivolumab, immunotherapy

Introduction

Renal cell carcinoma (RCC) is the eight most common cause of cancer in the United States with an estimated 62,700 new cases in 2016.¹ Histologically, the majority of RCC is classified as clear cell (75%–85%). Non-clear cell RCC include papillary (10%–15%) and chromophobe (4%–5%) in addition to other rare forms such as renal medullary cancer.² Approximately two-thirds (65%) of kidney cancers are diagnosed in early stages, and surgery with partial or radical nephrectomy is the treatment of choice. However, up to 30% of patients who have up-front surgery eventually develop local recurrence or metastatic disease.³,⁴ Another 35% of all RCC are diagnosed at advanced stage. Cytoreductive nephrectomy plays a significant role in the management of a subgroup of metastatic RCC (mRCC).³ Traditional chemotherapy has shown no clinical activity in mRCC except for patients with renal medullary cancer. Interferon-α (INFα) and interleukin-2 (IL-2) had been the mainstay of treatment.⁵ These agents produce complete responses (CRs) in a small percentage of patients but have significant adverse effects.⁶ Since 2005, several tyrosine kinase inhibitors (TKI) targeting the vascular endothelial growth factor (VEGF) receptors and inhibitors of the mammalian target of rapamycin (mTOR) have been approved for the management of mRCC. Sunitinib, pazopanib, temsirolimus, and bevacizumab (in combination with INFα) are approved in the first-line setting, whereas sorafenib, cabozantinib, axitinib, and everolimus (as a single agent or in combination with lenvatinib) are approved as second-line agents.⁸–¹³

Several observations over the years have shown that RCC is an immune responsive tumor, for example, nephrectomy in mRCC has been shown to occasionally cause resolution of metastatic lesions in the lung, which was noted to occur with autoimmunne flair in several cases.¹⁴,¹⁵ Also, mRCC responds to INFα and IL-2 both of which are classic immune-based therapies. An objective response rate (ORR) of 10%–19%,...
with durable CRs in 5%–8% of patients, was demonstrated with IL-2. In addition, cytoreductive nephrectomy prior to INFα therapy had a better outcome compared to INFα alone, which could be partly based on amplification of immune response by the surgery. Therefore, when the new generation of immune-based therapies were being tested, RCC was an obvious choice.

**Mechanism of action and pharmacology**

Nivolumab is a fully human, genetically engineered monoclonal IgG4 antibody specific for the programmed death-1 (PD-1) cell surface receptor. Pembrolizumab is another monoclonal antibody against PD-1, which is approved for the management of metastatic melanoma and metastatic non-small-cell lung cancer. The interaction between tumor cells and the immune system is complex with several on and off switches that prompt stimulation or inhibition. Antigen presenting cell via major histocompatibility complex-1 interact with the T-cell receptors on the T cells. During this interaction, several co-stimulatory and co-inhibitory surface receptors, also known as immune checkpoints, determine whether the T cells get activated or not. PD-1, cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), and TIM-3 are some of the known inhibitory receptors, whereas OX-40, CD-27, and GITR are some of the known co-stimulatory receptors on the T cells.

The ligand for PD-1, PD-L1, is expressed on normal cells including placenta, macrophages, and dendritic and endothelial cells. It is also expressed in various tumors, including melanoma, non-small-cell lung cancer, and RCC among others. The interaction between PD-1 and PD-L1 leads to T-cell exhaustion and immune tolerance.

Preclinical data regarding the importance of PD-1/PD-L1 in downregulating immune response comes from studies in mice. A null mutation in the PD-1 genes of transgenic mice leads to chronic and systemic graft-versus-host disease. Mice models with the knockout of PD-L1 develop lupus-like arthritis and glomerulonephritis with IgG3 immune deposition.

Nivolumab is administered intravenously and is currently available in 40 mg/4 mL or 100 mg/10 mL vials. Linear pharmacokinetics was noted in phase 1 clinical trials with dose-proportional increase in the peak concentration and area under the curve calculated from day 1 to 14. The peak concentration is achieved within 1–4 hours after the start of infusion. Age (29–87 years), weight (35–160 kg), gender, race, baseline LDH, renal impairment, and mild hepatic impairment did not affect the clearance of nivolumab.

**Phase I clinical trial**

The initial dose finding clinical trial of nivolumab enrolled heavily pretreated patients (median of four prior lines of therapy) with progressive advanced RCC, metastatic melanoma, non-small-cell lung cancer, colorectal, and castrate-resistant prostate cancer. Sequential cohorts of six patients were treated with four different doses of 0.3, 1, 3, or 10 mg/kg with each infusion lasting 60 minutes. Fifteen additional patients were planned to receive the maximum tolerated dose (MTD) or 10 mg/kg (the highest planned dose). Weekly evaluation for toxicity was performed for a total of 8 weeks. Adverse effects of grade 3 or greater in severity or laboratory abnormality corresponding to grade 3 or greater occurring within 28 days was considered dose-limiting toxicity. A total of 39 patients were treated, which included 6 each at 0.3, 1, and 3 mg/kg dose, and 21 patients received 10 mg/kg. Overall, nivolumab was well tolerated and the MTD was not reached. Most frequent grade 2 or greater adverse events were decrease in CD4 lymphocyte count (35.9%), lymphopenia (25.6%), fatigue (15.4%), and musculoskeletal events (15.4%). Specifically, no grade 3 or greater immune-related adverse effects were reported within 28 days of the first dose. Two patients were reported to have grade 2 polyarticular arthropathy, which improved with steroids, and one patient had grade 2 hypothyroidism, which was treated with hormone supplementation. Only one patient developed grade 3 colitis after five infusions of nivolumab at 1 mg/kg. This colitis was noted 8 months after the first dose and was treated successfully with infliximab. One patient with colorectal cancer who was treated with nivolumab at 3 mg/kg had CR, two patients had partial response, and two had mixed response with regression in some lesions and progression in others.

Safety and tolerability were evaluated in another expanded phase 1 trial. This trial had a similar dose escalation strategy in similar recurrent or progressive malignancies as the previous one. Patients were sequentially enrolled to receive nivolumab at 1, 3, or 10 mg/kg of body weight. No MTD was encountered. Further patients were enrolled in the two stages of cohort expansion. Initially, five cohorts of ~16 patients each were enrolled at doses of 10 mg/kg for melanoma, non-small-cell lung cancer, RCC, castration-resistant prostate cancer, and colorectal cancer, and based on the preliminary activity signals, 16 patients were enrolled additionally in three more cohorts of melanoma, non-small-cell lung cancer, and RCC. The study finally accrued 104 melanoma, 122 non-small-cell lung cancer, 34 RCC, 19 colorectal, and 17 castrate-resistant prostate cancer patients.
for a total cohort of 296 patients. Among the 34 RCC patients, 32 (94%) had prior nephrectomy, 10 (29%) had more than four lines of prior systemic therapies, and notably 20 (59%) had prior immunotherapy. Nivolumab was well tolerated with fatigue, rash, diarrhea, nausea, pruritus, decreased appetite, pyrexia, and headache being the most common drug-related adverse effects. Potential less frequent immune-related adverse events including pneumonitis, colitis, hepatitis, hypophysitis, thyroiditis, and vitiligo were reported in this study. Objective responses were noted in non-small-cell lung cancer, melanoma, and RCC patients. Among the 34 RCC patients, nivolumab was dosed at 1 mg/kg in 18 patients and 10 mg/kg in the remaining 16 patients. Four of the 18 patients (24%) receiving 1 mg/kg and 5 of the 16 patients (31%) receiving 10 mg/kg were reported to have objective response. A 24-week progression-free survival (PFS) of 56% was reported in the RCC cohort, and five patients had responses lasting for 1 year and beyond.

McDermott et al published the long-term follow-up analysis (median of 45 months) of RCC patients enrolled in a second phase 1 trial. The patients were treated up to 96 weeks or until they experienced disease progression, unacceptable adverse events, or CR. After 1 year of treatment, the patients showing objective response to treatment or having stable disease were observed and offered 1 additional year of nivolumab at progression. Radiologic response assessments were obtained after every 8-week treatment cycle. Treatment was continued beyond initial progression in patients without clinical evidence of disease progression until confirmation of progression in the next radiologic assessment. Responses were noted at both doses, 1 and 10 mg/kg. The median time to tumor response was 16 weeks, whereas 4 of the 10 responding patients showed an objective response at the first radiographic assessment. The objective response rate for the entire group of RCC patients was 29.4% (95% confidence interval [CI]: 15.1%–47.5%) with a median response duration of 12.9 months. The median PFS was 7.3 months (95% CI: 3.6–10.9) and the median overall survival (OS) was 22.9 months (95% CI: 12.5 to not estimable) for the entire cohort.

Phase 2 clinical trial
A randomized, blinded, multicenter, phase 2 clinical trial of nivolumab in mRCC was launched after the promising clinical activity in phase 1 studies. The study had three arms with 1:1:1 randomization to three different doses of nivolumab, 0.3, 2, and 10 mg/kg. The randomization was stratified based on the number of prior therapies (1 versus >1) and MSKCC risk group (favorable or intermediate versus poor). The primary end point was PFS as a measure of dose–response relationship. The secondary end points were ORR, OS, and safety. One hundred and sixty-eight patients were enrolled in the study: 60 to receive nivolumab at 0.3 mg/kg and 54 each to receive nivolumab at 2 and 10 mg/kg. The majority of patients (70%) had received more than one therapy for mRCC and 42 patients (25%) were categorized to have poor risk features by MSKCC criteria. Dose–response relationship was not observed for PFS, with a median PFS of 2.7 months (80% CI: 1.9–3.0 months), 4.0 months (80% CI: 2.8–4.2 months), and 4.2 months (80% CI: 2.8–5.5 months) for the 0.3, 2, and 10 mg/kg groups, respectively. Twelve patients in each of the 0.3 and 2 mg/kg arms and 11 patients in the 10 mg/kg arm were reported to have responded to nivolumab leading to an ORR of 20%, 22%, and 20% in the 0.3, 2, and 10 mg/kg arms, respectively. Sustained response beyond 24 months was noted in 14 of the 35 (40%) responders. Ten patients (17%) in the 0.3 mg/kg group, 12 (22%) in the 2 mg/kg group, and 14 (26%) in the 10 mg/kg group were treated with nivolumab beyond radiographic progression. With a follow-up of at least 24 months, the study reported a median OS of 18.2 months (80% CI: 16.2–24.0 months) in the 0.3 mg/kg arm, 25.5 months (80% CI: 19.8–28.8 months) in the 2 mg/kg arm, and 24.7 months (80% CI: 15.3–26.0 months) in the 10 mg/kg arm.

Overall, all the three arms had similar rates of adverse events. Fatigue was the most common adverse event reported. Nineteen patients developed grade 3 or 4 drug-related adverse effects, of which 4 of these patients were in the 0.3 mg/kg group, 14 were in the 1 mg/kg group, and 1 was in the 10 mg/kg group. No grade 3 or 4 pneumonitis was reported. Nausea, arthralgia, and elevation of alanine and arginine transaminases were the reported grade 3 to 4 adverse effects. In summary, this phase 2 clinical trial confirmed good activity of nivolumab in mRCC, which was present across the three tested doses and all MSKCC risk groups.

Phase 3 clinical trial
Based on a successful phase 2 trial of nivolumab in mRCC, a phase 3, multicenter, international, randomized study was performed (Table 1). Extrapolating from trials in melanoma and non-small-cell lung cancer, the 3 mg/kg nivolumab dose for every 2 weeks was selected. The aim of this study was to compare the efficacy of nivolumab with everolimus, an mTOR inhibitor, which was an approved second-line agent at the time for the management of mRCC after progression on an anti-VEGF agent. This was an
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Table 1 Clinical trials with nivolumab in metastatic RCC

<table>
<thead>
<tr>
<th>Clinical trial</th>
<th>Phase</th>
<th>Number of subjects with RCC</th>
<th>Comparator arm</th>
<th>Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Topalian et al</td>
<td>1</td>
<td>34</td>
<td>None</td>
<td>Dose: 1 mg/kg (n=17) ORR: 24% (95% CI: 7%–50%) SD ≥24 weeks: 24% (95% CI: 7%–50%) mPFS at 24 weeks: 47% (95% CI: 23%–71%)</td>
</tr>
<tr>
<td>Motzer et al</td>
<td>2</td>
<td>168</td>
<td>None</td>
<td>Dose: 0.3 mg/kg (n=60) ORR: 20% (80% CI: 13.4%–28.2%) mPFS: 2.7 months (80% CI: 1.9–3.0) mOS: 18.2 months (80% CI: 16.2–24.0)</td>
</tr>
<tr>
<td>Motzer et al</td>
<td>3</td>
<td>821</td>
<td>Everolimus</td>
<td>Dose: 3 mg/kg (n=410) Odds ratio for response rate: 5.98 (95% CI: 3.68–9.72) mPFS: 4.6 months (95% CI: 3.7–5.4) mOS: 25.0 months (95% CI: 21.8 to NR)</td>
</tr>
</tbody>
</table>

Abbreviations: CI, confidence interval; mPFS, median progression-free survival; mOS, median overall survival; NR, not reached; ORR, objective response rate; RCC, renal cell carcinoma; SD, stable disease.

Table 2 Major grade 3 or 4 adverse events noted in phase 3 clinical trial of nivolumab in RCC

<table>
<thead>
<tr>
<th>Adverse events</th>
<th>Number (%) of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>All events</td>
<td>76 (19)</td>
</tr>
<tr>
<td>Fatigue</td>
<td>10 (2)</td>
</tr>
<tr>
<td>Nausea</td>
<td>1 (&lt;1)</td>
</tr>
<tr>
<td>Diarrhea</td>
<td>5 (1)</td>
</tr>
<tr>
<td>Decreased appetite</td>
<td>2 (&lt;1)</td>
</tr>
<tr>
<td>Rash</td>
<td>2 (&lt;1)</td>
</tr>
<tr>
<td>Anemia</td>
<td>7 (2)</td>
</tr>
<tr>
<td>Dyspnea</td>
<td>3 (1)</td>
</tr>
<tr>
<td>Pneumonitis</td>
<td>6 (1)</td>
</tr>
<tr>
<td>Hyperglycemia</td>
<td>5 (1)</td>
</tr>
</tbody>
</table>


Abbreviation: RCC, renal cell carcinoma.
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common any grade side effects are fatigue, nausea, pruritus, and diarrhea. In the phase 3 clinical trial, 33% of patients reported fatigue and 14% each reported nausea and pruritus. As nivolumab potentiates the immune system, it leads to a distinct set of autoimmune-mediated side effects. Pneumonitis, endocrinopathies (hypothyroidism and hypophysitis), and hepatitis are some of the potential autoimmune adverse effects. Case series with combination of nivolumab and ipilimumab have reported fatal complications including fulminant myocarditis. Systemic steroids are the cornerstone for treatment of these immune-mediated adverse effects. Grade 3 or 4 immune-related side effects warrant prompt discontinuation of the drug and treatment with 1 mg/kg prednisone or equivalent, with a slow taper over the course of a month after clinical or laboratory improvement.

Combination therapy

The combination of nivolumab and ipilimumab, a CTLA-4 antibody, in mRCC is being tested in first-line setting and at disease progression. This combination is approved for management of advanced melanoma. The phase 1 study of nivolumab plus ipilimumab (CheckMate-016) initially tested three different dosing schedules: nivolumab 1 mg/kg + ipilimumab 3 mg/kg (Nivo 1 + Ipi 3), nivolumab 3 mg/kg + ipilimumab 1 mg/kg (Nivo 3 + Ipi 1), and nivolumab 3 mg/kg + ipilimumab 3 mg/kg (Nivo 3 + Ipi 3). However, the accrual for Nivo 3 + Ipi 3 was stopped due to excessive toxicity, and the other two dosing schedules were recommended for expansion cohorts. Forty-seven patients were enrolled in the two arms. The majority of patients (88%) had treatment-related side effects, as grade 3 or 4 adverse effects were noted in 34% of Nivo 3 + Ipi 1 and 64% of Nivo 1 + Ipi 3 treated patients. Gastrointestinal (colitis, diarrhea, and elevation of lipase) and hepatic (elevation of alanine transaminase and alkaline transaminase) were the most common reported grade 3 or 4 adverse events. The ORR and PFS rate at 24 weeks were 38.3% and 54%, respectively, in the Nivo 3 + Ipi 1 group, whereas they were 40.4% and 68%, respectively, in the Nivo 1 + Ipi 3 group. With this encouraging activity and safety profile, a phase 3 randomized clinical trial of Nivo 3 + Ipi 1 versus sunitinib in newly diagnosed mRCC (CheckMate 214) was launched and the results of this trial are awaited.

Future directions

Nivolumab is the first immune-checkpoint inhibitor to be approved for second-line therapy of mRCC, after progression on VEGF-TKIs. Nivolumab has clearly shown benefit over everolimus; however, there are several other second-line options including axitinib and cabozantinib that have shown comparable PFS and OS benefits. It is important to point out that the side effect profile for nivolumab appears to be better than TKIs and about one-third of patients on phase 1 and phase 2 trials were alive at 5 and 3 years, respectively, suggesting a potential long-term benefit in selected patients.

The sequencing of various VEGF-TKIs and nivolumab is still unclear and needs to be further elucidated in future clinical trials. There is preliminary evidence to suggest that nivolumab might be more efficacious in patients who progress on sunitinib than in patients who progress on pazopanib. The safety profile of nivolumab is clearly established, and long-term use has been evaluated in various malignancies including mRCC. Clinical trials testing the combination therapy of nivolumab plus VEGF-TKIs or nivolumab and other immune-modulating agents are underway, which will shed more light into its use in mRCC, including the possible utility in front-line setting. Other possible settings for use of nivolumab include neoadjuvant and adjuvant setting in high-risk non-mRCC patients. Over the next few years, other anti-PD-1 and anti-PD-L1 agents may be approved for mRCC including pembrolizumab, avelumab, and atezolizumab, which would add to the therapeutic repertoire. In addition, further research is needed for developing predictive biomarkers to identify patients who would benefit most from the PD-1-directed therapy. No single PD-L1 biomarker stain has been standardized. In the future, a combination of various biomarkers like PD-L1 staining, immune microenvironment surrounding the tumor, and mutation burden might prove to be a better marker for response to anti-PD-1/PD-L1 therapy.

Table 3 Ongoing clinical trials with nivolumab in RCC

<table>
<thead>
<tr>
<th>Clinical trial</th>
<th>Phase</th>
<th>Number of patients</th>
<th>Intervention</th>
<th>Setting</th>
</tr>
</thead>
<tbody>
<tr>
<td>NCT02575222</td>
<td>1</td>
<td>30</td>
<td>Nivolumab as a single agent</td>
<td>Neoadjuvant in high-risk patients</td>
</tr>
<tr>
<td>NCT02595181</td>
<td>1</td>
<td>29</td>
<td>Nivolumab</td>
<td>Neoadjuvant in high-risk patients</td>
</tr>
<tr>
<td>NCT028999078</td>
<td>1b/2</td>
<td>30</td>
<td>Nivolumab and ibrutinib</td>
<td>Previously treated metastatic RCC</td>
</tr>
<tr>
<td>NCT02718066</td>
<td>1b/2</td>
<td>78</td>
<td>Nivolumab and HBI-8000</td>
<td>Metastatic RCC and other malignancies</td>
</tr>
<tr>
<td>NCT02923531</td>
<td>1b/2</td>
<td>20</td>
<td>Nivolumab and XP4-001</td>
<td>Advanced RCC</td>
</tr>
<tr>
<td>NCT02781506</td>
<td>2</td>
<td>35</td>
<td>Nivolumab and stereotactic ablative radiation therapy</td>
<td>Metastatic clear cell RCC</td>
</tr>
</tbody>
</table>

Abbreviation: RCC, renal cell carcinoma.
Finally, the role of anti-PD-1/PD-L1 therapy in non-clear cell RCC needs further investigation.

Disclosure
The authors report no conflicts of interest in this work.

References


