Chronic rhinosinusitis is associated with higher prevalence and severity of bronchiectasis in patients with COPD

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Background and purpose: Bronchiectasis revealed by high-resolution computed tomography (HRCT) is common in chronic obstructive pulmonary disease (COPD), but the causes and risk factors remain to be determined. Chronic rhinosinusitis (CRS) is closely associated with bronchiectasis or COPD, but whether it is associated with comorbid bronchiectasis in COPD (COPD-Bx) is unknown.

Patients and methods: Patients with stable COPD were enrolled consecutively and evaluated for the presence of CRS by questionnaire and paranasal sinus computed tomography. The prevalence and severity of bronchiectasis on lung HRCT were evaluated by the Smith and severity scores. COPD symptoms were evaluated by COPD Assessment Test (CAT) and Modified British Medical Research Council Questionnaire. The sputum cell differentials and concentrations of interleukin (IL)-6, IL-8, IL-5, matrix metalloproteinases-9 (MMP-9), and tissue inhibitor of matrix metalloproteinases-1 were measured.

Results: We enrolled 136 patients with stable COPD, of which 66 (48.5%) were diagnosed with CRS according to the European Position Paper on Rhinosinusitis and Nasal Polyps (EPPOS) criteria. The prevalence of bronchiectasis was 57.6% in patients with CRS, but 37.1% in those without CRS (P=0.017). COPD-Bx patients with CRS showed a significantly higher severity score of bronchiectasis than those without CRS (P=0.034). COPD patients with CRS had a higher percentage of eosinophils, higher levels of IL-8, IL-6, and MMP-9 in sputum as compared to those without CRS. In COPD-Bx patients with CRS, the percentage of eosinophils and the levels of IL-6 and MMP-9 in sputum were increased as compared to those without CRS. In all the subjects, Sino-Nasal Outcome Test-20 correlated with CAT score (r=0.315, P<0.01) and in COPD patients with CRS, Lund–MacKay scores correlated with forced expiratory volume in 1 s (% pred) (r=-0.251, P<0.05).

Conclusions: CRS was associated with COPD-Bx and this was probably due to increased airway inflammation.

Keywords: bronchiectasis, COPD, chronic rhinosinusitis

Introduction

With the increasing use of chest high-resolution computed tomography (HRCT) in the assessment of patients with chronic obstructive pulmonary disease (COPD), previously unrecognized radiographic bronchiectasis has been identified.¹ Studies showed that 15%–30% of patients diagnosed with COPD had bronchiectasis by CT scanning, and the prevalence could even reach 50% in patients with severe COPD.²–⁴ The presence of bronchiectasis in patients with COPD predicted worse health status and increased mortality,² and thus is regarded as a comorbidity of COPD, although the underlying mechanism is currently unknown.
Chronic rhinosinusitis (CRS) is a common upper airway disease, which is characterized by chronic inflammation of nasal mucosa and paranasal sinuses. The estimated prevalence of CRS is 10.9% in Europe and 16% in the USA. In China, a cross-sectional survey showed that the overall prevalence of self-reported CRS was 8.2% among adults aged 15–75 years.

Epidemiological and physiological studies have demonstrated that lower and upper airway diseases often coexist, which has led to the concept of the “united airways”. Both allergic and nonallergic lower airway diseases were found to be associated with significant sinonasal inflammation and symptoms. In patients with a primary diagnosis of bronchiectasis, the prevalence of CRS was high, and CRS had a considerable impact on the quality of life (QoL) of the patients and was correlated with the severity of bronchiectasis by CT scoring. All these findings suggest that CRS may be involved in or share the pathogenesis of bronchiectasis, although the exact links between them remain speculative.

Recent studies also reported high prevalence of sinonasal symptoms in COPD, ranging from 33% to 88%, and patients with severe to very severe COPD had significantly worse impairment of health status because of upper airway symptoms than those with mild-to-moderate disease. However, whether CRS is associated with comorbid bronchiectasis in COPD (COPD-Bx) remains unanswered. The aim of this study was to explore the association between CRS and bronchiectasis in COPD patients. We hypothesized that the concept of “united airways” also applied to bronchiectasis associated with COPD.

**Materials and methods**

**Study subjects**

Adult patients with stable COPD visiting Beijing Tongren Hospital, Capital Medical University, were consecutively enrolled between March 2015 and February 2016. COPD was diagnosed according to Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria. Pulmonary function tests were performed according to international standards by two trained and experienced technicians. Severity of airflow obstruction was evaluated according to the 2014 GOLD classification. All subjects were required to either be a current smoker or a past smoker. Subjects with a primary diagnosis of bronchiectasis, asthma or any other significant respiratory diseases were excluded. The study was approved by the Ethics Committee of Beijing Tongren Hospital, Capital Medical University (TRECKT2008-14), and all subjects provided informed written consent.

**Clinical data and COPD assessment**

Clinical data were collected, including demographic characteristics, smoking history, duration of COPD symptoms, nasal symptoms, and previous diagnosis and treatment of sinusitis. Dyspnea was evaluated using the Modified British Medical Research Council (mMRC) Questionnaire, and overall symptoms were evaluated by the COPD Assessment Test (CAT).

**Chest HRCT scanning**

Chest HRCT was performed using a 64-row, multidetector CT scanner (Philips Company, Amsterdam, the Netherlands). The diagnosis of bronchiectasis was based on criteria reported by Naidich et al18: 1) lack of tapering of bronchi, 2) dilation of bronchi when the luminal diameter was larger than that of the adjacent pulmonary artery, or 3) visualization of the peripheral bronchi within 1 cm of the costal pleural surface or adjacent mediastinal pleural surface. The radiographic extent of bronchiectasis was assessed using the Smith method.19 The extent of bronchiectasis in each lobe was graded as follows: 0, if there was no evidence of bronchiectasis; 1, <25% of the bronchi were abnormal; 2, 25%–49% of the bronchi were abnormal; 3, 50%–74% of the bronchi were abnormal; and 4, 75% or more of the bronchi were abnormal. The lingula was scored as a separate lobe. The total Smith score ranged from 0 to 24. The severity of bronchiectasis in each lobe was graded by the Bhalla scoring system, using a scale from 0 to 3: 0, no involvement; 1, mild, luminal diameter slightly greater than diameter of adjacent blood vessel; 2, moderate, lumen 2–3 times the diameter of adjacent vessel; and 3, severe, lumen >3 times the diameter of adjacent vessel. Small cylindrical bronchiectasis visible in only a single pulmonary segment was not considered, because this can appear in a significant percentage of the healthy population, as previously reported. The images were assessed by two professional radiologists blindly. When the two independent radiologists disagreed in their evaluation, the scores were decided together after discussion.

**Evaluation of CRS**

CRS was diagnosed based on the European Position Paper on Rhinosinusitis and Nasal Polyps (EPPOS) criteria by clinical symptoms and sinus CT features22: 1) For the clinical diagnosis of sinusitis, major and minor criteria were applied. Major symptoms were nasal obstruction/blockage and nasal or post-nasal discharge/purulence. Minor symptoms included headache, facial pain/pressure/fullness, and hyposmia/anosmia. Patients were classified as having CRS if they reported usual presence of at least two of these
Symptoms for >12 consecutive weeks, and at least one of the two major symptoms was present. 2) CT features included mucosal changes of ostiomeatal complex and/or sinuses. The presence/absence of nasal polyps could not be ascertained because nasal endoscopy or tissue biopsy was not performed. The paranasal sinus computed tomography (PNS-CT) scans of the subjects were obtained using a Philips Brilliance CT scanner. The radiographic severity of CRS was assessed using the Lund–MacKay CT staging system (0–24) by two professional radiologists blindly. The minimal possible score “0” was considered as normal or negative; any score >0 was considered as abnormal or positive. Patients also filled in the Sino-Nasal Outcome Test-20 (SNOT-20) questionnaire, which is a validated disease-specific QoL questionnaire for rhinosinusitis. The SNOT-20 comprises 20 items and each item is graded from 0 to 3, with total scores ranging from 0 to 60. Higher scores represent a worse impairment of health status because of upper airway symptoms.

Sputum sample processing
Sputum induction was performed in all subjects according to the method described by Paggiaro et al. The sputum samples were kept on ice until processed, usually within 1 h. Sputum was weighed and mixed with 0.1% dithiothreitol in a ratio of 4:1. The sample was filtered to increase homogenization and centrifuged at 2,000×g at 4°C for 10 min. The supernatants were harvested and stored at −80°C until analysis. Cytospin slides were prepared and stained with Wright Giemsa staining for the differential cell counts.

Measurement of inflammatory mediators
The levels of interleukin (IL)-6, IL-8, and IL-5 in the supernatants of sputum were determined by means of Cytometric Bead Array Flex System (Becton Dickinson [BD] Pharmingen, San Jose, CA, USA) according to the manufacturer’s instructions. The detection limits of IL-6, IL-8, and IL-5 were 10 pg/mL. The concentrations of matrix metalloproteinases-9 (MMP-9) and tissue inhibitors of matrix metalloproteinases-1 (TIMP-1) in supernatants of sputum were measured with commercial ELISA kits (R&D Systems, Inc., Minneapolis, MN, USA). The detection limits of MMP-9 and TIMP-1 were 31.3 pg/mL. A zero value was assigned when the concentration of inflammatory mediators was below the detection limit.

Statistical analysis
All statistical analyses were conducted using a statistical software package (version 21.0; Statistics Package for the Social Sciences [SPSS], Inc., Chicago, IL, USA). Data were expressed as the mean ± standard deviation (for normal distribution parameters) or as median and 25th–75th percentiles (for abnormal distribution parameters). Comparisons of continuous data between two groups were performed by t-test (for normal distribution parameters) and Mann–Whitney U-test (for abnormal distribution parameters). Categorical variables between different groups were analyzed by χ² test. Spearman’s correlations were used for correlation analysis. P-values <0.05 were considered statistically significant.

Results
Demographic characteristics
One hundred and thirty-six patients with COPD were enrolled for this study, among whom 17 (12.5%), 68 (50.0%), 43 (31.6%), and 8 (5.9%) were in GOLD stages I, II, III, and IV, respectively. The mean age was 71.3±11.1 years, and 83.8% were male. A total of 66.2% (90/136) of the subjects were current smokers (Table 1).

Prevalence of bronchiectasis and CRS in patients with COPD
Chest HRCT revealed that 47.1% (64/136) of the COPD patients had signs of bronchiectasis which was commonly seen in the right lower lobe (59.4%), followed by right middle lobe (57.8%), left lower lobe (48.4%), right upper lobe (40.6%), left upper lobe (37.5%), and maxilla (29.7%), and bilateral lesions were common. Most patients showed cylindrical bronchiectasis (87.5%), while a minority of patients had mixed bronchiectasis (12.5%).

According to the EPOS criteria, 48.5% (66/136) of the COPD patients were diagnosed to have CRS. Nasal obstruction, nasal or post-nasal discharge, headache/facial pain, and anosmia were present in 79.5%, 94.9%, 23.1%, and 48.7% of the patients, respectively. By PNS-CT scanning, the predilection sites of CRS were maxillary (68.2%), anterior ethmoid (53.0%), posterior ethmoid (33.3%), sphenoid (24.2%), frontal (22.7%), and ostiomeatal complex (7.6%), and bilateral lesions were common.

Characteristics of COPD patients with and without CRS
Clinical characteristics of COPD patients with and without CRS are shown in Table 1. Subjects with CRS had significantly lower forced expiratory volume in 1 s (% pred), longer duration of symptoms, and higher scores of CAT and mMRC. There were more females in COPD patients with CRS as compared to those without CRS. In COPD patients...
with CRS, the Lund–MacKay scores correlated with FEV1 (% pred) (r = -0.251, P < 0.05). In all the COPD patients, the SNOT-20 correlated with the CAT scores (r = 0.315, P = 0.01; Figure 1).

Prevalence and severity of bronchiectasis in COPD patients with and without CRS

In COPD patients with CRS (n = 66), 57.6% (38/66) showed comorbid bronchiectasis, while in those without CRS (n = 70), 37.1% (26/70) did; the difference being significant (χ² = 5.693, P = 0.017). The CT score was used to evaluate the extent and severity of bronchiectasis in COPD patients. The scores of bronchiectasis between COPD-Bx patients with and without CRS are shown in Table 2. The severity score of bronchiectasis in COPD-Bx patients with CRS was significantly higher than those without CRS, while the Smith score, that is, the radiographic extent of bronchiectasis, was comparable between COPD-Bx patients with and without CRS.

Airway inflammatory cells and mediators in COPD patients with and without CRS

To investigate the underlying mechanisms of the association between CRS and bronchiectasis in COPD, we examined the numbers of inflammatory cells and measured the concentrations of cytokines or mediators related to airway neutrophilic or eosinophilic inflammation in sputum. We also
measured the sputum level of MMP-9 and TIMP-1, which are believed to be involved in airway damage and remodeling, a feature of bronchiectasis. The level of IL-5 was below the detection limit in nearly all sputum samples and, therefore, was not included in this analysis. The percentage of sputum eosinophils, and the sputum levels of IL-6, IL-8, and MMP-9 were increased in COPD patients with CRS as compared with those without CRS. The results are shown in Table 3.

### Airway inflammatory cells and mediators in COPD-Bx patients with and without CRS

Because the severity score of bronchiectasis was higher in COPD-Bx patients with CRS as compared to those without CRS, we asked whether this was associated with enhanced features of airway inflammation. Our study showed that the percentage of eosinophils in sputum were increased in COPD-Bx patients with CRS as compared to COPD-Bx patients without CRS. The percentage of sputum neutrophils, macrophages, and the levels of sputum IL-8 did not differ between COPD-Bx patients with and without CRS. The results are shown in Table 4.

### Table 2 The prevalence and the severity of bronchiectasis in COPD patients with and without CRS

<table>
<thead>
<tr>
<th>Item</th>
<th>COPD patients with CRS (n=66)</th>
<th>COPD patients without CRS (n=70)</th>
<th>P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>COPD-Bx subjects (%)</td>
<td>38 (57.6%)</td>
<td>26 (37.1%)</td>
<td>0.017*</td>
</tr>
<tr>
<td>The severity score</td>
<td>3.0 (2.0, 5.0)</td>
<td>2.0 (2.0, 3.0)</td>
<td>0.034*</td>
</tr>
<tr>
<td>Smith score</td>
<td>6.0 (4.0, 10.0)</td>
<td>4.9 (3.0, 8.0)</td>
<td>0.179</td>
</tr>
</tbody>
</table>

Notes: Data are presented as median with 25th–75th percentiles or n (%). The severity score indicates the radiological score of bronchiectasis severity; the Smith score indicates the radiological score of bronchiectasis extent. *P<0.05.

Abbreviations: COPD, chronic obstructive pulmonary disease; COPD-Bx, comorbid bronchiectasis in COPD; CRS, chronic rhinosinusitis.

### Table 3 Sputum inflammatory cells and mediators in COPD patients with and without CRS

<table>
<thead>
<tr>
<th>Item</th>
<th>COPD subjects with CRS (n=66)</th>
<th>COPD subjects without CRS (n=70)</th>
<th>P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neutrophils (%)</td>
<td>26.88 (8.44, 54.69)</td>
<td>20.58 (5.53, 51.34)</td>
<td>0.118</td>
</tr>
<tr>
<td>Lymphocytes (%)</td>
<td>3.56 (2.41, 7.32)</td>
<td>4.35 (2.40, 8.99)</td>
<td>0.519</td>
</tr>
<tr>
<td>Macrophages (%)</td>
<td>58.68 (32.17, 81.24)</td>
<td>73.73 (43.96, 81.82)</td>
<td>0.095</td>
</tr>
<tr>
<td>Eosinophils (%)</td>
<td>0.55 (0.00, 3.20)</td>
<td>0.00 (0.00, 1.10)</td>
<td>0.004**</td>
</tr>
<tr>
<td>IL-6 (pg/mL)</td>
<td>492.32 (68.96, 1461.46)</td>
<td>282.25 (43.65, 651.29)</td>
<td>0.046*</td>
</tr>
<tr>
<td>IL-8 (ng/mL)</td>
<td>33.3 (1030, 112.24)</td>
<td>23.65 (6.90, 40.90)</td>
<td>0.027*</td>
</tr>
<tr>
<td>MMP-9 (ng/mL)</td>
<td>765.10 (340.99, 1714.14)</td>
<td>631.60 (220.89, 902.27)</td>
<td>0.042*</td>
</tr>
<tr>
<td>TIMP-I (ng/mL)</td>
<td>704.16 (239.32, 1227.25)</td>
<td>562.65 (154.28, 1253.76)</td>
<td>0.467</td>
</tr>
<tr>
<td>MMP-9/TIMP-1</td>
<td>1.51 (0.86, 2.59)</td>
<td>1.31 (0.73, 2.51)</td>
<td>0.438</td>
</tr>
</tbody>
</table>

Notes: Data are presented as median with 25th–75th percentiles. *P<0.05; **P<0.01.

Abbreviations: COPD, chronic obstructive pulmonary disease; CRS, chronic rhinosinusitis; IL, interleukin; MMP-9, matrix metalloproteinases-9; TIMP-1, tissue inhibitors of matrix metalloproteinases-1.

### Discussion

We have shown for the first time to our knowledge that in a well-defined cohort of smokers with COPD, CT bronchiectasis was more prevalent and severe in patients with CRS, which was associated with increased airway eosinophils, IL-6, IL-8, and MMP-9. COPD patients with CRS had more severe impairment of pulmonary function, and higher scores of CAT and mMRC. We also found that Lund–MacKay scores correlated with FEV₁ (% pred), implying that there may be a link between the severity of CRS and lung function decline in COPD.

In our study, the prevalence of CRS defined by the EP’OS criteria in COPD patients, mostly with moderate-to-severe disease, was 48.5%, which was consistent with the results of most studies in patients with COPD. The prevalence of CT-defined bronchiectasis in our COPD patients was 47.1%, also similar to previous findings. A novel finding of our study was that the prevalence of bronchiectasis in COPD patients with CRS was significantly higher than that in those without CRS, and interestingly, COPD-Bx subjects with CRS showed a significantly higher severity score of bronchiectasis than those without CRS. Our results suggest that CRS may influence the pathogenesis or progression of bronchiectasis in COPD. While “primary” bronchiectasis is mostly due to infectious insults of the lower airways (postinfectious bronchiectasis), the pathogenesis of bronchiectasis in COPD is probably more complicated. It is suggested that persistent airway inflammation in COPD with ensuing tissue injury and remodeling may induce the occurrence of bronchiectasis.

Our study lends support to that hypothesis.

We found that airway inflammatory profiles between COPD patients with and without CRS differed. The percentage of sputum eosinophils and the sputum levels of IL-6, IL-8, and MMP-9 were increased in COPD patients with CRS as compared to those without CRS. The data also suggest that...
CRS may play a role in aggravating lower airway inflammation in COPD. Sputum eosinophils and the levels of IL-6 and MMP-9 were increased in COPD-Bx patients with CRS, who had more severe clinical disease as compared to those without CRS.

Increased levels of neutrophil chemoattractant protein IL-8 and the proinflammatory cytokine IL-6 in the airways of COPD patients have been correlated with the decline of lung function and severity of disease. These cytokines were also increased in nasal secretions and peripheral blood of patients with CRS. Hurst et al found that IL-8 in nasal secretion was increased in stable COPD and related to that in the sputum, suggesting an inflammatory link between upper and lower airway diseases. Elevated levels of IL-6 and IL-8 in bronchoalveolar lavage fluid have also been reported in studies on “primary” bronchiectasis, supporting a role of these cytokines in airway inflammation of bronchiectasis. We did not find any difference in sputum IL-8 and neutrophils in COPD-Bx patients with and without CRS, suggesting that the association of CRS with severity of bronchiectasis in COPD needs to be explained by other mechanisms.

The airway inflammation in COPD is complex. Although it is believed to be predominantly neutrophilic, recent studies have revealed eosinophilic inflammation in the airways of a proportion of patients with COPD both in stable stage and in acute exacerbation. Our recent studies have found a higher prevalence of increased serum total IgE in patients with COPD and an association of serum total IgE with the extent of COPD-Bx. Much earlier studies also found evidence of eosinophilic activation in patients with “primary” bronchiectasis or with cystic fibrosis, suggesting that eosinophil-derived granular proteins were involved in bronchiectatic tissue destruction. Here, we found that sputum eosinophils were increased in COPD patients with CRS compared to those without CRS, and more notably, higher in COPD-Bx patients with CRS as compared to COPD-Bx patients without CRS. Considering that both allergy and bacterial infection are involved in the pathogenesis of CRS, these results suggest a potential role for Th2 inflammatory mechanisms in the pathogenesis of bronchiectasis in COPD. The role of bacterial colonization and repeated infections also needs further investigation.

Airway damage and remodeling are important features of bronchiectasis. Previous studies in bronchiectasis patients had found that sputum MMP-9 and MMP-9/TIMP-1 ratio were increased and correlated with the severity of bronchiectasis. In our study, sputum MMP-9 was significantly increased in COPD patients with CRS, but the MMP-9/TIMP-1 ratio was not different between groups, suggesting that MMPs, in addition to MMP-9, may also be involved in COPD-Bx.

Our study has several limitations. 1) According to EP’OS, CRS with and without nasal polyp (eg, CRSwNP and CRSsNP) are two important subtypes. Nasal endoscopy was not performed in our study, and hence CRSwNP and CRSsNP could not be differentiated. 2) We did not examine the inflammatory cells and mediators/cytokines in the nasal secretions and the blood of the patients and, therefore, a correlation of the inflammatory profiles of the upper and lower airways could not be established, and we could not conclude whether CRS and comorbid bronchiectasis are associated with enhanced systemic inflammation. 3) Because of the cross-sectional nature of the study, we did not explore the impact of CRS and/or comorbid bronchiectasis on important outcomes of COPD, such as exacerbations.

**Conclusion**

In conclusion, we found that COPD patients with CRS had a higher prevalence and severity of comorbid bronchiectasis,
which was associated with enhanced inflammation of the lower airways. These results support the "united airways" concept in the pathogenesis of comorbid bronchiectasis in patients with COPD.

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Author contributions
All authors contributed toward data analysis, drafting and critically revising the paper, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure
The authors report no conflicts of interest in this work.

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