Artificial urinary sphincters for male stress urinary incontinence: current perspectives

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Abstract: The artificial urinary sphincter (AUS), which has evolved over many years, has become a safe and reliable treatment for stress urinary incontinence and is currently the gold standard. After 4 decades of existence, there is substantial experience with the AUS. Today AUS is most commonly placed for postprostatectomy stress urinary incontinence. Only a small proportion of urologists routinely place AUS. In a survey in 2005, only 4% of urologists were considered high-volume AUS implanters, performing >20 per year. Globally, ~11,500 AUSs are placed annually. Over 400 articles have been published regarding the outcomes of AUS, with a wide variance in success rates ranging from 61% to 100%. Generally speaking, the AUS has good long-term outcomes, with social continence rates of ~79% and high patient satisfaction usually between 80% and 90%. Despite good outcomes, a substantial proportion of patients, generally ~25%, will require revision surgery, with the rate of revision increasing with time. Complications requiring revision include infection, urethral atrophy, erosion, and mechanical failure. Most infections are gram-positive skin flora. Urethral atrophy and erosion lie on a spectrum resulting from the same problem, constant urethral compression. However, these two complications are managed differently. Mechanical failure is usually a late complication occurring on average later than infection, atrophy, or erosions. Various techniques may be used during revisions, including cuff relocation, downsizing, transcorporal cuff placement, or tandem cuff placement. Patient satisfaction does not appear to be affected by the need for revision as long as continence is restored. Additionally, AUS following prior sling surgery has comparable outcomes to primary AUS placement. Several new inventions are on the horizon, although none have been approved for use in the US at this point.

Keywords: artificial urinary sphincter, stress urinary incontinence, post prostatectomy incontinence, prostheses and implants, review

Introduction

Urinary incontinence is a significant quality of life issue affecting a large proportion of the population.1 It is estimated that ~22.6 million men are affected by urinary incontinence and 8.2 million have moderate to severe urinary incontinence.2 Pure stress urinary incontinence (SUI) accounts for only a subset (12.5%) of these patients, yet it remains a substantial and growing problem, especially in the postprostatectomy setting. The artificial urinary sphincter (AUS), which has evolved over many years, has become a safe and reliable treatment for SUI and is currently the gold standard. The first description of an AUS dates back to 1947; however, the modern era of sphincters began in 1983 with the release of the AMS 800 (American Medical Systems, Minnetonka, MN, USA; Figure 1).3-5 The AUS is composed of silicone and consists of an inflatable...
narrow backed cuff, a pressure-regulating balloon (PRB),
and a control unit that consists of a deflating pump, a refill
resistor, and deactivating button. The AUS has not undergone
any significant changes except for the addition of a 3.5 cm
cuff introduced in 2009.6,7 After 4 decades of existence, there
is substantial experience with the AUS. This article focuses
on current perspectives regarding its use today.

Device

The AUS consists of three separate components whose tub-
ing must be connected using connectors provided within the
AMS accessory kit. The occlusive cuff ranges from 3.5 cm to
11 cm and most commonly is placed circumferentially at
the bulbar urethra. The circumference of the urethra determines
the cuff size. In certain situations, it is placed more distally as
in transcorporal approaches. The cuff can even be placed at the
bladder neck in rare instances, although this approach requires
more extensive retropubic surgical dissection. The width of all
cuff sizes when deflated is 2 cm. AMS introduced the narrow
backed cuff in 1987.8,9 In an attempt to decrease the rates of
reoperation. The cuff may be coated with InhibiZone (Ameri-
can Medical Systems), which was approved by the US Food
and Drug Administration in 2007, although presently there
are no data showing that InhibiZone reduces infection rates.

The PRB transmits pressure to the occlusive cuff and
comes in six pressure ranges from 41 cmH₂O to 100 cmH₂O
in increments of 10 cmH₂O. The most commonly used
PRB pressure range is 61–70 cmH₂O. The goal of pressure
regulation is to provide the lowest amount of pressure that
is sufficient for continence. Excessive pressure will increase
ischemia to the urethral segment being occluded and may lead
to urethral atrophy and erosion. The PRB may be placed in the
space of Retzius, in a submuscular location,9 or via a counter
incision in a preperitoneal space. Some favor placement of
the PRB in a high submuscular location, giving comparable
functional outcomes in comparison to traditional placement
within the space of Retzius, while avoiding associated com-
lications of the latter and facilitating PRB placement in
reoperative cases with a hostile abdomen.10

The final component is the control unit or pump and
consists of two parts. The lower part is a bulb that the patient
squeezes to transfer fluid out of the compressive cuff to
the PRB allowing micturition. The upper part contains the
resistor valves and deactivation button. In men, the pump is
placed within a subdartos pouch within the scrotum where
it can be easily accessed by the patient. Proper manipulation
of the pump requires a certain degree of manual dexterity
by the patient, which should be assessed preoperatively.11

Indications

The AUS is an effective treatment for intrinsic sphincter
deficiency in men resulting from various etiologies, which
may include prostate cancer treatment, transurethral resec-
tion of prostate, neurologic disease, trauma, or congenital
anomalies. Prior to 1985, a significant proportion (17%–50%)
of AUSs were placed for neurologic disease.12 However since
1985, postprostatectomy incontinence (PPI) has been the
most common indication, representing 39% to 69% of AUS
placed through 2005.6,8 Indications for spinal cord injury
are relatively narrow as thoracolumbar spinal cord injuries
resulting in intrinsic sphincter deficiency are relatively rare.
Most AUSs placed for spinal cord disease are in the pediatric
population with myelodysplasia. Women currently account for
<1% of AUS placements,13 and the AMS 800 is not US Food
and Drug Administration approved for use in women. This
review focuses on AUS as it is most commonly used today,
in men with SUI. In the era of robotic-assisted radical pros-
tatectomies, the incidence of 12-month urinary incontinence
rates varies between 4% and 31%.14 However, only a portion
of these patients will undergo AUS placement. Currently,
~11,500 AUSs are placed annually worldwide. In 2005, only
13% of US urologists performed AUS surgery, with only 4%
considered high-volume surgeons, performing >20 per year.12

Traditionally, a waiting period of at least 1 year following
prostate cancer treatment prior to placing an AUS has been
suggested. However, more recent expert opinion agrees that
an AUS may be placed as early as 6 months following rad-
cal prostatectomy (RP), if SUI is severe, bothersome to the
patient, and not improving with conservative treatment.11
On the other hand, if there is ongoing improvement in SUI
even 12 months after RP it is up to the surgeon’s discretion to delay surgical management of SUI. A cystoscopy prior to placement of an AUS is traditionally advised to look for any underlying urethral pathology that may complicate AUS placement or that may put the AUS at risk of subsequent damage. For example, up to 32% of patients have been found to have a vesicourethral anastomotic stricture on cystoscopy following RP.\textsuperscript{15} Vesicourethral anastomotic strictures should be stable prior to AUS placement.

**Outcomes**

There are more than 400 articles that have been published regarding outcomes of the AUS; however, most of the literature are retrospective series, with heterogeneous groups and different definitions regarding improvement or success making direct comparison of studies difficult. Success rates vary widely between 61% and 100%.\textsuperscript{16–22} In a recent systematic review, social continence ($1$ pad/d) was reported at 79% with follow-up ranging from 5 months to 192 months.\textsuperscript{23} Dry rates varied between 4% and 86%. In a prospective study of 103 patients suffering from PPI, the dry rate was 57%.\textsuperscript{21} Patients should be provided with realistic expectations, regarding their continence. They should be advised to expect an improvement in their continence, but not to be necessarily 100% dry. Many patients continue to wear a safety pad and are quite satisfied with such results.\textsuperscript{24} Litwiller et al\textsuperscript{25} found that patients with an AUS who leaked less than a teaspoon a day were satisfied and would recommend the surgery to a friend. Patients were more likely to be dissatisfied when they leaked more than a teaspoon per day. Generally, the AUS has good long-term outcomes and improves SUI sufficiently achieving a high patient satisfaction usually 80%–90%.\textsuperscript{17,25–27}

A significant proportion of patients suffer from SUI following radical cystectomy with neobladder (RC/NB). Studies report the incidence of SUI after RC/NB to be between 33% and 95%.\textsuperscript{28,29} Only few studies exist evaluating outcomes in patients with orthotopic neobladders who have undergone an AUS. In one of the largest series reported,\textsuperscript{30} 72% (21/29) of patients noted an improvement in SUI at a mean follow-up of 40 months. However, 60% of patients underwent a revision or explantation due to infection, erosion, device malfunction, or recurrent SUI, with erosion or infection being the most common reason. Most of the AUSs placed in this series were 4.5 cm cuffs with 61–70 cm balloons. Of these patients, 28% had been treated with radiotherapy. In comparison to PPI, these outcomes seem poorer. A small proportion of RC/NB patients perform clean intermittent catheterization. This is an additional important factor to consider prior to placing an AUS as it may possibly increase the risk of erosion secondary to catheterization trauma.

**Complications**

Despite the good outcomes achieved with the AUS, it does come with a substantial need for revision surgery. A candid discussion on the potential complications of the procedure should be discussed with the patient including infection, urinary retention, urethral atrophy, erosion, or device malfunction. The complication rates are generally low; however, the need for revision surgery increases with time.

**Infection**

As with any foreign body, infection can be a significant concern and mandates early recognition and explantation. An infection usually presents with scrotal erythema and induration at the site of the pump. It is advised to remove all components when patients present with concerns for an infected AUS, given the possibility of biofilm formation along the device.\textsuperscript{11} The rate of infection in contemporary series are reported to be between 1% and 8%,\textsuperscript{31–35} with rates <2% at high volume centers.\textsuperscript{3,16,37} Gram-positive organisms such as *Staphylococcus aureus* and *Staphylococcus epidermidis* account for the majority of infections, with methicillin resistance reported in 26% of organisms.\textsuperscript{38} Gram-negative infections account for 26% of infections.\textsuperscript{38} Perioperative antibiotics are routinely administered; however, there is no standardized antibiotic regimen and the choice of antibiotics is dependent on surgeon preference. Our recommendation is to provide both gram-positive and gram-negative coverage with consideration of coverage for methicillin-resistant *Staphylococcus* species.\textsuperscript{11}

**Urinary retention**

A subset of patients suffer from urinary retention. This most often is transient and may be due to postoperative urethral inflammation, which should typically resolve over the course of several days. In a large series, Linder et al\textsuperscript{39} noted the rate of postoperative urinary retention to be 31%. Prolonged catheterization with an AUS is not recommended given that it may lead to erosion by compromising blood flow to the urethra. The smallest caliber catheter available ought to be used if needed (eg, 10 Fr or 12 Fr) and if needed for >48 hours, a suprapubic tube should be considered.\textsuperscript{11,34} If urinary retention persists for longer than a week, improper cuff sizing may be the cause, and the patient may benefit from undergoing a revision with cuff upsizing. Interestingly, urinary retention was found to be associated with adverse 6-month device survival and increased rates of erosion.\textsuperscript{39}
Urethral atrophy

Urethral tissue atrophy, which is among the most common complications following AUS implantation, may occur naturally following persistent cuff-induced urethral compression and ischemia. Urethral atrophy and erosion lie on a spectrum and are both most often secondary to the constant compression experienced by the urethra, erosion being the more severe complication. The urethra may lose tissue bulk circumferentially with time, and a cuff that was initially sized appropriately may become too large for adequate urethral coaptation. Patients who experience urethral atrophy often complain of recurrent incontinence as a late presentation. Use of narrow-backed cuffs was meant to provide persistent adequate coaptation as urethral tissue lost bulk over time, translating into a reduced revision rate. Once atrophy occurs, various revision strategies, discussed in detail later, may be used to manage recurrent incontinence, including but not limited to downsizing the cuff, repositioning the cuff preferably more proximal, transcorporal cuff placement, and tandem cuff placement. Increasing the cuff pressure is not recommended as this leads to further ischemia and possibly urethral erosion.

Urethral erosion

Erosions may occur as either an early or a late postoperative complication. When an erosion presents within the first few months, it is likely that there was an unrecognized intraoperative urethral injury at the time of AUS placement. Late erosions present at a median time of 19 months and at a rate of 5%–10%. Over time constant cuff compression may cause urethral tissue atrophy and eventually erosion. Prior studies have shown that radiation-induced endarteritis, prior urethral surgery including urethroplasty and prior anti-incontinence surgery, coronary artery disease, and compromised urethral blood flow are all risk factors for erosion. Since both urethral atrophy and erosion may present with recurrent SUI, patients who present with a recurrence of symptoms should undergo a cystoscopy before undergoing any surgical intervention to rule out erosion as these two complications are managed differently. Eroded cuffs will be exposed to urine and are traditionally associated with infection. Some erosions, however, may not show signs of overt infection within the scrotum or perineum and may remain sterile for many years. These may go unnoticed for a long time.

When a patient experiences an erosion, the device should be explanted. A recent study found that the incidence of urethral stricture formation was significantly lower when patients underwent an in situ urethroplasty, which reapproximated the epithelial edges (38%), compared to patients treated solely with an indwelling Foley catheter (85%). Furthermore, stricture development leads more intervening procedures prior to replacement of a new AUS, impacting a patient’s quality of life by delaying the restoration of continence. In this series, the average interval before AUS replacement was 9 months for those who underwent an in situ urethroplasty vs 17 months for those who received Foley catheter drainage only. A new device may then be implanted after a 3-month healing period. Nocturnal deactivation has been suggested as a prevention strategy to avoid urethral atrophy and subsequent erosion. This may be a strategy to consider in motivated patients that have the manual dexterity and understanding of how the mechanism operates.

Radiation

It is worth mentioning that radiated patients may constitute a group of patients with an increased risk of complications. A significant proportion of patients with a history of locally advanced prostate cancer treatment undergo adjuvant radiotherapy. These patients should be advised that they might be at higher risk of needing revision surgery. Radiotherapy is associated with endarteritis and chronic vascular changes that may decrease urethral blood flow leading to spongiosal atrophy prior to AUS implantation. Several series have found higher rates of erosion or complications necessitating revisions in patients with a prior history of radiation. Despite these risks, AUS implantation leading to satisfactory continence has been successfully performed in irradiated patients. Some surgeons prefer transcorporal cuff placement in such instances and others have suggested using a lower pressured PRB (51–60 cmH₂O) and delayed activation at 6 weeks.

Mechanical failure

If patients develop recurrent or worsening SUI and are not found to have an erosion, it may be that the device has failed. Mechanical failures on average occur later than urethral atrophy, erosions, and infections. Imaging such as an ultrasound of the PRB or a CT scan may confirm a loss of fluid within the system. However, imaging will not help determine the exact location of the leak. It is advised that if there is a mechanical failure of the device after 2 years, the whole device should be replaced. Higher rates of mechanical failure were noted in a series of revisions where patients did not have complete replacements but merely had their cuffs downsized. Some have suggested that performing partial replacements of an AUS can lead to higher failure/leakage.
rates secondary to biofilm that builds on the in situ components if one uses the accessory quick connect kit rather than suture tie the connections.49

**Revisions**

Although the durability of AUS has been well established, a significant percentage of patients undergo revisions for various reasons, including erosion, infection, urethral atrophy, and mechanical failure. The proportion of AUS revision surgeries performed annually is ~24%–34%.12 Generally, the reported revision rate is ~25%.47 However, it is important to consider long-term outcomes as device survival rate diminishes with longer follow-up. More specifically, reported 5-year survival rates vary between 59% and 79%.18,36,40,47,50,51 10-year survival rates between 28% and 64%,47,50–52 and 15-year survival rates between 15% and 41%.47,50 In the largest series of 1,082 AUS from a single institution with long follow-up of up to 15 years, the device survival rate at 5 years, 10 years, and 15 years was 74%, 57%, and 41%, respectively.57 These numbers are quite significant, and based on these data, patients with a significant life expectancy (greater than a decade) have a high likelihood of needing to undergo a revision in their lifetime. Although there is a high revision rate with time, most series show that AUS revisions have comparable outcomes to initial AUS placement,36,53,54 particularly if undergoing a revision for mechanical failure. Patients undergoing revisions for multiple erosions have compromised urethras and may be at higher risk of subsequent erosions.42 Revision techniques include repositioning the cuff at a different location along the urethra (preferably more proximal if possible) and downsizing the cuff, using tandem cuffs or transcorporal cuffs. In the largest multi-institutional series of revisions, the mean time to revision was 28.9 months.48 Additionally, patient satisfaction has been shown to be independent of the number of revisions, and studies have shown that up to 90% of patients undergoing revision had no change in satisfaction as long as they had a functional AUS.24–26

**Tandem cuffs**

In an effort to improve efficacy and continence, some have used tandem cuffs with the thought that increasing resistance over a greater area may improve continence. According to American Medical Systems, ~15% of AUS are placed as tandem cuffs.55 Brito et al56 was the first to describe successful tandem cuff placement with success reported at 95%. The idea behind tandem cuffs was that using two cuffs would lead to increased resistance to leakage57 without increasing pressure on a single segment of the urethra. Despite initial enthusiasm and favorable continence outcomes from several groups following tandem cuffs for patients with severe PPI, urethral atrophy or prior failed single cuff placement,36–60 subsequent longer follow-up demonstrated a higher risk of complications when using tandem cuffs.18 Additionally, the authors of a cadaver study did not find a significant difference in retrograde leak point pressure for single vs tandem cuffs. They did find an association between urethral circumference and retrograde leak point pressure, which favors proximal cuff placement.61 In reviewing this literature, one must keep in mind that most of these series56,57,59,60,62 are retrospective in nature and not randomized. Therefore, a selection bias in choosing patients who underwent tandem cuff placement likely exists. It is likely that two cuffs do provide greater continence, but the benefit may be not worth the cost of increased complications.

**Transcorporal**

Transcorporal cuff placement was developed in an attempt to improve continence in patients with recurrent incontinence secondary to erosion, subcuff urethral atrophy, inadequate urethral coaptation, or for patients undergoing revisions where more proximal placement could not be achieved.63 Initial success for transcorporal placement was reported at 84%. The advantages of transcorporal placement include avoiding a difficult distal dorsal urethral dissection near the corpora, which may result in a thin urethra, as well as the additional bulk provided by the tunica. In a prospective series of transcorporal AUS placement, dry or socially continent rates were reported to be 76% at median follow-up of 20 months.64

There is a concern that transcorporal cuff placement affects erectile dysfunction. However, most patients undergoing transcorporal cuff placement have undergone prostate cancer treatment and already have some degree of erectile dysfunction at baseline.20,64–67 Despite this concern, a small series did report that the majority of patients maintain their erectile function even after transcorporal cuff placement if they had it to begin with, although the numbers are small, 5/6 (83%).64

**AUS following sling placement**

The outcomes of primary AUS placement are comparable to those performed after prior sling placement. Following sling surgery, recurrence of incontinence ranges from 20% to 35%.68 An AUS may be placed following a bone-anchored sling, transobturator sling, or quadratic sling. Transobturator...
slings may be left in place when placing the AUS. Usually, the transobturator sling cannot be seen during the AUS placement, and the AUS may simply be placed around the bulbar urethra via a perineal incision. In order to place an AUS after a bone-anchored sling, the sling must be incised and dissected off the bulbospongious muscle to expose the bulbar urethra or the AUS may be placed more distally via a transscrotal approach.69 Finally, the quadratic sling can easily be identified through the perineum and can be incised and dissected from the bulbospongious muscle unveiling the unscarred urethra deep to it and allowing placement of the AUS.68 AUS outcomes following sling placement are high, with success rates reported to be 79%–83%.70,71 Complication rates appear to be similar to initial AUS placement.

**New inventions**

Despite an increase in surgical options for PPI, including slings, bulking agents, and stem cell therapy, the AUS remains the gold standard. Given the difficulties with implanting the device, as well as the significant rate for revisions, there has been a push for the development of new devices. In recent years, there have been new inventions; however, none have yet been approved for use in the US. Most of these new devices attempt to simplify implantation by decreasing the number of connecting parts. Additionally, many of these devices are developed to allow in situ adjustment of the pressure cuff since constant urethral pressure is the likely cause of urethral atrophy and subsequent erosion.

**FlowSecure**

An adjustable AUS named the FlowSecure (Sphinx Medical, Bellshill, Scotland) has been undergoing trials predominately in the UK. Developed in 2006, it functions similarly to the AUS in that it has a PRB, pump, and cuff; however, the FlowSecure has an additional “stress-relieving balloon” and comes as a one-piece device.72,73 The idea of the FlowSecure is to decrease the magnitude of constant urethral pressure in the hope that this will decrease the erosion rates. It has two separate balloons, one that keeps the cuff inflated at low pressures and another which increases pressure to the cuff in response to an increase in intra-abdominal pressure.74 The “stress relieving balloon” allows the cuff to rest at a lower baseline pressure exerted by the PRB by providing intermittent increases in pressure to the urethra that is administered when there is an increase in intra-abdominal pressure. Additionally, the pressure of the FlowSecure can be adjusted by injecting or removing saline transscrotally through the pump, allowing individualization of the pressure according to the individual patient’s SUI severity. Although initial results in nine patients appeared promising,72 in another study of 100 patients who underwent placement of the FlowSecure, 28% underwent explantation of the device for early and late infections, perforation of the pump at pressurization and mechanical failure.75 An additional disadvantage is that patients require multiple pressurization procedures, typically three before reaching an adequate pressure.73

**Periurethral constrictor**

The periurethral constrictor (PUC; Silimed, Rio de Janeiro, Brazil) was initially designed in 1996 for pediatric patients. However, its use has been reported in adults with PPI. The PUC is an adjustable hydraulic system that includes the constrictor connected to a valve that is placed subcutaneously in the lower abdomen where it can be accessed and punctured with a needle. Patients do not need to mechanically pump the PUC and typically must void abdominally. Although initial reports were not as robust,76 the most recent report of 62 patients with at least 18-month follow-up indicates a continence rate of 79%.77

**Zephyr**

The Zephyr or ZSI 375 is an AUS produced by Zephyr Surgical Implants (Geneva, Switzerland), a Swiss–French company. It is a hydraulic-based system that is implanted as a single unit via two separate incisions. The cuff is placed via a perineal incision, and the pump is placed in a subdartos pouch within the scrotum. The pump is adjustable in situ so that urethral pressure may be adjusted as needed to improve continence. In a series of 34 patients with SUI, “social continence” was achieved in 94.2%. Two devices (5.8%) were explanted because of infection. Longer follow-up is needed to determine the durability of the device and the rates of explantation due to urethral atrophy or erosion.78

**Tape mechanical occlusive device**

A new artificial sphincter, the Tape Mechanical Occlusive Device (TMOD; GT Urological, Minneapolis, MN, USA) is currently under development.79 Rather than rely on a hydraulic mechanism such as the AMS 800, TMOD uses a spring-loaded mechanism to apply circumferential pressure around the urethra. This device is also a one-piece device that should facilitate implantation. The control is an ON and OFF switch, which patients should find easier to control than the pump of the current AMS 800. At this time, the TMOD has only been studied in a canine model and human cadavers. These studies have demonstrated that the device provides occlusive...
pressure when activated in the 50–80 cmH₂O range. Thus, far it has proven to be technically feasible and biocompatible. Live human clinical trials are to follow.

Various new devices are under development. None are currently approved for use in the US. Most of the devices at this time require further investigation, need to be implanted in a greater number of patients, and need longer follow-up to determine their durability and long-term rates of complications. An advantage of most of the new devices is that they are purportedly less expensive than the AMS 800.

**Conclusion**

Despite the wide variation in results and heterogeneity of studies, one can surmise that the AUS has been a highly effective surgical solution for many patients suffering from moderate to severe SUI and has significantly improved the quality of life for many patients. However, given the current mechanism inherent to the function of the AUS which is via urethral compression, concerns for urethral atrophy and erosion arise from potentially decreased perfusion of the affected urethral segment. Additionally, with time, mechanical failure of the device may occur. Therefore, a significant percentage of patients will require a revision, which increases with longer follow-up. Various techniques and strategies have been developed over the years to successfully handle these clinical scenarios. While we continue to await the outcomes of newer devices under development, the AMS 800 model currently remains the gold standard.

**Disclosure**

The authors report no conflicts of interest in this work.

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