Treatment effectiveness and treatment patterns among rheumatoid arthritis patients after switching from a tumor necrosis factor inhibitor to another medication

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Objectives: After treatment failure with a tumor necrosis factor inhibitor (TNFi), patients with rheumatoid arthritis (RA) can switch to another TNFi (TNFi cyclers) or to a targeted disease-modifying antirheumatic drug (DMARD) with a non-TNFi mechanism of action (non-TNFi switchers). This study compared treatment patterns and treatment effectiveness between TNFi cyclers and non-TNFi switchers in patients with RA.

Methods: The analysis included a cohort of patients from the Truven Health Analytics MarketScan Commercial database with RA who switched from a TNFi (adalimumab, certolizumab pegol, etanercept, golimumab, or infliximab) either to another TNFi or to a non-TNFi targeted DMARD (abatacept, tocilizumab, or tofacitinib) between January 1, 2010 and September 30, 2014. A claims-based algorithm was used to estimate treatment effectiveness based on six criteria (adherence, no dose increase, no new conventional therapy, no switch to another targeted DMARD, no new/increased oral glucocorticoid, and intra-articular injections on <2 days).

Results: The cohort included 5,020 TNFi cyclers and 1,925 non-TNFi switchers. Non-TNFi switchers were significantly less likely than TNFi cyclers to switch therapy again within 6 months (13.2% vs 19.5%; P<0.001) or within 12 months (29.7% vs 34.6%; P<0.001) and significantly more likely to be persistent on therapy at 12 months (61.8% vs 58.2%; P<0.001). Non-TNFi switchers were significantly more likely than TNFi cyclers to achieve all six of the criteria-based effectiveness algorithm criteria for the 12 months after the initial switch (27% vs 24%; P=0.011).

Conclusion: Although the absolute differences were small, these results support switching to a non-TNFi targeted DMARD instead of TNFi cycling when patients with RA require another therapy after TNFi failure.

Keywords: rheumatoid arthritis, biologic, switching, tumor necrosis factor inhibitor

Introduction

The use of a biologic disease-modifying antirheumatic drug (DMARD) or the targeted synthetic DMARD tofacitinib is recommended for patients with rheumatoid arthritis (RA) who have moderate or high disease activity despite monotherapy with a conventional synthetic DMARD. The most commonly used biologics in these patients are the tumor necrosis factor inhibitors (TNFis) etanercept, adalimumab, and infliximab; newer TNFis (certolizumab pegol and golimumab) are used less frequently. After an adequate trial (generally for ≥3 months) of a TNFi, switching to another drug is recommended if disease activity is moderate or high because of...
lack of response or loss of clinical benefit from the initial TNFi.\textsuperscript{1–3} Patients who fail TNFi therapy can switch either to another TNFi (TNFi cyclers) or to a non-TNFi mechanism of action such as the biologics abatacept, rituximab, or tocilizumab or the targeted synthetic DMARD tofacitinib (non-TNFi switchers).

In clinical practice, a majority of RA patients switch from the first TNFi to another TNFi, the so-called TNFi cyclers.\textsuperscript{5–11} However, the evidence to support TNFi cycling is limited,\textsuperscript{12–15} and some studies have suggested that switching to a non-TNFi biologic is more effective than TNFi cycling.\textsuperscript{6,16–18} Additional studies are needed,\textsuperscript{19} particularly as newer non-TNFi options such as sarilumab,\textsuperscript{20,21} sirukumab,\textsuperscript{22} and baricitinib\textsuperscript{23–25} are expected to become available soon for RA treatment.\textsuperscript{26,27}

Prospective, randomized, controlled clinical studies could provide definitive evidence of the comparative effectiveness of different treatment approaches in these patients, but there are barriers to conducting these studies. Controlled clinical studies tend to have highly selective eligibility criteria that exclude complicated patients,\textsuperscript{28} so it could be difficult to recruit RA patients with moderate or high disease activity who require a switch in therapy. Additionally, the costs and resources required to conduct an adequately powered, prospective comparison of all available drug sequences would be prohibitive.

In the absence of prospective clinical studies, retrospective claims analysis can be used not only to evaluate treatment patterns such as biologic switching or treatment persistence but also to provide estimates for clinical outcomes. One such approach developed and validated a claims-based effectiveness algorithm that uses administrative data as a proxy for clinical response as measured by the Disease Activity Score in 28 joints (DAS28).\textsuperscript{29} This algorithm estimates treatment effectiveness for RA by combining six measures from claims that include treatment adherence and dosing, use of concomitant drugs (conventional synthetic DMARDs and glucocorticoids), and switching to another targeted DMARD. The algorithm was developed and validated against registry data in a Veterans Administration population\textsuperscript{29} and has been applied to estimate treatment effectiveness for targeted DMARDs in claims databases for commercially insured,\textsuperscript{4,30–33} Medicare,\textsuperscript{34} and Medicaid\textsuperscript{35} patients.

The objective of this study was to compare treatment patterns (switching patterns and persistence) and treatment effectiveness (according to the algorithm discussed earlier) between TNFi cyclers and non-TNFi switchers in patients with RA in a large, commercially insured population.

**Methods**

**Patient selection criteria**

Medical and pharmacy claims were analyzed from the MarketScan\textsuperscript{\textregistered} Commercial database (Truven Health Analytics Inc., Ann Arbor, MI). This database contains inpatient and outpatient medical claims and outpatient pharmacy claims for ~35 million employees and their dependents annually, covered under a variety of fee-for-service and managed care health plans. No identifiable protected health information was extracted or accessed during the study, pursuant to the United States Health Insurance Portability and Accountability Act (HIPAA). Because the study did not involve the collection, use, or transmittal of individually identifiable data, and due to the compliance of this study with HIPAA, patient consent and institutional review board approval to conduct this study were not necessary.

This analysis included a cohort of patients who received at least one TNFi (adalimumab, certolizumab pegol, etanercept, golimumab, or infliximab) or non-TNFi (abatacept, tocilizumab, or tofacitinib) between January 1, 2010 and September 30, 2014. The index claim for each patient was the patient’s first claim for a new TNFi or non-TNFi in this period. To identify patients who switched to a new therapy on the index date, each patient in the analysis was continuously enrolled with medical and pharmacy coverage for at least 12 months before the index date (the pre-index period), had at least one claim for a different TNFi in the pre-index period, and had no claim for the index drug in the pre-index period. On the index date, the patients could only have one claim for a TNFi or non-TNFi.

The patients were required to be at least 18 years of age on the index date and have at least 12 months of continuous medical and pharmacy coverage post-index. Thus, claims through September 30, 2015, were included in the analysis.

All patients in the analysis had RA, which was identified by at least one non-diagnostic medical claim with an International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) code of 714.0x in the primary or secondary position in the 12 months pre-index or the first 30 days post-index. Patients were not included in the analysis if they had any non-diagnostic claims within 12 months pre-index or 30 days post-index for another condition for which at least one of the targeted DMARDs was indicated: ankylosing spondylitis (ICD-9-CM 720.0), Crohn’s disease (ICD-9-CM 555.x), juvenile idiopathic arthritis (ICD-9-CM 714.3), plaque psoriasis (ICD-9-CM 696.1x), psoriatic arthritis (ICD-9-CM 696.0), ulcerative colitis (ICD-9-CM 556.x), chronic lymphocytic leukemia (ICD-9-CM 204.1x), or non-Hodgkin’s lymphoma (ICD-9-CM 200.xx, 202.xx). Rituximab was not included in the analysis because
adherence and treatment effectiveness could not be assessed for rituximab using the claims-based effectiveness algorithm.

Statistical analysis
The results were analyzed for all patients combined and for TNFi cyclers and non-TNFi switchers separately. Analyses of treatment patterns included the proportion of patients who switched therapy again to another TNFi or non-TNFi within 6 months post-index or within 12 months post-index, as well as the proportion of patients who were persistent on the index therapy without switching or discontinuing treatment with a gap of at least 60 days during the 12-month post-index period. A validated claims-based algorithm was applied to the data to estimate treatment effectiveness. To be considered effectively treated with the index therapy, a patient needed to satisfy all six of the following algorithm criteria:

1. Adherence to the index therapy
   a. for self-administered drugs: for subcutaneous abatacept, adalimumab, certolizumab pegol, etanercept, golimumab, and tocilizumab, as well as oral tocilizumab, a proportion of days covered (PDC) of at least 80% using the expected duration of clinical benefit.
   b. for infused drugs: for intravenous abatacept, golimumab, and tocilizumab, a PDC of at least 80% using the expected duration of clinical benefit, and for intravenous infliximab, at least as many infusions as the lower end of the recommended dosing schedule in the product labeling.
2. No increase in the targeted DMARD dose
   a. abatacept (intravenous): no increase ≥100 mg from the first to last dose.
   b. abatacept (subcutaneous): no dose ≥250 mg/week post-index.
   c. adalimumab (subcutaneous): no dose ≥40 mg/week post-index.
   d. certolizumab pegol (subcutaneous): no dose ≥200 mg/week after post-index day 56.
   e. etanercept (subcutaneous): no dose ≥100 mg/week post-index.
   f. golimumab (intravenous): no increase ≥50 mg from the first to last dose.
   g. golimumab (subcutaneous): no dose ≥25 mg/week post-index (ie, >50 mg monthly post-index).
   h. infliximab: no increase ≥100 mg from the first to last dose, <11 infusion dates post-index, and ≥7 weeks between doses (after the third dose).
   i. tocilizumab (intravenous): no increase greater than or equal to twofold from the first to the last dose.
   j. tocilizumab (subcutaneous): if the starting dose was not 162 mg/week, no dose ≥162 mg/week post-index; if the starting dose was 162 mg/week, no dose >162 mg/week post-index.
   k. tofacitinib (oral): no dose ≥20 mg/day post-index.
3. No new conventional synthetic DMARD: no new claim for leflunomide, methotrexate, sulfasalazine, or hydroxychloroquine post-index in patients who did not have any pre-index claims for that drug.
4. No targeted DMARD switch: no claim for a TNFi or non-TNFi post-index that was different from the index drug.
5. No new/increased oral glucocorticoid: for patients with no pre-index oral glucocorticoids, <30 days of oral glucocorticoids between the index dates and 90 days post-index; for patients with pre-index oral glucocorticoids, a cumulative post-index dose within 120% of the cumulative pre-index dose.
6. Fewer than 2 intra-articular injections: administration of glucocorticoid injections on more than one calendar day was prohibited.

Pairwise P-values for TNFi cyclers vs non-TNFi switchers were calculated by Student’s t-test for continuous variables and chi-square test for categorical variables. To evaluate the potential effects of baseline differences between the cohorts on treatment outcomes, multivariable logistic models were used to calculate odds ratio (OR), including 95% confidence intervals and P-values for treatment switching, persistence, and effectiveness. Statistical significance was defined as a P-value of <0.05. In addition to study cohort, other variables in the multivariable analyses were age, sex, plan type, index year, geographic region, rural/urban, hydroxychloroquine pre-index, leflunomide pre-index, methotrexate pre-index, sulfasalazine pre-index, >1 vs 1 TNFi or non-TNFi pre-index, total health care expenditures pre-index, RA-related expenditures pre-index, number of office visits pre-index, and PDC for all RA medications pre-index. The variable for prior TNFi or non-TNFi therapy used any pre-index claim without a time restriction; the other pre-index variables used a 12-month pre-index period.

Results
Characteristics of targeted DMARD switchers
Of the 6,945 patients who switched therapy on the index date and met all the study selection criteria (Figure 1), 5,020 (72.3%) were TNFi cyclers and 1,925 (27.7%) were non-TNFi switchers. Most patients (81.7%) were females, mean age was 49.9 years, mean Deyo–Charlson Comorbidity Index score was 1.4, and
6,374 (91.8%) patients received etanercept, adalimumab, or infliximab before they switched to a different drug on the index date (Table 1). Most patients (92.4%) had received only one targeted DMARD at any time pre-index, including 94.2% of TNFi cyclers and 87.7% of non-TNFi switchers (Table 1).

**Switching therapy again after the index switch**

Overall, 1,231 (17.7%) and 2,310 (33.3%) patients switched therapy again within 6 and 12 months, respectively. Non-TNFi switchers were significantly less likely than TNFi cyclers to switch therapy again within 6 months (13.2% vs 19.5%; \( P < 0.001 \)) or within 12 months (29.7% vs 34.6%; \( P < 0.001 \); Figure 2). In the multivariable analyses for switching again within 6 months (Supplementary materials) or within 12 months (Supplementary materials), which were adjusted for other patient characteristics, non-TNFi switchers were 41% less likely than TNFi cyclers to switch again within 6 months (OR: 0.593; \( P < 0.001 \)) and 24% less likely to switch again within 12 months (OR: 0.762; \( P < 0.001 \)).

Figure 1 Study sample selection.

Note: In the 12-month pre-index period or the first 30 days post-index.

Abbreviations: DMARD, disease-modifying antirheumatic drug; ICD-9-CM, International Classification of Diseases, Ninth Revision, Clinical Modification; RA, rheumatoid arthritis; TNFi, tumor necrosis factor inhibitor.
Non-TNFi switchers had significantly higher treatment persistence than TNFi cyclers, both for the mean duration of persistence (293.3 vs 279.6 days; P<0.001) and for the proportion of patients who were persistent at 12 months after the index switch (61.8% vs 58.2%; P<0.001; Table 2). In the multivariate model that was adjusted for baseline demographic and clinical characteristics (Supplementary materials), non-TNFi switchers were 21% more likely than TNFi cyclers to be persistent at 12 months (OR: 1.206; P=0.001).

Treatment effectiveness according to algorithm

Overall, 24.8% of patients satisfied all six of the treatment effectiveness algorithm criteria during the 12 months after the initial switch in therapy (Table 3). The effectiveness rate per algorithm was significantly higher for non-TNFi switchers than TNFi cyclers (27% vs 24%; P=0.011). Non-TNFi switchers were significantly more likely than TNFi cyclers...
to achieve the effectiveness algorithm criteria for no increase in the targeted DMARD dose (95% vs 88.2%; \( P < 0.001 \)) and no switch to another targeted DMARD (70.3% vs 65.4%; \( P < 0.001 \)). Achievement rates for other algorithm criteria were not significantly different between non-TNFi switchers and TNFi cyclers. In the multivariable analysis of treatment effectiveness that adjusted for other patient characteristics, non-TNFi switchers were 20% more likely than TNFi cyclers to achieve the algorithm criteria for effectiveness than patients who switched to a TNFi. To address this possibility, multivariable analyses were conducted that included variables for baseline characteristics and other measures of disease severity, some of which (eg, prior methotrexate use for 6-month switching rates and effectiveness) were also statistically significant predictors for outcomes. After adjusting for these possible factors, differences for treatment persistence, switching, and effectiveness continued to significantly favor non-TNFi switchers compared to TNFi cyclers. In the multivariable analyses, non-TNFi switching was associated with \( -20\%–25\% \) relative improvement in each of the 12-month outcomes relative to TNFi cycling. Another real-world study recently examined treatment patterns among patients who initiated treatment with a TNFi in 2011 or 2012, including 764 patients who switched to a different targeted DMARD.\(^7\) The probability of switching again was significantly greater for TNFi cyclers compared with non-TNFi switchers (37% vs 28%; \( P = 0.031 \)). Discontinuation rates were 14% in each treatment group, and 49% of TNFi cyclers and 58% of non-TNFi switchers continued

### Table 2 Treatment persistence after the index switch

<table>
<thead>
<tr>
<th>Treatment persistence</th>
<th>All patients (N=6,945)</th>
<th>TNFi cyclers (n=5,020)</th>
<th>Non-TNFi switchers (n=1,925)</th>
<th>( P )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean ± SD duration (days)</td>
<td>283.4±114.8</td>
<td>279.6±116.8</td>
<td>293.3±109.1</td>
<td>&lt;0.001(^a)</td>
</tr>
<tr>
<td>Persistent at 12 months, n (%)</td>
<td>4,110 (59.2)</td>
<td>2,920 (58.2)</td>
<td>1,190 (61.8)</td>
<td>&lt;0.001(^b)</td>
</tr>
</tbody>
</table>

Notes: \(^a\)Pairwise comparison of TNFi cyclers and non-TNFi switchers by t-test. \(^b\)Pairwise comparison of TNFi cyclers and non-TNFi switchers by chi-square test.

### Table 3 Treatment effectiveness for the 12 months after the index switch, using the claims-based algorithm

<table>
<thead>
<tr>
<th>Algorithm criterion</th>
<th>Patients achieving the criterion, n (%)</th>
<th>( P )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Satisfied all six algorithm criteria</td>
<td>1,724 (24.8)</td>
<td>1,205 (24.0)</td>
</tr>
<tr>
<td>Adherent</td>
<td>2,809 (40.4)</td>
<td>2,006 (40.0)</td>
</tr>
<tr>
<td>No increase in the targeted DMARD</td>
<td>6,257 (90.1)</td>
<td>4,429 (88.2)</td>
</tr>
<tr>
<td>No new conventional synthetic DMARD</td>
<td>5,901 (85.0)</td>
<td>4,253 (84.7)</td>
</tr>
<tr>
<td>No targeted DMARD switch</td>
<td>4,635 (66.7)</td>
<td>3,282 (65.4)</td>
</tr>
<tr>
<td>No new/increased glucocorticoid injections</td>
<td>5,935 (85.5)</td>
<td>4,304 (85.7)</td>
</tr>
<tr>
<td>Less than two intra-articular injections</td>
<td>6,294 (90.6)</td>
<td>4,549 (90.6)</td>
</tr>
</tbody>
</table>

Note: \(^a\)Pairwise comparison of TNFi cyclers and non-TNFi switchers by chi-square test.

Abbreviations: DMARD, disease-modifying antirheumatic drug; TNFi, tumor necrosis factor inhibitor.

### Discussion

This claims-based analysis examined treatment patterns and treatment effectiveness for 6,945 commercially insured patients with RA across the US after they switched therapy from a TNFi either to another TNFi (TNFi cyclers) or to a non-TNFi (non-TNFi switchers). Nearly three-quarter of these real-world patients were TNFi cyclers, which is consistent with previous evidence that a majority of patients in clinical practice are TNFi cyclers instead of non-TNFi switchers.\(^5\)\(^\text{-}\)\(^11\) However, in this study, non-TNFi switchers had better outcomes than TNFi cyclers. Recognizing that the absolute differences were small, non-TNFi switchers were significantly more likely to be persistent on the new therapy, significantly less likely to switch to another therapy within 6 or 12 months after the initial switch, and significantly more likely to be effectively treated according to a claims-based effectiveness algorithm. These results suggest that patients who need to discontinue TNFi therapy because of ineffectiveness or intolerability are more likely to benefit from switching to a non-TNFi instead of cycling to another TNFi.

Because the analyses were retrospective and not randomized, the patients who switched to a non-TNFi may have had background characteristics that made them more likely to be persistent on therapy and more likely to achieve the algorithm criteria for effectiveness than patients who switched to a TNFi. To address this possibility, multivariable analyses were conducted that included variables for baseline characteristics and other measures of disease severity, some of which (eg, prior methotrexate use for 6-month switching rates and effectiveness) were also statistically significant predictors for outcomes. After adjusting for these possible factors, differences for treatment persistence, switching, and effectiveness continued to significantly favor non-TNFi switchers compared to TNFi cyclers. In the multivariable analyses, non-TNFi switching was associated with \(-20\%–25\%\) relative improvement in each of the 12-month outcomes relative to TNFi cycling.

Another real-world study recently examined treatment patterns among patients who initiated treatment with a TNFi in 2011 or 2012, including 764 patients who switched to a different targeted DMARD.\(^7\) The probability of switching again was significantly greater for TNFi cyclers compared with non-TNFi switchers (37% vs 28%; \( P = 0.031 \)). Discontinuation rates were 14% in each treatment group, and 49% of TNFi cyclers and 58% of non-TNFi switchers continued
therapy without a treatment gap of >180 days. In this study, similar rates were observed for these cohorts for switching again and treatment persistence. Although both studies used the Truven Health Analytics MarketScan Research database, the previous study included patients who received a TNFi and then switched to a different therapy in 2011 or 2012. This study included more recent data (switches that occurred between January 1, 2010 and September 30, 2014, with follow-up data through September 30, 2015) to examine current treatment patterns, including greater use of newer agents.

This study examined not only treatment persistence but also treatment effectiveness after TNFi cycling and non-TNFi switching. Estimates of effectiveness in this retrospective claims-based analysis were consistent with the results from two prospective studies that compared TNFi cycling vs switching to the non-TNFi rituximab,16,17 In these studies, switching from a TNFi to a non-TNFi improved clinical outcomes more effectively than TNFi cycling. However, both of the studies were observational, not interventional. Thus, like this retrospective analysis, both of the studies were subject to the potential limitation of selection bias, which may lead a clinician to choose non-TNFi switching vs TNFi cycling in a given patient. Additionally, the benefits in each observational study appeared to be limited to subgroups of patients. One observational study reported that clinical improvement with switching to a non-TNFi relative to TNFi cycling occurred in the subgroup of patients who discontinued the initial TNFi because of inefficacy, but not among those who switched therapy because of intolerance.16 The other study reported that the clinical benefits of switching to a non-TNFi were observed vs TNFi cyclers who switched to adalimumab or infliximab, but not when TNFi cycling to etanercept was included in the comparison.17 A recent presentation of the results from a randomized, interventional study of 292 patients with an inadequate response to the first TNFi reported that switching to a non-TNFi resulted in significantly better efficacy than TNFi cycling, and the observed superiority was consistent over time and across outcome criteria.36

Treatment effectiveness in this study was analyzed by the application of a validated algorithm30 to medical and pharmacy claims. The claims-based algorithm was initially developed and validated for the biologic DMARDs abatacept (intravenous only), adalimumab, etanercept, and infliximab. This analysis also applied the algorithm to the newer therapies certolizumab pegol, golimumab, tocilizumab, and tocifiantinib, as well as subcutaneous administration of abatacept. Although these therapies were not included in the original validation, other recent studies that used similar methods to apply the algorithm to newer therapies31–33 had similar results for relative treatment effectiveness compared with studies that did not include these newer therapies.30,35

This analysis pooled the results for all TNFis into one cohort and for all non-TNFis into the other cohort. Additional research would be required to establish whether an individual TNFi or individual non-TNFi is associated with significantly different treatment persistence and treatment effectiveness in real-world settings. Although some of the absolute differences were relatively small between TNFi cyclers and non-TNFi switchers, multivariable analyses consistently showed that the adjusted differences were ≥20% for the odds of treatment persistence, switching again, and treatment effectiveness. This difference in outcomes is likely to be clinically meaningful given the high frequency with which RA patients switch therapy in clinical practice.5,11 The reasons for discontinuation of the prior TNFi were not recorded in the claims, and thus, they were not analyzed in this study.

Conclusion
On the basis of this claims-based analysis of commercially insured patients in the US, it appears that non-TNFi switchers are more likely than TNFi cyclers to persist on the new therapy, less likely to switch again, and more likely to achieve treatment effectiveness after switching. These results support switching to a non-TNFi after TNFi treatment failure in patients with RA.

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