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#### ORIGINAL RESEARCH

# The differential effects of inspiratory, expiratory, and combined resistive breathing on healthy lung

Konstantinos Loverdos<sup>1</sup> Dimitrios Toumpanakis<sup>1</sup> Eleni Litsiou<sup>1</sup> Vassiliki Karavana<sup>1</sup> Constantinos Glynos<sup>1</sup> Christina Magkou<sup>2</sup> Stamatios Theocharis<sup>3</sup> Theodoros Vassilakopoulos<sup>1</sup>

<sup>1</sup>Department of Critical Care, Pulmonary Unit and Marianthi Simou Applied Biomedical Research and Training Center, Evangelismos General Hospital, University of Athens Medical School, <sup>2</sup>Department of Pathology, Evangelismos General Hospital, <sup>3</sup>Ist Department of Pathology, University of Athens Medical School, Athens, Greece

Correspondence: Theodoros Vassilakopoulos Ist Department of Critical Care, Pulmonary Unit, Evangelismos General Hospital, University of Athens Medical School, 45-47 Ispilandou Street, 10676 Athens, Greece Tel +30 21 3204 1952 Fax +30 21 0724 4941 Email tvassil@med.uoa.gr Abstract: Combined resistive breathing (CRB) is the hallmark of obstructive airway disease pathophysiology. We have previously shown that severe inspiratory resistive breathing (IRB) induces acute lung injury in healthy rats. The role of expiratory resistance is unknown. The possibility of a load-dependent type of resistive breathing-induced lung injury also remains elusive. Our aim was to investigate the differential effects of IRB, expiratory resistive breathing (ERB), and CRB on healthy rat lung and establish the lowest loads required to induce injury. Anesthetized tracheostomized rats breathed through a two-way valve. Varying resistances were connected to the inspiratory, expiratory, or both ports, so that the peak inspiratory pressure (IRB) was 20%-40% or peak expiratory (ERB) was 40%-70% of maximum. CRB was assessed in inspiratory/expiratory pressures of 30%/50%, 40%/50%, and 40%/60% of maximum. Quietly breathing animals served as controls. At 6 hours, respiratory system mechanics were measured, and bronchoalveolar lavage was performed for measurement of cell and protein concentration. Lung tissue interleukin-6 and interleukin-1 $\beta$  levels were estimated, and a lung injury histological score was determined. ERB produced significant, load-independent neutrophilia, without mechanical or permeability derangements. IRB 30% was the lowest inspiratory load that provoked lung injury. CRB increased tissue elasticity, bronchoalveolar lavage total cell, macrophage and neutrophil counts, protein and cytokine levels, and lung injury score in a dose-dependent manner. In conclusion, CRB load dependently deranges mechanics, increases permeability, and induces inflammation in healthy rats. ERB is a putative inflammatory stimulus for the lung.

Keywords: resistive breathing, lung injury, inflammation

#### Introduction

Resistive breathing is the hallmark of the pathophysiology of diseases of airway obstruction, such as asthma and COPD, especially during exacerbations.<sup>1</sup> During resistive breathing, strenuous contractions of the inspiratory muscles produce large negative swings in intrathoracic pressure that can be injurious for the lung. Indeed, our group has previously shown that inspiratory resistive breathing (IRB) may exert an injurious and inflammatory effect with the characteristics of acute lung injury (ALI) on previously healthy lungs in an experimental rat model.<sup>2</sup> In this model, we used inspiratory resistances resulting in peak inspiratory pressure amounting to 50% of maximum, which corresponds to the levels of inspiratory pressure observed during severe COPD exacerbations and asthma attacks.<sup>2</sup> Whether lower inspiratory resistances resulting in lower inspiratory pressures can induce lung injury and inflammation has never been studied. The first aim of our study was to identify the lowest level of inspiratory pressure generated by inspiratory resistive loading that can induce lung injury and inflammation.

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International Journal of Chronic Obstructive Pulmonary Disease downloaded from https://www.dovepress.com/ For personal use only. To our knowledge, the effects of pure expiratory resistance on the healthy lung have also never been studied before. Expiratory resistance results in dynamic hyperinflation and intrinsic positive end-expiratory pressure (PEEPi) induction; a potential proinflammatory role for dynamic hyperinflation per se has been proposed, mainly attributable to cellular stretch.<sup>3</sup> The second aim of our study was to test the hypothesis that pure expiratory resistive breathing (ERB) can induce injury and inflammation and determine whether a load dependency exists.

In asthma and COPD, both inspiratory and expiratory airway resistances are elevated. Importantly, expiration is more seriously affected (ie, expiratory resistance is higher than inspiratory), as measured in patients with varying severity of spirometric airflow obstruction using body plethysmography and impulse oscillometry.<sup>4,5</sup> This is due to the different intrathoracic pressure environments, with positive pressures during expiration that compress the airways and increase the airway resistance, in contrast to inspiration, where the negative intrathoracic pressures dilate the airways, thus reducing their resistance. Consequently, in order to simulate the consequences of an increased airway resistance per se (resistance being a pure mechanical stressor for the lung) observed in asthma and COPD, an experimental model of combined resistive breathing (CRB) with unequally increased inspiratory and expiratory resistances (expiratory greater than inspiratory) should be applied.

The effect of CRB (inspiratory and expiratory resistances being pure mechanical stressors) on the healthy lung has never been addressed. PEEPi induction during expiration, as a result of expiratory resistance, could oppose the large negative intrathoracic pressures producing pulmonary edema during inspiration,<sup>6</sup> thus preventing the occurrence of ALI observed after IRB alone. On the other hand, ERB-induced hyperinflation could amplify the inflammatory process following IRB through the excessive deformation of resident lung cells. Patients with asthma and COPD present quite varying levels of airway obstruction, resulting in variable intrathoracic pressures, depending on the stage of the disease and/or the presence of exacerbation. It has previously been shown that the effect of mechanical stress on the lung is magnitude dependent. For example, in ventilator-induced lung injury (VILI) models, lung permeability increments occurred only when a cutoff tidal volume was reached.<sup>7,8</sup> However, whether the effect of resistive breathing on the lung is load dependent is unknown. Thus, the third aim of our study was to test the hypothesis that CRB (with the expiratory resistance exceeding the inspiratory) causes ALI in previously healthy lungs and that the effects of resistive breathing on the lung are load dependent.

In order to address the above research questions, we expanded our previously described IRB model in rats to study IRB or ERB alone or in combination. All models of resistive breathing were examined for load dependence, so as to establish the lowest inspiratory, expiratory, and combined loads able to induce meaningful alterations.

# Methods Subjects

Adult female Wistar rats (8–12 weeks old, 200–260 g) were used in this study. Animals were purchased from the Hellenic Pasteur Institute and housed at the Experimental Surgery Department of Evangelismos General Hospital at 22°C±2°C temperature, 55%–60% humidity, 12 hours day–night cycle, and ad libitum food and water provision. All procedures were in accordance with the European Union Directive for care and use of laboratory animals and were approved by the Greek Veterinary Administration and the Ethical Committee of Evangelismos Hospital.

# Experimental model

Three different models of resistive breathing were developed in healthy rats, according to our previously described model of IRB.1,2 Specifically, rats were anesthetized with a mixture of ketamine (75 mg/kg) and xylazine (5 mg/kg) injected intraperitoneally (ip) and tracheostomized with a tracheal cannula (14 G). After a short stabilization period (~10 minutes), a two-way nonrebreathing valve (Hans Rudolph Inc., Shawnee, KS, USA) was connected to the cannula. A pressure transducer (DirecWin; Raytech Instruments Inc., Vancouver, BC, Canada) connected to the cannula was used to monitor tracheal pressure, and maximal inspiratory or expiratory pressure  $(P_{i,max} \text{ and } P_{e,max})$  was measured during spontaneous breathing efforts through a totally occluded inspiratory or expiratory port, respectively, for ~10 seconds. In order to determine resistive loads, either the inspiratory or the expiratory port or both were then connected to a smaller tube (resistance), the diameter and length of which was selected so as to provide a peak tidal inspiratory  $(P_i)$  and/or expiratory pressure  $(P_e)$  at a predetermined percentage of  $P_{i,max}$  and/or  $P_{e,max}$  (IRB, ERB, or combined IRB and ERB).

Animals were randomly assigned to the following groups and subgroups:

- IRB group, consisting of animals expiring freely but inspiring through one of the three inspiratory loads of different magnitudes (*P<sub>i</sub>/P<sub>i,max</sub>* =20% [IRB 20%], *P<sub>i</sub>/P<sub>i,max</sub>* =30% [IRB 30%], and *P<sub>i</sub>/P<sub>i,max</sub>* =40% [IRB 40%]);
- 2. ERB group, consisting of animals inspiring against no load but expiring through one of the four different loads

 $(P_e/P_{e,max} = 40\% \text{ [ERB 40\%]}, P_e/P_{e,max} = 50\% \text{ [ERB 50\%]}, P_e/P_{e,max} = 60\% \text{ [ERB 60\%]}, \text{ and } P_e/P_{e,max} = 70\% \text{ [ERB 70\%]}; \text{ and}$ 

3. CRB group, consisting of animals inspiring and expiring against different resistive loads  $(P_i/P_{i,max} = 30\%)$  and  $P_e/P_{e,max} = 50\%$  [CRB 30%/50%],  $P_i/P_{i,max} = 40\%$  and  $P_e/P_{e,max} = 50\%$  [CRB 40%/50%], and  $P_i/P_{i,max} = 40\%$  and  $P_e/P_{e,max} = 60\%$  [CRB 40%/60%]).

The duration of resistive breathing was 6 hours. Animals breathing spontaneously against no load for equal time served as controls (ctrs). A total of 100% oxygen supply was delivered to all subjects throughout the experiment to prevent hypoxemia. Supplemental doses of ketamine (30 mg/kg ip), without xylazine, were administered during the procedure, in order to maintain adequately deep anesthesia, as estimated by pedal reflex testing.

## Respiratory system mechanics

The mechanical properties of the respiratory system were determined with the use of a small animal ventilator (Scireg; FlexiVent, Montreal, QC, Canada). Following the completion of resistive breathing, the resistance(s) was removed, and animals were further ip anesthetized with a mixture of ketamine (75 mg/kg) and xylazine (10 mg/kg) and attached to the ventilator. They received a volume ctr ventilation mode with the ventilatory parameters set to 10 mL/kg tidal volume, 90 breaths/min respiratory rate and 3 cmH<sub>2</sub>O end-expiratory pressure. After 3 minutes, an ip injection of succinylcholine (8 mg/kg) was delivered to suspend spontaneous breathing, and 1 minute later, three consecutive forced oscillation perturbations with a 30-second interval were performed, followed by the construction of a static pressure - volume curve 30 seconds after the last forced oscillation. Prior to measurements (30 seconds), lung volume history was once standardized by occluding the expiratory line of the ventilator until the airway opening pressure reached 30 cmH<sub>2</sub>O. During ventilation, heart rate was monitored to ensure adequate depth of anesthesia. Repeated measures of mechanical parameters of each subject were averaged.

# Forced oscillation technique

The forced oscillation perturbation consists of a pseudorandom waveform of low frequencies (0.5–19.75 Hz) applied for 8 seconds with a peak-to-peak volume of 3 mL/kg. Pressure and volume data are recorded, and the impedance of the respiratory system is calculated using the fast Fourier transformation. Impedance (*Z*) is then fitted to constant phase model:  $Zrs(f) = Rn + i2\pi fI + (G - iH)/(2\pi f)^{\alpha}$ , where Rn is the Newtonian resistance of the airways, *i* is the imaginary unit, *f* is the frequency, *I* is the inertance of the gas in the airways, *G* represents tissue viscance (viscous dissipitation of energy), *H* represents tissue elasticity, and  $\alpha$  can be calculated through the equation  $\alpha = (2/\pi) \arctan(H/G)$ .<sup>9</sup> Data were accepted only when the coefficient of determination (fit of the model) was >0.9.

# Static pressure-volume curves

Static pressure–volume (P–V) curves of the respiratory system were performed by gradually inflating and deflating the lungs with a total volume of 4.8 mL at seven steps each. Analysis of the static P–V curve was performed by identifying the lower and upper inflection points, and the static compliance (Cst) of the respiratory system was estimated by the slope of the mid linear part in the expiratory limb of the P–Vcurve, as previously described.<sup>2</sup> Hysteresis was automatically calculated by the FlexiVent software.

# Bronchoalveolar lavage

Following lung mechanics measurement, animals were detached from the ventilator and sacrificed by exsanguination (inferior vena cava and descending aorta dissection). The left main bronchus was temporarily ligated, and the right lung was lavaged with three aliquots of 2.5 mL of normal saline. Bronchoalveolar lavage (BAL) fluid withdrawn was immediately centrifuged at  $300 \times g$  for 10 minutes at 4°C. The supernatant was collected and stored at  $-80^{\circ}$ C, while the cell pellet was resuspended in 1 mL of normal saline.

# Total and differential cell counts

Total cell counts in BAL fluid (BALF) were determined following Trypan blue dye staining on a Neubauer chamber. Aliquots ( $4 \times 10^4$  cells) were cytocentrifuged (450 rpm for 6 minutes), and the cytospins were stained with May-Grunwald/ Giemsa. Percentages of monocytes/macrophages, neutrophils, and lymphocytes were determined after counting at least 300 cells per slide. Eosinophil and basophil counts were negligible and omitted from the differential cell analysis.

# Total protein concentration in BALF

Total protein concentration in BALF was measured using a colorimetric protein assay according to the manufacturer's instructions (Bio-Rad Laboratories Inc., Hercules, CA, USA). Bovine serum albumin was used to create standard curves.

# Lung histology

Following BAL, the right main bronchus was also ligated, and the right lung was excised, immediately immersed in

liquid nitrogen, and stored at  $-80^{\circ}$ C. Left main bronchus ligation was then removed, and the left lung was fixed with 4% formaldehyde under a constant pressure of 20 cmH<sub>2</sub>O before excision and storage in formaldehyde. After 24 hours, the lung tissue was embedded in paraffin using conventional techniques, and paraffin blocks were cut into serial 5 µm sections, which were then stained with hematoxylin and eosin. A modified previously used lung injury score<sup>2</sup> was determined based on the following histological features: 1) focal alveolar membrane thickening, 2) capillary congestion, 3) intraalveolar hemorrhage, 4) intraalveolar neutrophil infiltration, and 5) interstitial neutrophil infiltration. Each feature was ranked 0 to 3 depending on its absence (0) or presence to a mild (1), moderate (2), or severe (3) extent.

# Lung myeloperoxidase assay

Myeloperoxidase (MPO) activity was measured in frozen lung tissue sections, as previously described.<sup>2</sup> Briefly, lung sections were weighted and homogenized in a buffer containing 50 mM potassium phosphate (pH 6.0), 5 mM EDTA, and 0.5% hexadecyltrimethylammonium bromide. After incubation at 60°C for 2 hours, the homogenates were centrifuged at 12,000× g for 20 minutes at 4°C. The supernatant was collected and mixed (1:80) with buffer containing 0.0005% H<sub>2</sub>O<sub>2</sub>, 0.167 mg/mL *o*-dianisidine hydrochloride, and 100 mM potassium phosphate. Absorbance was measured at 460 nm every 20 seconds for 3 minutes, and results were expressed as difference in optical density in the first minute per gram of lung tissue ( $\Delta$ OD/min/g).

# Cytokine levels in lung tissue

Frozen lung tissue sections were homogenized with buffer containing 50 mM hepes (pH 7.5), 150 nM NaCl, 10% glycerol, 1% Triton X-100, 1 mM EDTA, 1.5 mM MgCl<sub>2</sub>, and a cocktail of protease and phosphatase inhibitors at a 1:1,000 concentration. The samples were then centrifuged at 10,000×g for 10 minutes. The supernatant was collected, and total protein concentration was estimated using a colorimetric protein assay according to the manufacturer's instructions. Protein levels of interleukin (IL)-1 $\beta$  and IL-6 were determined in lung tissue homogenates using ELISA, according to the manufacturer's protocol (DuoSet ELISA; R&D Systems, Inc., Mineapolis, MN, USA) and normalized to total protein content of lung homogenates.

# Statistical analysis

Results are presented as mean  $\pm$  standard error of the mean (SEM). Five to ten animals were studied in each group. Statistical analysis was performed by one-way analysis of

variance (ANOVA) and Newman–Keuls post hoc test (Statistica software; StatSoft, Tulsa, OK, USA). Data for histology were ordinal and were analyzed with the nonparametric Kruskal–Wallis ANOVA. Mann–Whitney *U*-test was used for post hoc analysis. A *P*-value of <0.05 was chosen as statistically significant.

# Results

## Inspiratory resistive breathing Respiratory system mechanics

In accordance with previous reports of our group,<sup>2</sup> IRB was found to derange respiratory system mechanics. Both IRB 30% and IRB 40% produced significant increases in lung tissue elasticity (*H*), measured by the forced oscillation technique, compared to ctr (P<0.05 and P<0.001, respectively) (Figure 1A) and decreases in Cst as calculated using the static P-V curves of the respiratory system (P<0.01 and P<0.001 to ctr, respectively) (Figure 1B), the latter being shifted rightward and downward indicating the presence of lung injury (Figure 1C). Interestingly, the changes in tissue elasticity and Cst were load dependent.

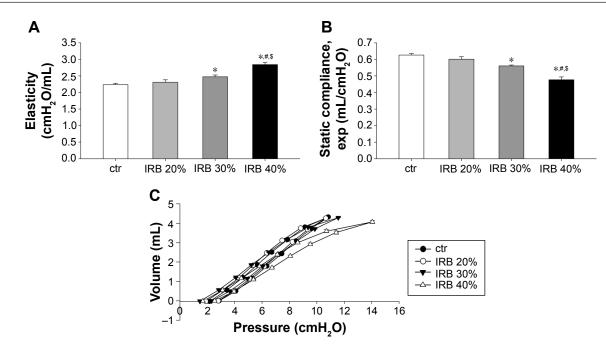
IRB did not alter tissue viscance (*G*) (ANOVA, *F*=0.46, *P*=0.71; n=7–8 per group). As a result, hysteresivity (ie, the *G*-to-*H* ratio) was reduced, and the reduction reached statistical significance only in the IRB 40% group (ctr 0.22±0.01, IRB 30% 0.19±0.01, *P*=0.13 to ctr; IRB 40% 0.18±0.01, *P*<0.05 to ctr; n=7–8 per group). IRB did not significantly affect airway resistance (*F*=1.48, *P*=0.24; n=7–8 per group) or hysteresis of the *P*–*V* loop (*F*=1.54, *P*=0.23; n=6–8 per group). It should be emphasized that the lower inspiratory load of 20% did not induce significant mechanical derangements.

# Inflammatory cells in BALF

IRB increased total BALF cellularity in a load-dependent manner (IRB 30%, P<0.01 to ctr; IRB 40%, P<0.001 to ctr; IRB 20%, P<0.05 to IRB 30%) (Figure 2A). Both macrophages and neutrophils were increased after IRB 40% compared to the ctr group. IRB 30% also resulted in BALF neutrophilia. The lower load of IRB 20% did not induce significant inflammatory changes in BALF cellularity.

#### Lung MPO activity

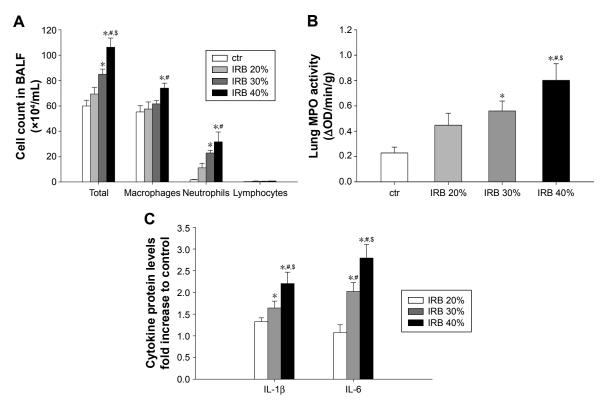
MPO activity in lung homogenates, an indicator of neutrophilic infiltration, was in-line with the results of BALF analysis. MPO activity increased in both IRB 30% and IRB 40% but not in IRB 20% compared to ctrs (IRB 30%, P<0.05 to ctr; IRB 40%, P<0.01 to ctr and P<0.05 to IRB 20%) (Figure 2B).



#### Figure I IRB deranged respiratory system mechanics.

Notes: IRB load dependently increased lung elasticity, as measured by the force oscillation technique (A), and decreased static compliance of the respiratory system, calculated at the expiratory part of the pressure-volume curve (B), compared to ctr. White column, ctr; light gray column, IRB 20%; dark gray column, IRB 30%; black column, IRB 40%. (C) Likewise, a right and downward shift of the static pressure-volume curve of the respiratory system was noticed, as a result of IRB, suggestive of the occurrence of acute lung injury. Data are presented as mean  $\pm$  SEM. \*P<0.05 to ctr; #P<0.05 to IRB 20%; \$P<0.05 to IRB 30%; n=7-8 per group.

Abbreviations: ctr, control; IRB, inspiratory resistive breathing; SEM, standard error of the mean.



#### Figure 2 IRB caused lung inflammation.

Notes: (A) IRB load dependently increased BALF cellularity compared to ctr. Differential cell counting revealed significantly increased macrophages, when the major load of 40% was applied. Neutrophils were elevated both at the major and intermediate loads of 40% and 30%. Lymphocytic infiltration was negligible. (B) Consistently, MPO activity measurement showed neutrophilic infiltration, as a result of IRB. White column, ctr; light gray column, IRB 20%; dark gray column, IRB 30%; black column, IRB 40%. Data are presented as mean ± SEM. Total count, n=5–10 per group; differential count, n=5–8 per group; MPO, n=6–7 per group. (C) IL-1β and IL-6 protein levels in lung tissue were load dependently increased following IRB 30% and IRB 40%. White column, IRB 20%; gray column, IRB 30%; black column, IRB 40%. Data are presented as mean ± SEM fold increase relative to ctr. n=5-8 per group. \*P<0.05 to ctr; #P<0.05 to IRB 20%; \$P<0.05 to IRB 30%.

Abbreviations: BALF, bronchoalveolar lavage fluid; ctr, control; IL, interleukin; IRB, inspiratory resistive breathing; MPO, myeloperoxidase; OD, optical density; SEM, standard error of the mean.

#### Lung tissue cytokine levels

Lung tissue IL-1 $\beta$  and IL-6 levels were load dependently elevated after both IRB 30% and IRB 40% (Figure 2C). The lower inspiratory load of 20% did not produce statistically significant increases in cytokines compared to the ctr group.

#### Total protein concentration in BALF

Total protein levels in BALF, an index of alveolar capillary barrier permeability, increased after IRB 30% and IRB 40% but not after IRB 20% (IRB 20%, P = ns to ctr; IRB 30%, P < 0.01 to ctr and P < 0.05 to IRB 20%; IRB 40%, P < 0.001 to ctr and IRB 20% and P < 0.05 to IRB 30%) (Figure 3A).

#### Lung histology

Microscopic examination of hematoxylin–eosin-stained lung sections revealed the presence of ALI in IRB. More precisely, both IRB 30% and IRB 40% led to a significant total lung injury score increase compared to ctr and IRB 20% groups (Figures 3B and 10). This was mainly due to the result of neutrophil infiltration, both interstitial and intraalveolar (Table 1). Significant capillary congestion and focal thickening of the alveolar–capillary barrier compared to ctrs were also observed in the IRB 30% and IRB 40% groups, respectively (Table 1). Histological alterations were insignificant compared to unloaded animals in the IRB 20% group.

# Expiratory resistive breathing

#### Respiratory system mechanics

ERB alone did not provoke any significant changes in the mechanical properties of the respiratory system (F=1.96,

P=0.11 in H; F=0.48, P=0.79 in Cst), at the magnitude and duration applied (Figure 4).

#### Inflammatory cells in BALF

While total cell count was not significantly increased in any of the ERB groups (F=0.85, P=0.52), all of them were characterized by pronounced BALF neutrophilia (P<0.01to ctr for all studied groups) (Figure 5A). The effect was not load dependent. Interestingly, neutrophilia was accompanied by a significant decrease in macrophage count in all ERB groups.

#### Lung MPO activity

In consistence with the measurements in BALF, MPO activity in all ERB groups significantly exceeded that of ctrs (Figure 5B). Again, the result was not load dependent.

#### Lung tissue cytokine levels

The lower expiratory resistances of 40% and 50% used in our study significantly increased IL-1 $\beta$  protein levels but not IL-6 levels in lung tissue compared to ctrs (IL-1 $\beta$ , *P*<0.05 to ctr for both groups) (Figure 5C). Instead, both IL-1 $\beta$  and IL-6 were markedly upregulated (compared to ctr as well as to lower resistances), when higher expiratory loads of 60% and 70% were used (*P*<0.001 to ctr for both cytokines and loads).

#### Total protein concentration in BALF

ERB failed to increase BALF total protein content, with the exception of the higher resistance of 70% (Figure 6A).

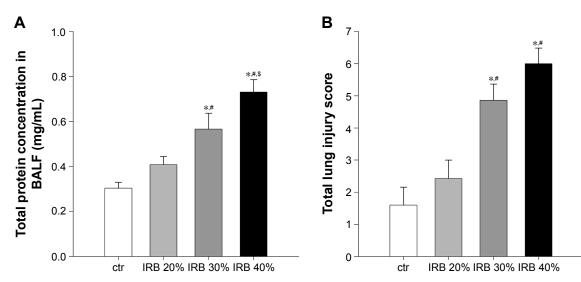


Figure 3 IRB increased lung permeability and induced lung injury.

Notes: (A) IRB increased alveolar capillary barrier permeability compared to unloaded breathing, as assessed by total protein concentration in BALF. The effect was load dependent. (B) Total lung injury score was increased after IRB 30% and IRB 40% compared to ctr and lower inspiratory loading of 20%. White column, ctr; light gray column, IRB 20%; dark gray column, IRB 30%; black column, IRB 40%. Data are presented as mean  $\pm$  SEM. \*P<0.05 to ctr; #P<0.05 to IRB 20%; \$P<0.05 to IRB 30%. BALF protein, n=6-12 per group; histology n=7-10 per group.

Abbreviations: BALF, bronchoalveolar lavage fluid; ctr, control; IRB, inspiratory resistive breathing; SEM, standard error of the mean.

	IRB			ERB				CRB		
	20%	30%	40%	40%	50%	%09	70%	30%/50%	40%/50%	40%/60%
Focal thickness 0.4 (0.16)	0.43 (0.20)	I (0.22)	1.14* (0.14)	0.43 (0.20)	0.38 (0.18)	0.63 (0.18)	0.86 (0.34)	I (0.22)	1* (0.0)	1.3* (0.26)
Capillary congestion 0.6 (0.22)	0.86 (0.34)	1.57* (0.20)	1.29 (0.18)	1 (0.0)	1.75*† (0.16)	1.75*† (0.16)	1.71*† (0.18)	1.29 (0.18)	I.43* (0.20)	1.5* (0.17)
Hemorrhage 0.2 (0.13)	0.29 (0.18)	0.29 (0.18)	0.43 (0.30)	0.14 (0.14)	0.25 (0.16)	0.25 (0.16)	0.29 (0.18)	0.29 (0.18)	0.43 (0.20)	I*⁺ (0.15)
Interstitial neutrophils 0.3 (0.15)	0.57 (0.20)	1.29* (0.18)	1.71*† (0.18)	1.29* (0.18)	1.5* (0.19)	1.63* (0.18)	1.86* (0.14)	I.43* (0.20)	2* (0.22)	2.5*† (0.17)
Intraalveolar neutrophils 0.1 (0.1)	0.29 (0.18)	0.71* (0.18)	I.43*† (0.20)	0.86* (0.14)	0.75* (0.16)	0.75* (0.16)	1* (0.0)	I* (0.22)	I.43* (0.20)	I.8*† (0.2)
Total lung injury score 1.6 (0.56)	2.43 (0.57)	4.86*† (0.51)	6*† (0.49)	3.71* (0.52)	4.63* (0.50)	5* (0.53)	5.71*† (0.36)	5* (0.58)	6.29* (0.57)	8.1*† (0.67)

#### Lung histology

Total lung injury score was significantly elevated in all ERB groups compared to ctrs (Figures 6B and 10). More specifically, expiratory loading resulted in histologically prominent interstitial and intraalveolar neutrophilia, further supporting data from BAL and MPO activity analyses (Table 1). Furthermore, significant capillary congestion was observed in intermediate and higher expiratory loads compared to unloaded breathing and contributed to total score elevation in these groups. Focal alveolar membrane thickening and intraalveolar hemorrhage did not differ significantly compared to ctrs.

# Combined inspiratory and expiratory resistive breathing

#### Respiratory system mechanics

CRB caused mechanical alterations similar to those observed in the IRB groups. Once again, the effect was load dependent. While CRB 30%/50% did not result in significant changes in elasticity and Cst, CRB 40%/50% and CRB 40%/60% increased tissue elasticity (CRB 40%/50%, P<0.05 to ctr; CRB 40%/60% P<0.001 to ctr and P<0.01 to CRB 30%/50%) (Figure 7A), decreased static Cst (CRB 40%/50%, P<0.05 to ctr; CRB 40%/60% P<0.001 to ctr, CRB 30%/50%, and CRB 40%/50%) (Figure 7B) and produced a downward shift to the P–V loop (Figure 7C). G was significantly elevated only in the CRB 40%/50% group (P<0.01 to ctr), and hysteresis increased only after CRB 40%/60% (P<0.01 to ctr and CRB 30%/50%). No statistical significant alterations in hysteresivity (F=2.21, P=0.11) or airway resistance (F=0.86, P=0.48) were noticed after CRB.

#### Inflammatory cells in BALF

CRB exhibited a marked load-dependent augmentative effect on BALF cellularity. CRB 40%/60% produced an ~2.3-fold increase in total cell count relative to the ctr value (P<0.001), by raising macrophage (P<0.05) and mostly neutrophil count compared to ctr (P<0.001) (Figure 8A). A less pronounced, though significant, total cell and neutrophil count increase was manifested, when CRB 40%/50% was used (P<0.01 and P<0.001 to ctr, respectively). CRB 30%/50% was incapable of significantly increasing total cellularity. It did, however, result in significant BALF neutrophilia compared to ctr.

#### Lung MPO activity

CRB load dependently increased MPO activity in lung tissue compared to the ctr group (CRB 30%/50%, P<0.05 to ctr; CRB 40%/50%, P<0.01 to ctr; CRB 40%/60%, P<0.001 to ctr and CRB 30%/50% and P<0.01 to CRB 40%/50%)

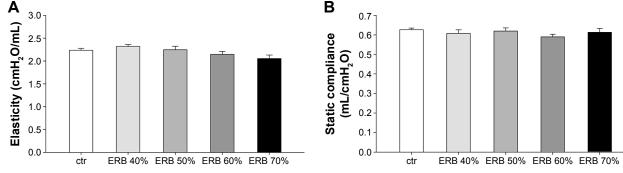


Figure 4 ERB did not affect lung mechanics.

Notes: ERB did not significantly alter lung tissue elasticity (A) and static compliance of the respiratory system (B). White column, ctr; light gray column, ERB 40%; gray column, ERB 50%; dark gray column, ERB 60%; black column, ERB 70%. Data are presented as mean ± SEM fold increase relative to ctr. n=6-8 per group. Abbreviations: ctr, control; ERB, expiratory resistive breathing; SEM, standard error of the mean.

(Figure 8B), a finding in accordance with the results of BALF analysis.

in the intermediate and higher combined loading groups of 40%/50% (P<0.05 to ctr) and 40%/60% (P<0.001 to ctr).

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#### Lung tissue cytokine levels

CRB resulted in the elevation of load-independent lung IL-1 $\beta$ protein levels compared to the ctr group (Figure 8C). In contrast, IL-6 protein levels in lung tissue were increased only

#### Total protein concentration in BALF

Both CRB 40%/60% and CRB 40%/50% raised total protein concentration in BALF compared to ctrs (P < 0.05 and P < 0.001 to ctr, respectively) (Figure 9A), consistent with the

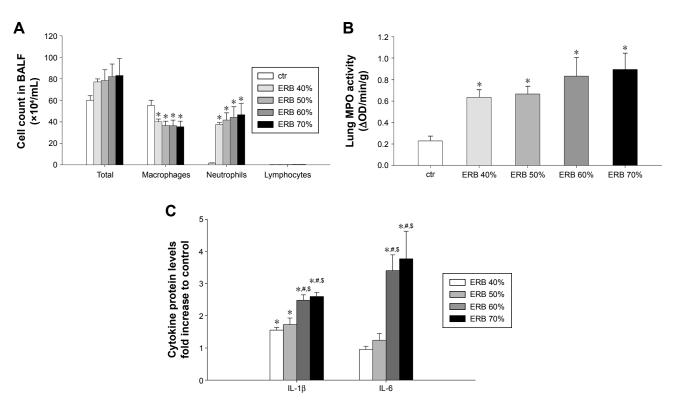


Figure 5 ERB produced neutrophilic lung inflammation.

Notes: (A) ERB led to prominent BALF neutrophilia, irrespective of the applied load. Of notice, ERB was also associated with significantly decreased macrophage count compared to ctr. (B) Lung MPO activity measurement confirmed an increased neutrophilic infiltration in the ERB groups compared to ctr. White column, ctr; light gray column, ERB 40%; gray column, ERB 50%; dark gray column, ERB 60%; black column, ERB 70%. Data are presented as mean ± SEM. Total count, n=7–8 per group Differential count, n=5-8 per group; MPO, n=5-7 per group. (C) Lung IL-1 p protein levels were significantly and load dependently elevated compared to ctr in all ERB groups, whereas only higher expiratory loads of 60% and 70% significantly increased lung IL-6 protein levels compared to ctr and lower loads. White column, ctr; light gray column, ERB 40%; gray column, ERB 50%; dark gray column, ERB 60%; black column, ERB 70%. Data are presented as mean ± SEM fold increase relative to ctr. n=5-8 per group. \*P<0.05 to ctr; #P<0.05 to ERB 40%; \$P<0.05 to ERB 50%.

Abbreviations: BALF, bronchoalveolar lavage fluid; ctr, control; ERB, expiratory resistive breathing; IL, interleukin; MPO, myeloperoxidase: OD, optical density; SEM, standard error of the mean

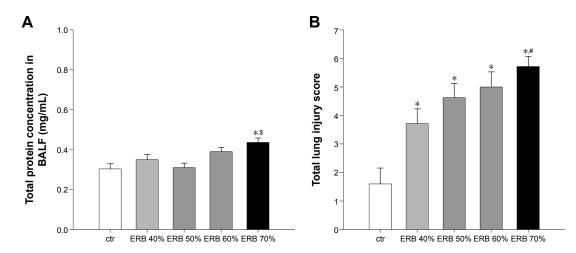


Figure 6 ERB effect on lung permeability and histology.

**Notes:** (**A**) Total protein content in BALF was significantly increased only in response to the major expiratory load of 70% compared to ctr. (**B**) Total lung injury score was significantly increased in all ERB groups compared to ctr. White column, ctr; light gray column, ERB 40%; gray column, ERB 50%; dark gray column, ERB 60%; black column, ERB 70%. Data are presented as mean  $\pm$  SEM. \*P<0.05 to ctr; "P<0.05 to ERB 40%;  $^{5}P<0.05$  to ERB 50%. BALF protein, n=5–12 per group; histology, n=7–10 per group. **Abbreviations:** BALF, bronchoalveolar lavage fluid; ctr, control; ERB, expiratory resistive breathing; SEM, standard error of the mean.

presence of an increased lung permeability. The effect was load dependent (CRB 40%/60%, P<0.001 to CRB 30%/50% and P<0.05 to CRB 40%/50%) and not observed when CRB 30%/50% was used.

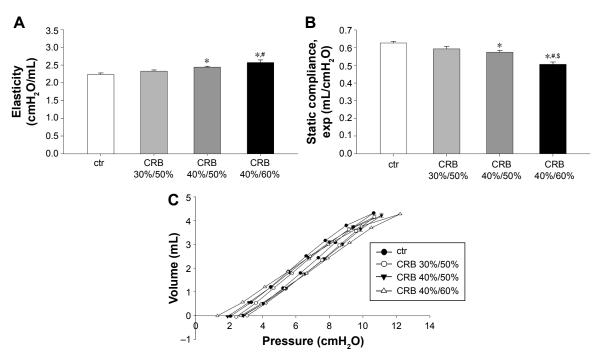
In CRB 30%/50%, this was mainly the result of inflammatory infiltration (Table 1). In CRB 40%/50% and CRB 40%/60%, most histological indices of ALI were prominent, including intraalveolar hemorrhage in the CRB 40%/60% group.

#### Lung histology

All CRB groups caused parenchymal derangement, as assessed by total lung injury score (Figures 9B and 10).

#### Discussion

The main findings of our study are as follows: 1) CRB increases lung elasticity and alveolar capillary barrier permeability and



#### Figure 7 CRB modified lung mechanical parameters.

**Notes:** Increased lung elasticity (**A**), decreased static compliance (**B**), and downward shifted P-V curve (**C**) were witnessed in the CRB groups of 40%/50% and 40%/60% compared to ctr; alterations consistent with the presence of high-permeability pulmonary edema. White column, ctr; light gray column, CRB 30%/50%; dark gray column, CRB 40%/50%; black column, CRB 40%/60%. Data are presented as mean  $\pm$  SEM. \*P<0.05 to ctr; "P<0.05 to CRB 30%/50%;  $^{\text{sp}}$ <0.05 to CRB 40%/60%. n=6–8 per group. **Abbreviations:** ctr, control; CRB, combined resistive breathing; P-V, pressure–volume; SEM, standard error of the mean.

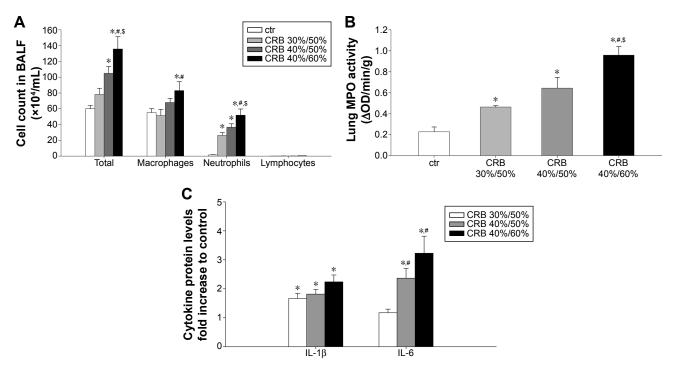
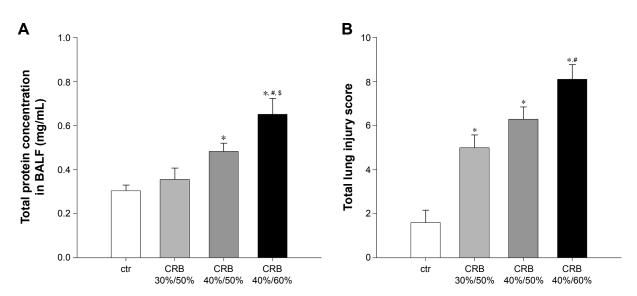


Figure 8 CRB provoked lung inflammation.

**Notes:** (**A**) CRB led to prominent total BALF cellularity increase compared to ctr, when the intermediate and major loads of 40%/50% and 40%/60% were applied. Macrophage and lymphocyte counts were significantly elevated only in the CRB 40%/60% group, while considerable neutrophilia was present in response to all loads. (**B**) Similarly, measured MPO activity in lung tissue was load dependently elevated compared to ctr. White column, ctr; light gray column, CRB 30%/50%; dark gray column, CRB 40%/50%; black column, CRB 40%/60%. Data are presented as mean  $\pm$  SEM. Total count, n=5–10 per group; differential count, n=5–8 per group; MPO, n=6–7 per group. (**C**) All CRB groups, independent of loading magnitude, produced significant lung IL-1 $\beta$  protein levels augmentation compared to ctr. Increased IL-6 protein levels in lung tissue were witnessed only when intermediate and higher combined loads of 40%/50% and 40%/60% were applied. White column, CRB 30%/50%; gray column, CRB 40%/50%; black column, CRB 40%/60%. Data are presented as mean  $\pm$  SEM fold increase relative to ctr. n=5–10 per group. \**P*<0.05 to CRB 30%/50%; gray column, CRB 30%/50%; the column, CRB 40%/60%.

Abbreviations: BALF, bronchoalveolar lavage fluid; ctr, control; CRB, combined resistive breathing; IL, interleukin; MPO, myeloperoxidase; OD, optical density; SEM, standard error of the mean.





**Notes:** (A) Total protein content in BALF calculation revealed load-dependent lung barrier permeability augmentation after CRB of 40%/50% and 40%/60% compared to ctr. (B) ALI indicative histological alterations, as assessed by total lung injury score, were found in all CRB groups but were more pronounced when the highest load of 40%/60% was applied. White column, ctr; light gray column, CRB 30%/50%; dark gray column, CRB 40%/50%; black column, CRB 40%/60%. Data are presented as mean  $\pm$  SEM. \**P*<0.05 to ctr; #*P*<0.05 to CRB 30%/50%; \$P<0.05 to CRB 40%/60%. BALF protein, n=5–12 per group; histology, n=7–10 per group.

Abbreviations: ALI, acute lung injury; BALF, bronchoalveolar lavage fluid; ctr, control; CRB, combined resistive breathing; SEM, standard error of the mean.

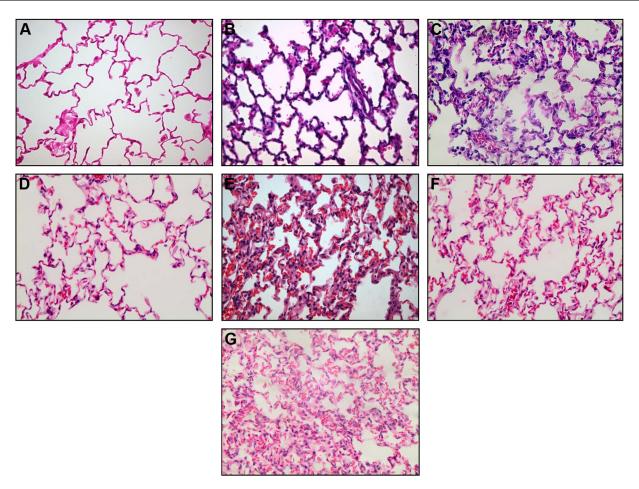


Figure 10 Representative histological sections (magnification  $\times$ 400) from control (A), IRB 30% (B) and IRB 40% (C), ERB 40% (D) and ERB 70% (E), and CRB 30%/50% (F) and CRB 40%/60% (G) groups. Neutrophilic infiltration, capillary congestion, and focal thickening of the alveolar membrane are evident in lower resistive loads but are significantly more pronounced in the highest load of each group, especially CRB 40%/60%.

Abbreviations: CRB, combined resistive breathing; ERB, expiratory resistive breathing; IRB, inspiratory resistive breathing.

provokes lung inflammation and injury; 2) ERB alone is a putative inflammatory stimulus per se; and 3) the injurious effect of resistive breathing on the lung is load dependent.

In line with our previous report wherein we had used an inspiratory resistance of 50% of maximum,<sup>2</sup> we found that lower inspiratory resistances of 30% and 40% but not 20% also induce mechanical, inflammatory, and permeability alterations consistent with the presence of ALI. IRB increased lung elasticity and produced (mainly) a neutrophilic lung inflammatory process, accompanied by IL-1 $\beta$  and IL-6 upregulations. BALF protein content increased and histological analysis revealed, apart from neutrophilic infliltration, capillary congestion, and focal thickening of the alveolar wall, which are well-known features of ALI.<sup>10</sup>

In IRB, airway obstruction leads to strenuous diaphragmatic contractions producing high negative pleural pressure swings during tidal breathing. Transmission of an increased negative pleural pressure causes significant alveolar and interstitial pressure reduction.<sup>11</sup> Simultaneously, high negative intrathoracic pressure augments both venous return to the right ventricle and left ventricle afterload,<sup>12</sup> thus increasing the pulmonary capillary pressure.<sup>13</sup> As a result, increased capillary transmural pressure (ie, the difference between interstitial and capillary pressures) develops. The latter constitutes one of the principal mechanical forces acting on the blood–gas barrier and, when excessively elevated, may interfere with its integrity leading to stress failure with subsequent pulmonary edema and extravasation of inflammatory cells.<sup>14</sup>

Lung resident cells are able to sense mechanical forces and produce inflammatory responses mediated by intracellular signaling cascades activation, termed mechanotransduction.<sup>15-17</sup> Indeed, mechanical stretch has been shown to induce proinflammatory cytokine release by both alveolar epithelial cells<sup>18</sup> and alveolar macrophages<sup>19</sup> in vitro. Similar cytokine and chemokine upregulations as well as nuclear factor- $\kappa$ B activation were observed when isolated perfused mice lungs were ventilated with an increased inspiratory pressure.<sup>20,21</sup> Thus, the large negative intrathoracic pressures during IRB may account for the injurious effect of IRB, and the relative contribution of mechanotransduction to the observed inflammation could be larger in the case of lower inspiratory resistances that do not cause significant stress failure.

All IRB-induced alterations were load dependent, being less pronounced than those previously reported by our group with the use of a greater inspiratory resistance of 50% max (IRB 50%)<sup>2</sup> than the one we used in the current study (IRB 40%). IRB 30% was identified as the lowest inspiratory resistance capable of producing significant derangements within the time frame (6 hours) used.

Load dependence has been described in previous studies examining the effects of mechanical stretch. In vitro magnitude-dependent cyclic stretch-induced cytokine and chemokine upregulations<sup>22,23</sup> and oxidative stress generation<sup>24</sup> were reported in bronchial and alveolar epithelial cells and macrophages. In vivo in a model of VILI in previously healthy animals, lung permeability increments occurred only when a cutoff tidal volume was reached.<sup>7,8</sup>

As noticed in IRB, CRB also provoked ALI and inflammation in the healthy rat lung. Our results are in agreement with those reported by our group for another animal model of CRB, via tracheal banding,<sup>25</sup> in which mice were forced to breathe against a tracheal constriction-stenosis at half the initial surface area, accomplished by suturing a nylon band around their trachea. Although applied in a different species and for a different time period (24 hours in the mouse model vs 6 hours in the rat model), tracheal banding also produced mechanical, inflammatory, and histological indices of ALI, supporting the results of this study.

Interestingly, the addition of expiratory resistance differentially and load dependently influenced the diverse injurious alterations encountered during IRB alone. More specifically, all studied CRB groups load dependently increased BALF neutrophils and MPO activity similarly to the corresponding IRB groups. Remarkably, CRB 40%/60% led to markedly increased total BALF cellularity and prominent neutrophilia, unequivocally exceeding those caused by IRB 40% alone. Further supportive evidence for this observation was provided by histological analysis. The CRB 40%/60% group exhibited significantly more severe injury than the IRB 40% group, mainly attributable to interstitial neutrophili infiltration. The addition of an expiratory resistance attenuated the elasticity increase produced by IRB alone. In the CRB 30%/50% group, elasticity and static Cst were practically unchangeable compared to ctrs. CRB 40%/50% and 40%/60% resulted in mechanical derangements, but to a lesser extent than the same inspiratory resistance alone (IRB 40%). Interestingly, the larger the expiratory resistance applied for the same inspiratory resistance, the worse the mechanical impairment was, as clearly witnessed by static Cst as well as hysteresis measurements. Identical protein content alterations were noted in BALF extracted by the CRB groups. Once again CRB 30%/50% did not significantly affect permeability, whereas BALF protein was found elevated in CRB 40%/50% and, even more, in CRB 40%/60%, approximating the levels encountered in IRB 40%.

Although not specifically measured in our experiments, it should be expected that raising expiratory resistance increased expiratory time constants (ie, the product of Cst and resistance) leading to incomplete lung emptying and, therefore, end-expiratory lung volume elevation and PEEPi generation, in other words dynamic hyperinflation.<sup>26,27</sup> The effects of PEEP on lung injury are ambiguous. The protective effect of PEEP on injury encountered in animal models of mechanical stress-induced lung injury, such as VILI, is well documented<sup>28–32</sup> and is attributed to the prevention of edema formation and shear stress, induced by cyclic recruitment and de-recruitment of lung units.<sup>33–36</sup> In our model of CRB, PEEPi generation as a result of expiratory resistance addition could offset the effects of large negative intrathoracic pressures created as a result of inspiratory resistance. In this context, pulmonary edema resulting from upper airway obstruction occasionally ensues only after the removal of the obstruction, and this is supposed to occur due to the disruption of the preexisting balance between the opposing effects of simultaneous inspiratory and expiratory occlusions.<sup>37</sup>

On the other hand, it has also been shown that excessive PEEP and hyperinflation could have deleterious influence on lung structure and inflammatory status in the context of VILI, conceivably as a result of lung unit overdistention and concomitant alveolar wall stress failure and/or mechanotransduction stimulation. Dreyfuss and Saumon<sup>38</sup> found increased lung permeability, despite normal tidal volume ventilation, when a large amount of PEEP was applied to rats. More recently, in mice subjected to noninjurious ventilation after hydrochloric acid inspiration, Thammanomai et al<sup>39</sup> reported increased epithelial cell injury, as inferred by the appearance of soluble fragments of E-cadherin in BALF, when PEEP was doubled. A hypothetical causal relationship between dynamic hyperinflation and pulmonary inflammation has been proposed,<sup>3</sup> mainly attributable to the proinflammatory potential of cell stretch resulting from hyperinflation. Indeed, in vitro excessive cyclic stretch of pulmonary endothelial cells has been shown to induce alterations promoting increased endothelial barrier permeability.<sup>40</sup> Collectively, these findings could partially explain the ambiguous effects of our CRB model, attenuating lung permeability and elasticity increments compared to IRB alone, on one hand, and aggravating neutrophilic inflammation, on the other hand.

The possible proinflammatory action of hyperinflation caused by increased expiratory resistance may also account for our observation that ERB caused significant BALF neutrophilia, verified by concomitant MPO activity augmentation in lung tissue, IL-1 $\beta$  and Il-6 upregulations, and significant neutrophil infiltration in histological analysis. Interestingly, neutrophil count elevation in ERB BALF was accompanied by macrophage reduction in a load-independent manner. This is in-line with previous observations of intraalveolar macrophage depletion in in vivo and ex vivo models of VILI.<sup>41-43</sup> It has been postulated that relocation of alveolar macrophages into the interstitium is the most likely explanation.<sup>43</sup> Although reduced in count, macrophages have been shown to contribute to VILI pathogenesis.<sup>41</sup> The observed lack of load dependency and the inability to determine a lower cutoff expiratory load for inflammation induction could partly be attributed to the relatively high loads used ( $\geq 40\%$ ).

In contrast to the devastating effects of IRB and CRB, ERB did not provoke alterations in lung mechanics. Increased BALF protein content was noticed in ERB only when the highest resistance of 70% was applied, indicating a smaller effect of ERB on lung permeability. This may reflect a potential protective effect of PEEPi on the formation of pulmonary edema and lung injury. Accordingly, Fu et al<sup>44</sup> proved that rabbit lung inflation with a high lung volume results in blood–gas barrier disruption, imaged by electron microscopy as multiple epithelial, endothelial, and basement membrane breaks. In a subsequent experiment, the same group noticed that when increased PEEP (9 cmH<sub>2</sub>O) was applied to their ex vivo model, only mild interstitial thickening occurred without significant disruption, despite the expected lung volume increase induced by PEEP application.<sup>45</sup>

A potential limitation of our study is the administration of 100% oxygen to prevent hypoxemia during resistive breathing. Hyperoxia is a well-established model of ALI in rats,<sup>46,47</sup> when applied for at least 40–50 hours.<sup>10</sup> However, the significantly shorter time course (6 hours) used in our experiments renders a putative contribution of hyperoxia to the resistive breathing-induced lung injury rather unlikely. Moreover, the effect of hyperoxia was controlled by oxygen administration to unloaded animals as well.

# Clinical implications

The present study expands the results of our previous report that IRB induces lung injury and inflammation.<sup>2</sup> It should be acknowledged that pure IRB is never encountered in clinical practice. Breathing against an inspiratory resistance higher than expiratory resistance is observed in cases of upper airway obstruction, such as laryngospasm, upper airway tumors, obstructive sleep apnea and endotracheal tube obstruction in adults or epiglottitis, croup, and foreign body aspiration in children. On the other hand, CRB (with expiratory resistance higher than inspiratory resistance) is the hallmark of intrathoracic airway obstruction encountered in diseases, such as COPD and asthma. Our results imply that CRB causes injury and inflammation to previously healthy lungs in a load-dependent manner.

Resistances used in our study were of a magnitude observed in the clinical arena. In patients with COPD, with a wide range of airway obstruction (FEV<sub>1</sub>% predicted 21%-89%), Hayot et al<sup>48</sup> reported the  $P_i/P_{i,max}$  values of 0.21±0.14 (SD). In stable hypercapnic COPD patients with severe obstruction  $(\text{FEV}_1 0.79 \pm 0.35 \text{ [SD] L}), P_i/P_{i,\text{max}} \text{ was } 0.27 \pm 0.15 \text{ (SD)}.^{49} \text{ In}$ another study measuring pleural pressures by an esophageal balloon,  $P_{\text{pleural}}/P_{\text{pleural,max}}$  was estimated at ~0.35 in stable COPD patients with equally severe obstruction (FEV, 0.57±0.06 [SEM] L), but less pronounced hypercapnia.<sup>50</sup> Conceivably, significantly higher resistances and, thus, loading of the inspiratory muscles should be expected during COPD exacerbations, especially when severe enough to require mechanical ventilation or in COPD patients failing to wean. Indeed, in patients with COPD exacerbation who were intubated and invasively ventilated,  $P_{1}/P_{i,max}$  was measured 0.42±0.11,<sup>51</sup> while a somewhat higher value of 0.49±0.09 was found in COPD patients failing to wean from mechanical ventilation.52 In patients with asthma, on the other hand, Misuri et al<sup>53</sup> showed a  $P_{\rm pleural}/P_{\rm pleural,max}$  value of ~0.26, when bronchoconstriction was provoked by histamine, producing a FEV,% predicted drop of 42.7%±10.3% compared to baseline. Similarly, Lougheed et al<sup>54</sup> induced bronchoconstriction by metacholine in asthmatic patients causing a FEV<sub>1</sub>% predicted fall to 44%.  $P_{\text{pleural}}/P_{\text{pleural,max}}$  under those circumstances was reported to be 0.33. Significantly higher  $P_i$  values, corresponding to more severe inspiratory loads, were measured in children with acute asthma in an earlier study.55 Based on these data, inspiratory and combined loads used in our study were chosen in order to reflect not only severe COPD and asthma exacerbations but also stable severely obstructed COPD patients.

Our results raise the intriguing, though speculative, possibility that in COPD and asthma patients some degree of lung parenchymal inflammation is the result of the increased airway resistance per se irrespective of the underlying airway inflammatory process involved in disease and/or exacerbation pathogenesis. The load dependency found in our study implies that this phenomenon may be present from earlier stages of the diseases, thus contributing to disease progression, although, during COPD exacerbations and possibly more severe asthma attacks, this inflammatory response is significantly more pronounced and accompanied by increased lung permeability. Interestingly, patients with frequent exacerbations of COPD (where severe resistive breathing is noted) exhibit more rapid decline in lung function.<sup>56,57</sup>

Furthermore, by allowing separate examination of inspiratory and expiratory resistances, our model gave us the opportunity to differentiate the effects of IRB from ERB on the lung. Reasonably assuming that ERB results in dynamic hyperinflation and PEEPi generation, our findings raise the interesting possibility that the latter may constitute an inflammatory stimulus for the lung per se. Our observations are in-line with those of Gatta et al,<sup>58</sup> reporting a significant increase in C-reactive protein, a marker of systemic inflammation, in COPD patients with more pronounced dynamic hyperinflation, as assessed by inspiratory capacity. If hyperinflation resulting from ERB alone or in combination with inspiratory constitutes a potentially deleterious inflammatory stimulus per se, pharmacologic and other interventions known to reduce hyperinflation could exert anti-inflammatory effects in the context of COPD. 59 Bronchodilators possess well-established deflating properties.<sup>27,60,61</sup> Our findings lend support to the possibility of an additional anti-inflammatory action of bronchodilators, originating from their ability to diminish hyperinflation.

# Conclusion

Combined IRB and ERB load dependently increases alveolar capillary barrier permeability and exerts inflammatory and injurious effects on healthy rat lung, thus providing new insights into the pathogenesis of obstructive airway diseases and their exacerbations.

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# Disclosure

The authors report no conflicts of interest in this work.

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