Colorectal cancer development and advances in screening

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Abstract: Most colorectal tumors develop via a multistep process involving a series of histological, morphological, and genetic changes that accumulate over time. This has allowed for screening and detection of early-stage precancerous polyps before they become cancerous in individuals at average risk for colorectal cancer (CRC), which may lead to substantial decreases in the incidence of CRC. Despite the known benefits of early screening, CRC remains the second leading cause of cancer-related deaths in the United States. Hence, it is important for health care providers to have an understanding of the risk factors for CRC and various stages of disease development in order to recommend appropriate screening strategies. This article provides an overview of the histological/molecular changes that characterize the development of CRC. It describes the available CRC screening methods and their advantages and limitations and highlights the stages of CRC development in which each screening method is most effective.

Keywords: colorectal cancer, DNA test, polyps, screening

Introduction
Screening for colorectal cancer (CRC) has substantially contributed to the downward trends in CRC incidence and mortality over the last 2 decades.1,2 The clinical value of screening is reflected in its ability to prevent cancer morbidity, mortality, and excess treatment cost by detecting significant lesions before they become cancerous and early-stage cancer before it spreads beyond the bowel wall. The 5-year survival rates for those with early-stage, localized disease (Stages I and II) approach 90%.3 The survival rate for those diagnosed with late-stage CRC, which is associated with spread to distant organs, is 13.1%. At this stage, treatment often becomes palliative and treatment-related financial burdens are the greatest.1,3

Despite the well-known benefits of screening and recommendations for average-risk adults aged 50 years and older to be screened, CRC is the second leading cause of cancer-related death among both men and women and contributes ~$14 billion to annual health care costs in the US, an expenditure that continues to increase.4,5 Screening may help control the costs of CRC treatment, as most screening strategies have become cost saving relative to increasing costs associated with chemotherapy for advanced CRC.6 At present, only 65% of US adults are in compliance with current screening recommendations.7 The National Colorectal Cancer Roundtable has a goal of increasing screening rates to 80% by 2018. It is estimated that achieving this goal will avert 280,000 new cases of CRC and save 200,000 lives in the US by 2030.8,9 Discussions of CRC screening with patients that lead to successful screenings will be of vital importance to achieving this goal.7 This review provides a concise overview...
of the risk factors for CRC, the histological and molecular changes that characterize the development of CRC, and a discussion of screening methods.

Risk factors for CRC

Although anyone can develop CRC, several factors are associated with an increased risk for the disease. Some risk factors are modifiable, such as diet, obesity, lack of physical activity, tobacco use, and moderate-to-heavy alcohol use. Conversely, higher intakes of dietary fiber, green leafy vegetables, folate, and calcium have been reported to be protective against the development of CRC.\(^2\) Although modification of these factors may result in a decreased risk for CRC, no effect of modification is sufficient to reduce the need for screening.\(^1\) Other risk factors are nonmodifiable, such as a personal or familial history of colorectal polyps or CRC, hereditary conditions such as Lynch syndrome, a personal history of inflammatory bowel disease, racial and ethnic backgrounds, and the presence of type 2 diabetes.\(^1,4\) Although CRC can occur in early- to mid-adulthood, particularly in those with certain inherited predispositions, most cancer occurs in persons considered as average risk, and age is the most significant risk factor in this group.\(^5\) The chance of developing CRC increases markedly after age 50 years, with 90% of new cases and 94% of CRC-associated deaths occurring in those \(\geq 50\) years of age.\(^1\) Screening persons of average risk who are \(\geq 50\) years of age and closely monitoring those individuals at higher risk provide the best opportunity for prevention and early detection of CRC. The high-risk designation includes individuals with personal or familial history of CRC, adenomatous polyps, inflammatory bowel disease, and hereditary CRC syndromes; high-risk individuals should consult with their health care provider to determine when to begin screening and how often to repeat the screening.\(^2\)

Development of CRC

Underscoring the need for screening, CRC often grows slowly, and generally does not produce symptoms until reaching a considerable size of several centimeters, which may block the passage of feces and lead to cramping, pain, or bleeding that can present as visible bleeding with bowel movements or, rarely, dark “tarry” stools. Most colon tumors develop via a multistep process involving a series of histological, morphological, and genetic changes that accumulate over time.\(^10\) The various stages of CRC progression, along with their accompanying histological, morphological, and genetic changes, are outlined later and in Figure 1.

Polyps

CRC typically develops from focal changes within benign, precancerous polyps. These polyps are localized growths or aggregations of abnormal cells within the intestinal mucosa that protrude into the intestinal lumen.\(^1\) Polyps can be sessile (Figures 1A and 2A) or pedunculated (Figures 1A and 2B).\(^4\) With time, the dividing cells in these polyps may accumulate sufficient genetic changes by which they acquire the ability to invade the bowel wall, the hallmark of CRC, and eventually may become more altered and spread to local lymph nodes and finally to distant metastatic sites.\(^1\) Fortunately, only a small percentage of polyps acquire malignant features, and even for the ones that do, the complete progression from polyps to cancer generally takes several years or even a decade.\(^11\)

There are two main types of polyps with malignant potential, adenomas and sessile serrated polyps (SSPs), each of which are associated with different risks for developing into CRC. In general, most adenomas have a tubular histology with small, roundish, atypical glands but often develop areas of long filamentous architecture as they grow, which is described on pathology reports as villous or tubulovillous. By definition, adenomas are characterized by dysplasia (low degree of cellular and structural atypia). Tubulovillous and villous adenomas, especially those with \(\geq 25\%\) villous content, are typically larger in size and have a greater potential for harboring cancerous cells. In contrast, SSPs are flat and carpet like, with serrated or saw-toothed glands. SSPs include sessile serrated adenomas, traditional serrated adenomas, and mixed polyps, which have all been associated with CRC development.\(^12,13\)

The risk for adenomas to develop into CRC increases as the size of the polyp increases.\(^12\) Although only \(\sim 10\%\) of even the most advanced adenomas (adenomas \(\geq 1\) cm in size or that have \(\geq 25\%\) villous component or high-grade dysplasia of any size) become cancerous, \(60\%–70\%\) of CRCs develop from adenomas. The remaining \(25\%–35\%\) of CRCs develop from SSPs.\(^13–15\)

Polyps can develop along the entire length of the colon and rectum; however, polyps that develop within the proximal (right) side of the colon, which includes the cecum through the transverse colon up to and including the splenic flexure, account for 42% of all CRCs in the US.\(^16\) Proximal polyps are often the SSP type and can be difficult to detect as they are often flattened or depressed and may not bleed or ulcerate.\(^12\)

Progression from polyp to cancer: histological and morphological changes

The histological changes that occur during the development of CRC are outlined in Figure 1A. As the cells within
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The polyp proliferate, the size of the polyp increases, and genetic mutations and epigenetic changes may begin to accumulate; this is reflected by cytologic and histologic dysplasia. As damage to cellular DNA increases over time, the features of high-grade dysplasia may develop, which connotes a very high degree of risk for progression to invasive carcinoma. If not removed, these polyps may develop the ability to invade nearby tissue and grow into and beyond the wall of the colon and rectum. This localized, malignant growth may become neovascularized,
thus providing easy access to the lymph and circulatory systems and thereby promoting the spread of cancerous cells to distant organs.\(^\text{10,18}\) Early detection and resection of precancerous polyps are critical to interrupt the adenoma-carcinoma sequence and prevent the development and spread of CRC.

**Progression from polyp to cancer: genetic changes**

The histological progression from polyp to cancer is the result of a series and/or accumulation of genetic and epigenetic changes. DNA mutations can be acquired or inherited. True inherited mutations associated with CRC, such as the *MLH1*, *MSH2*, *PMS2*, and the *APC* gene mutations, are uncommon and account for \(~5\%\) of CRCs. However, studying these inherited mutations, in addition to sporadically occurring *APC* and DNA mismatch repair mutations, has provided key insights into the stepwise genetic progression from premalignant polyps to cancer.\(^\text{18–20}\)

There are two main genetic pathways that lead to the development of CRC (Figure 1B).\(^\text{21}\) These generally correspond with the two types of polyps from which CRC develops: adenomas and SSPs. The chromosomal instability pathway, generally associated with traditional adenomas, is observed in 65%–70% of all sporadic cancers and is characterized by a cascade of accumulating mutations. Typically, the first mutations that develop are within the *APC* gene, which affects chromosome segregation during cell division. Subsequent mutations then develop in the *KRAS* oncogene, which has downstream effects on cell growth, differentiation, motility, and survival. Over time, these mutations can cause a loss of function of the *p53* gene, which is a master regulator of transcription and apoptosis, thus impacting a wide range of cellular functions that ultimately results in carcinogenesis.\(^\text{22}\)

In contrast, development of SSPs tends to begin with mutations in the *BRAF* gene, which results in altered growth signaling and loss of apoptosis.\(^\text{13,21,23,24}\) *KRAS* mutations can also occur in SSPs, but they are much less frequently associated with SSPs than adenomatous polyps.\(^\text{21,23}\) Another common epigenetic alteration seen in serrated lesion-based CRC is aberrant gene promoter region hypermethylation. Promoter region methylation inhibits gene transcription, functionally turning affected genes “off”. This gene deactivation impacts many genes including those regulating other growth-promoting genes.\(^\text{23}\)

Aberrantly methylated genes associated with CpG island methylator phenotype include, among others, the bone morphogenic protein 3 (*BMP3*)\(^\text{25}\) and N-Myc downstream-regulated gene 4 (*NDRG4*).\(^\text{25,26}\)

Another mechanism that leads to genetic diversity in CRC is microsatellite instability (MSI), which is caused by the disruption of DNA repair genes. MSI can result in uneven replication of repetitive DNA sequences in short, noncoding regions (microsatellites) and increased susceptibility to additional genetic mutations.\(^\text{13,21,23,27,28}\) MSI can occur in both adenomatous and serrated polyps and is associated with germline mutations in DNA mismatch repair genes (eg, in hereditary nonpolyposis colorectal cancer) as well as sporadic mutations due to aberrant methylation of the *MLH1* promoter regions (associated with CpG island methylator phenotype).\(^\text{13,23,27,29}\)

**Current screening options for CRC**

There are several different screening tests for the detection of CRC, each of which has advantages and limitations.
The individual features of each test also affect patient and physician perceptions and preferences, which in turn can affect the informed decision-making process in choosing the appropriate screening test. The most important characteristic of a screening test is its sensitivity (the true-positive rate), which is the percentage of patients with the disease who get a positive result with the test. Also important, but less so than sensitivity, is reasonably high specificity, which is the percentage of patients without disease who receive a negative result (also known as the true-negative rate). Together, sensitivity and specificity define the accuracy of a test, and they are generally traded off against each other depending on the clinical situation. When missing a lesion or disease state has the potential for severe or grave consequences, sensitivity is favored over specificity. When the chance of harm from overtreatment is of greatest concern, specificity is favored over sensitivity. In many screening applications, a more specific test may follow a more sensitive test, but a single test with both high sensitivity and high specificity is preferred. High accuracy for detecting CRC is critical, as false-positive results would cause unnecessary anxiety and follow-up, whereas false-negative results would result in missed detection of CRC. In addition, the test should be precise, providing consistent results when repeated. Individuals who need to be screened are often asymptomatic, and achieving a high rate of cooperation from such individuals is necessary for an effective screening program; therefore, the test should be acceptable from the individual's perspective. The test should be easy to administer and use, be easily accessed, have minimal out-of-pocket expenses, and be safe to encourage high participation in screening efforts. Here, we provide a brief description of the common CRC screening methods, their advantages and limitations, and where in the process of CRC development they are most effective (Figure 1C and Table 1).

**Colonoscopy**

Colonoscopy is the current reference method for CRC screening and is recommended every 10 years in average-risk patients aged 50 years or older. The ability of colonoscopy
to detect both cancerous and precancerous lesions via direct visualization has been demonstrated in several large cohort studies. The sensitivity of colonoscopy for detecting CRC is >95%, while its sensitivity for detecting advanced adenomas (≥10 mm in diameter) is 88%–98%. Case-control studies have shown a 53%–72% reduction in the incidence of CRC and a 31% reduction in CRC-related mortality with colonoscopy. Reductions in CRC incidence and mortality with colonoscopy have not been demonstrated in randomized controlled trials, nor are there trials directly comparing outcomes of colonoscopy with other screening tests. The recommended 10-year interval after a normal colonoscopy is largely based on case-control studies. One of the greatest advantages of colonoscopy is the ability to remove precancerous and small cancerous lesions at the time of detection. It can also assess both the proximal and distal portions of the colon. Limitations associated with colonoscopy include the invasiveness of the procedure, the required bowel preparation, the use of sedation or anesthesia, time off of work or other activities, and assistance to get home postprocedure. Bowel preparation is often unpleasant and time-consuming, necessitating a temporary change in medications and diet, and entails use of a cleansing agent. There is a risk of bowel perforation during colonoscopy and a risk of postcolonoscopy bleeding, especially in postpolypectomy patients. These limitations contribute to low compliance with colonoscopy screening. Additionally, there is a high reliance on the technical expertise of endoscopic examiners to visualize and remove lesions, especially those in the proximal colon, which can be more difficult to detect. Flat or sessile polyps may be especially difficult to detect and may require special techniques. Another limitation is the potential for “interval cancers”, which are cases of CRC that occur in the long interval between normally scheduled screening colonoscopies and have been reported to account for 6%–9% of all CRC cases.

**Sigmoidoscopy**

Flexible sigmoidoscopy, which is less frequently used in the US for screening, is similar to colonoscopy except that only the distal half of the colon is examined, sedation is not necessary, and the bowel preparation consists of an enema on the day of the examination. It has a >95% sensitivity for the detection of CRC in the portion of the colon that is examined and a 70% sensitivity for advanced adenomas (≥10 mm in diameter). If lesions are identified in the distal colon, a follow-up colonoscopy is required, at which time proximal lesions may be found. Case-control studies of sigmoidoscopy have demonstrated a 60% reduction in mortality from CRCs of the distal colon, but there is little effect on reducing proximal CRC morbidity and mortality due to lack of screening in this portion of the colon. When used, current guidelines suggest that sigmoidoscopy should be paired with high-sensitivity fecal occult blood testing (FOBT; described later) and should be repeated every 5 years in asymptomatic individuals with no previous history of colon polyps.

Similar to colonoscopy, the advantages of sigmoidoscopy include its ability to identify both cancerous and precancerous lesions (but only in the distal colon) and its ability to remove lesions at the time of detection. Sigmoidoscopy also shares many of the limitations of colonoscopy, including the need for bowel preparation, access to health care facilities, long waits for appointments, and safety concerns. Because the procedure is limited to the distal portion of the colon and sedation is not typically offered, sigmoidoscopy may cause considerable discomfort compared with colonoscopy under anesthesia, while leaving a large portion of the colon unscreened.

**Computed tomography colonography**

Computed tomography (CT) colonography is a structural radiologic examination of the colon sometimes referred to as “virtual colonoscopy”. It uses CT and special software to create a three-dimensional image of the colon to identify colonic lesions, which may be found on subsequent optical colonoscopy to be cancer and precancerous polyps. Although the sensitivity of CT colonography for detection of CRC and advanced adenomas in daily clinical practice is somewhat uncertain, clinical studies suggest that rates are >90% for detection of CRC, 90% for detection of polyps ≥10 mm, and 78% for detection of polyps ≥6 mm. In the US, the reception of CT colonography as a recommended CRC screening tool is mixed, and its use is limited mainly to patients who are not good candidates for colonoscopy due to other comorbidities or structural issues that preclude adequate optical examination of the colon. However, two organizational screening studies conducted in Europe demonstrate that participation rates for CT colonography are superior to those of colonoscopy. Notably, there is no empirical evidence to demonstrate that CT colonography can reduce CRC incidence or related mortality. Although the screening interval for negative CT colonography results is uncertain, studies suggest that for average-risk, asymptomatic patients, the test should be repeated every 5 years. The Centers for...
Medicare and Medicaid Services currently does not pay for CT colonography as a screening test.60

Like colonoscopy, CT colonography carries the advantage of allowing visualization of the entire colon but is only semi-invasive. To facilitate better visualization, the colon is inflated with air; although this is uncomfortable for many patients, it does not require sedation.43 Limitations of CT colonography include unpleasant bowel preparation, similar to that used with colonoscopy, and the use of ionizing radiation, which imparts additional safety concerns and costs and requires access to specific health care facilities. This screening method is highly dependent on the technical expertise of the radiologist interpreting the results. CT colonography requires a follow-up colonoscopy if lesions are detected.61 Due to similar limitations, the use of double-contrast barium enema as an alternative screening method for radiologic evaluation of the colon has been largely discontinued.62

**FOBT and fecal immunochemical tests**

FOBT and fecal immunochemical tests (FITs) are designed to detect hemoglobin as a marker of occult blood in the stool.43 FOBT detects the peroxidase activity of heme and, as such, is not specific for human blood, whereas FIT uses human globin-specific antibodies to detect hemoglobin, so it is more specific for human blood than FOBT.62 The performance of FIT and FOBT varies due to the multiplicity of commercially available tests, different number of samples used at each testing event, and whether the studies were observational in nature or used colonoscopy to confirm the findings. Many FOBT/FIT studies are observational, which may overestimate sensitivity.63 In studies that used colonoscopy as a reference standard, the sensitivity of FIT for detecting CRC and precancerous lesions, 71%–75% and 27%–29%, respectively, was higher than FOBT, 33%–75% and 11%–25%, respectively.56,64-66 The specificity of FOBT (98%–99%) was greater than FIT (94%–95%).56,64-66 FOBT has been shown to reduce CRC mortality by 15%–33%.70,71 When used as the sole screening method, FOBT and FIT should be repeated annually.43

FOBT and FIT can be performed at home, are noninvasive, and do not require extensive bowel preparation. Limitations including sporadic bleeding from lesions can lead to false-negative test results, and lesions in early development bleed less frequently, if at all, so there is a greater tendency for these tests to detect more advanced lesions and miss precancerous lesions.43,53 In addition, dietary intake of vitamin C can lead to false-negative results as it can inhibit the peroxidase activity of FOBT, and dietary hemoglobin from the ingestion of red meat can generate false-positive results on FOBT.11,43 Although FIT kits only require that the test be performed once, some FOBT tests require that the test be performed in duplicate or triplicate.70 These limitations, in addition to increased sensitivity of FIT, have resulted in more common use of FIT over FOBT.72 Finally, adherence to annually recommended FOBT/FIT screening is low in the US where most screening is opportunistic.62,73-75 In a study of more than a million participants of screening age, adherence to an annual FOBT was found to be 42.1% for men and 42.9% for women.73 Likewise, the rate of follow-up colonoscopy screening for those who receive positive test results is low, thus negating the value of FOBT/FIT screening in those patients.62 However, organizational screening using FIT/FOBT shows an improvement in the annual adherence rate and uptake.76,77

**Multitarget stool DNA testing**

Multitarget stool DNA (mt-sDNA) testing is a noninvasive screening method designed to detect abnormal DNA and occult blood in stool samples.43,53 At present, there is only one commercially available mt-sDNA test (Cologuard®; Exact Sciences Corporation, Madison, WI, USA). The test is designed to detect ten DNA-based markers and one human hemoglobin biomarker in stool. Results of the assays used to detect DNA and hemoglobin biomarkers are then combined in a diagnostic algorithm that yields the single composite negative or positive result. If a composite level of abnormal DNA and/or blood from a potential cancerous or precancerous lesion is detected, the test will yield a positive result and the patient should be referred for a diagnostic colonoscopy and removal of lesions if necessary. Alternatively, a negative test result is assigned if the normal internal control DNA is detected and the combined levels of the test biomarkers are below the threshold for detection. In this case, patients should continue with recommended, routine screening.45

The clinical sensitivity of the mt-sDNA test was demonstrated in a blinded, cross-sectional, screening study conducted at 90 sites. The study included >10,000 asymptomatic, average-risk participants aged ≥50 years and established the performance of this test as compared with FIT and colonoscopy.65 In this study, the mt-sDNA test had a sensitivity of 92.3%, detecting 60 of 65 cancers compared to the FIT test sensitivity of 73.8% that detected 48 of 65 cancers (OC FIT-CHEK; Polymedco, Cortlandt Manor, NY, USA). mt-sDNA test demonstrated superior sensitivity for detecting advanced precancerous lesions, detecting 42.4% of the subjects with advanced adenomas, 69.2%
of the subjects with precancerous lesions with high-grade dysplasia, and 42.4% of the subjects with SSPs. By contrast, FIT identified 23.8%, 46.2%, and 5.1%, respectively. The specificity (true-negative rate) of mt-sDNA among subjects with findings other than CRC or advanced precancerous lesions was 86.6%, compared to 94.9% for FIT. Among subjects with totally negative results on colonoscopy, mt-sDNA had a specificity of 89.8%, compared to 96.4% for FIT. In patients with a negative test result, the negative predictive value of the mt-sDNA test was extremely high (99.94%); a negative test result suggests that the likelihood of having a missed CRC was only 0.06% and the chance of having an advanced adenoma was 5.2%. A second study assessed the accuracy of mt-sDNA compared to FIT, and the sensitivity and specificity results were similar.

The mt-sDNA test requires a stool sample that is collected by the patient at home and does not require any bowel preparation or changes to medications and diet. A screening interval of 3 years has been recommended by American Cancer Society guidelines and has been subsequently supported via data modeling, and the test is reimbursed by Medicare every 3 years. The cost of the test is US $649, is covered by many major insurers, and includes all shipping costs and a systematic compliance program that offers patient reminders for screening, handles patient questions, manages patient billing and reimbursement, and provides compliance and tracking records for physicians, all of which may help ease the burden on primary care offices. Limitations include the relative newness of the test with limited evidence and while it has a high sensitivity that is offset with somewhat less specificity. However, when evaluating screening tests, it is important to remember that sensitivity is the most important characteristic.

Conclusion
Precursor lesions of different types typically precede the development of CRC by many years, providing an ample window for early detection via various screening methods. Screening for CRC is a critical part of primary care practice. Primary care practitioners are well positioned to initiate a dialog with patients to discuss the benefits of CRC screening and to provide a comprehensive view of current screening options including the advantages and limitations of each. Offering a variety of screening options, particularly in the context of each patient’s wishes and limitations, may increase compliance with screening. Increased compliance with CRC screening recommendations has the potential to improve patients’ health and well-being and to ultimately reduce CRC morbidity and mortality rates. Regardless of all other factors, the best CRC screening test is the one that is completed in a timely manner.

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