New pharmacological and technological management strategies in heart failure

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Abstract: Heart failure is a complex clinical syndrome resulting from impairment of ventricular filling or ejection of blood associated with symptoms of dyspnea, fatigue, as well as peripheral and/or pulmonary edema. This syndrome is progressive and characterized by worsening quality of life despite escalating levels of care, affecting 5.7 million Americans with an annual cost of over $30 billion US dollars. Treatment for this syndrome has evolved over three distinct eras: the nonpharmacological era, the pharmacological era, and the device era, with the focus shifting from symptomatic relief to decreasing morbidity and mortality. Over the past 10 years, the field has undergone a renaissance, with the development of new pharmacologic, hemodynamic monitoring, and device therapies proven to improve outcomes in patients with heart failure. This article will review several recent innovations in the management of patients with heart failure.

Keywords: heart failure, heart-assist devices, disease management

Introduction

Heart failure is a complex clinical syndrome resulting from impairment of ventricular filling or ejection of blood associated with symptoms of dyspnea, fatigue, as well as peripheral and/or pulmonary edema.1 This syndrome currently affects 5.7 million Americans and its prevalence is expected to increase by 46% to over 8 million Americans by the year 2030.2 It has become an important area of focus within the field of medicine, responsible for 12–15 million outpatient office visits per year,3 and remains one of the most common reasons for admission in patients over the age of 65.4

Over the past 50 years, there have been three distinct eras relating to heart failure.5 The earliest era, known as the nonpharmacologic era, focused its treatments on bed rest, inactivity, and fluid restriction. This was followed by the pharmacologic era, with two distinct periods: early, marked by the increased use of inotropes and diuretics and the discovery of vasodilators, and late, with the discovery of medications relating to neurohormonal pathways. The current era is the device era, with the discovery, acceptance, and increased use of implantable cardioverter defibrillators, cardiac resynchronization therapy, and left ventricular assist devices (LVADs).

Despite the evolving understanding of heart failure pathophysiology and major therapeutic innovations during these eras, a diagnosis of heart failure continues to carry with it considerable morbidity and mortality. There is a nearly 25% readmission rate for patients with the heart failure syndrome within 30 days of hospital discharge coupled with an estimated 5-year mortality of 50% collectively leading to direct costs of over 30 billion dollars per year.6 Given these significant impacts on health care, there has been growing interest in therapies that may decrease morbidity, mortality, along
with the substantial health care expenditures associated with the disease. These therapies hope to target both patients with heart failure with preserved ejection fraction and those with heart failure with reduced ejection fraction.

Recently, there has been a paradigm shift in the field of heart failure, with new emerging therapeutic options including pharmacologic therapies, outpatient hemodynamic monitoring, and device therapies, resulting in significant improvements in patient outcomes in pivotal clinical studies. This review article will discuss these new therapeutic discoveries as well as provide insight into future therapies.

**Pharmacologic therapies**

Pharmacologic therapy has been the cornerstone of management for the heart failure syndrome. Early treatments, consisting of digoxin and diuretics, were aimed primarily at relieving the congestive symptoms. The role of pharmacologic therapy has evolved from simply providing symptom relief to improving morbidity and mortality ever since the release of the first large-scale randomized trial that showed that hydralazine and isosorbide dinitrate decreased mortality in patients with advanced heart failure. Since this time, there have been three major classes of medications, angiotensin-converting enzyme (ACE) inhibitors, beta blockers, and mineralocorticoid receptor antagonists, which have been shown to decrease mortality in systolic heart failure by 17%, 34%, and 30%, respectively. Several innovative pharmacotherapies have recently been approved for the treatment of systolic heart failure.

**Angiotensin receptor neprilysin inhibitor**

The renin angiotensin–aldosterone system (RAAS) is a pivotal player in the pathophysiology of heart failure. Activation of this system is associated with activation of the sympathetic system and leads to vasoconstriction and sodium and water retention, with the degree of activation of this system correlating with the severity of heart failure. As a result, the focus of multiple heart failure trials has been to inhibit activation of the RAAS system and prevent its maladaptive consequences. The natriuretic peptide system, consisting of atrial natriuretic peptide and B-type natriuretic peptide (BNP), is commonly viewed as a counterweight to the RAAS system by promoting diuresis, natriuresis, and vasodilation. Neprilysin, an enzyme released by the kidney, is involved in the degradation of multiple vasoactive peptides, such as atrial natriuretic peptide, BNP, and bradykinin, which have all been shown to have protective effects in the heart failure syndrome. Given the harmful effects of neprilysin in heart failure, this endopeptidase has been the target of multiple landmark clinical trials (Figure 1).

The first trial examining the potential benefit of neprilysin inhibition was the Omapatrilat Versus Enalapril Randomized Trial of Utility in Reducing Events (OVERTURE trial), which examined the combination of an ACE inhibitor and neprilysin inhibitor (omapatrilat) vs enalapril. In this study, there was no difference between the treatment groups relating to the primary outcome of a combined risk of death or heart failure hospitalization; however, secondary endpoint analysis showed a 9% decrease in cardiovascular death or hospitalization. This positive finding was offset by increased risk in the number and severity of episodes of angioedema, which was felt to be secondary to a marked increase in the circulating levels of bradykinin from dual neprilysin and ACE inhibition.

Given the safety concerns with omapatrilat, LCZ696, also known as sacubitril–valsartan, was developed. This agent combines an angiotensin receptor blocker–neprilysin inhibitor (ARNI) in hopes of reducing angioedema risk, as it inhibits only one of the enzymes responsible for bradykinin degradation. The earliest trial investigating this combination was an antihypertensive trial performed by Ruijope et al, where it was demonstrated that LCZ696 had larger antihypertensive effect compared to valsartan alone. Following this, the first heart failure trial using LCZ696, the Prospective comparison of ARNI with ARB on Management Of heart failure with preserved ejection fraction (PARAMOUNT trial), examined the effect of this medication on the levels of N-terminal pro B-type natriuretic peptide (NT-ProBNP) in New York Heart Association (NYHA) class II–III patients with heart failure with preserved ejection fraction. This study demonstrated a greater reduction in NT-ProBNP at 12 weeks in the LCZ696 arm compared to the valsartan arm.

Most recently, results from the Prospective Comparison of ARNI with an Angiotensin-Converting Enzyme Inhibitor to Determine Impact on Global Mortality and Morbidity in Heart Failure (PARADIGM-HF) trial have been released. This trial is the largest randomized controlled trial in heart failure. PARADIGM-HF examined the effects of LCZ696 compared to those of enalapril on 8442 patients with heart failure and reduced ejection fraction. The primary outcome measure was cardiovascular death or hospitalization for heart failure. Patients enrolled were NYHA class II–IV (predominantly classes II and III) with an ejection fraction of ≤40%. The unique design of this trial included three phases: a single-blind run in a phase where all patients received enalapril, followed by a single-blind run in a phase where all patients received LCZ696, followed by the double-blind treatment phase. This three-phase approach was performed...
to ensure that patients randomized would be able to tolerate the drugs as well as their side effects at target doses during the trial (LCZ696 target 400 mg/day, enalapril 20 mg/day).

In PARADIGM-HF, recipients of LCZ696 had a 20% relative reduction in the composite outcome of cardiovascular death or heart failure hospitalization, compared to enalapril recipients (Figure 2). When cardiovascular death and heart failure hospitalizations were viewed as separate outcomes, LCZ696 also conferred a 20% relative reduction in both.

From a safety standpoint, patients on LCZ696 were more likely to experience hypotension and nonserious angioedema, while those on enalapril were more likely to have renal impairment, hyperkalemia, and cough. Furthermore, a subanalysis of the PARADIGM-HF trial19 showed a 38% reduction in 30-day readmission for patients on LCZ696. In addition, an analysis of the mode of death in PARADIGM-HF revealed a significant reduction with LCZ696 compared to enalapril in the risk of both sudden cardiac death as well as death from worsening congestive heart failure.20

Based on the findings from PARADIGM, the American College of Cardiology, the American Heart Association, and the Heart Failure Society of America released a consensus statement21 regarding the use of a dual angiotensin–neprilysin inhibitor (sacubitril–valsartan) for patients with heart failure and reduced systolic function. In this statement, they recommend the initiation of sacubitril–valsartan in patients with mild to moderate heart failure (defined as a BNP ≥150 pg/mL or NT-proBNP ≥600 pg/mL or a BNP ≥100 pg/mL or NT-proBNP ≥400 pg/mL in the setting of a heart failure admission within the last 12 months) who are able to tolerate an equivalent of enalapril 10 mg twice a day (class I, level of evidence B) to further reduce cardiovascular mortality or heart failure admissions. Additionally, they emphasized that sacubitril–valsartan should not be given concomitantly with an ACE inhibitor or within 36 hours of an ACE inhibitor to minimize the risk of angioedema and that it is contraindicated in patients with a history of angioedema.
Ivabradine

Elevated heart rate has been shown to be a risk factor for adverse outcomes in patients with cardiovascular diseases such as hypertension, coronary artery disease, and congestive heart failure. The cause is thought to be multifactorial, including increased oxygen demand and consumption, decreased ventricular efficiency, development of tachycardia-induced cardiomyopathies, decreased coronary perfusion, and increased cardiac hypertrophy (Figure 3). Classes of medications such as beta blockers and calcium channel blockers can decrease the heart rate; however, their use is limited by their negative ionotropic effects, intolerances, as well as tachycardia, despite maximal doses.

Located in the pacemaker cells of the heart is the funny current (If), a mixed sodium–potassium current whose activation and binding to the funny channel is responsible for the initiation of diastolic depolarization. Inhibition of this current increases diastole and results in decreased heart rate. Ivabradine is a specific inhibitor of (If) within the sinoatrial node, and, given its specificity, is thought to be able to decrease the heart rate without altering myocardial contractility or blood pressure. This hypothesis was evaluated in the Ivabradine and Outcomes in Chronic Heart Failure (SHIFT trial), which compared the effect of ivabradine vs placebo in patients with symptomatic heart failure with an ejection fraction of ≤35% and a heart rate of 70 beats per minute or higher, who had been admitted to the hospital for heart failure within the previous year and were on stable background therapy including a beta blocker if tolerated. The primary outcome of this study was a composite of cardiovascular death or hospital admission for heart failure. After a median follow-up of nearly 23 months, there was an 18% decrease in the primary outcome, which was driven predominantly by a decrease in heart failure readmission. The findings in this study are limited by the fact that only 25% of patients within this trial were on optimal doses of beta blockade.
Based on this study, the American College of Cardiology/American Heart Association/Heart Failure Society of America recommends the use of ivabradine to reduce heart failure hospitalizations in patients with symptomatic, NYHA class II–III heart failure with an ejection fraction of ≤35%, who are in sinus rhythm, with a heart rate of ≥70 beats per minute at rest despite a maximally tolerated dose of beta blocker (class IIa, level of evidence B).

**Hemodynamic monitoring**

By the year 2030, the predicted cost of heart failure will be >70 billion US dollars, with 80% of the cost attributed to costs associated with hospitalizations. Moreover, 50% of patients admitted to the hospital for heart failure management do not survive beyond 3 years. Given these findings, decreasing readmission rates is considered a major opportunity to improve both the costs and outcomes associated with the care of patients with heart failure. In 2010, the Patient Protection and Affordable Care Act directed the Center for Medicare and Medicaid Services to penalize hospitals with worse than expected 30-day readmission rates, with penalties of up to 1% in 2013, 2% in 2014, and 3% in 2016.

Strategies to reduce recurrent heart failure hospitalizations have focused on three distinct time frames: before discharge, early after discharge, and late after discharge. Prior to discharge from the hospital, focus is placed on ensuring that patients are adequately decongested as well as identifying and preemptively controlling triggers that may lead to potential readmission, such as medical noncompliance, dietary indiscretion, or lack of social support. Decongestion remains the primary area of focus, given that patients with high level of congestion at discharge are 15 times more likely to be readmitted or die compared to those who have achieved complete decongestion. Despite the fact that 60% of patients leave the hospital with no or a minimal level of congestion, by 60 days, 40% of these patients are recongested. In the early discharge phase, focus is on patient education, medication reconciliation, and early contact/follow-up. Unfortunately, interventions in this phase have shown inconsistent results in their ability to decrease readmissions or improve mortality. Telemonitoring has been a strategy that has been extensively studied in the late after discharge phase. The potential benefit of telemonitoring relates to the fact that the majority of days that patients with heart failure receive care are usually with direct contact with the health care system, and that information transmitted, such as daily weights, symptoms, and vital signs, may allow physicians to intervene and prevent patients from being readmitted to the hospital. Unfortunately, three separate trials failed to demonstrate any improvement in the reduction of cardiovascular death or readmission. The lack of benefit seen in these trials may be because measured variables such as weight gain might be good surrogates for congestion in the short term, but may not be good surrogates in the long term. Also, management responses to a change in the variables measured were not standardized and were left up to individual provider’s preference.

Studies examining physiologic changes that precede admissions for acute decompensated heart failure have shown...
that the first change noted is an increase in filling pressure which can occur up to 20 days prior to admission, followed by changes in autonomic adaptation and intrathoracic impedance, and finally weight gain and the development of symptoms occurring only a few days prior to admission. Given the potential importance of antecedent detection of elevated intracardiac filling pressures, there has been great interest in developing high-fidelity implantable pulmonary artery (PA) pressure measurement systems. The most well-studied PA measurement system is the CardioMems heart failure sensor, a wireless, implantable hemodynamic monitoring system consisting of a coil and a pressure-sensitive capacitor encased in a hermetically sealed silica capsule covered by silicone which anchors into the PA using nitinol loops (Figure 4). Patients can transmit hemodynamic data remotely using this wireless device, with PA pressure tracing data then reviewed by their heart failure clinicians, who then interpret the information and prompt changes in medical therapy.

The CardioMems device was originally examined in the CHAMPION trial, which examined the 6-month rate of heart failure-related admissions in patients with NYHA functional class III symptoms and a heart failure hospitalization in the past 12 months. All patients in this study received the CardioMems device; however, half of the patients were put into the treatment arm, in which a clinician was given access to their device readings obtained by the device, while the remaining patients were in the control group and a clinician did not have access to their device readings. Patients in the treatment arm of this trial demonstrated a 28% decrease in heart failure-related hospitalizations over the 6-month time period (Figure 5). Additional findings from this study demonstrated that patients in the treatment arm had a lower risk of death or first heart failure-related hospitalization, a greater reduction in PA mean pressure, more days spent outside the hospital, and a better quality of life. Interestingly, when readmitted for heart failure, patients in the treatment arm had a 2-day shorter length of stay than the control group. A unique finding in this trial was that the benefits displayed occurred in both patients with preserved and reduced systolic function. A follow-up study of the CHAMPION trial examined findings of the open access period during which time data from all patients remaining in the trial were accessible to clinicians. Patients originally in the treatment arm had a sustained decrease in their rate of readmission, while those who were originally in the control arm and now had their data given to clinicians showed a 48% decrease in their rates of readmission to the hospital for heart failure.

Based on the results of the original CHAMPION study, in 2014, the CardioMems hemodynamic monitoring device was approved by the US Food and Drug Administration for use in NYHA class III heart failure patients who have been hospitalized for heart failure in the past 12 months, with the goal of decreasing readmissions. Given the requirement of review of the transmitted data by a health care provider, this device must be embedded within a robust heart failure disease management program to have a real impact.

Heart-assist devices

Ever since the development of the artificial heart program in 1964, multiple different mechanical circulatory support devices have been developed and tested in advanced stage heart failure patients for both short-term and long-term use. Early-generation LVADs were pulsatile in flow, and despite their US Food and Drug Administration approval in 2002, were rarely used secondary to their large size, limited durability, high rate of device failure, and serious complications such as stroke and infection. Since that time, there have been rapid technological improvements resulting in the development of continuous flow LVADs, which are smaller, valveless, and consist of only a single moving internal rotor. Studies have shown that continuous flow LVADs have improved durability and survival, along with less morbidity. At the current time, there are two different types of continuous flow pumps: axial and centrifugal (Box 1).

The HeartMate II (HMII) LVAD, the most commonly implanted axial flow device, consists of an inflow cannula arising from the left ventricle, an inlet stator and blood flow straightener, a rotor, an outlet stator and diffuser, and an outflow cannula that connects to the aorta. Within this device, the rotor is suspended with mechanical bearings on spherical surfaces that rotate in sockets. Given this, the bearing contact points are predisposed to mechanical wear and heat generation, which may theoretically limit the operating life span of the pump. Also, the increase in wear and heat generation at the bearing contact points increases the chances of fibrin deposition and thrombus formation in the blood flow path. In contrast, the HeartWare LVAD, the most commonly implanted centrifugal flow device, has an impeller that is suspended by passive magnetic and hydrodynamic thrust bearings to allow for a contact-free rotation, with the hope of decreasing device thrombosis and resultant pump exchange. However, despite these technological advances, 20% of patients will experience major bleeding at 1 year, 10% of patients will suffer a disabling stroke, 6%–8% will experience pump thrombosis, and 20% of patients will experience a serious device-related infection. Given the high rate of morbidity, the focus on recent LVAD research has been to improve outcomes in these three specific areas.
A next-generation heart-assist device undergoing active investigation is the HeartMate 3 (HM3), which was developed to address the common adverse outcomes in the current generation of devices, including bleeding, infection, stroke, and pump thrombosis, by improving hemocompatibility. This device has several novel design elements. A fully magnetically levitated rotor eliminates the need for mechanical or hydrodynamic bearings producing blood gaps, which are 10–20 times wider than the current devices, and the device can be programmed to facilitate rapid changes in the rotor speed to create an intrinsic artificial pulse, washing the pump circuit (Figure 6). In combination, these features are hypothesized to decrease damage...

Figure 4 CardioMems device.
Notes: The CardioMems system consists of three entities: (A) a PA sensor used to measure the PA diastolic pressure, (B) a home electronics system which both powers the PA sensor and transmits information to the third part, and (C) a centralized database accessible to a health care provider. Figure A reprinted from Heart Lung Circulation; 21(6–7). Crozier I, Smith W. Modern device technologies. 320–327. Copyright © 2011 Australian and New Zealand Society of Cardiac and Thoracic Surgeons (ANZSCTS) and the Cardiac Society of Australia and New Zealand (CSANZ), with permission from ANZSCTS and CSANZ. Figure B – CardioMems™ hospital electronics system: CardioMems and St. Jude Medical are trademarks of St. Jude Medical, LLC or its related companies. Reproduced with permission of St. Jude Medical. ©2017. All rights reserved. Figure C reprinted from Shavelle D, Jermyn R. The CardioMEMS heart failure sensor: a procedural guide for implanting physicians. The Journal of Invasive Cardiology. 2016;28(7):273–279.
Abbreviation: PA, pulmonary artery.
The first clinical study of the HM3 was performed by Netuka et al<sup>12</sup> in its Conformité Européenne CE Mark clinical trial and examined the 6-month outcomes in patients implanted with this device in ten centers in six countries. Patients included in this study were either NYHA class IIIb (52%) or NYHA class IV (48%), with 54% being a bridge to therapy candidate and 46% being a destination therapy candidate. By 6 months, 88% of patients were alive on the device, 4% had been transplanted, and 8% died. Remarkably, the 30-day postimplant survival rate was 98%.<sup>53</sup> Key adverse events at 6 months included bleeding (14%), driveline infection (10%), and debilitating stroke (8%). Most impressively, there were no reported cases of hemolysis or pump thrombosis within the study period. The preliminary outcomes and adverse event profiles from the HM3 experience were as good or better than those of previous trials evaluating the HMII and HeartWare devices.<sup>44,45,54</sup>

After much anticipation, the results of the short-term support analysis of the MOMENTUM 3 trial were released in November 2016. This trial examined 294 patients who were randomized in a 1:1 fashion to either the HMII or HM3 device with a primary composite endpoint of survival free of disabling stroke or survival free of reoperation for device replacement or removal at 6 months.<sup>55</sup> Patients in this trial were included irrespective of their candidacy for heart transplantation. Although powered for noninferiority, analysis of the primary endpoint showed superiority of the HM3 (86.2% vs 76.8%, <i>p</i>=0.04). This endpoint was largely driven by the absence of confirmed or suspected pump thrombosis in the HM3, which was present in 10.1% of patients with the axial flow device. Despite the improvement in pump thrombosis, there was no difference between the devices in relation to other device complications such as right heart failure, stroke (any or disabling), infections, or bleeding. The MOMENTUM 3 trial will next report the randomized long-term support cohort (N=366) for the composite endpoint of survival free of disabling stroke or survival free of reoperation for device replacement or removal at 24 months. Thanks to its adaptive design, the MOMENTUM 3 trial is now fully enrolled with 1028 subjects and powered to evaluate a secondary endpoint of pump replacement at 2 years.<sup>30</sup>

### Conclusion

The last 10 years have been a renaissance for the treatment of the heart failure syndrome with the development of new pharmacologic therapies, hemodynamic monitoring devices, and left ventricular assist systems that have been shown to improve morbidity and mortality as well as decrease heart failure readmissions. Studies examining these newly

### Box 1 Triggers for referral for VAD evaluation

<table>
<thead>
<tr>
<th>Trigger</th>
<th>Definition</th>
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<tbody>
<tr>
<td>Inability to wean inotropes or frequent inotrope use</td>
<td>Unable to reduce inotropic support with current medical therapy</td>
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<tr>
<td>Peak VO&lt;sub&gt;2&lt;/sub&gt; &lt; 14–16 ml kg&lt;sup&gt;-1&lt;/sup&gt; min&lt;sup&gt;-1&lt;/sup&gt; or &lt; 50% predicted VO&lt;sub&gt;2&lt;/sub&gt; max</td>
<td>Peak oxygen consumption &lt; 50% predicted maximum oxygen consumption</td>
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<tr>
<td>Two or more HF admissions in 12 mo</td>
<td>Hospitalization event within the last 12 months</td>
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<tr>
<td>Worsening right heart failure and secondary pulmonary hypertension</td>
<td>Progression of heart failure to a more advanced class</td>
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<tr>
<td>Diuretic refractoriness associated with worsening renal function</td>
<td>Unable to control fluid status with optimal diuretic therapy</td>
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<tr>
<td>Circulatory-renal limitation to ACE inhibition</td>
<td>Renal function deterioration due to ACE inhibition</td>
</tr>
<tr>
<td>Hypotension limiting β-blocker therapy</td>
<td>Blood pressure significantly lower than normal despite β-blocker therapy</td>
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<tr>
<td>NYHA class IV symptoms at rest on most days</td>
<td>New onset of NYHA class IV symptoms</td>
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<tr>
<td>Seattle HF model score with anticipated mortality &gt;15% at 1 y</td>
<td>Model predicted mortality &gt;15%</td>
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<tr>
<td>Six-minute walk distance &lt; 300 m</td>
<td>Functional capacity decreased</td>
</tr>
<tr>
<td>Persistent hyponatremia (serum sodium &lt; 134 mEq/L)</td>
<td>Serum sodium significantly lower than normal</td>
</tr>
<tr>
<td>Recurrent, refractory ventricular tachyarrhythmias</td>
<td>Frequent, refractory arrhythmias</td>
</tr>
<tr>
<td>Cardiac cachexia</td>
<td>Severe weight loss or severe fluid retention</td>
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</table>

**Note:** Reproduced from Stewart and Givertz. Stewart GC, Givertz MM. Mechanical circulatory support for advanced heart failure: patients and technology in evolution. Circulation. 2012;125(10):1304–1315. Copyright © 2012 American Heart Association, Inc. http://circ.ahajournals.org/. Promotional and commercial use of the material in print, digital or mobile device format is prohibited without the permission from the publisher Wolters Kluwer. Please contact healthpermissions@wolterskluwer.com for further information.<sup>49</sup>

**Abbreviations:** ACE, angiotensin-converting enzyme; HF, heart failure; mo, months; NYHA, New York Heart Association; VAD, ventricular assist device; VO<sub>2</sub>, oxygen consumption; y, year.

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to red blood cells and von Willebrand factor, decrease platelet activation, and reduce stasis in the pump with the end goals of decreasing strokes, bleeding, and pump thrombosis.<sup>50–52</sup> Additional differences between the HM3 and HMII devices include a largely intrapericardial placement rather than sub-diaphragmatic position, as well as a longer battery life.
discussed therapies as well as trials examining novel therapeutic agents are ongoing, with hopes of future discoveries to help treat this disease.

Disclosure

The authors report no conflicts of interest in this work.

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Figure 6 HeartMate 3 Full MagLev™ internal pump design.
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