

# Randomized controlled trials – a matter of design

Peter Markus Spieth<sup>1,2</sup>  
 Anne Sophie Kubasch<sup>3</sup>  
 Ana Isabel Penzlin<sup>4</sup>  
 Ben Min-Woo Illigens<sup>2,5</sup>  
 Kristian Barlinn<sup>6</sup>  
 Timo Siepmann<sup>2,6,7</sup>

<sup>1</sup>Department of Anesthesiology and Critical Care Medicine, University Hospital Carl Gustav Carus, Technische Universität Dresden, <sup>2</sup>Center for Clinical Research and Management Education, Division of Health Care Sciences, Dresden International University, <sup>3</sup>Pediatric Rheumatology and Immunology, Children's Hospital, University Hospital Carl Gustav Carus, Technische Universität Dresden, <sup>4</sup>Institute of Clinical Pharmacology, University Hospital Carl Gustav Carus, Technische Universität Dresden, Dresden, Saxony, Germany; <sup>5</sup>Department of Neurology, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA, USA; <sup>6</sup>Department of Neurology, University Hospital Carl Gustav Carus, Technische Universität Dresden, Dresden, Saxony, Germany; <sup>7</sup>Radcliffe Department of Medicine, John Radcliffe Hospital, University of Oxford, Oxford, Oxfordshire, UK

Correspondence: Timo Siepmann  
 Department of Neurology, University Hospital Carl Gustav Carus, Technische Universität Dresden, Fetscherstr 74, 01307 Dresden, Saxony, Germany  
 Tel +49 351 458 3565  
 Fax +49 351 458 4365  
 Email timo.siepmann@uniklinikum-dresden.de

**Abstract:** Randomized controlled trials (RCTs) are the hallmark of evidence-based medicine and form the basis for translating research data into clinical practice. This review summarizes commonly applied designs and quality indicators of RCTs to provide guidance in interpreting and critically evaluating clinical research data. It further reflects on the principle of equipoise and its practical applicability to clinical science with an emphasis on critical care and neurological research. We performed a review of educational material, review articles, methodological studies, and published clinical trials using the databases MEDLINE, PubMed, and [ClinicalTrials.gov](http://ClinicalTrials.gov). The most relevant recommendations regarding design, conduction, and reporting of RCTs may include the following: 1) clinically relevant end points should be defined a priori, and an unbiased analysis and report of the study results should be warranted, 2) both significant and nonsignificant results should be objectively reported and published, 3) structured study design and performance as indicated in the Consolidated Standards of Reporting Trials statement should be employed as well as registration in a public trial database, 4) potential conflicts of interest and funding sources should be disclaimed in study report or publication, and 5) in the comparison of experimental treatment with standard care, preplanned interim analyses during an ongoing RCT can aid in maintaining clinical equipoise by assessing benefit, harm, or futility, thus allowing decision on continuation or termination of the trial.

**Keywords:** randomized clinical trials, RCT, validity, study design, CONSORT

## Introduction

With respect to study design, randomized controlled trials (RCTs) as well as analysis of quantitatively synthesized RCT data are considered the gold standard for evaluating efficacy in clinical research and constitute evidence for medical treatment. Thus, RCT data are guiding physicians toward evidence-based therapy. However, interpretability of RCT data can be jeopardized by systematic error (bias), random error, or limited generalizability; problems that are usually rooted in shortcomings in study design. Choosing the appropriate RCT design is pivotal to produce data that can be translated into clinical practice.<sup>1,2</sup> This review summarizes relevant aspects of design and interpretation of RCTs with the aim of providing the clinician with relevant background information when translating current research findings into clinical practice. Moreover, it reflects on the principle of equipoise, an ethical concept that is increasingly important when large multicentric studies are dominating the impact of medical science on clinical practice.

## Design of clinical trials Types and phases of studies

Clinical studies can be separated into nonexperimental or observational and experimental or RCTs. Nonexperimental research include case reports, case series, cross-sectional, and prospective observational studies, such as case-control and cohort studies. These types



of research studies often generate important insights but cannot provide causal inferential value. RCTs may result in high-quality data, enabling the description of causal relationships, and thus forms the basis of evidence-based medicine.<sup>3,4</sup>

From the methodological point of view, observational studies are investigating both, the exposure and the outcome, whereas experimental studies are observing the outcome of an assigned exposure. The major advantage of RCTs is the straightforward investigation of cause–effect relationships with minimal bias and confounding factors.

In RCTs, a predefined study sample is built out of the target population (eg, patients with the respective diagnosis) and randomly assigned to different groups (eg, standard treatment or placebo vs new treatment). The observed effects of investigational treatments at defined time points constitute predefined end points.

Clinical trials are commonly classified into phases. Each phase is characterized by its design and sample size. Phase I trials usually test the interventions in healthy volunteers and aim to address safety issues as well as pharmacokinetics and dose–response characteristics. Phase II trials are designed to determine the evidence of activity or optimal dosage. Phase III trials are usually pivotal studies designed to provide data for approval by authorities testing new interventions either against placebo or against standard treatment for superiority or noninferiority, respectively. Phase IV studies assess long-term safety data and are often conducted to receive approval for expanded indications after initial approval of the intervention. Although there is a considerable variability in timing and number of patients enrolled in the different study phases, a rule of thumb is that Phase I studies enroll up to 100 healthy volunteers over a period of up to 2 years, Phase II usually up to 300 patients up to 3 years, and Phase III >1,000 patients for 3–4 years.<sup>5,6</sup> For drug development studies, Phase III trials are often classified as Phase IIIa (before submission for approval authorities) and IIIb (after approval).

## Research question and hypothesis

Designing an RCT starts with the development of a clinically relevant research question. Depending on the research question, the underlying hypothesis will commonly either aim at superiority (comparative trial) or at noninferiority (equivalence trial) of one intervention vs another.<sup>7,8</sup> The intention behind a superiority trial is that Intervention A is superior to Intervention B, whereas noninferiority trials are designed to prove that the new treatment is at least as good as the standard therapy in terms of efficacy but offers other advantages such as lower costs, lower toxicity, improved side

effect profile, or improved forms of administration compared to the standard of care. Although straightforward on the first approach, the validity of noninferiority trials is sometimes jeopardized by the lack of efficacy of the standard treatment as well as the appropriate choice of noninferiority margins. The noninferiority margin represents a prespecified acceptable inferiority, which represents the least clinically relevant difference among groups, and preserves superiority when compared to placebo treatment. The noninferiority margin has to be defined a priori and determines the sample size of the trials as well as the objective of the trial.

## Common study designs

Parallel and crossover designs are the two standard designs for RCTs.<sup>3,9</sup> Following randomization, subjects will be assigned either to receive Intervention A or B (or C, D, E, etc) throughout the entire study period (parallel design), or subjects are first treated with Intervention A followed by Intervention B and vice versa (crossover design). Crossover trials can be powerful, since every individual serves as their own control, thus variability due to interindividual differences is excluded.<sup>9,10</sup>

Whereas randomization is a powerful tool to ensure validity in parallel-designed studies, special precautions have to be considered in crossover studies to avoid or at least account for possible carryover effects. Carryover effects are defined as effects that “carry over” from one condition, eg, exposure or treatment, to another. Besides randomization of the treatment sequence, wash-in and wash-out periods of appropriate length are commonly used in crossover studies to avoid carryover effects. If more than two interventions are compared in a crossover study, the latin square design can be used. A latin square design describes a specific ( $n \times n$ ) matrix filled with one symbol, each representing one intervention, in each row and column, vice versa. Latin square sequences are generated to ensure that every intervention is followed or preceded just once by any other intervention tested. The assumption behind the latin square design is that if there are carryover effects, they are better controlled than by simple randomization because of the fixed sequence generated.

To test for treatment effects of combined interventions, factorial study designs have been proposed where individuals are randomly assigned to receive two or more interventions.<sup>10,11</sup> The factorial study design increases the study efficiency because it allows for assessment of multiple interventions within the same trial. Factorial designs allow for testing the effects of each factor on the response variable as well as the effects of the interacting factors on the response variable.

## Validity of clinical trials

There have been quality indicators defined for RCTs, and these indicators should be considered in both design and reporting of RCTs.<sup>5,8,12</sup> These quality indicators include internal and external validity.

### Internal validity

High internal validity means that the differences observed between groups are related to the intervention tested in the trial. This means, for example, that the reduction in mortality in the study population is really attributed to the intervention and not to other factors, such as age, sex, or comedications. The internal validity of a clinical trial is directly related to appropriate design, conduction, and reporting of the study. The two main threats to internal validity are bias and random error. Bias hereby refers to a systematic error that leads to a systematic deviation of the results from the truth due to flaws in the design, conduction, or reporting of the trial.<sup>5,8,13</sup> Typical sources of bias are flaws in collection, statistical analysis, or interpretation of study data. Consequently, the true difference between study groups may be either under- or overestimated.

The four main sources of bias in clinical trials are selection bias, performance bias, detection bias, and attrition bias.<sup>14,15</sup> Selection bias is usually controlled by randomization. The main goal of randomized trials is therefore to assure that each individual has an equal probability to be assigned to one or the other treatment. Randomization also allows to balance known and unknown confounders in order to make control and treatment groups as balanced as possible. Practically, this can be realized by random allocation (eg, by using opaque envelopes, allocation tables, or computer-based random number generators).<sup>8,16</sup> Allocation concealment is another key factor for successful randomization, this concept means that all people who are involved in the recruitment process cannot predict the next group assignment.<sup>17,18</sup> Allocation concealment prevents specific patients from being assigned to one or the other group by the investigator, possibly resulting in dysbalance or systematic exaggeration of study results. Allocation concealment can be realized by separating the person who is generating random allocation and the person who is recruiting patients. In addition, performance bias occurs if there is insufficient adherence to the study protocol either by the participant or the investigator. Although data on adherence are important for the interpretation of RCTs, they are usually underreported.<sup>7</sup>

Detection bias refers to systematically different outcome assessments among study groups. Detection bias may

occur if the outcome assessment is affected by the group membership of the assessed individual. The risk of detection bias may increase with close subject or assessor interaction or increased margin of discretion by the assessor. For both performance and detection bias, the major source is the individual perception of the investigator about the advantages or disadvantages of the different investigational treatments. The most important measure to reduce these sources of bias is the blinding of the investigators including the study physician. While balancing for possible confounders is easy in large RCTs, design of smaller trials should employ techniques such as block randomization or a priori stratification to reduce the risk of dysbalanced groups due to confounders. However, stratification techniques must be defined a priori and are associated with special requirements regarding the statistical analysis. Typical examples of confounders in RCTs are sex, age, and severity of disease. Another way to address confounding is to employ multivariable analysis methods to adjust for the effects of confounders.<sup>1,19</sup> It is worth noting that adjusting for confounders needs to be done before randomization since postrandomization adjustment for covariates jeopardizes the effects of randomization. Performance bias is the consequence of systematic intergroup differences in the investigational intervention or of exposure factors that are not related to the intervention.<sup>20</sup> This form of bias can be avoided through blinding. Blinding can be single-blind (if just the patient or the assessor do not know the group assignment), double-blind (if both, the assessor and the patient do not know the group assignment), and triple-blind (if additionally, the person who performs the statistical analysis is not aware of the group assignment). Blinding techniques are frequently limited when investigational new medical devices or procedures are tested, as these can usually be easily distinguished from control conditions. In placebo-controlled pharmacological trials, effort should be put into producing a placebo that is as similar as possible to the study drug. For safety reasons, rapid unblinding should be possible in the case of adverse events or emergencies.

Attrition bias occurs if there are systematic differences in the number of participants dropping out of the study among the study groups.<sup>3</sup> There are reasons for drop outs such as 1) participants may withdraw informed consent, 2) participants may become uncontactable, and 3) participants or investigators violate the study protocol or refuse to continue treatment for whatever reason. To control for attrition bias, most studies are nowadays analyzed according to the intention-to-treat (ITT) approach, which means all participants that have been randomized will be included in

the final analysis, regardless whether they completed the study or dropped out.<sup>10</sup> In addition to the ITT principle, the handling of missing data should also be reported. There are different types of missing data. Data missing completely at random means that the lost data are independent from observable variables and unobservable parameters of interest; therefore, the remaining subjects with full data sets are still fully randomized from the sample population, and the further analysis will not be biased by the missing data. Missing at random describes a situation where the missing data are not random but cannot be related to a mechanism depending on unobserved data. Missing not at random means that data are neither missing completely at random nor missing at random, for example, when the missing data are related to the reason why this data are missing. There are different ways to handle missing data in clinical trials such as the last observation carried forward method, multiple imputation techniques, or mixed methods in case of missing values within repeated measures.<sup>10</sup>

Although bias can be voluntarily reduced by appropriate study design and good clinical practice, the chance of random error remains due to the intrinsic variability of the measured data by pure chance. The most feasible way to improve the estimate of random error is to recruit a sufficient number of subjects<sup>21</sup> according to a sample size calculation or power analysis.<sup>5</sup> Random error can be divided into two types of errors with respect of hypothesis testing. Type I error refers to the probability of drawing a false-positive conclusion by incorrect rejection of a true null hypothesis, whereas Type II error refers to the probability of a false-negative conclusion by rejecting a false null hypothesis.<sup>5</sup> In a simplified way, Type I error describes the phenomenon of detecting a difference that is not really present, whereas a Type II error leads to neglecting an effect that is really present. Given these facts, it become obvious that sample size calculation needs to be performed a priori.<sup>5,13</sup>

## External validity

External validity describes the extent to which the results of an RCT can be generalized into clinical practice and the general population.<sup>14</sup> This means, for example, if an RCT showed that the antihypertensive Drug A is able to reduce the mortality in the study sample of hypertonic patients as compared to placebo, Drug A can also reduce the mortality in all hypertonic patients. It is worth noting that internal validity of a study is the prerequisite of its external validity since incorrect data due to missing internal validity can, per se, not be applied to the general population.<sup>8</sup> Even if internal

validity is assumed, insufficient external validity may reduce the clinical relevance of an RCT.<sup>17</sup> The study sample of an RCT is defined as the patients enrolled in the trial out of the population of theoretically accessible patients (target population). Practically, the target population represents all patients with the disease that should be studied, whereas the accessible population includes all patients who could be screened. Finally, the study sample is constituted by all patients who have been successfully enrolled into the trial. By carefully setting inclusion and exclusion criteria, specific study samples can be selected, but it also bears the risk of jeopardizing generalizability. Van Spall et al<sup>19</sup> reviewed the eligibility criteria of RCTs published in high-impact general medical journals and showed that exclusion criteria are often not well reported and that women, children, and elderly patients are commonly excluded from RCTs.

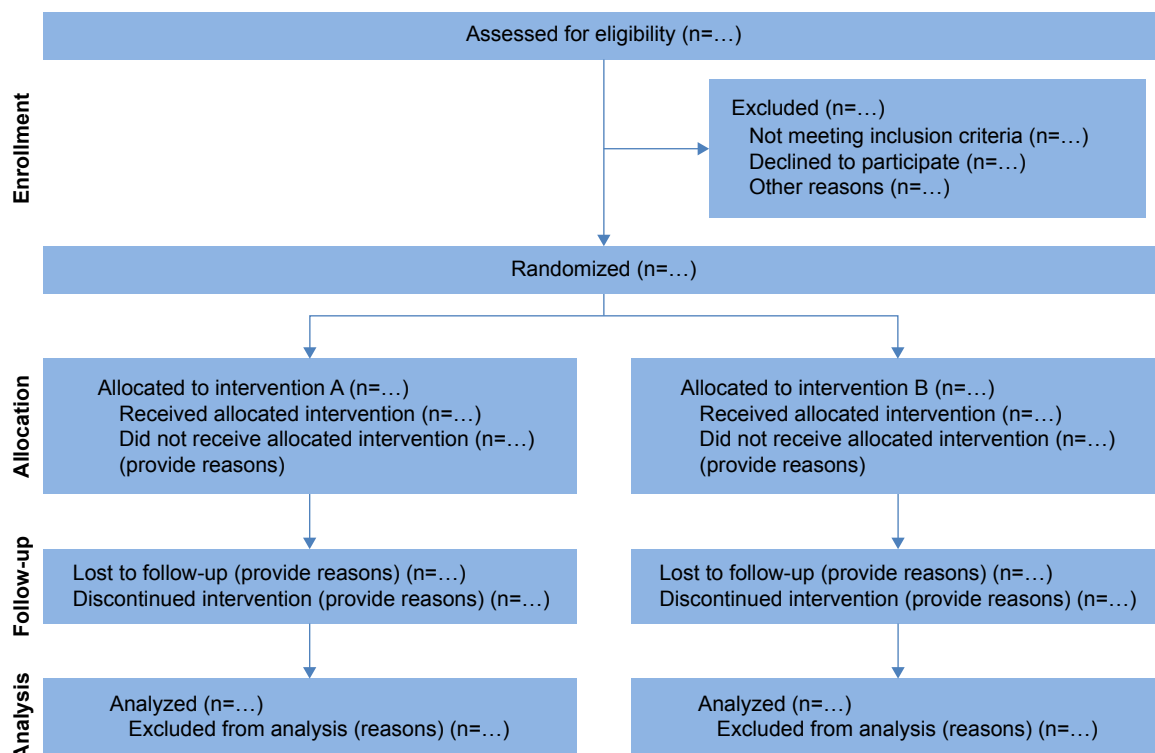
## Reporting of RCT data

In 1996, the Consolidated Standards of Reporting Trials (CONSORT) were introduced to improve the reporting of RCT data and enhance the quality of newly designed clinical trials.<sup>22</sup> The CONSORT statements have been revised and updated twice since then to adapt for new methodological evidence and for the implementation of additional experiences.<sup>2,12,13</sup> The CONSORT 2010 statement provides a template for the flow diagram of the study process (Figure 1) and a 25-item checklist (Table 1) of information that should be reported in both groups in parallel randomized trials.<sup>4,13,23</sup>

Additional extensions and modifications have been published for cluster-randomized trials,<sup>8,24</sup> noninferiority and equivalence trials,<sup>25–27</sup> nonpharmacological treatments,<sup>9,28</sup> herbal interventions,<sup>9,29</sup> and pragmatic trials.<sup>11,30</sup> Nowadays, the majority of medical journals state in their authors instructions that reporting of clinical trial data must be in accordance with the CONSORT statements and that illustration of the sequence of study procedures must comply with the CONSORT flow chart design (Figure 1) to be considered for publication. Thus, both the CONSORT statements and the CONSORT flow chart have become a widely used standard in scientific medical publishing.

To improve transparency of clinical trials, databases such as [ClinicalTrials.gov](http://ClinicalTrials.gov) have been founded to track changes between the planned and the published study and to keep researchers updated about ongoing clinical trials. In these databases, studies have to be registered before the enrollment of the first patient. The majority of medical journals ask for the registration in a study database as a prerequisite for





**Figure 1** Flow diagram for parallel randomized trials comparing two groups.

**Notes:** According to the CONSORT statement, the different phases of a randomized controlled trial can be separated into enrollment, allocation, follow-up, and data analysis. These phases should be described exactly with the respective number of patients to provide a quick and simple overview of the study process. Reproduced from Schulz KF, Altman DG, Moher D, et al. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. *Trials*. 2010;11:32,<sup>13</sup> with permission of BioMed Central.

**Abbreviation:** CONSORT, Consolidated Standards of Reporting Trials.

publication.<sup>11,31</sup> However, there are still numerous clinical trials without complying to these standards published, especially since many of the journals, editors, and reviewers are not reinforcing the strict compliance with these quality assurance measures.

Furthermore, the manuscript should be written in a clear and concise way, there should be a strict separation between results and interpretation, and authors should not overestimate their results, especially in the absence of statistical significance. Potential conflict of interest of each author should be clearly disclaimed, and funding sources should be identified.<sup>6,32</sup>

## Interpretation of RCT data

After assessing internal and external validity by checking adequate randomization, complete reporting of data and interventions, blinding if applicable, and selection of meaningful end points, one should assess the validity of the primary statistical analysis. This means first to assess, if an ITT analysis was performed and how missing data were handled.

If an efficacy analysis is performed after excluding certain subjects, the exclusion criteria should have been defined a priori.

It is worth noting that an efficacy analysis should be considered hypothesis generating, and their results should be confirmed in subsequent RCTs. In case of a subgroup analysis, it is necessary to assess whether the subgroups have been defined a priori and whether they are defined by baseline characteristics to consider this analysis valid as confirmatory analysis. If one of these two prerequisites is not fulfilled, the subgroup analysis should be viewed solely as a hypothesis-generating technique warranting further confirmation in a subsequent RCT.

Harhay et al<sup>33</sup> reviewed 146 RCTs in the field of intensive care that have been published between 2007 and 2013 in 16 high-impact or critical care journals.<sup>8,12</sup> The authors concluded that most RCTs in intensive care have “negative” results and are powered according to unrealistic treatment effects, particularly if mortality was used as primary end point.

Even if RCTs reporting a statistically significant difference, estimates of the magnitude of these effects should be provided to guide the reader if these differences are clinically meaningful. Among the numerous ways how this could be performed, absolute risk reduction (ARR) and relative risk reduction (RRR) as well as the calculation of the number needed to treat (NNT) are commonly used. ARR is calculated

**Table 1** CONSORT 2010 checklist of information to include when reporting a randomized trial

Section/topic	Item number	Checklist item
Title and abstract	1a	Identification as a randomized trial in the title
	1b	Structured summary of trial design, methods, results, and conclusions
Introduction	2a	Scientific background and rationale
	2b	Specific objectives or hypothesis
Methods		
Trial design	3a	Description of trial design (eg, parallel, factorial) including allocation ratio
	3b	Important changes to methods after trial commencement including reasons (eg, eligibility criteria)
Participants	4a	Eligibility criteria
	4b	Settings and locations where the data were collected
Interventions	5	Description of interventions with sufficient details to allow repetition (eg, dosage, timing, etc)
Outcomes	6a	Definition of prespecified primary and secondary outcome measures including their assessment
	6b	Any changes to trial outcomes after the trial commenced with reasons
Sample size	7a	How sample size was determined
	7b	Explanation of interims analysis or stopping guidelines when applicable
Randomization		
Sequence generation	8a	Method used to generate the random allocation sequence
	8b	Type of randomization, details on restrictions (eg, blocking and block sizes)
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (eg, sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned
Allocation concealment implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions
Blinding	11a	If done, who was blinded after assignment to interventions (eg, participants, care providers, outcome assessors) and how
	11b	If relevant, description of the similarity of interventions
Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes
	12b	Methods for additional analyses, such as subgroup or adjusted analyses
Results		
Participants flow	13a	For each group, the number of participants who were randomly assigned received intended treatment and were analyzed for the primary outcome
	13b	For each group, losses and exclusions after randomization with respective reasons
Recruitment	14a	Dates defining the periods of recruitment and follow-up
	14b	Why the trial was ended or stopped
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group
Numbers analyzed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned group
	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (eg, 95% confidence intervals)
Outcomes and estimation	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended
	18	Results of any other analysis performed, including subgroup and adjusted analyses, distinguishing prespecified from explanatory
Ancillary analysis	18	Results of any other analysis performed, including subgroup and adjusted analyses, distinguishing prespecified from explanatory
Harms	19	All important harms or unintended effects in each group
Discussion		
Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if applicable, multiplicity of analyses
Generalizability	21	Generalizability (external validity, applicability) of the trial findings
	22	Interpretation consistent with results, balancing harms and benefits, and considered other relevant evidence
Other information		
Registration	23	Registration number and name of trial registry
Protocol	24	Where the full trial protocol can be accessed, if available
Funding	25	Sources of funding and other support (eg, supply of drugs), role of funders

**Notes:** Reproduced from Schulz KF, Altman DG, Moher D, et al. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. *Trials*. 2010;11:32,<sup>13</sup> with permission of BioMed Central. It may be instrumental in critically assess manuscripts of randomized controlled trials and support designing a study protocol.

**Abbreviation:** CONSORT, Consolidated Standards of Reporting Trials.

by subtracting the risk of one treatment by the risk for the other treatment. For example, in the Acute Respiratory Distress Syndrome Network (ARDSnet) trial comparing lower and traditional tidal volumes in ARDS patients,<sup>8,34</sup> the risk

of death before discharge was 31% in the low tidal volume group and 39.8% in the group receiving higher tidal volumes. Therefore, the ARR was 8.8% favoring low tidal volume ventilation. The RRR is calculated as the ratio of the relative

risk of the treatment group (RR, eg, ratio of both mortality rates) divided by 1 (the relative risk of the control group is by definition 100%). In the example of the ARDSnet study, the RRR can be calculated as  $(31/39.8) - 1 = -0.22$ , representing a RRR of 22% for the low tidal volume group compared to traditional tidal volumes. The RRR frequently leads to overestimation of the treatment effects, so usually the ARR should be reported whenever possible.<sup>15,35</sup> The NNT is derived directly from the ARR and is calculated by dividing 1 by the ARR. In the example of the ARDSnet trial, the NNT would be  $1/0.088 = 11.4$ , which means that 11.4 patients needed to be ventilated with low tidal volumes to experience one patient with an additional response, in this case survival.

Although RRR, ARR, and NNT can provide information about the magnitude of the treatment effect, they are strongly related to the variability of the measured parameter and the sample size. Therefore, confidence intervals should be reported to provide information about the accuracy of the findings.<sup>16,36</sup> In the ARDSnet study, the 95% confidence intervals for the difference in mortality between groups were 2.4% and 15.3%.<sup>18,34</sup> This means that with a certainty of 95%, the difference in mortality (ARR) between the lower and higher tidal volume groups was between 2.4% and 15.3%.

## Principle of equipoise

Depending on the predefined clinical research question and statistical considerations, RCTs are frequently designed to determine superiority, noninferiority, or equivalence of a new (experimental) intervention relative to an established standard-of-care treatment.<sup>7,8</sup> Before randomly assigning patients to one or more of the competing study arms, investigators involved in both design and conduction of clinical trials need to be free of any treatment preferences, which means there is genuine uncertainty about the best treatment regimen for the disease of interest.<sup>37,38</sup> This so-called principal of equipoise constitutes an ethical prerequisite for conducting an RCT. However, clinical investigators commonly face the moral dilemma where emerging data (eg, arising from preceding Phase II trials) provide a strong signal of efficacy for an experimental treatment, and the existing standard-of-care treatment, although being considered efficacious, is in need of improvement due to only minor impacts on an otherwise unfavorable course of a disease.

For instance, basilar artery occlusion is a devastating disease leading to death in up to 90% of untreated acute ischemic stroke patients, and there is still uncertainty about its preferred treatment regimen.<sup>39</sup> Intravenous thrombolysis with tissue plasminogen activator constitutes the only approved

therapy for acute ischemic stroke that prevents patients from permanent disability and death when initiated within 4.5 hours from symptom onset.<sup>40</sup> Recanalization rates, however, are low, particularly in patients suffering from major occlusions, and majority of patients with basilar artery occlusion do not clinically benefit from intravenous thrombolysis.<sup>39,41</sup> On the other hand, endovascular treatment of acute ischemic stroke results in remarkably higher recanalization rates than intravenous thrombolysis, and recently published RCTs have shown strong treatment effects on clinical outcomes in patients treated interventionally for anterior circulation stroke (ie, excluding basilar artery occlusion).<sup>42</sup> The current body of scientific knowledge on treatment of basilar artery occlusion (ie, mostly based on meta-analyses of observational studies and registries), however, does not favor one treatment regimen over the other – while intravenous thrombolysis can be initiated in any acute stroke-ready hospital in a timely manner (which is crucial for favorable outcomes), higher recanalization rates achieved with endovascular therapy may be counterbalanced by procedural delays, resulting in longer times to reperfusion.<sup>43–45</sup> Consequently, current lack of scientific evidence for acute treatment of basilar artery occlusion justifies null hypothesis testing in this context and meets ethical requirements for clinical equipoise, and meanwhile, a large RCT has been initiated to properly address this question.<sup>46,47</sup> Nevertheless, from a clinician investigator's standpoint and with knowledge of the aforementioned RCT results on endovascular treatment for anterior circulation stroke, personal equipoise may become compromised when withholding endovascular therapy for basilar artery occlusion and will lead to significant moral objections. Once there is no longer clinical or personal equipoise, continuation of and contribution to an RCT should be reconsidered, otherwise serious biases may be introduced (eg, selection bias – less severely affected stroke patients with basilar artery occlusion are more likely cleared for randomization than more severely affected patients).<sup>38</sup>

Preplanned interim analyses at certain time points or recruited sample sizes during an ongoing RCT aid in maintaining clinical equipoise.<sup>48</sup> Trial data are analyzed for benefit, harm, or futility, and decisions on continuation or termination of the trial will be made by an independent data safety monitoring board according to clinical equipoise (eg, large effect size suggests superiority of one treatment over the other and clinical equipoise no longer exists), among others. However, it is worth noting that when repeated significance testing on accumulating data is performed, adjustment of the hypothesis testing procedure is necessary to maintain the overall significance level.

## Limitations

Although a systematic review of the literature was performed, this article is influenced by personal opinion and the individual experience of the authors. Owing to the diversity of clinical research, this article offers an overview over commonly used clinical research scenarios but may not cover every aspect of clinical trial design.

## Summary of recommendations

In RCTs, the research question should be scientifically relevant and clearly stated. Randomization and stratification techniques should be employed as well as the use of placebo control or blinding whenever possible to reduce the risk of bias. Clinically relevant end points should be defined a priori, and an unbiased analysis and report of the study results should be warranted. Both significant and nonsignificant results should be objectively reported and published. Structured study design and performance as indicated in the CONSORT statement should be employed, as well as the registration of the RCT in a public trial database. Besides careful conduction and interpretation of the study, potential conflict of interests and funding sources should be disclaimed. Finally, the principle of equipoise should be met prior to and during the conduction of an RCT.

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## Disclosure

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