Antimicrobial dependence of silver nanoparticles on surface plasmon resonance bands against *Escherichia coli*

Nichrous G Mlalila^{1,2} Hulda Shaidi Swai¹ Askwar Hilonga³ Dattatreya M Kadam²

¹School of Life Sciences and Bioengineering, Nelson Mandela African Institution of Science and Technology, Arusha, Tanzania; ²ICAR-Central Institute of Post-Harvest Engineering and Technology (ICAR-CIPHET), Ludhiana, Punjab, India; ³Department of Materials Science and Engineering, Nelson Mandela African Institution of Science and Technology, Arusha, Tanzania **Abstract:** This study presents a simple and trouble-free method for determining the antimicrobial properties of silver nanoparticles (AgNPs) based on the surface plasmon resonance (SPR) bands. AgNPs were prepared by chemical reduction method using silver nitrates as a metallic precursor and formaldehyde (HCHO) as a reducing agent and capped by polyethylene glycol. Effects of several processing variables on the size and shape of AgNPs were monitored using an ultraviolet–visible spectrophotometer based on their SPR bands. The formed particles showing various particle shapes and full width at half maximum (FWHM) were tested against *Escherichia coli* by surface spreading using agar plates containing equal amounts of selected AgNPs samples. The NPs exhibited higher antimicrobial properties; however, monodispersed spherical NPs with narrow FWHM were more effective against *E. coli* growth. The NPs prepared are promising candidates in diverse applications such as antimicrobial agents in the food and biomedical industries.

Keywords: antimicrobial agent, bandwidth, full width at half maximum, nanoparticles, particle size

Introduction

The application of nanotechnology in various fields and technologies is increasing rapidly. In the field, the application of metallic nanoparticles (NPs) in the technological sector has gained special attention in many areas such as photonic, biosensing, nanosensors, catalytic, cell electrodes, optics, and antimicrobial activities^{1–3} owing to their unique optical, physical, and chemical properties.^{4,5} Recently, comprehensive studies have been conducted to characterize many nanometals for industrial applications. Silver NPs (AgNPs) have gained potential application in most nanomaterial-based consumer products in the market.^{6,7} AgNPs have gained application in biological sciences, food science, pharmaceuticals, packaging, electronic systems, mechanics, and information technology, among others.^{8–12} However, these applications are based on properties that are defined by their shape, size, configuration, and crystal orientations.^{13,14} AgNPs have a high surface-to-volume ratio¹⁵ with unique properties for novel applications, on the basis of which many strategies have been developed to control the shapes, sizes, and orientations of AgNPs for particular industrial applications.^{5,16}

One of the attractive applications of AgNPs in the food and biomedical industries is their antimicrobial properties. It has been reported that AgNPs have antimicrobial activity against 650 strains of spoilage and pathogenic microorganisms ranging from bacteria, fungi, viruses, molds, and yeasts. ^{15,17} They can exhibit the antimicrobial nature even in concentrations as low as 10 ppm. ^{2,18,19} Their broad-spectrum killing nature

Correspondence: Nichrous Mlalila School of Life Sciences and Bioengineering, Nelson Mandela African Institution of Science and Technology, PO Box 447, Arusha, Tanzania Tel +255 76 277 1747 Email nichogm_2006@yahoo.com/ mlalilan@nm-aist.ac.tz

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has been reported to be associated with their diverse and oligodynamic antimicrobial mechanisms. The antimicrobial activity of AgNPs works through the following mechanism: 1) blocking the active respiratory chains of organisms, 2) disrupting the cellular membrane leading to leakage of cellular contents, 3) binding to the functional groups of microbial proteins that lead to protein denaturation and DNA malfunctions, and 4) blocking nutrient transportation enzymes across the cell membrane. This, 19-25 This precludes the possibility of microorganisms developing resistance genes against AgNPs' antimicrobial activity. 2,13,14,23

As a result, silver in the nano size range has emerged as the most exploited metallic nano-antimicrobial for industrial applications, with as many as 313 of 565 nanomaterialbased consumer products in the market reported to contain AgNPs.6,7 In this regard, AgNPs have been reported by several authors to be the most effective antimicrobial agent of choice against the development of antibiotics-resistant strains of microorganisms. Recently, synergetic antibiotics of chloramphenicol, erythromycin, penicillin G, ampicillin, kanamycin, amoxicillin, clindamycin, and vancomycin containing AgNPs have been reported.^{3,26} This synergism has improved antimicrobial effects against microbial strains including Staphylococcus aureus, Micrococcus luteus, Salmonella typhi, and Escherichia coli compared to the activity of these antibiotics alone. In addition, Liu et al²⁷ developed modified silver nanorods with polyvinylpyrrolidone-polyethylene glycol (PEG) as a candidate for adjuvant human immunodeficiency virus vaccine delivery owing to its safety and low toxicity in biological systems compared to silver nitrates, which exhibit toxicity at 2 µg/mL.8,28 Erick and Padmanabhan²⁹ showed that AgNPs synthesized by green methods have exhibited high larvicidal and pupicidal activity against the malarial vector Anopheles stephensi.

The antimicrobial activities of AgNPs have been reported mainly as the factor of concentration of NPs in the media, shapes, and sizes of NPs. Therefore, much painstaking work has, over the decades, sought to optimize several factors that affect the shapes and sizes of NPs. The most notable studies have centered on the effects of shapes and sizes on the antimicrobial nature of NPs. 13,14,24,30-32 The debate is currently over whether antimicrobial properties of AgNPs depend on the shape or size of NPs. For the successful production of certain characteristics of AgNPs, several factors have been considered, including the reaction time, mixing rates, the order of mixing, the concentration and volume of reacting species, and pH of media. These can affect the shape, size, stability, pH, rheology, crystallinity, structures, and nucleation

growth of NPs. However, to date, no research has reported on the association of antimicrobial activity of AgNPs with the symmetrical phenomena of surface plasmon resonance (SPR) bands associated with their full width at half maximum (FWHM) or bandwidths as reported from ultraviolet—visible (UV—Vis) spectrophotometer bands.

The extinction peaks and bandwidths depict many features of metallic NPs beyond spectra positions. The size, shape, homogeneity, and dispersity of the AgNPs from the SRP and bandwidth can be well reported and give their relationship to the antimicrobial nature. Spherical-shaped NPs have been reported to exhibit the highest extinctions peaks compared to large NPs, which shift the absorption to higher absorption wavelengths. 13,23 The presence of different shapes in the produced NPs shows more than two peaks in the spectrum, leading to high distribution of particle size (PS). In this study, the chemical reduction method was used for the synthesis of AgNPs, employing silver nitrate as metallic precursor, formaldehyde (HCHO) solution as reducing agent, PEG as capping material, and sodium hydroxide (NaOH) as the reaction promoter. Chemical reduction is the most attractive method in view of simplicity, process control, stable products, and affordable matrix-solvent purification process, possible on surface modifications, scale-up production, and high homogeneity of NPs. The focus was to understand the antimicrobial activity of AgNPs based on the SPR bands, representing the shapes and dispersity of NPs as explored from reaction time and concentration of PEG. The processing was subjected to various formulation parameters to influence the reduction of NPs of different symmetrical distributions from 100 nm to 30 nm.

Experimental approach

Materials and reagents

All chemicals used in the experiment were analytic reagents. Silver nitrate (AgNO₃) content 99.9% (Central Drug House (P) Ltd, New Delhi, India), PEG (MW 6,000–7,500; Sisco Research Laboratories Pvt. Ltd, Mumbai, India), and HCHO solution (37%–41% w/v) and NaOH pellets (98.0%) (SDFCL, Mumbai, India) were the reagents that were used directly without further purification.

Preparation of AgNPs

Colloidal silver NPs were synthesized by the chemical reduction of AgNO₃ in the presence of HCHO as reducing agent; PEG and NaOH were used as capping agent and reduction catalyst, respectively. In all experiments, constant amounts of 1 mM AgNO₃ were used and mixed with constant molar

ratios of HCHO or NaOH solutions to AgNO₃ at ten factors. Then, PEG was added into the reaction beaker to stabilize the reaction process. The volumes of the respective concentrations of PEG used for capping the formed AgNPs were 11, 15, 20, 25, and 30 mL, and NaOH solution was added to the reaction system to initiate the reduction as well as to achieve a reaction time of several minutes. All measurements were performed at room temperature (25°C). Magnetic stirring was applied throughout the entire synthesis. The reaction time for other control experiments is 30 min if not mentioned otherwise.

After the completion of the reaction, the NP suspensions were allowed to cool at room temperature and mixed with a certain amount of acetone to allow for the generation of brown precipitate of AgNPs. To remove the unreacted Ag⁺ ions and the HCHO, protected silver colloids were separated from the solution by first adding acetone of about five times the total solution volume and then centrifuging at 12,000 rpm for 20 min. The black gel-like material obtained was then washed at least three times by acetone to remove as much HCHO as possible. The product was dried at 40°C for 10 h in a vacuum dryer and could be redispersed in either ethanol or water for further uses.

Characterizations

Dynamic light scattering (DLS)

The PS distribution and zeta potential of the AgNPs were measured using DLS (Zetasizer Nano ZS; Malvern Instruments, Malvern, UK). DLS data were analyzed at 25°C and with a fixed light incidence angle of detection of 173° using the backscattering technique in optically homogeneous square polystyrene cells. The mean hydrodynamic diameter (PS, Z-average) and polydispersity index (PDI) of the analyzed samples were obtained by calculating the average of 14 runs. All the presented results of the PSs are listed as average values from three independent measurements.

UV-Vis spectrophotometer

The UV-Vis spectra of the silver dispersion during the reduction process were monitored using a LABINDIA UV (UV-VIS Spectrophotometer 3000+; Labindia Analytical Instruments Pvt. Ltd, Hyderabad, India).

Antimicrobial studies of AgNPs

The antimicrobial efficacies of the prepared AgNPs were tested against *E. coli*. The slant of preserved *E. coli* was inoculated in 9 mL of agar broth and incubated for 24 h at 37°C and diluted to 10⁵ colony forming units/mL in peptone buffer. Then, 100 mL of inoculums were inoculated onto the

surface of prepared tryptone soya agar plates containing the same 5 μ g/mL of AgNPs of the selected samples with the respective positive control of HCHO solution in the Petri dish. The surface spread plates were incubated at 37°C for 24 h in triplicates. The enumeration of viable cells of *E. coli* in the incubated plates was taken after 24 h of incubation to observe the efficacy of the NPs based on SPR and bandwidths. The results were expressed as colony forming unit/mL from both conventional and control plates.

Results and discussion

Two main reaction phases mark the formation of AgNPs: nucleation growth, which begins the formation of NPs, and then the coagulation and coalescence processes. Both formulation – such as concentration, dilution, compositions – and process parameters – such as temperature, time, pressure, mixing procedure – can be used to control the growth of the prepared NPs. In this case, several optimization strategies were used to reach the conclusions based on the results presented in what follows. The results presented are based on dropwise addition of silver nitrate solution in the mixture of PEG and HCHO solution and then the addition of NaOH solution.

Theoretical formation of AgNO₃ from HCHO reduction

The reduction phenomena of AgNO₃ to AgNPs using HCHO have been reported extensively during the last decade.^{34–38} However, two main synthetic routes of AgNPs can be developed when using HCHO as reducing agent. The first is that the presence of NaOH in the reaction leads to the Cannizzaro reaction, which is essentially the auto-oxidation–reduction of HCHO. Consequently, formic acid (HCOOH) and methanol (CH₃OH) are formed, whereby CH₃OH is easily converted into HCOOH. The HCOOH is produced as the sodium salt, sodium formate (HCOONa). HCHO undergoes the Cannizzaro reaction as follows:

$$2HCHO + OH^{-} \rightarrow HCOO^{-} + CH_{3}OH \tag{1}$$

$$Ag^{+} + HCOO^{-} \rightarrow Ag + CO_{2} + \frac{1}{2}H_{2}$$
 (2)

However, depending on the reaction sequence such as mixing of HCHO and Ag⁺ ion in an alkaline solution, the stoichiometric reaction can be written as follows:

$$2Ag^{+} + HCHO + 3OH^{-} \rightarrow 2Ag + HCOO^{-} + 2H_{2}O$$
 (3)

$$2Ag^{+} + HCHO + OH^{-} \rightarrow 2Ag + HCOO^{-} + \frac{1}{2}H_{2}$$
 (4)

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The theoretical equations for the production of AgNPs are based on the synthesis procedures in the mixing vessel. The Cannizzaro's reaction in equations (1) and (2) is based on the reduction of Ag⁺ ions during injection of AgNO₃ in the primary reacting species of HCHO and NaOH to form HCOONa and CH₃OH. Further injection of the metallic AgNO₃ into the mixture allows for the reduction of Ag⁺ ion to nanoscale particles. Equations (3) and (4) are based on the addition of HCHO and then stabilizer to the AgNO₃ in the mixing vessel. The nucleophilic addition reaction of HCHO and the OH⁻ ion occurs, in which the hydride and formate ions are produced. It is the hydride ions that reduce Ag⁺ ion to silver atom and may become hydrogen itself as a by-product.

The development of the above equations has shown that in the course of the reactions, HCHO is completely converted to other chemical forms that can be more environmentally friendly than HCHO. Zhang et al³⁹ reported that during the formation of AgNPs in the solution, there is biodegradation of HCHO to HCOO⁻ via silver oxidation catalytic activity.^{40,41}

Qualitative analysis of AgNPs formation

The formation of AgNPs from the reacting species was observed by the changes in the color of the solution during the reaction (Figure 1). Factors such as volume of HCHO,

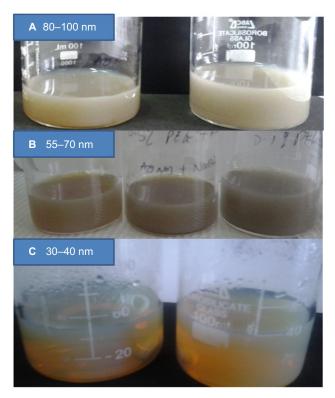


Figure I Reactions of silver nanoparticles at various conditions.

Notes: (A) Shows the retention of color of reacting species which contributed to large particle size above 80 nm, (B) exhibits changes in intensity of color and small particles size and (C) displays the color of silver nanoparticles indicating smallest particle size was formed.

holding temperature, reacting time, and concentration of PEG were considered during the reactions to optimize the production. In addition, the sequence of the reacting species including the simultaneous addition, sequential addition, and injection of AgNO₃ were considered in several experiments. However, simultaneous addition of reacting species before heating at 80°C provided no vivid color changes and maintained the glassy translucent color of PEG. In addition, when AgNO₃ and PEG were mixed and held at a constant heating temperature of 80°C with further addition of HCHO to the mixture, no change of color was observed until some drops of NaOH solution were added. NaOH triggered color changes from shiny glassy to slight yellow and then yellow.

When more than 2 mL of NaOH solution was added to the reacting species, the color was observed to change from pale yellow to blackish, which indicated the formation of large PS. Similar color changes were observed when a large volume of HCHO was added to the reacting species containing AgNO₃, PEG, and 2 mL of NaOH. In the injection experiment, when AgNO₃ solution was added to a hot solution containing PEG, HCHO, and NaOH, the color was immediately observed to change from glassy to yellow, indicating the formation of AgNPs. However, when the reaction was held at 80°C for an extended time, a wool-like blackish color was observed in the solution. Also, increasing the reaction temperature from 80°C to 90°C accelerated the color change and partition in the solution.⁴² Consequently, qualitative studies have led to the design of new experiments based on the volume and concentration of PEG and reaction time.

The weak reducing agents such as HCHO do not favor immediate reaction with metallic precursors; they are effective only in solutions of neutral or basic pH and therefore require a base to complete the reaction.³³ The addition of alkaline solution such as NaOH or Na₂CO₂ favors higher reducing ability and then color change occurs in the reaction. 16,43 Increase in NaOH of the solution increases the pH of the solution and forms a precipitate at the bottom. This may be caused by diffusion of hydroxyl ion (OH⁻) into the stable electric double layer and PEG separation, which attracts the collisions of particles. 42 When the pH of solution is increased, the phase separation of PEG occurs, which triggers the precipitation of large particles owing to hydrophobicity of the system in the equilibrium phase.³⁵ The dynamic phase separation of PEG may lead to the metal complexation and partition due to chemical participation of solvated anion from the polyethylene oxide chains. 6,17,44 In addition, at a temperature between 80°C and 100°C, NaOH reacts with PEG and precipitate.5,45,46

DLS

DLS, also called photon correlation spectroscopy or quasielastic light scattering, is a noninvasive analytical technique for measurement of the size and particle distributions of the particles or molecule at submicron regions. In this study, the DLS was used to characterize the NPs of various formulations and process parameters of AgNPs in laboratory scale. In Figure 2, the reaction time of NPs formation from 1 to 30 min is shown. The reaction trends show that increasing the reaction time from 1 to 10 min resulted in a very high NPs nucleation process. However, increase in the reaction time from 10 to 30 min favored the agglomerations and coalescence of the NPs by forming large PS. This process is attributable to the Ostwald ripening phenomenon, whereby small particles tend to attach to large particles with further effects on the stability and distribution of PS of NPs because of increased solubility and reduced supersaturation of growing species.

It has been reported that reaction time extended beyond 5 min favors particle aggregation at the expense of small PS formed within that time. ¹⁶ Then, the monodispersed nature of the NPs tends to disappear, attracting more inconsistent size distribution of NPs, which leads to unstable and short shelf life of NPs as the cause of aging. ¹⁶ The capping agent tends to lose control of the interfacial mobility, which influences the diffusion of materials and interactions of the reacting species and poor yields due to agglomerations. ⁴⁷

PEG concentrations screening for PS formation showed that the increase in PEG concentration from 0.1% to 1% weight (wt) decreased the PS of AgNPs from about 100 nm to about 30 nm. However, increase in PEG concentration from 1% to 2% wt resulted in an increase in PS again (Figure 3). The large NPs were observed to be widely distributed and dispersed and much skewed in DLS analysis. This shows that at around 0.1% wt PEG, the stability of

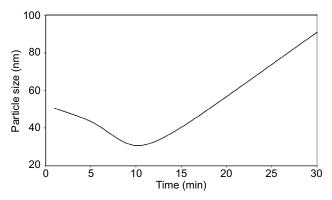


Figure 2 Reaction time.

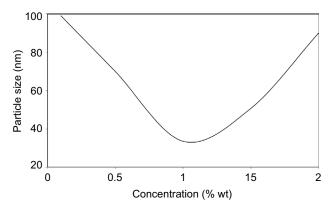


Figure 3 Concentration of PEG. **Abbreviations:** PEG, polyethylene glycol; wt, weight.

NPs was low because of high interactions of the reacting species at the interphase as PEG was not able to provide enough separation or coatings to the formed NPs. This leads to aggregation of the NPs into large particles, as shown in the graph. The smallest PS of NPs was observed at 1% wt PEG and provided enough protection of NPs from agglomeration when stored at room temperature and at 4°C for more than 3 mos. At this concentration, PEG provided the maximum stability of NPs as its localization and adsorption at the interphase were predicted to be stronger. This provides a physical barrier that slows down the agglomeration process, enabling the coating and stabilization process to the formed NPs.

Similarly, the increase in the concentration of PEG above 1% wt was observed to result in a tremendous increase in the PS. Increase in PEG content in the reacting species served to inhibit the reduction process, which may have led to poor yield of AgNPs owing to the accumulation of AgNPs in the aqueous phase.^{6,42} In addition, the increase in the alkalinity of the solution led to large NPs and precipitation at the bottom of the reacting solution. This may be attributed to the diffusion and collision of the OH⁻ ions in the stable electric double layers.

The contribution of volume to the formation of AgNPs has been observed in similar trends to its concentration. Increase in the volume implies increase in the contents of PEG in the reacting species. Similarly, increasing the volume of PEG solution from 10 to 30 mL contributed to a decrease in the PS of NPs; however, increasing it to above 25 mL led to higher PS (Figure 4). Increased PEG content provides higher steric resistance to the diffusion of the NPs at the interphase and provides controlled growth of NPs. However, large NPs at large volumes of PEG may be contributed with poor reaction of AgNO₃ in the reacting species and limit the production of the intended NPs.

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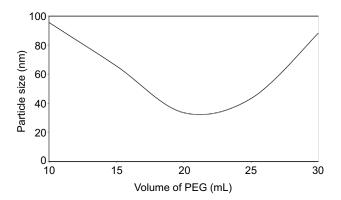


Figure 4 Volume of PEG.

Abbreviation: PEG, polyethylene glycol.

UV-Vis spectrophotometer analysis

The UV spectra from the UV–Vis spectrophotometer determine the structure of the NPs based on their plasmon oscillations of free surface electrons.⁴⁸ The spectra of AgNPs in Figure 5 exhibit peaks at 450–480 nm. Each peak represents the size and shape of NPs in SPR bands.^{4,23} From SPR bands,

bandwidth increases as the PS increases to 100 nm. The bandwidth of NPs shows their dispersity and the free electron density. The NPs prepared by reaction for 10 min show the highest peaks in Figure 5A with small peaks at around 320 nm. This is a case for the NPs with some nonspherical particles. The other peaks at this point are caused by the presence of nonspherical particles. The same applies when 20 mL of PEG was used and 1% wt PEG. However, for 10 and 15 mL, the particles formed were spherical, as no more than one SPR band is visible.

Similar results have been reported in Raza et al¹⁴ when AgNO₃ was used as metallic precursor, polyvinylpyrrolidone as capping agent, and trisodium citrates and sodium borohydride exhibited spherical AgNPs in the range of 395–510 nm with triangular NPs at 392 and 789 nm. In this case, Agnihotri et al⁶ reported that a weak reducing agent such as HCHO tends to form relatively large NPs of various shapes from triangular, cubic, and rod NPs. Also, Andreescu et al¹⁶ reported that the plasmon band and its position as a function

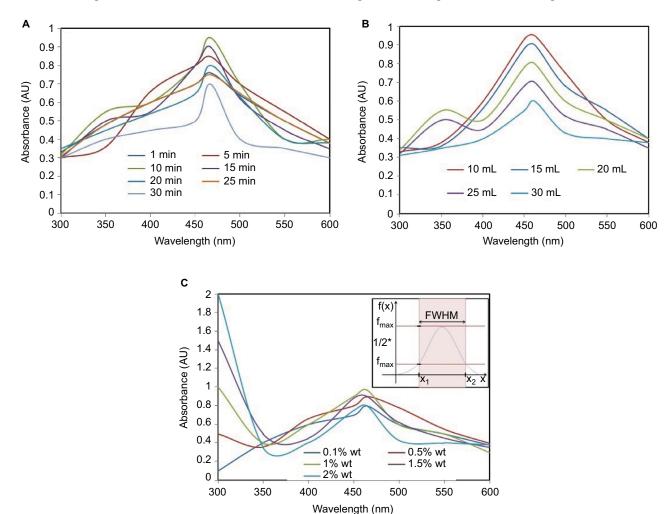


Figure 5 Ultraviolet spectra and FWHM.

Note: (A) Shows the effects of various reaction time, (B) volume of PEG and (C) concentration of PEG on spectra bands of silver nanoparticles.

Abbreviations: FWHM, full width at half maximum; wt, weight; PEG, polyethylene glycol.

of the reaction time do not change in 3–4 min, but the intensity is increased because of the nucleation process. As the reduction proceeds, the increase in intensity is accompanied by a shift in the position of the peak toward higher wavelength values, indicating an increase in the size of the silver particles because of diffusion growth, aggregation, or a combination of both. ^{13,49} Similarly, when the molar ratio of gum Arabic/ silver increased from 0.25:1.00 to 0.5:1.00 and 1.00:1.00, respectively, there was a drastic reduction in shifting of the plasmon band toward high wavelengths, implying a reduction in PS resulting from an increase in the concentration of the reacting species.

As previously reported, the optical properties of a metallic NP depend mainly on its SPR, where the plasmon refers to the collective oscillation of the free electrons within the metallic NP.^{6,48} The oscillation of electrons in plasmon band depends much on the size, shape, morphology, surfaceadsorbed species, composition, and dielectric environment of the prepared NPs.^{23,49} The SPR of AgNPs tends to shift to longer wavelengths with increasing PS. Pal et al¹³ reported that only a single SPR band is expected in the absorption spectra of spherical NPs, whereas anisotropic particles could give rise to two or more SPR bands depending on the shape of the particles. Thus, the spherical NPs, disks, and triangular nanoplates of silver show one, two, and more peaks, respectively.²³

Plasmonic antimicrobial dependence of AgNPs

The plasmonic antimicrobial effects of AgNPs were determined by inoculation of E. coli on the tryptone soya agar plate by surface spreading, as in Figure 6. The antimicrobial studies of the NPs were based on the displayed SPR bands for various formulations, as in "Plasmonic antimicrobial dependence of AgNPs" section. In this case, the selected samples are all with the highest peaks in Figure 5 (A: 10 min reaction time, B: 10 mL of PEG used in reaction, and C: 1% wt of PEG used in reaction). The microbial study for the three selected samples showed that the samples formed for 10 min and with 1% wt PEG were less effective against E. coli. The sample prepared using 10 mL of PEG shows a normal distribution of NPs and was more effective against E. coli. This may be attributed to the monodispersed nature of NPs in the sample, which facilitates the interaction of AgNPs and microbial cells. The 1% wt PEG showed stronger antimicrobial activity against E. coli than the 10 min reaction time.

In addition, the normally distributed NPs showed narrow bandwidth and higher extinction SPR bands were more

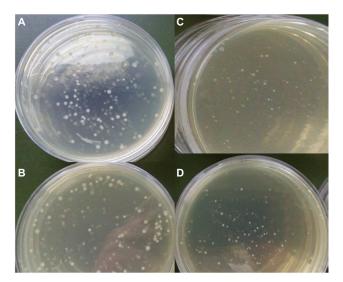


Figure 6 Antimicrobial studies of nanoparticles. **Note:** The samples with the highest spectra was tested for antimicrobail activity (**A**) for 10 min reaction time, (**B**) from 10 mL PEG and (**C**) and (**D**) for 1% wt PEG. **Abbreviations:** PEG, polyethylene glycol; wt, weight.

effective against *E. coli* than other samples. This may be the case where NPs are evenly distributed in regard to size and shape. For broader bandwidth, samples were less effective compared to samples with more than one SPR band. As previously highlighted, samples with more than one peak are anisotropic in nature and have asymmetric orientation. In this case, the contact effectiveness between bacterial cells and NPs is probably reduced. Broader bandwidth NPs have poor size distribution and are polydispersed in nature. Equally, the antimicrobial activities of such NPs would depend on the balance between large PS and small PS particles.

Small and symmetrical NPs exhibiting narrow FWHM have better inhibition against *E. coli* growth compared to the asymmetrical SPR with wider FWHM. These may contribute with low dispersity on NPs due to their larger size distribution compared to narrowly NPs, which are more monodispersed and skewed at the center. The symmetrical NP shows better contact with microbial cells owing to large area-to-volume ratio. In this respect, polydispersed NPs have less contact with microbial cells and thus show insignificant inhibitory effects on *E. coli* growth. Similar findings have been reported by Agnihotri et al⁶ and Raza et al, ¹⁴ whereby smaller and spherical AgNPs exhibited higher antimicrobial activity for in vitro studies against *Pseudomonas aeruginosa* and *E. coli* compared to triangular AgNPs or large spherical PS.

Further observations showed that smaller NPs have higher antimicrobial properties compared to larger NPs because of better contact with microbial cells and their Mlalila et al Dovepress

tendency to release more Ag⁺ ions that can easily interact with microbial cells compared to larger NPs.^{2,8,22,23,30,50–52} The observations contradict the results of previous research^{13,24} that triangular AgNPs were more effective against *E. coli* than spherical NPs. The report was based on the structural geometry and {111} crystal planes being the factors contributing to higher antimicrobial activity of asymmetrical and nonspherical NPs.

Conclusion

The antimicrobial applications of AgNPs in the food and biomedical industries have increased in recent decades owing to their high spectrum against many strains of spoilage and pathogenic microorganisms in which conventional antimicrobials have become challenging to use. Many studies have analyzed the effects of AgNPs on various microorganisms based on concentrations, sizes, and shapes of the NPs. However, the systems may be disadvantageous in some environments. The uses of features from SPR bands represent the clear and early pictures of assessing the antimicrobial properties of particular particles. This study has found that normally distributed particles with narrow bandwidth show higher antimicrobial properties than NPs with broader bandwidths. The study employed a cheaper and trouble-free method to determine the antimicrobial properties of NPs, avoiding the use of sophisticated instrumentation techniques. However, further research is needed to correlate these results with those obtained from the use of other techniques such as electron microscopy (transmission electron microscopy/ scanning electron microscopy), and X-ray diffraction is needed to confirm the images and the structural planes of the NPs for this study.

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Disclosure

The authors report no conflicts of interest in this work.

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