

# Sodium-glucose cotransporter 2 inhibitors combined with dipeptidyl peptidase-4 inhibitors in the management of type 2 diabetes: a review of current clinical evidence and rationale

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**Abstract:** Type 2 diabetes mellitus (T2DM) is a progressive and multifactorial cardiometabolic disorder. Almost half of adults with diabetes fail to achieve their recommended glucose control target. This has prompted some clinicians to advocate the use of more intensive initial therapy, including the use of combination therapy to target multiple physiologic defects in diabetes with the goal of achieving and sustaining glucose control. Numerous options exist for combining the various classes of glucose-lowering agents in the treatment of T2DM. This report reviews the mechanism, rationale, and evidence from clinical trials for combining two of the newer drug classes, namely, dipeptidyl peptidase-4 inhibitors and sodium-glucose cotransporter 2 inhibitors, and considers the possible role of such dual therapy in the management of T2DM.

**Keywords:** sodium-glucose cotransporter 2 inhibitors, dipeptidyl peptidase-4 inhibitors, type 2 diabetes mellitus, combination therapy

## Introduction

Type 2 diabetes mellitus (T2DM) is a progressive, multifactorial metabolic and cardiovascular disorder characterized by multiple pathophysiologic abnormalities (exemplified by the “Ominous Octet”).<sup>1-3</sup> Almost half of adults with diabetes do not achieve the recommended glucose control targets.<sup>4</sup> This has prompted some clinicians to consider more aggressive initial therapy, including the initial use of combination therapy.<sup>1,5</sup> DeFronzo and colleagues have proposed the early initiation of combination therapy in the treatment of T2DM, using agents that correct the associated pathophysiologic abnormalities to produce a sustained reduction in glycated hemoglobin (HbA<sub>1c</sub>).<sup>1</sup> They further suggested that medications and treatment approaches in T2DM should preserve or improve pancreatic  $\beta$ -cell function, reduce insulin resistance in muscle and liver, have a beneficial effect on cardiovascular risk factors, be weight neutral/promote weight loss, and be safe to use.<sup>1</sup> Drug classes selected for use in combination should have complementary mechanisms of action,<sup>1,5</sup> which may prevent the occurrence of compensatory mechanisms and may maximize the chances of additive effects in achieving and maintaining HbA<sub>1c</sub> goals.<sup>6</sup> The potential use of initial combination therapy is recognized in the current standards of care for diabetes, but the guidance is directed at those with more severe hyperglycemia.<sup>5</sup> The American Diabetes Association/European Association for the Study of Diabetes recommend that initial combination therapy should be considered for patients with baseline HbA<sub>1c</sub>  $\geq 9\%$ .<sup>3,7</sup>

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The American Association of Clinical Endocrinologists/American College of Endocrinology recommend initial dual therapy for patients with  $HbA_{1c} \geq 7.5\%$ , and dual or triple combination therapy for individuals with  $HbA_{1c} > 9\%$ , if presenting without significant symptoms.<sup>8</sup> Nevertheless, both treatment algorithms describe the need to individualize  $HbA_{1c}$  targets and the choice of therapies, based on each patient's clinical attributes and preferences.<sup>3,7,8</sup>

Numerous options exist for combining the various classes of glucose-lowering agents in the treatment of T2DM. This report examines the mechanism, rationale, and evidence from Phase III clinical trials for combining two of the newer drug classes in the treatment of T2DM, namely, dipeptidyl peptidase-4 (DPP-4) inhibitors and sodium-glucose cotransporter 2 (SGLT2) inhibitors, and considers the possible role of such therapy in the management of T2DM. Of note, the scope of this review does not include examination of the sequence in which the various classes of glucose-lowering medication are used, nor does it compare combination vs stepwise therapy, but rather explores in detail the current evidence and data available for the combination of SGLT2 and DPP-4 inhibitors in the treatment of T2DM.

## Summary of mechanisms, efficacy, and safety DPP-4 inhibitors

DPP-4 inhibitors prevent the enzymatic degradation of endogenous incretin hormones (active glucagon-like peptide [GLP]-1 and glucose-dependent insulinotropic polypeptide [GIP]).<sup>9,10</sup> Increased plasma concentrations of GLP-1 and GIP stimulate insulin secretion from pancreatic  $\beta$ -cells and inhibit glucagon secretion from pancreatic  $\alpha$ -cells, which causes inhibition of endogenous glucose production and a reduction in plasma glucose concentration.<sup>11</sup> DPP-4 inhibitors first gained US regulatory approval for use in T2DM in 2006, with sitagliptin as the first-in-class.<sup>12</sup> Other US-approved DPP-4 inhibitors are saxagliptin, linagliptin, and alogliptin, and four additional “gliptins” are available in other parts of the world.<sup>13</sup> DPP-4 inhibitors are a heterogeneous group, differing in their chemical structure (not shown) and pharmacokinetic properties (Table 1).<sup>12,14–19</sup> As a class, DPP-4 inhibitors have been shown to improve glycemic control in patients with T2DM when given as monotherapy or in combination therapy, with no increased risk of weight gain or hypoglycemia<sup>13</sup> (when used without insulin secretagogues or insulin).<sup>16–19</sup> DPP-4 inhibitor monotherapy reduced  $HbA_{1c}$  by 0.6%–0.7% when compared with placebo in randomized controlled trials (RCTs; Table 2),<sup>20–23</sup> and comparable efficacy

**Table 1** Summary of pharmacokinetic characteristics of DPP-4 inhibitors (US-approved)<sup>12,14,15</sup>

Parameters	Sitagliptin <sup>16</sup>	Saxagliptin <sup>17</sup>	Linagliptin <sup>18</sup>	Alogliptin <sup>19</sup>
Recommended (once-daily) dose <sup>a</sup> (mg)	100	2.5 and 5	5	25
<b>Selectivity</b>				
vs DPP-8/9 (fold)	>2,600	<100	>10,000	>14,000
vs DPP-2 (fold)	>5,550	>50,000	>100,000	>14,000
<b>Clinical PK</b>				
Bioavailability (%)	87	67 <sup>b</sup>	~30	~100
Protein-bound (%)	38	Negligible	~99% at <1 nmol/L to 75%–89% at $\geq 30$ nmol/L	20
CYP enzymes	Minor	CYP3A4/5	Minimal	Minimal
$t_{1/2}$ , h (after oral dosing)	~12	Saxagliptin: 2.5, BMS 5510849 (active metabolite): 3.1	>100	21
Renal excretion (%)	87	75	5	76
<b>Dose reduction with renal impairment</b>	Yes, dose adjustment for moderate RI (50 mg once daily), and severe RI or ESRD (25 mg once daily)	Yes, dose adjustment for moderate or severe RI, or ESRD (2.5 mg once daily)	No (not required)	Yes, dose adjustment for moderate RI (12.5 mg once daily), or severe RI, or ESRD (6.25 mg once daily)

**Notes:** <sup>a</sup>See also Table 3 data on renal impairment. <sup>b</sup>Predicted bioavailability.

**Abbreviations:** CYP, cytochrome P450; DPP, dipeptidyl peptidase; ESRD, end-stage renal disease; PK, pharmacokinetic; RI, renal impairment;  $t_{1/2}$ , elimination half-life.

was observed in RCTs of DPP-4 inhibitors in combination with other glucose-lowering agents.<sup>24</sup> DPP-4 inhibitors are virtually weight neutral,<sup>12</sup> and exert modest blood pressure (BP)-lowering effects compared with placebo or nontreatment (mean difference in systolic BP  $-3$  mmHg).<sup>25</sup> DPP-4 inhibitors are generally safe and well tolerated.<sup>26,27</sup> There have been postmarketing reports of acute pancreatitis in patients receiving DPP-4 inhibitors;<sup>27</sup> per the labeling information, these agents should be discontinued if pancreatitis is suspected.<sup>16–19</sup> Postmarketing cardiovascular outcomes trials in patients receiving saxagliptin,<sup>28</sup> alogliptin,<sup>29</sup> and sitagliptin<sup>30</sup> produced conflicting results regarding the risk of hospitalization for heart failure associated with DPP-4 inhibitors<sup>31</sup> (cardiovascular outcomes trials for linagliptin are currently ongoing). A recent systematic review and meta-analysis of 43 randomized trials and 12 observational studies of DPP-4 inhibitors concluded that the effect of these agents on the risk of heart failure was uncertain,<sup>32</sup> and there was a small increased risk of hospital admission for heart failure in T2DM patients with existing cardiovascular disease or multiple risk factors for vascular disease who were receiving DPP-4 inhibitors, relative to no use (pooled odds ratio: 1.13; 95% confidence interval [CI] 1.00, 1.26).<sup>32</sup> The labels for saxagliptin<sup>17</sup> and alogliptin<sup>19</sup> contain warning information on the use of these agents in patients at risk of heart failure.

## SGLT2 inhibitors

SGLT2 inhibitors suppress renal glucose reabsorption and promote urinary glucose excretion (UGE), which decreases hyperglycemia.<sup>33</sup> SGLT2 inhibitors act independently of pancreatic  $\beta$ -cell function and the degree of insulin resistance.<sup>33,34</sup> In 2013, canagliflozin became the first SGLT2 inhibitor to receive US regulatory approval for use in T2DM, and was followed by US approvals for dapagliflozin and empagliflozin in 2014.<sup>35</sup> Canagliflozin, dapagliflozin, and empagliflozin are based on a C-glucoside structure (not shown),<sup>36</sup> and have comparable pharmacokinetic properties in terms of excellent oral bioavailability, a long half-life allowing once-daily administration, and an absence of active metabolites (Table 3).<sup>37–44</sup> However, differences are present in their respective selectivity for SGLT2 over SGLT1,<sup>44</sup> and regarding dosing recommendations for patients with T2DM and renal impairment (Table 3).<sup>38–44</sup> Data from RCTs in patients with T2DM demonstrated that SGLT2 inhibitor monotherapy reduced HbA<sub>1c</sub> by 0.5%–1.0% compared with placebo, and was associated with reductions in body weight of  $\sim 2$  kg and in systolic BP of 2–5 mmHg (Table 4).<sup>45–50</sup> Greater reductions in HbA<sub>1c</sub> (2%–3%) were reported in patients with higher

baseline levels of HbA<sub>1c</sub> (ie,  $>10.0\%$ ) receiving SGLT2 inhibitor therapy.<sup>48–50</sup> SGLT2 inhibitors have a generally favorable safety profile.<sup>45–47</sup> These agents are not associated with an increased risk of hypoglycemia, unless combined with insulin secretagogues or insulin.<sup>45–47</sup> However, SGLT2 inhibitors are associated with an increased risk of genital mycotic infections<sup>51–54</sup> and urinary tract infection (UTI).<sup>51,54–56</sup> Furthermore, the UGE-associated osmotic diuresis observed with SGLT2 inhibitors could potentially increase the risk of volume depletion events (eg, hypotension) in susceptible patients.<sup>41–43</sup> More recently, postmarketing cases of urosepsis and pyelonephritis, and diabetic ketoacidosis (DKA) associated with SGLT2 inhibitor therapy have been reported.<sup>57</sup> Some cases of DKA in T2DM patients were euglycemic.<sup>58–60</sup> Other cases of DKA were associated with off-label use of SGLT2 inhibitors in patients with type 1 diabetes mellitus.<sup>58,61,62</sup> SGLT2 inhibitor clinical trials reported low frequencies of DKA in T2DM: canagliflozin events by treatment group were 0.07% (4/5,337), 0.11% (6/5,350), and 0.03% (2/6,909) for 100 mg, 300 mg, and comparator, respectively;<sup>63</sup> dapagliflozin events were  $<0.1\%$  in  $>18,000$  patients (no further details stated);<sup>64</sup> and DKA events with empagliflozin by treatment group were 2 events for 10 mg and 1 event for 25 mg, vs 5 events for placebo (N $>13,000$  T2DM patients; treatment group sizes not stated).<sup>64</sup> It has been postulated that euglycemic DKA associated with SGLT2 inhibitor use in T2DM may result from reduced plasma glucose concentrations caused by SGLT2 inhibitor-induced UGE, which predisposes to increased ketogenesis.<sup>64</sup>

## Clinical evidence for combinations of DPP-4 inhibitors and SGLT2 inhibitors

Two combination formulations of DPP-4 inhibitors and SGLT2 inhibitors are currently approved in the treatment of T2DM: fixed-dose formulations of dapagliflozin plus saxagliptin (approved by the European Medicines Agency [EMA] in July 2016), and empagliflozin plus linagliptin (approved by US Food and Drug Administration in January 2015, and by EMA in November 2016). Historical rationale and clinical evidence of the combination of the two classes are now reviewed.

Early drug–drug interaction studies between SGLT2 inhibitors and other glucose-lowering agents, including the DPP-4 inhibitors sitagliptin and linagliptin, did not demonstrate any significant changes in exposure following coadministration, thus indicating that DPP-4 inhibitors and SGLT2 inhibitors could be coadministered without the

**Table 2** Summary of efficacy and safety data from Phase III clinical trials ( $\geq 24$  weeks) using DPP-4 inhibitors (US-approved) as monotherapy

References	Regimen	N	Treatment and dose (mg/day)	Efficacy parameters (change from baseline) <sup>a</sup>			Safety parameters (number/percentage of patients with a special interest adverse event) <sup>a</sup>		
				HbA <sub>1c</sub> (%)	FPG (mg/dL) <sup>b</sup>	Body weight (kg)	Hypoglycemia, n (%)	Any GI event, n (%)	Nasopharyngitis, n (%)
Aschner et al <sup>20</sup>	24 weeks								
				(FPG converted)		(Overall GI events)			
		253	PBO	0.18	5.4	-1.1	2 (0.8)	29 (11.5)	12 (4.7)
		238	SITA 100	-0.61	-12.6	-0.2	3 (1.3)	39 (16.4)	17 (7.1)
Rosenstock et al <sup>21</sup>	24 weeks							(Diarrhea)	
		95	PBO	0.19	6	-1.4	0	3 (3.2)	6 (6.3)
		102	SAXA 2.5	-0.43	-15	-1.2	0	7 (6.9)	6 (5.9)
		106	SAXA 5	-0.46	-9	-0.1	0	1 (0.9)	6 (5.7)
Del Prato et al <sup>22</sup>	24 weeks			(FPG converted)					
		167	PBO	0.25	14.4		1 (0.6)	Not reported	7 (4.2)
		336	LINA 5	-0.44	-9.0		1 (0.3)	Not reported	13 (3.9)
DeFronzo et al <sup>23</sup>	26 weeks								
		65	PBO	-0.02	11.3	0.18	<sup>d</sup>	Reported as "GI events 12.1%–14.3%"	Not reported
		133	ALO 25	-0.59	-16.4	-0.22	<sup>d</sup>	(not further specified)	

**Notes:** <sup>a</sup>Data are presented as reported in each publication (for randomized double-blind arms unless otherwise stated); only data for US-approved doses reported; change in mean, least square mean, or adjusted mean data presented; efficacy parameters did not include blood pressure in these studies. <sup>b</sup>Units converted from mmol/L to mg/dL where stated (mmol/L value  $\times 18.0182 =$  mg/dL value). <sup>c</sup>Confirmed hypoglycemia. <sup>d</sup>Reported as "rare (1.5%–3.0%); no hypoglycemic event was considered an AE, or was severe enough to require assistance."

**Abbreviations:** AE, adverse event; ALO, alogliptin; FPG, fasting plasma glucose; GI, gastrointestinal; HbA<sub>1c</sub>, glycated hemoglobin; LINA, linagliptin; PBO, placebo; SAXA, saxagliptin; SITA, sitagliptin.

**Table 3** Summary of pharmacokinetic characteristics of SGLT2 inhibitors (US-approved)

Parameters	Canagliflozin <sup>38,41</sup>	Dapagliflozin <sup>39,42</sup>	Empagliflozin <sup>40,43</sup>
Recommended (once-daily) dose (mg)	100 or 300	5 or 10	10 or 25
Selectivity SGLT2/SGLT1 (fold) <sup>44</sup>	>250	>1,200	>2,500
<b>Clinical PK</b>			
Absorption	Rapid ( $t_{max}$ 1–2 h)	Rapid ( $t_{max}$ 1–2 h)	Rapid ( $t_{max}$ 1–2 h)
$t_{1/2}$ (h)	10.6–13.1	~12.9 (10 mg)	12.4
Distribution	~99% protein-bound; Vd 119 L	~91% protein-bound; Vd 118 L	~86% protein-bound; Vd 74 L
Metabolism	Hepatic glucuronidation	Hepatic glucuronidation	Hepatic glucuronidation
Elimination	Urine and feces	Mainly in urine	Urine and feces
Use in renal impairment (dosage and administration and contraindications)	Assess renal function before initiating and periodically thereafter Do not initiate if eGFR < 45 mL/min/1.73 m <sup>2</sup> Discontinue if eGFR falls persistently < 45 mL/min/1.73 m <sup>2</sup> Contraindicated in severe renal impairment, ESRD, or dialysis Dose adjustment and more frequent renal function monitoring are recommended in patients with eGFR < 60 mL/min/1.73 m <sup>2</sup> Limit dose to 100 mg once daily in patients with eGFR 45–<60 mL/min/1.73 m <sup>2</sup> Dose may be increased from 100 mg once daily to 300 mg once daily in patients with eGFR ≥ 60 mL/min/1.73 m <sup>2</sup>	Assess renal function before initiating and periodically thereafter Do not initiate if eGFR < 60 mL/min/1.73 m <sup>2</sup> Discontinue if eGFR falls persistently < 60 mL/min/1.73 m <sup>2</sup> Contraindicated in severe renal impairment, ESRD, or dialysis No dose adjustment is needed in patients with mild renal impairment (eGFR ≥ 60 mL/min/1.73 m <sup>2</sup> )	Assess renal function before initiating and periodically thereafter Do not initiate if eGFR < 45 mL/min/1.73 m <sup>2</sup> Discontinue if eGFR falls persistently < 45 mL/min/1.73 m <sup>2</sup> Contraindicated in severe renal impairment, ESRD, or dialysis No dose adjustment is needed if eGFR ≥ 45 mL/min/1.73 m <sup>2</sup>

**Abbreviations:** eGFR, estimated glomerular filtration rate; ESRD, end-stage renal disease; PK, pharmacokinetic; SGLT1, sodium-glucose cotransporter 1; SGLT2, sodium-glucose cotransporter 2;  $t_{1/2}$ , elimination half-life;  $t_{max}$ , time to maximum plasma concentration; Vd, volume of distribution.

**Table 4** Summary of efficacy and safety data from Phase III clinical trials ( $\geq 24$  weeks) using SGLT2 inhibitors (US-approved) as monotherapy

References	Regimen <sup>a</sup>	N	Treatment and dose, mg/day	Efficacy parameters (change from baseline) <sup>b</sup>				Safety parameters (number/percentage of patients with a special interest adverse event) <sup>c</sup>				
				HbA <sub>1c</sub> (%)	FPG (mg/dL) <sup>d</sup>	Body weight (kg)	SBP (mmHg)	Hypoglycemia, n (%)	Urinary tract infection, n (%)	Genital infection, n (%)	Volume depletion events, n (%)	
Ferrannini et al <sup>18</sup>	24 weeks; DAPA	75	PBO	-0.23	-4.1	-2.2	-0.9	2 (2.7)	3 (4.0)	1 (1.3)	1 (1.3)	(Hypotension) 1 (1.3)
	Morning dose	64	DAPA 5	-0.77	-24.1	-2.8	-2.3	0	8 (12.5)	5 (7.8)	0	0
		70	DAPA 10	-0.89	-28.8	-3.2	-3.6	2 (2.9)	4 (5.7)	9 (12.9)	1 (1.4)	0
	Evening dose	68	DAPA 5	-0.79	-27.3	-3.6	-5.2	0	8 (11.8)	3 (4.4)	0	0
		76	DAPA 10	-0.79	-29.6	-3.1	-2.3	1 (1.3)	5 (6.6)	2 (2.6)	0	0
	High HbA <sub>1c</sub> ( $\geq 10.1\%$ )	35	DAPA 5	-2.88	-77.1	-2.1	-5.7	1 (2.9)	3 (8.8)	2 (5.9)	1 (2.9)	1 (2.9)
39		DAPA 10	-2.66	-84.3	-1.9	-2.5	0	6 (15.4)	7 (17.9)	1 (2.6)	1 (2.6)	(Postural dizziness + orthostatic hypotension) 1 (2.6)
Stenlöf et al <sup>19</sup>	26 weeks; CANA	192	PBO	0.14	9.00	-0.5	0.4	5 (2.6)	8 (4.2)	4 (2.1)	0	0
	(> 10.0 and $\leq 12.0\%$ )	195	CANA 100	-0.77	-27.0	-2.5	-3.3	7 (3.6)	14 (7.2)	12 (6.2)	1 (0.5)	1 (0.5)
		197	CANA 300	-1.03	-34.2	-3.4	-5.0	6 (3.0)	10 (5.1)	13 (6.6)	4 (2.0)	4 (2.0)
	47	CANA 100	-2.1	-81.1	-3.0	-4.5	0	3 (6.4)	6 (12.8)	0	0	(Pollakiuria) 0
Roden et al <sup>20</sup>	24 weeks; EMPA	44	CANA 300	-2.6	-86.5	-3.8	-5.0	0	2 (4.5)	2 (4.5)	1 (2.3)	1 (2.3)
	High HbA <sub>1c</sub> ( $\geq 10.0\%$ )	228	PBO	0.08	11.7	-0.33	-0.3	1 (<1)	12 (5)	0	0	0
224		EMPA 10	-0.66	-19.5	-2.26	-2.9	1 (<1)	15 (7)	7 (3)	7 (3)	0	Not reported
224		EMPA 25	-0.78	-24.5	-2.48	-3.7	1 (<1)	12 (5)	9 (4)	9 (4)	0	Not reported
223		SITA 100	-0.66	-6.85	0.18	0.5	1 (<1)	11 (5)	2 (1)	2 (1)	0	Not reported
87	EMPA 25	-3.70	-87.6	-2.43	-4.0	0	3 (3)	1 (1)	1 (1)	0	Not reported	

**Notes:** <sup>a</sup>Patients with T2DM treated by diet and exercise, <sup>18,19</sup> and/or who had not received oral or injected glucose-lowering medication in the 10 weeks<sup>19</sup> or 12 weeks<sup>18</sup> prior to randomization. <sup>b</sup>Data are presented as reported in each publication (for randomized double-blind arms unless otherwise stated); data for US-approved doses reported; change in mean, least square mean, or adjusted mean data presented. <sup>c</sup>Canagliflozin study stated as "genital mycotic infection."  
<sup>d</sup>Units converted from mmol/L to mg/dL, where stated (mmol/L value  $\times 18.0182 =$  mg/dL value).

**Abbreviations:** CANA, canagliflozin; DAPA, dapagliflozin; EMPA, empagliflozin; FPG, fasting plasma glucose; HbA<sub>1c</sub>, glycated hemoglobin; PBO, placebo; SBP, systolic blood pressure; SGLT2, sodium-glucose cotransporter 2; SITA, sitagliptin; T2DM, type 2 diabetes mellitus.



need for dose adjustments and without any significant drug interaction.<sup>65–67</sup> Clinical data from RCTs using DPP-4 inhibitor plus SGLT2 inhibitor combination therapy in patients with T2DM by background therapy and treatment sequence are shown in Table 5<sup>68–75</sup> and are discussed below. Studies for inclusion were selected on the basis of being Phase III RCTs of at least 24 weeks' duration; post hoc analyses were excluded.

In a 24-week, randomized, placebo-controlled study (N=432) of dapagliflozin (10 mg/day) or placebo added to sitagliptin (100 mg/day), given with/without metformin ( $\geq 1,500$  mg/day),<sup>68</sup> dapagliflozin significantly reduced mean HbA<sub>1c</sub> vs placebo (placebo-corrected change from baseline to week 24:  $-0.5\%$ ; 95% CI  $-0.6, -0.3$ ;  $P < 0.0001$ ).<sup>68</sup> The HbA<sub>1c</sub> reduction was greater in patients with baseline levels  $\geq 8\%$  (placebo-corrected change from baseline to week 24:  $-0.8\%$ ; 95% CI  $-1.1, -0.6$ ;  $P < 0.0001$ ).<sup>68</sup> A similar trend was observed for dapagliflozin vs placebo, respectively, for fasting plasma glucose (FPG) ( $-24.1$  vs  $+3.8$  mg/dL) and body weight ( $-2.1$  vs  $-0.3$  kg); however, changes in systolic BP were not significantly different between groups ( $-6.0$  vs  $-5.1$  mmHg).<sup>68</sup> Adverse events were balanced between groups and discontinuation rates were low.<sup>68</sup> A similar number of patients in both groups reported hypoglycemic events at week 24 (dapagliflozin: 6/225, 2.7%; placebo 4/226, 1.8%), and none of these events led to treatment discontinuation.<sup>68</sup> At week 24, the rate of UTI was balanced between groups ( $-4\%$ – $5\%$ ), but genital infection was more common with dapagliflozin vs placebo (8.4% vs 0.4%, respectively), and one patient (dapagliflozin group) discontinued treatment due to vulvovaginal mycotic infection.<sup>68</sup> Both UTI and genital infection events occurred more frequently in female patients. Volume depletion events were reported for the 24-week extension period (ie, at week 48), and occurred in low numbers in both treatment groups (dapagliflozin: 3/225, 1.3%; placebo 2/226, 0.9%).<sup>68</sup>

In a randomized, placebo-controlled study where dapagliflozin (10 mg/day) was added to saxagliptin (5 mg/day) – that is, as dual add-on therapy vs each agent administered alone (N=534), all on a background of metformin therapy (extended-release formulation, 1,500–2,000 mg/day), patients receiving dapagliflozin plus saxagliptin demonstrated greater improvements in glycemic control (ie, HbA<sub>1c</sub> and FPG) at week 24 than those receiving either dapagliflozin or saxagliptin (Table 5).<sup>69</sup> Furthermore, 41% of patients achieved HbA<sub>1c</sub>  $< 7\%$  with dapagliflozin plus saxagliptin vs 18% and 22% with saxagliptin or dapagliflozin, respectively.<sup>69</sup> As noted in a separate report of these study data by

Abdul-Ghani,<sup>6</sup> when patients were stratified by their baseline HbA<sub>1c</sub>, for the subgroup of patients with baseline HbA<sub>1c</sub>  $\geq 9\%$  (n=190; mean HbA<sub>1c</sub>  $\sim 10\%$ ), the mean change in HbA<sub>1c</sub> was similar for the dapagliflozin plus saxagliptin group and the dapagliflozin group ( $-2.03\%$  and  $-1.87\%$ , respectively), whereas the contribution of saxagliptin was lower ( $-1.32\%$ ).<sup>6,69</sup> In addition, higher baseline HbA<sub>1c</sub> levels were associated with proportionally larger changes in HbA<sub>1c</sub> following dapagliflozin therapy (eg, increasing baseline mean HbA<sub>1c</sub> from  $\sim 7.5\%$  to  $\sim 10\%$  was associated with the following changes in HbA<sub>1c</sub> at treatment end: dapagliflozin group,  $-0.45\%$  vs  $-1.87\%$  [greater than fourfold change]; dapagliflozin plus saxagliptin group,  $-0.80\%$  vs  $-2.03\%$  [greater than twofold change]; saxagliptin group,  $-0.69\%$  vs  $-1.32\%$  [less than twofold change]).<sup>6,69</sup> Mean reductions in systolic BP and body weight only occurred in the dapagliflozin plus saxagliptin group and the dapagliflozin group (systolic BP: reductions of 1.9 and 3.5 mmHg, respectively; and body weight: reductions of 2.1 and 2.4 kg, respectively), with no reduction in either parameter in the saxagliptin group.<sup>69</sup> Thus, an SGLT2 inhibitor given as an add-on to metformin or in combination with saxagliptin plus metformin appears to contribute to both body weight and BP-lowering potential. The proportion of adverse events was similar across treatment groups. Hypoglycemia events occurred in 1% of each group, and there were no major hypoglycemic events.<sup>69</sup> UTI occurred in  $\leq 1\%$  of patients receiving dapagliflozin plus saxagliptin vs in 5% of patients receiving dapagliflozin or saxagliptin. However, genital infection events were more common in the dapagliflozin group (6%) vs the saxagliptin group (0.6%) and, interestingly, were not reported in the dapagliflozin plus saxagliptin group (0%).<sup>69</sup>

Two further RCTs investigated the triple combination therapy of dapagliflozin plus saxagliptin plus metformin. In the first study, patients (N=320) received open-label treatment with saxagliptin (5 mg/day) and metformin (immediate-release formulation,  $\geq 1,500$  mg/day) for 16 weeks before being randomized to a 24-week, double-blind treatment period and receive additional treatment with dapagliflozin (10 mg/day) or placebo.<sup>70</sup> The second study (N=315) followed a similar design and drug dosing, but with saxagliptin (or placebo) added to dapagliflozin and metformin.<sup>71</sup> The results from both studies showed a comparable trend to those reported above in the dual add-on study, with greater reductions in HbA<sub>1c</sub> and FPG at week 24 occurring in patients receiving dapagliflozin plus saxagliptin plus metformin (Table 5).<sup>70,71</sup> Adverse events occurred with a similar frequency across the treatment groups in both studies,

**Table 5** Summary of efficacy and safety data from published Phase III clinical trials ( $\geq 24$  weeks) using DPP-4 inhibitors in combination with SGLT2 inhibitors

Study, duration (primary outcome)	Combination <sup>a</sup>	Background therapy	N	Treatment and dose (mg/day)	Efficacy parameters (change from baseline) <sup>b</sup>				Safety parameters (percentage of patients with a special interest adverse event)				
					HbA <sub>1c</sub> (%)	FPG (mg/dL) <sup>c</sup>	Body weight (kg)	SBP (mmHg)	Hypoglycemia, n (%)	Urinary tract infection, n (%)	Genital infection, n (%)	Volume depletion events, n (%)	
Jabbour et al <sup>68</sup> 24-week double-blind treatment (+ 24-week extension)	DAPA 10 mg/day add-on to SITA 100 mg/day $\pm$ MET	(With/without) MET $\geq 1,500$ mg/day	224	PBO + SITA 100	0	3.8	-0.3	-5.1	(Week 8 vs week 24)	4/226 (1.8)	9/226 (4.0)	1/226 (0.4)	(Hypotension or dehydration or hypovolemia at 48 weeks) 2/226 (0.9)
Rosenstock et al <sup>69</sup> 24-week double-blind treatment	DAPA 10 mg/day + SAXA 5 mg/day as dual add-on to MET	MET XR $\geq 1,500$ mg/day	179	DAPA 10 + MET	-1.47	-38	-2.1	-1.9		2 (1)	1 (0.6)	0	Not reported
Mathieu et al <sup>70</sup> 24-week double-blind treatment (+ 28-week extension)	DAPA 10 mg/day add-on to SAXA 5 mg/day + MET	MET IR $\geq 1,500$ mg/day	160	PBO + SAXA 5 + MET	-0.10	-5	-0.4	2.0		0	10 (6.3)	1 (0.6)	Not reported
Matthaei et al <sup>71</sup> 24-week double-blind treatment (+ 28-week extension)	SAXA 5 mg/day add-on to DAPA 10 mg/day + MET	MET IR $\geq 1,500$ mg/day	162	DAPA 10 + MET	-0.82	-33	-1.9	-1.9		2 (1.3)	8 (5.0)	8 (5.0)	Not reported
Lewin et al <sup>72</sup> 24 weeks; study duration 52 weeks	EMPA 10 or 25 mg/day + LINA 5 mg/day	Treatment-naive	134 135 133 132 133	Single-pill combination <sup>d</sup> EMPA 25/LINA 5 EMPA 10/LINA 5 EMPA 25 EMPA 10 LINA 5	(Week 24) -1.08 -1.24 -0.95 -0.83 -0.67	(Week 24) -29.55 -28.21 -24.24 -22.39 -5.92	(Week 24) -2.0 -2.7 -2.1 -2.3 -0.8	(Week 24) -2.5 -2.1 -2.1 -2.2 -0.4	Reported as < 1 mmHg change in both treatment arms (As above)	4 (2.5)	6 (3.7)	4 (2.5)	2 (1.2)
			153	SAXA 5 + DAPA 10 + MET	-0.51	-9	-0.53			2 (1.3)	8 (5.2)	0	0



DeFronzo et al <sup>13</sup> 24 weeks; study duration	EMPA 10 or 25 mg/day + LINA 5 mg/day as add-on to MET	MET IR ≥1,500 mg/ day, MTD, or maximum dose per local label	Single-pill combination <sup>d</sup> EMPA 25/LINA 5 + MET	(Week 24)	(Week 24)	(Week 24)	(Week 52)	
			134	-1.19	-35.3	-3.0	-3.6	5 (3.6)
			135	-1.08	-32.2	-2.6	-2.8	8 (5.9)
			140	-0.62	-18.8	-3.2	-2.8	12 (8.5)
			137	-0.66	-20.8	-2.5	-3.5	11 (7.9)
			128	-0.70	-13.1	-0.7	0.3	3 (2.3)
Sofeland et al <sup>14</sup> 24 weeks	EMPA (10 or 25 mg/day) add-on to LINA 5 mg/day + MET	MET IR ≥1,500 mg/ day, MTD, or maximum dose per local label	Single-pill combination <sup>d</sup> PBO + LINA + MET EMPA 10/LINA 5 + MET	(FPG converted) 0.14 -0.65	5.4 -27.0	-0.3 -3.1	-1.7 -3.0	1 (0.9) 0
			110	-0.56	-32.4	-2.5	-4.3	5 (4.5)
Tinahones et al <sup>15</sup> 24 weeks	LINA 5 mg/day add-on to EMPA 10 or 25 mg/day + MET	MET IR ≥1,500 mg/ day, MTD, or maximum dose per local label	Single-pill combination <sup>d</sup> PBO + EMPA 10 + MET	(FPG converted) -0.21	3.6	-0.8	1.0	0
			122	-0.53	-7.2	-0.2	0	12 (9.5)
			110	-0.10	-3.6	-0.3	-1.6	3 (2.7)
			110	-0.58	-12.6	-0.2	-0.2	15 (13.4)

**Notes:** <sup>a</sup>Prespecified analyses of Phase III clinical trials data for DPP-4 inhibitor plus canagliflozin combination therapy were not available. <sup>b</sup>Data are presented as reported in each publication for the randomized double-blind arm(s), unless otherwise stated; change in mean, least square mean, or adjusted mean data presented. <sup>c</sup>Units converted from mmol/L to mg/dL where stated (mmol/L value × 18.0182 = mg/dL value). <sup>d</sup>Loose pill refers to separate dosage forms of each active agent (ie, separate pills for DPP-4 inhibitor and SGLT2 inhibitor), whereas single pill refers to a fixed-dose formulation containing both the active agents in a single dosage form (ie, single pill containing DPP-4 inhibitor and SGLT2 inhibitor).

**Abbreviations:** DAPA, dapagliflozin; DPP-4, dipeptidyl peptidase-4; EMPA, empagliflozin; FPG, fasting plasma glucose; HbA<sub>1c</sub>, glycated hemoglobin; IR, immediate release (formulation); LINA, linagliptin; MET, metformin; MTD, maximum tolerated dose; PBO, placebo; SAXA, saxagliptin; SBP, systolic blood pressure; SITA, sitagliptin; SGLT2, sodium-glucose cotransporter 2; XR, extended release (formulation).

and the overall risk of hypoglycemia was low (0%–2.5%).<sup>70,71</sup> In the add-on to saxagliptin and metformin study, genital infections occurred in 5.0% of patients receiving dapagliflozin vs 0.6% of those receiving placebo,<sup>70</sup> whereas in the add-on to dapagliflozin and metformin study, the proportions were 0% for saxagliptin vs 2.5% for placebo.<sup>71</sup>

An RCT evaluated single-pill combinations (ie, fixed doses) of empagliflozin plus linagliptin over 52 weeks, with data reported for treatment-naïve patients,<sup>72</sup> and for those inadequately controlled on metformin.<sup>73</sup> Treatment-naïve patients (N=667) were randomized into five treatment groups (where all study drugs were taken once daily in the morning): empagliflozin 25 mg/linagliptin 5 mg, empagliflozin 10 mg/linagliptin 5 mg, empagliflozin 25 or 10 mg, and linagliptin 5 mg.<sup>72</sup> The adjusted mean change from baseline in HbA<sub>1c</sub> at week 24 was significantly greater for empagliflozin 25 mg/linagliptin 5 mg compared with linagliptin 5 mg (–0.41%; 95% CI –0.61, –0.22; *P*<0.001) but not compared with empagliflozin 25 mg (–0.14%; 95% CI –0.33, 0.06; *P*=0.179), and was also significantly greater for empagliflozin 10 mg/linagliptin 5 mg than for either drug individually (–0.41%; 95% CI –0.61, –0.21; and –0.57%; 95% CI –0.76, –0.37 for empagliflozin 10 mg/linagliptin 5 mg vs empagliflozin 10 mg and linagliptin 5 mg, respectively; *P*<0.001 for each).<sup>72</sup> Reductions in HbA<sub>1c</sub> with the two empagliflozin/linagliptin single-pill combinations were sustained at week 52.<sup>72</sup> Reductions from baseline in FPG concentrations at week 24 were significantly greater for the empagliflozin/linagliptin single-pill combination therapies vs linagliptin monotherapy, but not vs empagliflozin monotherapy.<sup>72</sup> A similar trend was reported for reductions in body weight from baseline to week 24.<sup>72</sup> The overall proportion and intensity of adverse events were similar for all treatment groups.<sup>72</sup> No confirmed hypoglycemic adverse events were reported in the two empagliflozin/linagliptin single-pill combination groups; however, hypoglycemia was reported in one subject in each of the empagliflozin 25-mg and linagliptin 5-mg groups, and in four subjects in the empagliflozin 10-mg group.<sup>72</sup> UTI events were reported in 12.5%–15.4% of patients receiving empagliflozin/linagliptin single-pill combinations, in 10.4%–16.3% of patients receiving empagliflozin monotherapy, and in 10.4% of patients receiving linagliptin monotherapy, with a greater proportion occurring in female vs male patients in all treatment groups; only one patient reported an event that was severe in intensity (empagliflozin 25-mg group) but this did not lead to discontinuation of the study drug.<sup>72</sup> Genital infection events were reported in 2.9%–5.9% of patients overall, and

were reported more frequently in female than male patients in all groups except the empagliflozin 25-mg group; however, none of these events was reported to be severe, although two subjects (n=1, empagliflozin 25 mg/linagliptin 5 mg; n=1, empagliflozin 10 mg) discontinued study drug as a result of these events.<sup>72</sup>

Patients inadequately controlled on metformin ( $\geq 1,500$  mg/day, maximum tolerated dose, or maximum dose per local label; N=674) were also randomized into the five treatment groups described above.<sup>73</sup> At week 24, HbA<sub>1c</sub> levels were reduced from baseline values to a greater extent with both empagliflozin/linagliptin single-pill combination therapies than with either component alone (–0.58%; 95% CI –0.75, –0.41 for empagliflozin 25 mg/linagliptin 5 mg vs empagliflozin 25 mg; –0.50%; 95% CI –0.67, –0.32 for empagliflozin 25 mg/linagliptin 5 mg vs linagliptin 5 mg; –0.42%; 95% CI –0.59, –0.25 for empagliflozin 10 mg/linagliptin 5 mg vs empagliflozin 10 mg; –0.39%; 95% CI –0.56, –0.21 for empagliflozin 10 mg/linagliptin 5 mg vs linagliptin 5 mg; *P*<0.001 for each).<sup>73</sup> A similar trend occurred for FPG concentrations.<sup>73</sup> The significant reductions in HbA<sub>1c</sub> from baseline were maintained at 52 weeks with both empagliflozin/linagliptin single-pill combinations vs the individual components.<sup>73</sup> Reductions in body weight from baseline to week 24 were significantly greater for both empagliflozin/linagliptin single-pill combinations compared with linagliptin alone, but not compared with empagliflozin alone,<sup>73</sup> as was observed in the previous study.<sup>72</sup> Similarly, the overall proportion and intensity of adverse events were similar for all treatment groups. Confirmed hypoglycemia event rates were low (1.4%–3.6%); no patients discontinued treatment due to hypoglycemia.<sup>73</sup> UTI events were reported in 9.6%–10.2% of patients receiving empagliflozin/linagliptin single-pill combinations, in 11.4%–13.5% of patients receiving empagliflozin monotherapy, and in 15.2% of patients receiving linagliptin monotherapy, with a greater proportion of cases reported in female patients in all treatment groups. Most UTI events were mild or moderate in intensity, and only one patient discontinued study drug (empagliflozin 10 mg) due to UTI.<sup>73</sup> Genital infection events were reported in 2.2%–8.5% of patients overall, and were more frequent among female patients in three of the treatment groups (not in empagliflozin 25 mg/linagliptin 5 mg, or linagliptin 5-mg groups).<sup>73</sup> Two patients (empagliflozin 25-mg group) discontinued due to genital infection events.<sup>73</sup> In addition, no patients experienced worsening of heart failure or were hospitalized for heart failure in either of these empagliflozin/linagliptin single-pill combination study populations.<sup>72,73</sup>

Results from two additional 24-week RCTs to investigate combination therapy with empagliflozin plus linagliptin were published recently; empagliflozin (10 or 25 mg/day) and linagliptin (5 mg/day) combinations were administered as single-pill formulations in both studies.<sup>74,75</sup> One study (N=333) evaluated empagliflozin vs placebo as add-on therapy to linagliptin and metformin,<sup>74</sup> and the second study (N=709) evaluated linagliptin vs placebo as add-on therapy to empagliflozin and metformin.<sup>75</sup> Empagliflozin/linagliptin combination therapy (plus metformin) produced greater reductions in HbA<sub>1c</sub> and FPG from baseline than that observed with either linagliptin plus placebo (plus metformin) or empagliflozin plus placebo (plus metformin).<sup>74,75</sup> Adverse events were more common in the placebo groups in both studies, and the incidence of confirmed hypoglycemic adverse events was low in both studies and across all treatment groups (0%–2.7%).<sup>74,75</sup> In the empagliflozin as add-on study, the incidence of events consistent with genital infection was low in all treatment groups, but was higher in patients treated with combination therapy containing empagliflozin 25 mg than in those treated with either combination therapy containing empagliflozin 10 mg or placebo (4.5% vs 1.8% and 1.8%, respectively).<sup>74</sup> In the linagliptin as add-on study, events consistent with genital infection were reported in more patients receiving empagliflozin plus placebo (plus metformin) than those receiving combination therapy (plus metformin); 8.0% and 3.1% for empagliflozin 25 and 10 mg, respectively, plus placebo, vs 2.7% and 2.4% for combination therapy with empagliflozin 25 and 10 mg, respectively.<sup>75</sup>

## Discussion

T2DM is a multifactorial, multiorgan, and progressive disease, during which glucose control worsens due to the deterioration of pancreatic  $\beta$ -cell function and increasing insulin resistance.<sup>1</sup> In practice, this inevitably requires the intensification of glucose-lowering drug therapy and the use of dual or even triple therapy in the effort to achieve and maintain glucose control,<sup>76</sup> per current diabetes management recommendations.<sup>7,8</sup> However, many patients with T2DM failing to achieve their glucose targets do not receive intensification of glucose-lowering therapy in a timely manner,<sup>77</sup> with delays of ~1–3 years reported for patients with HbA<sub>1c</sub>  $\geq 7\%$  to receive additional glucose-lowering medication.<sup>78,79</sup> A recent retrospective cohort study based on patients with T2DM from the UK (N=105,477) reported that 22% of newly diagnosed patients had poor glucose control over 2 years and 26% did not receive treatment intensification.<sup>80</sup> This study further concluded that delaying treatment intensification by 1 year in

conjunction with poor glucose control significantly increased the risk of cardiovascular events.<sup>80</sup>

Clinical inertia, defined as the failure to initiate or intensify treatment in a timely manner to address a progressing medical condition,<sup>81</sup> is common in management of T2DM, and is evident by the fact that treatment targets from diabetes guidelines and those attained in reality often differ, such that failure to achieve targets occurs in a large proportion of adults with diabetes.<sup>4,81</sup> Deficiencies in communication and engagement between physicians and patients on goals and treatment approaches for diabetes appear to be a key issue,<sup>81</sup> and recommendations for improvement include treating patients as active partners, establishing realistic and shared treatment goals, individualizing all aspects of diabetes care, and focusing on good management early in the disease to optimize patient quality of life.<sup>81</sup>

There are many choices for therapy in patients with T2DM requiring treatment intensification, with the rationale, mechanism, and clinical data on combination therapy with DPP-4 inhibitors and SGLT2 inhibitors being explored in depth in this review. Each choice in the treatment of T2DM carries its own merits and clinical considerations. Clinical considerations that may support the use of combination therapy include the potential for enhancing treatment adherence and the concept of simultaneously addressing several pathophysiologic defects in T2DM. The use of combination drug therapy may improve patient adherence to treatment by facilitating the use of less complex treatment regimens with fewer pills, particularly if single-pill (ie, fixed-dose) combination formulations are used.<sup>82</sup> Frequency and timing of dosing can also affect adherence, and once-daily dosing is associated with higher adherence rates.<sup>82</sup> Also, by facilitating the use of submaximal doses of each agent, combination therapy may result in fewer side effects,<sup>1</sup> which may further improve adherence to treatment by the patient.<sup>83</sup>

When combination therapy is used for patients with T2DM, the selection of glucose-lowering agents with complementary modes of action may help to protect pancreatic  $\beta$ -cell function and thereby preserve glucose control.<sup>1,5</sup> As SGLT2 inhibitors have a unique mechanism of action, and are not dependent on insulin or the degree of insulin resistance, they could be given with any class of glucose-lowering agent, including DPP-4 inhibitors, and at any stage of disease progression in T2DM,<sup>33,34</sup> provided the patient has adequate renal function. The other main consideration for any combination drug therapy is to avoid the potential accumulation of adverse effects from the component drugs.<sup>5</sup> Medication-related body weight gain and increased

risk of hypoglycemia are particularly important to patients with T2DM,<sup>83,84</sup> and may affect subsequent adherence to treatment.<sup>83</sup> DPP-4 inhibitors are body weight neutral,<sup>12</sup> whereas SGLT2 inhibitors produce small reductions in body weight,<sup>45–47</sup> and neither of these drug classes is associated with a significantly increased risk of hypoglycemia (unless coadministered with insulin secretagogues, eg, sulfonylureas or insulin).<sup>12,45–47</sup> Therefore, as DPP-4 inhibitors and SGLT2 inhibitors have distinct and compatible mechanisms of action, their use as a combination therapy would appear to be a balanced option, providing efficacy with an acceptable safety and tolerability profile.

Although commentators have discussed the potential for additive efficacy in combination therapies, clinical trials data have not currently demonstrated synergistic effects in lowering plasma glucose or HbA<sub>1c</sub> when DPP-4 inhibitors were combined with SGLT2 inhibitors<sup>6,69,72,73</sup> (and Table 5). This is not surprising since it had been postulated that the stimulation of endogenous glucose production observed with SGLT2 inhibitors<sup>85,86</sup> may be too strong to be prevented by DPP-4 inhibitors, which reduce plasma glucose primarily by suppressing glucagon secretion and inhibiting production of endogenous glucose;<sup>11</sup> thus, reducing the latter's glucose-lowering ability,<sup>6,87</sup> although further studies are required to confirm this.<sup>6</sup> However, it should be noted that combination therapy is not necessarily about achieving additive efficacy, rather it may address the incremental benefits of combining different drug classes. There are also potentially beneficial effects beyond glucose lowering to consider. For example, improvements in albuminuria have been observed with both DPP-4 inhibitors and SGLT2 inhibitors, and combination therapy may offer a therapeutic option for patients with chronic kidney disease.<sup>88</sup> The lack of increase in body weight associated with DPP-4 inhibitors, or the modest reduction in body weight associated with SGLT2 inhibitors may make combination therapy with these agents suitable for patients who are overweight or obese. Similarly, the BP-lowering effect of the SGLT2 inhibitor component may be useful in patients with hypertension. Also, following the publication of data from the landmark EMPA-REG OUTCOME trial, which showed that patients with T2DM and at high risk of cardiovascular disease who received empagliflozin (in addition to standard of care), as compared with placebo, had a lower rate of cardiovascular mortality and all-cause mortality, and a reduced rate of hospitalization for heart failure,<sup>89</sup> SGLT2 inhibitors are likely to be studied in more combinations and in earlier stages of T2DM.

Finally, the limitations of this report must be considered. No systematic review or meta-analysis was conducted, and only data from RCTs were included; however, observational (real world) data on this topic are not yet available. Furthermore, as stated above, the sequence of glucose-lowering medication used in combination therapy was neither discussed, nor was there any comparison of the efficacy and safety of combination vs stepwise therapy. These issues might be topics for future studies, together with investigation of cost issues relating to combination therapy.

## Conclusion

With an overall favorable efficacy and safety profile, combination therapy with DPP-4 inhibitors and SGLT2 inhibitors has the potential to play an important role in the management of patients with T2DM. The tolerability of this combination, in particular the absence of body weight gain and the low risk of hypoglycemia, may add to its potential viability as an option for early treatment intensification in T2DM.

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