Price of pain: population-based cohort burden of disease analysis of medication cost of herpes zoster and postherpetic neuralgia

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Background: Pain is a main symptom of herpes zoster (HZ), and postherpetic neuralgia (PHN) is a frequent complication occurring in 5% to 15% of cases, causing moderate to severe neuropathic pain. A population-based observational study was conducted to evaluate the treatment patterns and economic burden of prescription drug treatment of HZ and PHN pain in the province of Manitoba (Canada) over a period of 15 years.

Methods: Administrative health care data, including medical and hospital separation records, were examined to identify episodes of HZ using International Classification of Diseases-9/10 codes between April 1, 1997 and March 31, 2014. Episodes of PHN were identified using medical and prescription claims. Incident use of analgesic, antidepressant, or anticonvulsant drugs was used to determine prescription pain costs.

Results: The age-adjusted incidence of HZ increased from 4.7 episodes/1,000 person-years in 1997/98 to 5.7/1,000 person-years in 2013/14. PHN occurred in 9.2% of HZ cases, a rate that did not change over the study period ($P=0.57$). The annual cost to treat HZ pain rose by 174% from 1997/98, reaching CAD \$332,981 in 2011/12, 82.8% (95% confidence interval [CI] 81.2%, 84.3%) of which was related to PHN. The per episode cost of HZ rose by 111% from \$31.59 (95% CI \$25.35, \$37.84) to \$66.81 (95% CI \$56.84, \$76.78) and by 94% for PHN from \$292 (95% CI \$225, \$358) to \$566 (95% CI \$478, \$655). These increases were driven by increasing use of anticonvulsants, primarily gabapentin, which accounted for 57% of the increase in cost.

Conclusion: There has been an increase in the incidence of HZ and PHN and in the average cost associated with the prescription treatment of their resultant neuropathic pain. The primary driver of the increased episodic cost is the increased use of gabapentin. These changes have resulted in a substantial increase in the economic burden associated with HZ and PHN.

Keywords: shingles, cost, economics, neuropathic pain, gabapentin

Introduction

Herpes zoster (HZ) is an infectious disease caused by reactivation of latent varicella zoster infection. This common viral disease afflicts between 20% and 30% of the population at some point in their lifetime; up to 50% of individuals over 80 years of age are affected.^{1,2} The dermatological symptoms of HZ are typically preceded by prodromal symptoms that range from a tingling or burning sensation to a sharp stabbing pain. This is followed by a raised, reddish rash and vesicular lesions, typically over the region of skin affected by prodromal symptoms, 2 to 3 days later. This rash typically affects singular dermatomes resulting in a unilateral, stripe-like appearance that is diagnostic of HZ. Lesions continue to erupt for several days before the rash crusts over, generally within 7 to 10 days. Symptoms then begin to subside and total

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resolution generally occurs within 3 to 4 weeks.³ While HZ is generally self-limiting, longer lasting complications can occur.

The most common complication of zoster is postherpetic neuralgia (PHN). PHN is defined as pain that persists after the resolution of the dermatological symptoms of HZ. It is caused by damage to sensory neurons sustained during the initial active infection with pain persisting even after the virus has returned to its dormant state.⁴ There is no single standard definition of PHN. Pain persisting at 30 to 120 days measured from onset of HZ or from healing of rash is used in the literature.^{5–7} Pain persisting at 90 days from initial HZ diagnosis is the most commonly used definition.^{5–7}

The incidence of HZ is estimated at 3.5 to 7 cases per 1,000 person-years (PY), with the incidence in females slightly higher than in males.^{8,9} The incidence of HZ increases steadily with age, rising to 6–7/1,000 PY by 60 years, and continuing to increase thereafter.^{2,10,11} The rate of conversion to PHN has been estimated at anywhere from 5% to 60% of HZ cases.^{7,11,12} This wide range is partially explained by the lack of standard diagnostic criteria. Studies that used pain persisting at 90 days to define PHN have a more conservative conversion rate with a narrower range of between 5% and 15%.^{7–9,11–13}

PHN is a challenging condition to treat, and the effectiveness of pharmacological therapy is limited. The Canadian Pain Society consensus statement on the treatment of neuropathic pain recommends as first-line therapy for PHN one of the tricyclic antidepressants (TCAs), gabapentin, or pregabalin.¹⁴ Opioids and topical lidocaine are listed as second-line alternatives. The European Federation of Neurological Societies 2010 treatment guidelines for neuropathic pain largely echo these recommendations. Opioids, while effective, are not recommended as first-line therapy due to side effects, development of tolerance, and risk of dependency.¹⁵ A variety of other drugs are also discussed in the literature, including those used for other forms of neuropathy, although their efficacy for PHN is disputed. These include valproic acid/divalproex sodium, duloxetine, venlafaxine, carbamazepine, tramadol, nonsteroidal anti-inflammatory drugs (NSAIDs), and selective serotonin reuptake inhibitors.^{6,14–18}

While treatment of PHN remains difficult, it is possible to reduce the risk of HZ, and with it PHN, with the HZ vaccine (Zostavax II®, Merck & Co., Inc., Kenilworth, NJ, USA). This vaccine has been shown to reduce the relative risk of HZ by 51% and of PHN by almost 67%. Vaccines may decrease the chance of developing HZ, but HZ and the associated PHN

are expected to continue to result in a significant burden to society in the near future.

To determine the incidence of HZ and rates of PHN, a retrospective cohort study was conducted in Manitoba, Canada, over a period of 17 years. The objectives were to determine the burden of disease of zoster-related pain and to understand the major cost drivers in the changing cost of treating HZ.

Methods

A population-based cohort study was conducted in Manitoba (Canada) using administrative health care data from Manitoba's universal public health care system gathered from April 1, 1995 to March 31, 2014 in the course of providing routine medical care. These data were accessed via the Manitoba Centre for Health Policy Population Health Research Data Repository, a collection of databases containing records of contacts of Manitoba residents with the health care system.¹⁹ Databases utilized included the Drug Program Information Network, which processes all community pharmacy-based prescriptions for insurance coverage and drug utilization review; the Medical Services database which contains records of all fee-for-service medical provider claims; hospital discharge abstracts containing separations data for all hospitalizations; and the Manitoba Health Registry which contains basic demographic information on all persons registered with Manitoba Health, Healthy Living and Seniors. All records are de-identified but contain a unique scrambled personal health number allowing for the cross-linkage of records over time and across data sets.

Episodes of zoster were identified using diagnostic codes in medical claims and hospital separations. Individuals with one or more International Classification of Diseases-9 (ICD-9) codes starting with 053 or ICD-10-Clinical Modification (ICD-10-CM) codes starting with B02 were considered as HZ cases, the date of the first being the episode start date. The use of ICD diagnostic codes to diagnose zoster was both highly selective (positive predictive value 93%) and sensitive (97.5%).²⁰ Multiple episodes per individual were allowed if 2 years had elapsed between episodes. To ensure only incident episodes were included in the analysis, a 2-year washout period was used; thus, any episodes identified prior to 1997/98 were excluded. Episodes where individuals were under 20 years at diagnosis were excluded to avoid misclassification of varicella zoster cases as HZ. Cases of PHN were identified by HZ pain drug treatment or medical claims with HZ ICD codes appearing past 90 days from diagnosis. Ongoing treatment is defined in the following section.

Drug treatment was categorized using Anatomical Therapeutic Chemical classes. Prescriptions for opioids (Anatomical Therapeutic Chemical codes starting with N02A), NSAIDs (M01), anticonvulsants (N03A), antidepressants (N06A), nabilone (A04AD), local anesthetics (D04A), glucocorticoids (H02AB), and acetylsalicylic acid and acetaminophen (N02B) associated with HZ episodes identified in the previous step were collected. Those dispensed between 90 days pre-HZ diagnoses and 2 years postdiagnosis were evaluated against several criteria to assess if they were related to HZ–PHN or were only coincident.

The use of each drug class was categorized as either incident or prevalent for that episode by evaluating prescriptions in the 90 days preceding diagnosis. A drug class was categorized as prevalent if a person received a total of 30 days' supply or more within those 90 days, and all within-class prescriptions were excluded from the analysis for that episode. From the remaining incident class, all prescriptions from diagnosis to 1) 90 days postdiagnosis or 2) date of last HZ medical claim were classified as HZ–PHN treatment. Prescriptions with dispensation dates outside this window had to meet the continuous use criteria. A grace period of 100% of the prescription duration was added to its duration to create an end date. To be considered a continuous treatment, there should be no gaps between the end date of previous prescriptions and receipt of the next. Discontinuity signaled the end of drug treatment and of that episode; all later prescriptions were removed from further analysis of this episode.

Data were summarized by Manitoba Health fiscal years, which run from April 1 to March 31 for each year. Results are reported as occurring within the fiscal year of diagnosis. Incidence rates for HZ were calculated for 1997/98 through to 2013/14, and age adjusted using the population of Manitoba in 1997 as our standard. As 2 years follow-up was required to capture all data related to episodes of HZ with PHN, only episodes diagnosed from 1997/98 to 2011/12 contributed to the PHN analysis. Regression analysis was conducted on rates of conversion from HZ to PHN across this interval.

Drug costs were calculated directly from prescription records, included cost of both drug and dispensing fee, and were adjusted to 2013 Canadian dollars using Statistics Canada's consumer price index for prescription drug costs in Manitoba. Annual total prescription costs were tabulated for all HZ episodes, as well as within HZ episodes that converted to PHN (HZ–PHN). Prescription costs per episode were calculated, and *t*-tests were conducted to determine the significance of differences between mean costs in 1997/98 and 2011/12. Regression on the proportion of total cost due to HZ–PHN was used to look

for trends over time. The relationship between age at diagnosis and mean treatment cost was examined by regression analysis.

Prescription data were stratified by drug class for HZ–PHN episodes, looking at anticonvulsants, antidepressants, NSAIDs, and opioids. The number of treated episodes was determined for each year. Regressions on the number of class-wise treated episodes were used to examine trends over time.

SAS version 9.4 (SAS Institute Inc., Cary, NC, USA) was used for all data analysis. Approvals were granted by the University of Manitoba Health Research Ethics Board and the Manitoba Health Information Privacy Committee. Individual written consent from patients was not required by the ethics board or the privacy committee for this retrospective analysis using de-identified data.

Results

Between April 1, 1997 and March 31, 2014, there were 73,893 episodes of HZ diagnosed in Manitoba resulting in a mean of 4,347 (95% confidence interval [CI] 4,067, 4,626) episodes per year. There were 5,749 episodes of HZ diagnosed in 2013/14, a 50% increase from the 3,844 episodes diagnosed in 1997/98. The age-standardized incidence rate of HZ increased by 21%, from 4.70 episodes/1,000 PY in 1997/98 to 5.70 episodes/1,000 PY in 2013/14.

Total prescription costs for treating pain resulting from HZ and PHN are shown in Table 1. The annual cost of prescription drugs used to treat all HZ-related pain rose by over 174%, from CAD \$121,438 in 1997/98 to \$332,981 in 2011/12. The drug cost of HZ–PHN episodes rose by 191%, from \$96,554 to \$281,342. PHN accounted for a mean of 82.8% (95% CI 81.2%, 84.3%) of drug costs. This percentage did not change significantly over the course of the study period ($P=0.57$, $R^2=0.026$).

From 1997/98 through to 2011/12, the period for which full PHN results could be obtained, there were 6,038 episodes of HZ that met the study criteria for PHN, corresponding to a conversion rate of 9.6%. A linear regression analysis on conversion rates revealed no significant trend over this period of time ($P=0.45$, $R^2=0.046$). However, the increase in the total number of HZ episodes resulted in a greater number of PHN cases over time, rising from 331 in 1997/98 to 497 in 2011/12.

The mean cost of treating HZ-related pain increases linearly with age at diagnosis, each additional year increasing the mean episode cost by \$1.04 ($P<0.0001$, $R^2=0.65$) (Figure 1). The mean cost for persons aged 20 to 29 years was \$24.15 (95% CI \$19.95, \$28.34), while for persons aged 60 to 69 years the cost was 143% higher at \$58.72 (95% CI \$52.92, \$64.53).

The average cost of treating an episode of HZ, and separately those episodes with PHN, increased over the study

Table 1 Total cost and cost per episode of treating herpes zoster-related pain by year

Year	Herpes zoster episodes				Herpes zoster with PHN			
	Episodes	Rxs	Total cost	Mean cost (95% CI)	Episodes	Rxs	Total cost	Mean PHN cost (95% CI)
1997/1998	3,844	6,082	\$121,438	\$31.59 (\$25.35, \$37.84)	331	3,925	\$96,555	\$291.71 (\$225.45, \$357.96)
1998/1999	3,781	6,309	\$130,276	\$34.46 (\$28.38, \$40.53)	349	4,229	\$107,007	\$306.61 (\$248.06, \$365.16)
1999/2000	3,941	7,820	\$245,329	\$62.25 (\$50.74, \$73.76)	367	5,526	\$215,149	\$586.24 (\$475.95, \$696.53)
2000/2001	3,954	7,629	\$224,626	\$56.81 (\$46.70, \$66.92)	399	5,440	\$192,830	\$483.28 (\$393.34, \$573.23)
2001/2002	4,060	8,282	\$271,792	\$66.94 (\$52.67, \$81.22)	429	6,064	\$236,570	\$551.45 (\$425.20, \$677.69)
2002/2003	4,126	8,015	\$254,967	\$61.80 (\$52.07, \$71.52)	410	5,554	\$215,795	\$526.33 (\$440.91, \$611.75)
2003/2004	4,070	7,783	\$231,833	\$56.96 (\$47.57, \$66.35)	375	5,541	\$191,562	\$510.83 (\$421.55, \$600.12)
2004/2005	4,087	7,070	\$194,372	\$47.56 (\$40.78, \$54.34)	379	4,670	\$153,891	\$406.05 (\$343.97, \$468.12)
2005/2006	4,131	7,886	\$214,436	\$51.91 (\$44.30, \$59.51)	432	5,581	\$172,052	\$398.27 (\$334.80, \$461.74)
2006/2007	4,143	7,918	\$207,393	\$50.06 (\$42.52, \$57.60)	377	5,586	\$165,257	\$438.35 (\$366.84, \$509.86)
2007/2008	4,207	7,968	\$193,942	\$46.10 (\$39.89, \$52.31)	377	5,674	\$152,928	\$405.64 (\$348.23, \$463.06)
2008/2009	4,295	9,435	\$252,994	\$58.90 (\$50.77, \$67.04)	440	7,147	\$209,013	\$475.03 (\$407.32, \$542.74)
2009/2010	4,584	9,393	\$267,865	\$58.43 (\$49.20, \$67.67)	427	7,024	\$222,968	\$522.17 (\$434.64, \$609.71)
2010/2011	4,622	9,102	\$276,383	\$59.80 (\$49.42, \$70.17)	449	6,617	\$230,827	\$514.09 (\$416.88, \$611.30)
2011/2012	4,984	11,065	\$332,981	\$66.81 (\$56.84, \$76.78)	497	8,398	\$281,342	\$566.08 (\$477.51, \$654.66)

Notes: Costs include all prescriptions from date of diagnosis to end of episode and include prescriptions for postherpetic neuralgia when present. The herpes zoster with PHN group includes only those cases of herpes zoster that converted to neuralgia and includes prescriptions from the start of the herpes zoster episode. Costs are reported in 2013 Canadian dollars.

Abbreviations: PHN, postherpetic neuralgia; Rxs, prescriptions; CI, confidence interval.

Figure 1 Mean cost per episode of herpes zoster-associated pain treatment by age at diagnosis. Costs adjusted for inflation to 2013 Canadian dollars.

period (Table 1). The mean cost per episodes to treat all HZ-related pain rose significantly by 111% ($t=5.369$, $P<0.0001$) from 1997/98 to 2011/12, and by 94% for HZ-PHN episodes ($t=4.36$, $P<0.0001$).

Changes in the treatment of HZ-PHN over time were examined by linear regression (Figure 2). Only anticonvulsant use changed significantly with a 212% increase in the number

of anticonvulsant-treated episodes from 1997/98 to 2011/12 ($P<0.0001$, $R^2=0.92$).

The duration of treatment for HZ-PHN varied widely between drug classes (Figure 3). The median days supplied was highest for antidepressants (178 days) and anticonvulsants (134 days), with shorter durations for NSAIDs (50 days) and opioids (28 days). The median duration of anticonvulsant

Figure 2 Treatment of postherpetic neuralgia episodes by drug class.

Notes: Numbers of episodes treated by drug class. A single episode can be treated with more than one class of drug, thus a single episode can contribute data to more than one category.

Abbreviation: NSAID, nonsteroidal anti-inflammatory drug.

Figure 3 Distribution of days of drug treatment per episode by class.

Notes: Boxplot of the number of days of drug treatment per episode by drug class for herpes zoster with postherpetic neuralgia. ◇ = mean.

Abbreviation: NSAID, nonsteroidal anti-inflammatory drug.

treatment increased from 55 to 141 days between 1997/98 and 2011/12. There was much less change observed in the median treatment duration of other drug classes, with antidepressants increasing from 161 to 190 days, opioids remaining relatively stable with an increase from 26.5 to 27 days, and NSAIDs decreasing from 38.5 to 30 days.

The overall annual cost of opioid, NSAID, and antidepressant prescriptions underwent a moderate increase with antidepressants increasing by 91%, opioids by 67%, and NSAIDs by 35% (Table 2). However, these changes were small compared to the increase in anticonvulsant use, which underwent a 755% rise in class cost, increasing from

\$15,520 to \$132,685. This increase accounts for 56% of the total increase in prescription spending for the treatment of all HZ-associated pain.

The most frequently prescribed anticonvulsant drug for HZ-PHN also changed over time. In 1997, carbamazepine prescriptions accounted for 62% (260 prescriptions) of all anticonvulsant prescriptions, while gabapentin accounted for only 21% (89 prescriptions). In contrast, in 2011, only 3.2% (80 prescriptions) of all anticonvulsant prescriptions were for carbamazepine. Gabapentin accounted for 73% (1,798) of prescriptions, while pregabalin, which was not available in 1997, accounted for 9.2% (226).

Discussion

The economic burden of treating HZ-associated pain, and PHN in particular, increased significantly from 1997/98 to 2011/12 (Table 1). This increase is the combined result of increases in both the incidence of diagnosed HZ and in the mean episode cost of prescription drug treatment of pain. A dramatic increase in gabapentin was found to be a primary driver of mean episode cost.

Over the 17-year period between 1997/98 and 2013/14, there has been a sustained increase in the incidence of HZ, with a resulting increase in the number of PHN cases. Changes in size and increasing age²¹ of the provincial population explain the majority of this increase. However, after taking both these factors into account, a significant increase of 21% in the age-adjusted incidence per thousand people was observed. As the rate of conversion from HZ to PHN did not change over the study period, the increased number of HZ episodes

resulted in an increase in the number of PHN cases. This increase in PHN cases is an important factor in determining the economic burden of HZ, as these cases were responsible for over 80% of HZ-related analgesic prescription costs.

Not only were there more HZ and PHN cases, there was also a significant increase in the mean cost per episode related to the treatment of pain. This higher episode cost was driven by the increased use of anticonvulsant drugs, primarily gabapentin. While the number of users of anticonvulsants rose, the use of other classes of drugs remained relatively unchanged (Table 2). Not only did the number of persons using anticonvulsant drugs increase, the median duration of treatment increased with them as well.

From an evidence-based perspective, this change might seem surprising. There is considerable debate on whether this increased expenditure is justified. There is limited evidence demonstrating superior efficacy and involves much greater cost compared to the other first-line therapies such as TCAs.²² In fact, one systematic review estimated the number needed to treat (NNT) for a 50% reduction of pain for gabapentin to be 4.39 (95% CI 3.34, 6.07), while that for TCAs was 2.64 (95% CI 2.1, 3.54).²³ Gabapentin was introduced to the Canadian market in December 1994 when it was approved for the adjunctive treatment of partial seizures.²⁴ It was aggressively marketed to physicians for a number of nonapproved indications, including PHN, by Parke Davis. This promotion eventually led to a US federal lawsuit against the company for off-label marketing in 1996.²⁵ It was not until 2004 that the US Food and Drug Administration (FDA) approved gabapentin for PHN,²⁵ although it has never received this indication in Canada. Some of this promo-

Table 2 Treatment of herpes zoster-related pain by drug class

Year	Antidepressants		Anticonvulsants		NSAIDs		Opioids		Miscellaneous	
	Users	Cost (CAD)	Users	Cost (CAD)	Users	Cost (CAD)	Users	Cost (CAD)	Users	Cost (CAD)
1997	348	\$35,607	153	\$15,520	400	\$23,122	866	\$33,208	544	\$13,980
1998	378	\$29,458	168	\$29,124	380	\$34,326	838	\$23,896	520	\$13,471
1999	404	\$39,612	235	\$83,398	475	\$64,916	893	\$43,752	571	\$13,651
2000	424	\$46,315	250	\$64,642	479	\$66,661	893	\$34,441	505	\$12,567
2001	440	\$48,663	293	\$85,722	469	\$47,112	885	\$70,139	544	\$20,157
2002	435	\$52,683	298	\$82,635	453	\$48,795	920	\$56,048	574	\$14,806
2003	425	\$46,661	303	\$71,625	398	\$32,101	819	\$62,947	532	\$18,498
2004	444	\$49,589	326	\$63,383	368	\$28,335	889	\$36,793	552	\$16,273
2005	445	\$44,140	364	\$78,247	377	\$29,826	902	\$40,096	540	\$22,127
2006	419	\$49,873	389	\$70,737	364	\$27,909	848	\$31,437	502	\$27,437
2007	396	\$37,136	485	\$86,579	325	\$24,099	829	\$31,008	501	\$15,119
2008	397	\$51,837	533	\$112,910	389	\$27,118	828	\$35,141	515	\$25,988
2009	430	\$54,128	540	\$122,855	348	\$24,299	866	\$41,026	518	\$25,558
2010	428	\$49,949	581	\$123,577	326	\$23,549	853	\$42,545	526	\$36,763
2011	447	\$67,903	694	\$132,685	383	\$31,252	926	\$55,318	582	\$45,824

Notes: Total treated herpes zoster episodes (users) and drug class cost by year. Numbers are for all herpes zoster-related pain, including postherpetic neuralgia. A single episode can be treated with more than one class of drug, possibly contributing data to multiple categories. Costs adjusted for inflation to 2013 Canadian dollars.

Abbreviation: NSAID, nonsteroidal anti-inflammatory drug.

tion suggested that TCA use for PHN was inappropriate in older patients from a safety perspective.²² However, gabapentin is not without its own adverse effects, and a systematic review suggests the number needed to harm (NNH) for major harms is actually similar for gabapentin (NNH=12.25, 95% CI 7.69, 30.2) and TCAs (NNH=16.9, 95% CI 8.85, 178).²³

Concerns have been raised about the use of opioids in noncancer pain.²⁶ These include the risk of dependency, the development of tolerance, and the potential for abuse, and are part of the rationale for listing opioids as second-line PHN treatment options.^{15,27} However, opioids were used frequently to treat HZ–PHN episodes (Figure 2). While the high rate of use may be concerning, the median of duration of opioid treatment (Figure 3) reveals generally short-term use, possibly reflecting appropriate opioid stewardship.

Limitations

This study has a number of strengths and limitations. Population level data from a universal single-payer health care system ensures virtually all contacts of residents with this health care system, and all prescription dispensations are captured in the study. These data sets also allow us to directly measure health system costs rather than inferring results from survey data or sampling, as it has been done in many previous studies.^{12,28–30} There are also several limitations to this study. We were unable to measure the true incidence of HZ in the population as only persons who sought medical treatment appeared in the administrative data. However, previous studies have reported that up to 95%–99% of persons with HZ will seek medical attention.^{8,28} Second, HZ diagnostic codes or prescription treatment past 90 days postdiagnosis were used as a proxy for a PHN diagnosis as we only had access to the first three digits of ICD codes in our data. Thus, rates reported are of HZ cases requiring ongoing medical management, sometimes referred to as clinically significant PHN. This methodology is common in administrative data studies looking at PHN.⁸ Finally, the use of nonprescription medications, including low-dose ibuprofen and naproxen, acetaminophen, acetylsalicylic acid, and topical treatments, is not captured. However, these costs are outside the scope of this study.

Conclusion

The total costs of treating pain associated with HZ and PHN rose by 174% between 1997/98 and 2011/12. There are two primary components to this increasing economic burden. The first is the increasing number of HZ cases. While some of this can be attributed to an aging population, the age-standardized incidence of HZ has increased. The second

component is the increasing average cost of treating an episode of HZ–PHN driven by the use of higher cost anti-convulsants for longer periods of time. Management of the economic burden of HZ–PHN analgesic treatment should include the use of evidence-based cost-effective therapies and measures such as vaccination that can help reduce the incidence of HZ.

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