

# Efficacy of three-dimensional conformal radiotherapy combined with transarterial chemoembolization for hepatocellular carcinoma with portal vein tumor thrombus

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**Objective:** The current study aimed to evaluate the efficacy and outcomes of three-dimensional conformal radiotherapy (3DCRT) combined with transarterial chemoembolization (TACE) for treating patients with hepatocellular carcinoma involving portal vein tumor thrombus.

**Methods:** Between January 2000 and December 2013, a total of 182 hepatocellular carcinoma patients with portal vein tumor thrombus were retrospectively analyzed: 68 patients were treated by 3DCRT alone (group A), 74 by TACE alone (group B), and 40 by a combination of 3DCRT + TACE (group C). The overall survival (OS) of the three groups was compared using the Kaplan–Meier method. The independent predictors of survival were identified using multivariate analysis.

**Results:** The total effective rate (complete response + partial response) among all patients was 44% (80/182). The objective response rate (complete response + partial response) was higher in group C than in group A or B, but the differences were not significant. OS rates at 1, 2, and 3 years were significantly higher in group C than in group A or B ( $P < 0.05$ ), while OS rates were similar between groups A and B. Multivariate analysis identified serum levels of alpha-fetoprotein  $< 400$  ng/mL and the use of 3DCRT + TACE as independent predictors of better OS.

**Conclusion:** These results suggest that combining 3DCRT with TACE may provide better OS than either technique alone in hepatocellular carcinoma patients with portal vein tumor thrombus.

**Keywords:** hepatocellular carcinoma, portal vein tumor thrombus, three-dimensional conformal radiotherapy, transarterial chemoembolization, overall survival

## Introduction

Hepatocellular carcinoma (HCC) is one of the most common causes of cancer-related mortality worldwide.<sup>1</sup> Portal vein tumor thrombus (PVTT) is found to have invaded the main trunk in 10%–15% of patients at the time of diagnosis with HCC.<sup>2-4</sup> PVTT is a particularly frequent complication in patients with advanced HCC.<sup>5,6</sup> It is often accompanied by portal vein hypertension, ascites, tumor dissemination, and deterioration of liver function, resulting in poor prognosis for HCC patients with PVTT, who survive a median of 2–3 months without treatment.<sup>3,7,8</sup>

Transarterial chemoembolization (TACE) is considered a standard treatment for patients with inoperable advanced HCC.<sup>9</sup> Evidence suggests that TACE is relatively safe for HCC patients with PVTT who have good liver function and abundant collateral circulation,<sup>10</sup> but the efficacy of TACE for such patients remains controversial.<sup>11-14</sup>

Radiotherapy although originally not used widely to treat HCC, because patients show low tolerance to whole-organ irradiation, has recently become attractive for comprehensive treatment of liver cancer due to the advent of precise, targeted radiotherapy techniques, including three-dimensional conformal radiotherapy (3DCRT), intensity-modulated radiation therapy (IMRT), and stereotactic body radiotherapy (SBRT). These technologies can deliver maximal radiation doses to hepatic tumors without causing serious complications.<sup>15,16</sup> Several studies have shown good local tumor control and long-term survival in HCC patients with PVTT treated using 3DCRT.<sup>17–21</sup>

In an effort to maximize therapeutic efficacy, investigators have combined 3DCRT with TACE for HCC patients with PVTT.<sup>22–24</sup> While these studies suggest that the combined treatment modality can be safe and effective, whether it is superior to 3DCRT or TACE on their own is unclear because the studies lacked parallel control groups or were limited by short follow-up or small samples. To address this question more rigorously, we retrospectively analyzed the clinical data of 182 cases of HCC with PVTT to compare the efficacy and toxicity of the different treatments alone and in combination.

## Patients and methods

### Patients

This study was conducted in accordance with the Declaration of Helsinki. Written informed consent was given by all participants for their clinical records to be used in this study and this was approved by the Institutional Review Board of Affiliated Tumor Hospital of Guangxi Medical University.

We retrospectively studied 182 patients with HCC involving PVTT treated between January 2000 and December 2013 in the Department of Radiation Oncology of the Affiliated Tumor Hospital of Guangxi Medical University (Nanning, People's Republic of China). Patients were included in the study if they satisfied the following criteria: 1) age between 26 years and 75 years; 2) pathological or clinical diagnosis of HCC with PVTT; 3) Child-Pugh A or B liver function; 4) no history of liver radiotherapy; 5) Eastern Cooperative Oncology Group performance status of 0–2; 6) normal function of brain, kidneys, and other major organs; and 7) written informed consent before treatment.

PVTT was diagnosed on the basis of a filling defect in the portal vein or its branch on contrast-enhanced computed tomography (CT) or magnetic resonance imaging. The type of PVTT was classified according to ShuQun et al:<sup>25,26</sup> type I, tumor thrombus involving the area proximal to the secondary branches of the portal vein; type II, tumor thrombus involving the primary branches; type III, tumor thrombus extending

**Table 1** Patient and tumor characteristics

Variable	Total, N	Group A (n)	Group B (n)	Group C (n)	P-value
Sex					0.314
Male	164	64	64	36	
Female	18	4	10	4	
Age (years)					0.607
Range	26–75	32–73	26–75	28–73	
Median	47	47	46	44.5	
Child-Pugh grade					0.766
A	164	60	68	36	
B	18	8	6	4	
AFP (ng/mL)					0.630
≥400	94	36	40	18	
<400	88	32	34	22	
Diameter of tumor (cm)					0.281
>9	84	37	32	15	
≤9	98	31	42	25	
No of lesions					0.348
I	146	55	62	29	
≥2	36	13	12	11	
Type of PVTT					0.788
II	116	45	45	26	
III	66	23	29	14	

**Note:** Group A, patients treated by 3DCRT alone; group B, patients treated by TACE alone; and group C, patients treated by a combination of 3DCRT + TACE.

**Abbreviations:** AFP, alpha-fetoprotein; PVTT, portal vein tumor thrombus.

to the main portal vein but not to the superior mesenteric vein; and type IV, tumor thrombus extending to the superior mesenteric vein.

The clinicopathologic features of all patients are listed in Table 1. The median age across all patients was 47 years (range: 26–75 years). A total of 116 patients (63.7%) were diagnosed with type II PVTT and 66 (36.3%) with type III PVTT. Nearly equal numbers of patients were treated by 3DCRT alone (68, group A) or TACE alone (74, group B), and a smaller number was treated with the combination of 3DCRT + TACE (40, group C).

### TACE procedure

Of all patients in the sample, 114 (62.6%) received a median of one course of TACE (range: 1–4) performed using the Seldinger technique. Guided by arterial angiography, the surgeon cannulated the proper hepatic artery through the femoral artery and then infused a mixture of chemotherapy drugs and iodine oil (5–20 mL) through the catheter. Finally, gelatin sponge pledgets were used to enhance the therapeutic effect.<sup>27</sup> The following chemotherapy drugs and dosages were used: adriamycin, 50–60 mg/m<sup>2</sup>; cisplatin, 30–40 mg/m<sup>2</sup>; and mitomycin, 6–7 mg/m<sup>2</sup> or HCPT, 10–15 mg/m<sup>2</sup>.

### 3DCRT procedure

3DCRT was performed using a Philips 8 MV X-ray linear accelerator and Shanghai Topslane three-dimensional

treatment planning system or a Medical Precise 6 MV X-ray linear accelerator. Working together, a radiologist and a radiation oncologist outlined the visible gross tumor volume (GTV) consisting of PVTT and/or intrahepatic tumor. The planning target volume was defined as GTV + (0.5–2) cm. The organs at risk included normal liver tissue, duodenum, bilateral kidney, stomach, small intestine, pancreas, and spinal cord. The dose of each organ was not to exceed the corresponding tolerance dose. Cumulative dose–volume histograms were used to evaluate each treatment plan. All patients received 3DCRT 3–5 times per week at a median tumor dose of 50.6 Gy (range: 28–63 Gy) with a median fraction size of 4 Gy (range: 2–8 Gy). This translated to a biological effect dose of 38–91 Gy at an  $\alpha/\beta$  ratio of 11.2 (Gy<sub>11.2</sub>).<sup>28</sup>

## Clinical assessment and follow-up

The patients were evaluated on a weekly basis during treatment, once every 3 months during 1 year after treatment and every 6 months thereafter. The patients were evaluated by physical examination, routine blood analysis, analysis of liver and renal function, assay of carcinoembryonic antigen and alpha-fetoprotein (AFP), and imaging using plain chest film, abdominal CT, and abdominal B ultrasonography. Tumor and PVTT responses were determined from serial CT scans taken 1–2 months after the completion of treatment. Tumor response was assessed using the modified Response Evaluation Criteria in Solid Tumors.<sup>29</sup> Complete disappearance of PVTT was defined as complete response (CR), >50% reduction of PVTT as partial response (PR), <50% reduction of PVTT as stable disease (SD), and >25% growth of PVTT as progressive disease (PD). All patients were followed up until death or December 31, 2013. The overall survival (OS) was calculated from the end of treatment until the date of the last follow-up.

## Statistical analysis

Statistical analyses were performed using SPSS 16.0 (SPSS Inc., Chicago, IL, USA). Categorical variables were compared using the chi-squared or Fisher's exact tests as appropriate. Survival probability was calculated using the Kaplan–Meier method, and intergroup differences were assessed using the log-rank test. Multivariate analysis to identify predictors of OS was carried out using a Cox regression model.  $P < 0.05$  was considered statistically significant.

## Results

### Tumor response

Tumor and PVTT responses were determined using serial CT scans taken 1–2 months after the completion of treatment.

Based on modified Response Evaluation Criteria in Solid Tumors criteria, the 68 patients in group A showed the following distribution of clinical responses: CR, six (8.8%); PR, 18 (26.5%); SD, 30 (44.1%); and PD, 14 (20.6%). The objective response rate (CR + PR) in group A was 35.3%. The 74 patients in group B showed the following distribution of clinical responses: CR, four (5.4%); PR, 25 (33.8%); SD, 35 (47.3%); and PD, ten (13.5%). The objective response rate (CR + PR) in group B was 39.2%. The 40 patients in group C showed the following distribution of clinical responses: CR, five (12.5%); PR, 15 (37.5%); SD, 12 (30%); and PD, eight (20%). The objective response rate (CR + PR) in group C was 50%. The objective response rate in group C (50%, 20/40) was higher than the rates in group A (35.3%, 25/68) and group B (39.2%, 29/74), but the differences were not significant ( $P = 0.315$ ; Table 2).

## Follow-up and survival

The median follow-up lasted 10 months (range: 1–102 months). During the follow-up, 33 of 182 patients (18.1%) experienced intrahepatic metastasis or lymph node metastasis in the abdominal aorta and 159 (87.4%) died. The median survival time was 7 months in group A, 6 months in group B, and 13 months in group C. The rates of OS at 1, 2, and 3 years were 28.8%, 12%, and 28.8% in group A; 28.7%, 10.5%, and 28.7% in group B; and 53.5%, 18.8%, and 9.4% in group C. The median survival time and OS rate were significantly higher in group C than in group A or B ( $P = 0.017$ ). In contrast, groups A and B were similar in terms of median survival time and OS rates. The survival curves are shown in Figure 1.

## Univariate analysis

Univariate analysis identified serum AFP level <400 ng/mL and the use of 3DCRT + TACE as predictors of better OS (Table 3).

## Multivariate analysis

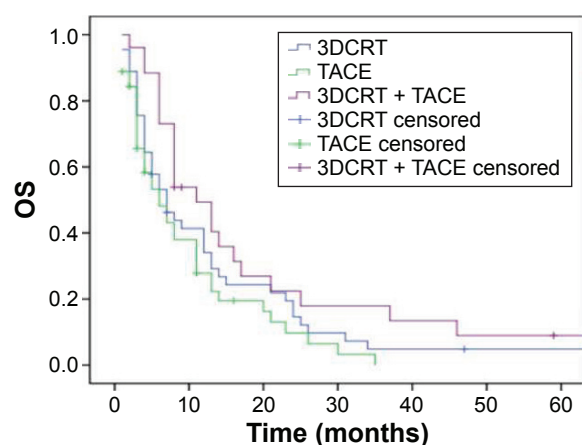
Multivariate analysis using Cox regression identified serum AFP level <400 ng/mL ( $P = 0.029$ ) and the use of

**Table 2** Tumor and PVTT response rates

Group	n	CR	PR	SD	PD	CR + PR	P-value
A	68	6	18	30	14	24 (35.3%)	0.315
B	74	4	25	35	10	29 (39.2%)	
C	40	5	15	12	8	20 (50.0%)	

**Note:** Group A, patients treated by 3DCRT alone; group B, patients treated by TACE alone; and group C, patients treated by a combination of 3DCRT + TACE.

**Abbreviations:** PVTT, portal vein tumor thrombus; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease.



**Figure 1** Comparison of OS rates in groups A (3DCRT), B (TACE), and C (3DCRT + TACE).

**Abbreviations:** OS, overall survival; 3DCRT, three-dimensional conformal radiotherapy; TACE, transarterial chemoembolization.

3DCRT + TACE ( $P=0.015$ ) as independent predictors of better OS (Table 4).

## Adverse reactions

Treatment-related toxicities were assessed using the National Cancer Institute-Common Terminology Criteria for Adverse

Events 3.0 classification scheme. Among all 182 patients, no treatment-related events of grade 4 or 5 acute toxicity were observed within 3 months after treatment. Among patients receiving 3DCRT, the most common adverse events were grades 1–2 nausea, vomiting, fatigue, and anorexia. Several patients treated with TACE experienced grades 1–2 acute bone marrow suppression.

## Discussion

PVTT is a complication in many patients with advanced HCC, and it is associated with poor prognosis. The mean survival for HCC patients with PVTT is only 2.7–4 months, much shorter than the 24.4 months for HCC patients without PVTT.<sup>25,30</sup> The patients with advanced HCC involving PVTT are ineligible for surgical resection or liver transplantation due to portal hypertension, deterioration of liver function, and distant metastasis. For such patients, only a few treatment options are recommended, including radiotherapy, TACE, and sorafenib. The Barcelona Clinic Liver Cancer group classifies HCC with PVTT as an advanced disease and recommends sorafenib as a standard systemic therapy.<sup>31</sup> However, sorafenib is not widely used in the People's Republic of China because of the low response rates,<sup>32,33</sup> various adverse events,<sup>32,34</sup> and high costs.

TACE has long been used as a palliative treatment for patients with technically unresectable or medically inoperable HCC. Even though some early clinical trials of TACE showed little survival benefit and serious side effects,<sup>35,36</sup> recent reports have demonstrated safety and efficacy in HCC patients with PVTT.<sup>12,13</sup> Tawada et al<sup>14</sup> performed TACE on 49 HCC patients with PVTT and observed positive/negative rates of 20/13 for parenchymal response and 13/20 for PVTT response. The mean survival time was 11.1 months for patients positive for parenchymal response and 14 months for patients positive for PVTT response. The efficacy of TACE for HCC patients with PVTT may reflect its ability to alter the blood supply to tumors. Most (90%–95%) of the blood supply to intrahepatic tumors comes from the hepatic artery, while the blood supply in patients with PVTT comes from both the hepatic artery and portal vein. However, the establishment of collateral circulation after embolization and recanalization of the blood vessel<sup>37</sup> can lead to tumor recurrence, making TACE less than satisfactory as a stand-alone treatment for HCC involving PVTT.

Radiotherapy originally found limited application in treating HCC because of low liver tolerance to radiation, but the advent of 3DCRT, IMRT, and SBRT has made radiotherapy a widely used treatment for patients with advanced HCC

**Table 3** Univariate analysis of prognostic predictors of OS

Predictor	n	Median survival time (months)	P-value
Sex			0.408
Male	164	8	
Female	18	9	
Age (years)			0.969
≤46	90	8	
>46	92	8	
HBV			0.167
Positive	159	8	
Negative	23	9	
AFP (ng/mL)			0.010
≥400	94	6	
<400	88	11	
Type of PVTT			0.831
II	116	8	
III	66	8	
Child-Pugh grade			0.064
A	164	8	
B	18	8	
Albumin (g/L)			0.617
>35	134	8	
≤35	48	8	
Treatments			0.005
3DCRT + TACE	40	13	
3DCRT/TACE	142	7	

**Abbreviations:** OS, overall survival; HBV, hepatitis B virus; AFP, alpha-fetoprotein; PVTT, portal vein tumor thrombus; 3DCRT, three-dimensional conformal radiotherapy; TACE, transarterial chemoembolization.



**Table 4** Multivariate analysis of prognostic predictors of OS

Predictor	Equation parameters							
	B	SE	Wald	df	Sig	Exp(B)	95% CI for exp(B)	
							Lower	Upper
AFP <400 ng/mL vs ≥400 ng/mL	−0.351	0.161	4.757	1	0.029	0.704	0.513	0.965
3DCRT + TACE vs 3DCRT/TACE	0.477	0.195	5.973	1	0.015	1.612	1.099	2.363

**Abbreviations:** OS, overall survival; *df*, degrees of freedom; SE, standard error; Sig, significance; CI, confidence interval; AFP, alpha-fetoprotein; 3DCRT, three-dimensional conformal radiotherapy; TACE, transarterial chemoembolization.

involving PVTT. Various studies have examined 3DCRT in such patients, but the effects have been quite variable. Huang et al<sup>21</sup> reported median survival time of only 3.8 months, a response rate of 25.2%, and 1-year OS rate of 16.7% in HCC patients with PVTT after 3DCRT or IMRT. In contrast, Rim et al<sup>19</sup> reported median survival time of 16.7 months, a response rate of 62.3%, and 1-year OS rate of 63.7% in HCC patients with PVTT after 3DCRT.

Several considerations have led clinicians to investigate the benefit of combining 3DCRT with TACE for HCC patients with PVTT. First, deposition of iodized oil after TACE may help reveal minute lesions and determine the GTV boundary. Second, the decreased tumor volume after TACE may reduce radiation exposure of normal liver tissue during 3DCRT. Third, chemotherapy drugs may increase radiation sensitivity. Yoon et al<sup>38</sup> reported median survival time of 10.6 months and OS rates of 42.5% at 1 year and 22.8% at 2 years for 412 HCC patients with PVTT who underwent 3DCRT + TACE. Park et al<sup>24</sup> reported median survival time of 13 months and an objective response rate of 44.4% in 18 patients with unresectable HCC and PVTT who underwent 3DCRT + TACE. The OS rates in that study were significantly higher among responders than among nonresponders. These studies suggest that combining 3DCRT and TACE may lead to greater efficacy than either therapy on its own for HCC patients with PVTT, but the lack of parallel comparison arms weakens this conclusion.

Therefore, we conducted a retrospective clinical study in which we compared safety and efficacy of the two techniques on their own and together in parallel groups of patients recruited from the same medical center over the same period. The median survival time and OS rates were significantly higher for the group that received 3DCRT + TACE (group C) than for the groups receiving either treatment on its own. The objective response rate tended to be higher in the combined treatment group (50%) than in the other two groups (35.3% for group A and 39.2% for group B), although the difference was not significant. Multivariate analysis also identified the use of

3DCRT + TACE as an independent predictor of better OS. Our findings from this parallel-comparison study, conducted in a cohort of Chinese patients from a region of the world with one of the highest incidences of HCC, provide some of the strongest evidence to date that combining 3DCRT with TACE can be more effective than either treatment alone for HCC patients with PVTT.

The other predictor of better OS identified in our multivariate analysis was serum AFP <400 ng/mL. This is consistent with the results of Huang et al<sup>21</sup> indicating that higher AFP is associated with poorer survival in HCC patients. Several European and Japanese reports have stressed the importance of preoperative AFP levels, leading to their incorporation into clinical prognostic scores.<sup>39,40</sup>

The current study has some limitations. First, the small size of the combined-treatment arm and differences in follow-up duration among the three parallel arms raise the risk of bias in our efficacy analysis. Second, this was a retrospective study in which patients chose their treatment rather than being randomly assigned, increasing the risk of selection bias. Our results should be verified and extended in larger, prospective, randomized trials.

## Conclusion

Our results indicate that the combination of 3DCRT and TACE is a feasible and safe treatment modality for HCC patients with PVTT. This combination therapy may be associated with higher objective response rate and OS than either treatment on its own.

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## Disclosure

The authors report no conflicts of interest in this work.

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