Spotlight on the 9-valent HPV vaccine

Abstract: Starting in 2006, vaccination against human papillomavirus (HPV) has been progressively implemented in most developed countries. Two vaccines have been successfully used, a bivalent vaccine targeting HPV-related cancers (bHPV) and a quadrivalent vaccine (qHPV) targeting both HPV-related cancers and genital warts. Between December 2014 and June 2015, a new nonavalent HPV vaccine (9vHPV) was granted marketing authorization in the USA and Europe. The 9vHPV was developed from the qHPV and includes five additional HPV types that should increase the level of protection toward HPV-related cancers. Efficacy and/or immunogenicity of 9vHPV has been assessed in eight clinical studies. The 9vHPV vaccine induced a very robust immune response against all vaccine types, with seroconversion rates close to 100%. The safety profile of 9vHPV is comparable to that of qHPV. Local reactions, especially swelling, have been more frequently reported after 9vHPV than qHPV, and this slightly increases when the 9vHPV is coadministered with other vaccines. The additional coverage offered by the 9vHPV may prevent a significant proportion of HPV-related cancers (variable between 8% and 18%) depending on the local distribution of high-risk HPV types in the population. It is impossible, at present, to anticipate the actual impact of the wide use of the 9vHPV in comparison with the bHPV or the qHPV, since it depends on many variables including duration of protection, potential cross-protection toward nonvaccine types, and herd immunity effect.

Keywords: human papillomavirus vaccine, immunogenicity, vaccine safety, cervical cancer, head and neck cancer, genital warts

Background

In 1842, the Italian physician Rigoni-Stern,¹ in Verona, observed that deaths after cervical cancer seldom occurred in virgins and nuns, being more frequent in married women, widows, and prostitutes. This was the first observation suggesting a link between sexual contact and cervical cancer. But it was only in the early 1970s that scientific experiments tried to establish a causal relationship between human papillomavirus (HPV) infection and cervical cancer. zur Hausen⁴ received the Nobel Prize in Medicine in 2008 for his studies on the oncogenic role of HPVs. Based on epidemiological observation, Muñoz et al⁵ in 2003 listed 15 HPV types (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 68, 73, and 82) as high-risk types with a clear carcinogenic role, forming the basis for an epidemiological classification of HPV types associated with cancer. To date, the World Health Organization’s International Agency for Research on Cancer (IARC) has classified twelve HPV types (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, and 59) among the “Group 1 carcinogens”.⁶

As a result of the continuous progress in this field, two vaccines against HPV infection have been developed. A quadrivalent vaccine (qHPV) gained approval from the US Food and Drug Administration (FDA) in 2006 and also received a positive opinion from the European Medicine Agency. A bivalent vaccine (bHPV) received a positive opinion from the European Medicine Agency in 2007 and was approved...
by the FDA in 2009. Both qHPV and bHPV include HPV types 16 and 18, which represent the most common cause of cervical cancer. In addition, qHPV includes HPV types 6 and 11, which are responsible for the majority of genital warts. The characteristics of the two vaccines are summarized in Table 1.

To date, most of the industrialized countries have introduced HPV vaccination.6,7 In addition, GAVI started the first HPV vaccine demonstration program in Kenya in 2013, thus vaccinating 300,000 girls and helping seven countries initiate other demonstration programs.8 Implementation of HPV vaccination programs varies a lot across the countries. Most of the industrialized countries recommend HPV vaccination for at least one cohort of adolescent or preadolescent girls (starting from 9 years of age). Catch-up programs, extended to older girls and women, have been implemented in nine European countries,9 USA,10 and Canada.11 More recently, few countries, including the USA, extended the HPV vaccine recommendation to the male population as well.

In December 2014, a nonavalent vaccine (9vHPV), developed starting from the qHPV, was licensed by the FDA.12 The 9vHPV gained marketing authorization from the European Commission, valid throughout the European Union, in June 2015.13 The 9vHPV contains type 31, 33, 45, 52, and 58 antigens in addition to those included in the qHPV. The content of 9vHPV is shown in Table 1. The additional high-risk types included in the 9vHPV should provide a higher protection against HPV-related cancers, thus improving the overall impact of the vaccination programs. This paper aims at reviewing the available evidence on safety, immunogenicity, and potential impact of the 9vHPV.

### Efficacy and immunogenicity of the 9vHPV

Efficacy and/or immunogenicity of the 9vHPV was assessed in eight clinical studies, which are summarized in Table 2.

Comparison of 9vHPV against placebo was not acceptable since HPV vaccination is recommended and implemented in many countries with either bHPV or qHPV. Therefore, the strategy used in the clinical development of 9vHPV has been based on the following steps:

**Step 1:** efficacy of 9vHPV against persistent infection and disease related to HPV types 6, 11, 16, or 18 to be inferred from noninferior immunogenicity studies between 9vHPV and qHPV in girls aged 9–15 years and in women and men aged 16–26 years;

**Step 2:** efficacy against persistent infection and disease related to the new types included in the 9vHPV.

### Table 1 Summary characteristics of the licensed vaccines against HPV infection

<table>
<thead>
<tr>
<th>Vaccine – product name</th>
<th>HPV types</th>
<th>Vaccine composition</th>
</tr>
</thead>
<tbody>
<tr>
<td>bHPV – Cervarix®</td>
<td>16-18</td>
<td>Type 16 L1 protein 20 µg Type 18 L1 protein 20 µg Adjuvanted by AS04, containing: – MPL: 50 µg – Adsorbed on Al(OH)₃ 0.5 mg</td>
</tr>
<tr>
<td>qHPV – Gardasil®/Silgard®</td>
<td>6-11-16-18</td>
<td>Type 6 L1 protein 20 µg Type 11 L1 protein 40 µg Type 16 L1 protein 40 µg Type 18 L1 protein 20 µg Adsorbed on amorphous aluminum hydroxyphosphate sulfate adjuvant (225 µg)</td>
</tr>
<tr>
<td>9vHPV – Gardasil-9®</td>
<td>6-11-16-18-31-33-45-52-58</td>
<td>Type 6 L1 protein 30 µg Type 11 L1 protein 40 µg Type 16 L1 protein 60 µg Type 18 L1 protein 40 µg Type 31 L1 protein 20 µg Type 33 L1 protein 20 µg Type 45 L1 protein 20 µg Type 52 L1 protein 20 µg Type 58 L1 protein 20 µg Adsorbed on amorphous aluminum hydroxyphosphate sulfate adjuvant (500 µg)</td>
</tr>
</tbody>
</table>

*Note:* ‘3-O-desacyl-4′-monophosphoryl lipid A.

*Abbreviation:* HPV, human papillomavirus.
(31, 33, 45, 52, and 58) to be demonstrated in girls and women aged 16–26 years; Step 3: demonstration of noninferior immunogenicity against the 9vHPV types in boys and girls aged 9–15 years and men aged 16–26 years, compared to women aged 16–26 years (Figure 1).

No direct comparison between 9vHPV and bHPV can be performed in terms of efficacy due to the fact that cross-protection of bHPV toward HPv types not included in the vaccine is widely under debate.

### Efficacy of 9vHPV against persistent infection and disease related to types 6, 11, 16, and 18

Efficacy against types 6, 11, 16, and 18 was anticipated to be similar between 9vHPV and qHPV. For this reason,
it was primarily assessed using a bridging strategy that demonstrated noninferior immunogenicity of 9vHPV compared with qHPV in women aged 16–26 years (Study 001),14 girls aged 9–15 years (Study 009),15 and men aged 16–26 years (Study 020).16 Geometric mean titers (GMTs) for anti-HPV 6, 11, 16, and 18 are summarized on a logarithmic scale in Figure 2. No significant difference in GMT has been demonstrated in any age group between 9vHPV and qHPV recipients. Seroconversion rates within 1 month after dose 3 in the 9vHPV populations were between 99.8% and 100%.

Efficacy of 9vHPV against persistent infection and disease related to types 31, 33, 45, 52, and 58

Study protocol 001 has been used to assess the efficacy of 9vHPV against persistent infection and disease due to the new types included in the nonavalent vaccine in women aged 16–26 years.14,17 A total of 14,204 women (9vHPV = 7,099; qHPV = 7,105) were followed up for 67 months after administration of dose 3, with a median duration of 43 months after dose 3, in a randomized, double-blind clinical trial.

The per-protocol efficacy (PPE) population included all women who were seronegative on day 1 of the study and had negative results on polymerase chain reaction assays for the relevant HPV type from day 1 through month 7. High-grade cervical intraepithelial neoplasia, adenocarcinoma in situ, invasive cervical carcinoma, high-grade vulvar intraepithelial neoplasia, high-grade vaginal intraepithelial neoplasia, vulvar cancer, and vaginal cancer were the conditions representing the study end points. The efficacy of 9vHPV on both persistent infection and disease related to the HPV types 31, 33, 45, 52, or 58 is summarized in Table 3. In the PPE population, the risk reduction for both persistent infection and low-/high-grade disease has been very high, being close to 100% for most of the considered end points. Only one case of high-grade disease (CIN 2) occurred in the 9vHPV PPE population, and this was related to HPV type 58 infection. Remarkably, this subject also had positive results for HPV type 56 both at baseline and later on until the time of diagnosis, thus suggesting that coinfection by a HPV type not covered by the 9HPV was a plausible cause of vaccine failure. The incidence of both persistent infection and low-/high-grade disease related to HPV types 6, 11, 16, and 18 was similar in the 9vHPV and qHPV groups, and no significant risk reduction was detected.

The modified intention-to-treat (ITT) population included women who received at least one dose of vaccine and for whom there was at least one measurement of efficacy for the corresponding end point. The ITT population included both naïve and non-naïve women. The 9vHPV vaccine efficacy toward high-grade disease in the subgroup of non-HPV-infected women was 42.5% (95% confidence interval: 7.9–65.9). Disease rates in the subgroup of HPV-infected women were similar in both 9vHPV and qHPV groups.

Noninferior immunogenicity against the 9vHPV types in boys and girls aged 9–15 years and men aged 16–26 years, compared to women aged 16–26 years

The immune response to 9vHPV with regard to the new HPV types has been assessed in the younger age groups and in men aged 16–26 years in comparison with women...
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Aged 16–26 years.18,19 Seroconversion rates measured at month 7 were close to 100% in all age groups.15 Immunogenicity levels in terms of GMT at month 7 are shown in Figure 3. The immune response to the new antigen types included in the 9vHPV is comparable between the sexes and is slightly higher in the younger age groups in comparison to women and men aged 16–26 years. On the basis of such bridging immunogenicity evaluation, the efficacy of 9vHPV against the new vaccine types is inferred from women aged 16–26 years to men aged 16–26 years and younger age groups.17

In conclusion, the 9vHPV induced a very robust immune response against all vaccine types, with seroconversion rates close to 100%. GMTs were higher in the younger age groups (boys and girls) than in the men and women aged 16–26 years.

Use of 9vHPV in individuals previously vaccinated with qHPV

Immunogenicity of 9vHPV in females aged 12–26 years who were previously vaccinated with three doses of qHPV has been assessed in a double-blind, placebo-controlled randomized trial (Study 006).20 Between 98.3% and 100% of the study population previously vaccinated with qHPV seroconverted to the new types included in the 9vHPV. The level of immune response elicited by 9vHPV in previously vaccinated women was close to 100% for the new types.

**Table 3** Efficacy of 9vHPV on persistent HPV infection and on cervical, vulvar, and vaginal disease (HPV types 31, 33, 45, 52, or 58) in the per-protocol efficacy population

<table>
<thead>
<tr>
<th>Outcome</th>
<th>9vHPV (N=7,099)</th>
<th>qHPV (N=7,105)</th>
<th>Risk reduction</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cases</td>
<td>Cases/1,000 person-years</td>
<td>Cases</td>
</tr>
<tr>
<td>Persistent infection</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥6 months</td>
<td>35</td>
<td>2.1</td>
<td>810</td>
</tr>
<tr>
<td>≥12 months</td>
<td>21</td>
<td>1.3</td>
<td>544</td>
</tr>
<tr>
<td>Cervical, vulvar, and vaginal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CIN1, condyloma, VIN1, and ValIN1</td>
<td>2</td>
<td>0.1</td>
<td>82</td>
</tr>
<tr>
<td>CIN2/3, VIN2/3, ValIN2/3, or worse</td>
<td>1</td>
<td>0.1</td>
<td>30</td>
</tr>
<tr>
<td>Cervical disease</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CIN1</td>
<td>1</td>
<td>0.1</td>
<td>69</td>
</tr>
<tr>
<td>CIN2/3 or worse</td>
<td>1</td>
<td>0.1</td>
<td>27</td>
</tr>
<tr>
<td>Vulvar and vaginal disease</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Condyloma</td>
<td>0</td>
<td>0.0</td>
<td>3</td>
</tr>
<tr>
<td>VIN1 or ValIN1</td>
<td>1</td>
<td>0.1</td>
<td>12</td>
</tr>
<tr>
<td>VIN2/3, ValIN2/3, or worse</td>
<td>0</td>
<td>0.0</td>
<td>3</td>
</tr>
</tbody>
</table>


Abbreviation: CI, confidence interval.
vaccinated individuals was compared to that measured in the pivotal Study 001 in a naïve female population. The immune response to HPV types 6, 11, 16, and 18 after vaccination with 9vHPV was higher in women aged 16–26 years who were previously vaccinated with qHPV than in those receiving 9vHPV only. On the contrary, the immune response to HPV types 31, 33, 45, 52, and 58 was lower in those previously vaccinated with qHPV (Figure 4). In any case, such an observation is difficult to interpret since there is no established threshold for protection in terms of antibody titers.

Use of 9vHPV with a two-dose schedule
Immunogenicity of 9vHPV has been assessed in girls and boys aged 9–14 years receiving a two-dose schedule (either 6- or 12-months interval) in comparison to girls aged 9–14 years and women aged 16–26 years receiving three doses at 0, 2, and 6 months. One month following the last dose of the assigned regimen, seroconversion rates across all groups were between 97.9% and 100%. GMTs for each of the nine vaccine HPV types were higher in girls and boys who received two doses of 9vHPV (at either 0–6 or 0–12 months) than women aged 16–26 years who received three doses (at 0, 2, and 6 months). On the basis of this immunogenicity bridging, the efficacy of a two-dose regimen of 9vHPV in girls and boys aged 9–14 years was inferred.

In the same study, in girls and boys aged 9–14 years, GMTs at 1 month after the last vaccine dose were numerically lower for some vaccine types after a two-dose schedule than after a three-dose schedule (ie, HPV types 18, 31, 45, and 52 after 0 and 6 months and HPV type 45 after 0 and 12 months). The clinical relevance of these findings is unknown.

Concomitant administration of 9vHPV with other vaccines usually given to adolescents
The 9vHPV has been coadministered with Neisseria meningitidis serotypes A/C/Y/W-135 or diphtheria/tetanus/acellular pertussis (Tdap) vaccines in a population of boys and girls aged 9–14 years and with diphtheria/tetanus/acellular pertussis/inactivated poliomyelitis vaccine (Tdap-IPV) in a population of boys and girls aged 11–14 years. In both studies, the immune response to all component of administered vaccines was noninferior when compared with nonconcomitant administration.

Safety of the 9vHPV
Safety of the 9vHPV has been assessed during the clinical development in a large population of about 16,000 individuals. The most common adverse events reported among recipients who received the vaccines were injection-site adverse reactions and headache, which were mild to moderate in intensity (Table 4). Swelling at the injection site has been more frequently reported among recipients

| Table 4 Summary of the safety profile of 9vHPV |
|-----------------------------|-----------------------------|
| **Adverse reaction** | **Frequency** |
| Pain, swelling, and redness at the injection site | Very common |
| Headache | Very common |
| Dizziness | Common |
| Nausea | Common |

**Notes:** Adverse reactions reported with a frequency of at least 0.1% during clinical trials. Very common: ≥1/10; common: ≥1/100 to <1/10.
of the 9vHPV vaccine than recipients of the qHPV both in women aged 16–26 years (40.0% vs 28.8%)\textsuperscript{14} and girls aged 9–15 years (47.8% vs 36.0%).\textsuperscript{15}

Headache has been the most frequent systemic adverse event related to vaccine administration, followed by pyrexia, nausea, dizziness, and fatigue. The overall frequency of vaccine-related systemic adverse events was similar in 9vHPV and qHPV vaccines (Figure 5).

Three serious adverse events were reported that were considered by the investigators as being related to the vaccination: one case of asthma in a 10-year-old boy, who was hospitalized and then fully recovered;\textsuperscript{18} one case of a 21-year-old woman who was hospitalized for severe headache and fever, discharged after 2 days with a possible diagnosis of viral infection, and fully recovered after 8 days;\textsuperscript{18} and one case of an 18-year-old woman who experienced purulent tonsillitis 2 days after receiving dose 1 of 9vHPV vaccine, was hospitalized, and then fully recovered.\textsuperscript{20}

No report on postmarketing safety data is available to date. In 2014, Centers for Disease Control and Prevention published a report analyzing the events reported to the Vaccine Adverse Event Reporting System (VAERS) following qHPV vaccination from June 2006 through March 2014.\textsuperscript{23} In that report, about 92% of the qHPV reports were classified as nonserious. The most commonly reported adverse events were syncope (fainting), dizziness, nausea, headache, fever, and injection-site reactions (pain, swelling, and redness). From a preliminary analysis of the VAERS database, in 2015, excluding reports related to programmatic errors (incorrect product storage, inappropriate schedule, etc), 386 reports of adverse events after 9vHPV have been submitted to VAERS, in comparison to 1,581 reports related to qHPV (personal observation). The most frequent events reported after 9vHPV vaccination in 2015 were dizziness, syncope, headache, and injection-site reactions.

In conclusion, the safety profile of 9vHPV is comparable to qHPV, but local reactions, especially swelling, have been more frequently reported after 9vHPV than after qHPV administration. Concomitant administration with other vaccines has been shown to slightly increase the local reactogenicity. Headache and fever have been the most frequent systemic adverse events reported after 9vHPV, with a frequency comparable to qHPV vaccination.\textsuperscript{17}

**Anticipated impact of the 9vHPV**

The anticipated impact of 9vHPV in comparison with bHPV and qHPV is related to its larger coverage of high-risk HPV types. Since cross-protection against HPV types not included in the vaccine has not been demonstrated, the potential impact of 9vHPV use is strictly associated with the prevalence of disease related to the additional five types covered by the new vaccine. Worldwide, the distribution of HPV types among HPV-related cancers significantly varies. A large review done by Zhai and Tumban\textsuperscript{24} showed that protection given by 9vHPV would cover HPV types associated with 92% of cervical cancers in North America, 90.9% in Europe, 86.5% in Australia, and 89.9% worldwide. Therefore, the additional coverage provided by the new vaccine against cervical disease would be around 13% in North America and Europe, 8.8% in Australia, and 18.4% worldwide (Figure 6).

The Centers for Disease Control and Prevention’s analysis on high-quality data from the National Program of Cancer Registries and the National Cancer Institute’s Surveillance, Epidemiology, and End Results program, over the period...
2008–2012, showed that of the 38,793 cancers that occurred each year in the USA at relevant anatomic sites, approximately 30,700 could be attributed to HPV. Of these, 24,600 cancers were attributable to HPV types 16 and 18, and 28,500 were attributable to 9vHPV types. In particular, on average, the additional attributable fraction covered by 9vHPV accounted for 14.7% of cervical cancer, 18.3% of vaginal cancer, 14.2% of vulvar cancer, 9.0% of penile cancer, 8.2% of both anal and rectal cancers, and 5.7% of oropharyngeal cancers.

Moreover, projected reduction of CIN 2/3 and low-grade intraepithelial lesions due to the broader coverage of 9HPV may lead to a stronger impact on screening programs.

Conclusion

The 9vHPV was licensed by the FDA in December 2014 and by the European Commission in June 2015. Efficacy and/or immunogenicity of 9vHPV has been assessed in eight clinical studies. The 9vHPV vaccine induced a very robust immune response against all vaccine types, with seroconversion rates close to 100%. No significant interference was demonstrated when the vaccine was coadministered with other vaccines used for adolescent immunization. The use of 9vHPV in a group of women previously vaccinated with qHPV showed good immunogenicity, even though the response to the new types included in the 9vHPV vaccine was higher in women not previously vaccinated with qHPV. In conclusion, the expected efficacy of 9vHPV is comparable to that observed so far with qHPV, but extended to the HPV types 31, 33, 45, 52, and 58.

This is the third vaccine available on the global market for prevention of persistent infection and disease caused by HPV, after a bivalent and a quadrivalent vaccine. Built on the clinical experience accumulated with the qHPV, the anticipated impact of 9vHPV is linked to the broader coverage against five additional types of high-risk HPV, since cross-protection toward HPV types not included in the vaccine is not warranted. Due to the strong differences between the bivalent and the nonavalent vaccine, no direct comparison is possible at present. In fact, the expected impact of the bHPV might be linked to some cross-protection toward HPV types not included in the vaccine. Such cross-protection has been demonstrated in the early postmarketing phase, but the long-term impact of cross-protection is at present debatable.

Large clinical studies have demonstrated that 9vHPV and qHPV are comparable in terms of immunogenicity and efficacy against persistent infection and cervical invasive disease. Efficacy against vulvar and vaginal disease due to the new types included in the 9vHPV has also been demonstrated, even though wide confidence intervals suggest continuous evaluation to increase the number of observations and consequently the power of the study. Switching from qHPV to 9vHPV in the national programs may warrant a significantly higher impact on HPV-related cancers both in females and males. It is hard to precisely assess the expected impact of the additional coverage provided by the five new vaccine types in the long run, since the prevalence of HPV types may change in the population, which is also under the pressure of HPV vaccination programs. Moreover, no strong evidence has been collected so far on the size of the herd immunity effect produced by the different vaccination strategies and by the use of different vaccine products. The immune response to the new vaccine types included in the 9vHPV is optimal in naïve population. Previous vaccination with qHPV seems

Figure 6 Estimated percentage of cervical cancers attributable to HPV types included in the qHPV and 9vHPV, in North America, Europe, Australia, and worldwide.

Note: Data from Zhai and Tumban.

Abbreviation: HPV, human papillomavirus.
to also reduce the immune response to subsequent 9vHPV vaccination with regard to the five additional types. Since there is no certain correlate of protection with antibody levels, the clinical significance of such an observation is unclear. However, revaccination of individuals already immunized with either bHPV or qHPV – with the scope of providing protection against the additional five types – should not be considered a good policy option.\textsuperscript{9}

The safety profile of 9vHPV is very good and is comparable to qHPV. Swelling and pain at the site of injection can be higher after 9vHPV vaccination, especially when coadministered with other adolescent vaccinations. Such evidence should be taken into consideration during mass campaigns, since higher local reactogenicity might reduce compliance and increase the risk of psychogenic reactions to vaccination.\textsuperscript{30,31}

In conclusion, the availability of a new vaccine product represents an additional opportunity for implementing effective HPV vaccination programs. The duration of protection of HPV vaccines, potential cross-protection toward non-vaccine types, and interference between different vaccine products are still under debate in the scientific community. Continuous surveillance and ad hoc studies to better know the circulation of HPV types in the population are paramount for monitoring the effectiveness and the overall impact of the different vaccine products. The choice of the right vaccine product should be guided by local considerations including the main target of the program (cervical cancer, other HPV-related cancers, warty disease), epidemiological situation, and pharmacoeconomic assessment.

**Disclosure**

The author reports no conflicts of interest in this work.

**References**


