

# Impact of combined pulmonary fibrosis and emphysema on surgical complications and longterm survival in patients undergoing surgery for non-small-cell lung cancer

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Purpose: The outcome of radical surgery for lung cancer was investigated in patients with combined pulmonary fibrosis and emphysema (CPFE).

Methods: A retrospective chart review involved 250 patients with lung cancer who underwent pulmonary resection at Tokyo Women's Medical University Yachiyo Medical Center between 2008 and 2012. Based on the status of nontumor-bearing lung evaluated by preoperative computed tomography (CT), the patients were divided into normal, emphysema, interstitial pneumonia (IP), and CPFE groups, and their clinical characteristics and surgical outcome were analyzed.

Results: The normal, emphysema, IP, and CPFE groups comprised 124 (49.6%), 108 (43.2%), seven (2.8%), and eleven (4.4%) patients, respectively. The 5-year survival rate of the CPFE group (18.7%) was significantly lower than that of the normal (77.5%) and emphysema groups (67.1%) (P < 0.0001 and P = 0.0027, respectively) but equivalent to that of the IP group (44.4%) (P=0.2928). In a subset analysis of cancer stage, the 5-year overall survival rate of the CPFE group in stage I (n=8, 21.4%) was also lower than that of the normal group and emphysema group in stage I (n=91, 84.9% and n=70, 81.1%; P < 0.0001 and P < 0.0001, respectively). During entire observation period, the CPFE group was more likely to die of respiratory failure (27.2%) compared with the normal and emphysema groups (P<0.0001). Multivariate analysis of prognostic factors using Cox proportional hazard model identified CPFE as an independent risk factor (P=0.009).

Conclusion: CPFE patients have a poorer prognosis than those with emphysema alone or with normal lung on CT finding. The intensive evaluation of preoperative CT images is important, and radical surgery for lung cancer should be decided carefully when patients concomitantly harbor CPFE, because of unfavorable prognosis.

**Keywords:** combined pulmonary fibrosis and emphysema, lung cancer, surgical treatment, long-term outcomes

#### Introduction

Combined pulmonary fibrosis and emphysema (CPFE) is a clinical syndrome defined by upper lobe emphysema and lower lobe pulmonary fibrosis on computed tomography (CT).1,2

A previous report found that 8.9% of lung cancer patients had CPFE, and these patients had a poorer prognosis than those with emphysema alone. <sup>3</sup> However, the impact of CPFE on the outcomes of lung cancer surgery has not been extensively investigated.<sup>4</sup>

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The purpose of this study was to investigate the incidence of postoperative morbidity and mortality in patients with CPFE and lung cancer who underwent lung cancer surgery and to clarify the impact of the preoperative existence of CPFE on long-term survival after pulmonary resection for lung cancer.

# Patients and methods **Patients**

This study was approved by the Institutional Review Board of Tokyo Women's Medical University, and informed consent was waived as the study was retrospective. The study population consisted of 250 consecutive patients with lung cancer who underwent pulmonary resection between April 2008 and January 2012 at Tokyo Women's Medical University Yachiyo Medical Center. Clinical data were collected from our institutional cancer registry database and from outpatient follow-up visits. The records contained preoperative patient characteristics, disease status, operative procedures, postoperative complications, pathological diagnosis, and follow-up data.

Based on the preoperative chest conventional CT or highresolution CT, patients were divided into the following four groups: CPFE, emphysema, interstitial pneumonia (IP), and normal. CPFE was defined by the following CT findings: the combination of bilateral positive findings of a low attenuation area, which indicated 2 points or higher by the Goddard classification score<sup>5</sup> in the upper field, and basal pulmonary fibrosis.1 The emphysema group was defined by diffuse bilateral positive findings of low attenuation area without an interstitial shadow on CT. The IP group was defined by the interstitial shadow without emphysema. The interstitial shadow was defined as fibrosis, subpleural curvilinear traction, bronchiolectasis, ground-glass opacities, and consolidation on CT findings. 6 The remaining patients were categorized as the normal group. Of the 250 patients, eleven (4.4%) were categorized as the CPFE group, 108 (43.2%) as the emphysema group, and seven (2.8%) as the IP group. The remaining 124 patients (49.6%) were categorized as the normal group.

Preoperative evaluation for all patients included a detailed medical history, physical examination, blood and urine examinations, and a 12-lead electrocardiogram. Use of incentive nebulizers using distilled water with or without bronchodilator was routinely encouraged for enhancing lung expansion and airway clearance for ~1 week after surgery. Neoadjuvant or adjuvant therapy, consisting of platinum agent-based chemotherapy and radiation, was prescribed mainly for the patients who had locally advanced disease or multiple nodal

involvement or who underwent incomplete resection. The postoperative morbidities were considered as those occurring within 30 days from the operation or during a longer period if the patient was still in the hospital. These included bacterial pneumonia (confirmed by infiltrative shadows on chest radiograph, positive sputum culture, body temperature  $\geq 37.5^{\circ}$ C, and white blood cell count >10,000/μL), acute lung injury (aggravation of dyspnea on exertion, deterioration of respiratory function as indicated by arterial blood gas analysis, and diffuse interstitial abnormalities compatible with acute IP or exacerbation of IP in the CPFE group on chest radiograph and CT), mechanical ventilation for >3 days, bronchial stump dehiscence, empyema and bronchial fistula (positive bacterial infection of a pleural effusion), tracheostomy, and postoperative home oxygen therapy for patients with partial pressure of oxygen ( $PaO_2$ ) < 55 mmHg at rest or < 60 mmHg on exercise at the time of hospital discharge.<sup>7</sup>

After discharge from the hospital, patients visited our outpatient clinic regularly every 1-6 months, unless the tumor recurred or the patient had any health problems. The overall survival was analyzed for each group of patients.

## Statistical analysis

Data were analyzed using JMP11 software (SAS Institute Inc., Cary, NC, USA). To compare differences between the CPFE group and the other three groups, the Tukey-Kramer test was used to analyze continuous variables, and the Pearson's chi-square test was used for categorical variables. Survival curves were estimated using the Kaplan-Meier method, and differences in survival times between the CPFE group and the other three groups were calculated by the log-rank test. The Cox proportional hazards regression analysis was used to estimate the hazard ratio and the 95% confidence interval of each factor. Univariate Cox regression analysis model was used to identify significant factors. Multivariate Cox regression analysis model, with significant factor which had P<0.05 in univariate analysis, was used to identify prognostic factors.

#### Results

# Preoperative patient characteristics

The preoperative patient characteristics are summarized in Table 1. Males were more predominant in the CPFE and emphysema groups than in the normal group, and the smoking index was higher in the CPFE and emphysema groups than in the normal group (P < 0.05).

Although the percentage of forced vital capacity (%FVC) and forced expiratory volume in 1 second (FEV<sub>1.0</sub>)/FVC in

Table I Preoperative characteristics of lung cancer patients

Characteristics	CPFE group (n=11)	Emphysema group (n=108)	IP group (n=7)	Normal group (n=124)	P-value
Sex (M/F)	11 (100%)/0	96 (88.9%)/12	5 (71.4%)/2	62 (50%)/62	<0.0001
Age (year)	72.4±10.3	70.8±7.1	71.7±6.1	69.8±8.2	ns
BMI (kg/m²)	23.1±3.8	22.1±3.1	20.1±2.3	22.4±3.7	ns
Smoking index (pack-year)	46.8±31.7 <sup>a</sup>	57.5±31.3 <sup>a</sup>	37.9±36.6	20.4±30.1	
Pulmonary function tests					
FVC	2.90±0.69	3.30±0.67 <sup>a</sup>	2.65±0.73	2.90±0.71	
%FVC	93.7±18.4	103.8±16.1	91.5±20.5	105.5±19.7	ns
FEV <sub>1.0</sub>	2.05±0.51	3.58±10.67	2.04±0.40	2.15±0.54	ns
%FEV <sub>I.0</sub>	87.8±22.7 <sup>a</sup>	92.1±22.1 <sup>a</sup>	95.1±18.7	109.9±22.2	
FEV <sub>1.0</sub> /FVC	71.2±9.8 <sup>b</sup>	65.5±11.0 <sup>a,b</sup>	86.8±30.1 a,c	74.5±8.8 <sup>b,c</sup>	
%DL <sub>co</sub>	$71.3\pm20.8^{a}$	84.3±24.0 <sup>a</sup>	99.9±39.6	108.2±27.0°	
%DL <sub>co</sub> /V <sub>A</sub>	69.2±19.7 <sup>a</sup>	70.5±20.8 <sup>a,b</sup>	88.8±22.4°	94.4±18.2°	
PaO <sub>2</sub>	92.8±23.7	83.I±I2.6	86.3±9.9	87.9±13.3	ns
PaCO <sub>2</sub>	38.1±5.1	39.1±3.9	40.9±3.8	39.0±3.1	ns

Notes: Data are presented as mean  $\pm$  SD.  $^{2}P$ <0.05 for Tukey–Kramer test with data from normal group.  $^{5}P$ <0.05 for Tukey–Kramer test with data from IP group.  $^{5}P$ <0.05 for Tukey–Kramer test with data from emphysema group.

**Abbreviations:** CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia; M, male; F, female; BMI, body mass index; %FVC, percentage of forced vital capacity; FEV<sub>1.0</sub>, forced expiratory volume in I second; %DL<sub>CO</sub>, percentage of diffusion capacity of the lung for carbon monoxide; V<sub>A</sub>, alveolar volume; PaO<sub>2</sub>, partial pressure of arterial oxygen; PaCO<sub>3</sub>, partial pressure of arterial carbon dioxide; SD, standard deviation; ns, not significant.

the CPFE group was similar to that in the normal groups,  $\% {\rm FEV}_{\rm 1.0}$  and percentage of diffusion capacity of the lung for carbon monoxide (%DL $_{\rm CO}$ ) in the CPFE group were significantly lower than that in the normal groups.

## Perioperative characteristics

Perioperative characteristics are summarized in Table 2. Squamous cell carcinoma was predominant in the CPFE group, whereas adenocarcinoma was predominant in the

other groups (P<0.001). The type of operative procedure, pathological tumor status, pathological nodal status, and pathological staging were not different among the four groups.

## Postoperative morbidity and mortality

Postoperative pulmonary complications within 30 days and the 30-day and 90-day mortality are summarized in Table 3. Pulmonary complications occurred in 15 of 250 patients (6%)

Table 2 Perioperative characteristics of lung cancer patients

Characteristics	CPFE group	Emphysema	IP group	Normal	P-value
	(n=11)	group (n=108)	(n=7)	group (n=124)	
Types of procedure					0.959
Pneumonectomy	0 (0%)	4 (3.7%)	0 (0%)	4 (3.2%)	
Lobectomy	8 (72.3%)	87 (80.5%)	5 (71.4%)	98 (79.0%)	
Segmentectomy/	3 (27.2%)	17 (15.7%)	2 (28.5%)	22 (17.7%)	
partial resection					
Tumor size (cm)	2.86±0.68	3.24±1.94	2.28±1.06	2.71±1.83	ns
(maximal diameter)					
Histologic diagnosis					
Ad/Sq/Oth	4/7/0	51/45/12	4/2/1		< 0.001
%	36.4/63.6/0	47.2/41.6/11.1	57.1/28.5/14.2	82.2/10.4/7.2	
Pathological T status					
T1/2/3/4	5/5/0/1	49/48/10/1	3/3/0/1	72/37/8/7	0.138
%	45.4./45.4/0/9.0	45.3/44.4/9.2/0.9	42.8/42.8/0/14.2	58.0/29.8/6.4/5.6	
Pathological nodal status					
N0/1/2/3	8/3/0/0	87/8/13/0	5/1/1/0	101/12/10/1	0.569
%	72.7/27.7/0/0	80.5/7.4/12.0/0	71.4/14.2/14.2/0	81.4/9.6/8.0/0.8	
Pathological staging					
I/II/III/IV	8/2/1/0	70/23/12/3	4/1/2/0	91/13/17/3	0.589
%	72.7/18.1/9.0/0	64.8/21.3/11.1/2.7	57.1/14.2/28.5/0	73.3/10.4/13.7/2.4	

**Note:** Data presented as mean  $\pm$  SD.

**Abbreviations:** CPFE, combined pulmonary fibrosis and emphysema; ns, not significant; IP, interstitial pneumonia; Ad, adenocarcinoma; Sq. squamous cell carcinoma; Oth, other histology; SD, standard deviation.

Table 3 Postoperative pulmonary complications and mortality

	CPFE group (n=11)	Emphysema group (n=108)	IP group (n=7)	Normal group (n=124)	P-value
Pneumonia	I (9.0%)	2 (1.8%)	I (14.2%)	0 (0%)	0.004
ALI	0 (0%)	4 (3.7%)	I (I4.2%)	2 (1.6%)	0.197
Prolonged mech vent	I (9.0%)	2 (1.8%)	I (I4.2%)	0 (0%)	0.004
HOT	I (9.0%)	I (0.9%)	0 (0%)	0 (0%)	0.014
30-day mortality	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1.000
90-day mortality	I (9.0%)	3 (2.7%)	0 (0%)	0 (0%)	0.064
Causes of death					
Primary cancer	4 (36.4%)	16 (14.8%)	I (I4.2%)	12 (9.6%)	0.08
Respiratory failure	3 (27.2%)	2 (0.9%)	2 (28.5%)	I (0.8%)	< 0.0001
Others	0 (0%)	6 (5.5%)	0 (0%)	2 (1.6%)	0.315

**Abbreviations:** CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia; ALI, acute lung injury; mech vent, mechanical ventilation; HOT, home oxygen therapy.

overall and in two of eleven patients (18.2%) in the CPFE group. There is significant difference among the four groups in postoperative bacterial pneumonia. CPFE patients and IP patients had high risk of postoperative pneumonia (9.0% and 14.2%, respectively). The 30-day mortality was 0%, and the 90-day mortality was 9.0% in the CPFE group. The 90-day mortality in the CPFE group was higher than other groups, but there is no significant difference among the four groups.

## Cause of death

The causes of death in all groups are summarized in Table 3. The causes of death were divided into primary cancer, respiratory failure, and others. Death due to respiratory failure was associated with bacterial pneumonia or acute exacerbation (AE) of IP. Three CPFE patients died of respiratory failure, which was significantly different from the other three groups (P=0.0001). The frequency of respiratory failure death in CPFE was also higher than that in the emphysema group (P<0.0001) (Table 4). Two of the patients in the CPFE group experienced bacterial pneumonia or AE two or more times prior to their death. The time to AE ranged from 3 days to 1.5 years after surgery. There were no significant differences in the proportions of patients who died of their primary cancer or other causes among the four groups of patients (P=0.080 and P=0.315, respectively).

**Table 4** Comparison of cause of death between CPFE and emphysema groups

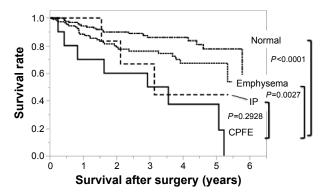
	CPFE group (n=11)	Emphysema group (n=108)	P-value
Cause of death			
Primary cancer	4 (36.4%)	16 (14.8%)	0.068
Respiratory failure	3 (27.3%)	I (0.9%)	< 0.0001
Others	0 (0%)	6 (5.6%)	0.422

Abbreviation: CPFE, combined pulmonary fibrosis and emphysema.

# Survival analyses

Figure 1 shows the overall survival after surgery. The mean follow-up period was 922 days in the CPFE group, 979 days in the emphysema group, 1,086 days in the IP group, and 1,117 days in the normal group. The cumulative survival at 3 years and 5 years was 50% and 18.7% in the CPFE group, 76.0% and 67.1% in the emphysema group, 44.4% and 44.4% in the IP group, and 85.9% and 77.5% in the normal group, respectively. Comparing with the CPFE group, there was significant difference in the normal group (P<0.0001, log-rank test) and the emphysema group (P=0.0027, log-rank test). There was no significant difference between the CPFE group and the IP group (P=0.2928, log-rank test) (Figure 1).

The disease-specific survival at 5 years was 48.6% in the CPFE group, 81.7% in the emphysema group, 80% in the IP group, and 88.6% in the normal group, respectively (Figure 2). Comparing with the CPFE group, there was also



**Figure 1** Overall survival in the four groups: Kaplan–Meier curves for OS after surgery. **Notes:** The cumulative survivals at 3 years and 5 years were 50.0% and 18.7% in the CPFE group, 76.0% and 67.1% in the emphysema group, 44.4% and 44.4% in the IP group, and 85.9% and 77.5% in the normal group, respectively. Comparing with the CPFE group, there was significant difference in the normal group (P<0.0001, log-rank test) and the emphysema group (P=0.0027, log-rank test).

**Abbreviations:** OS, overall survival; CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia.

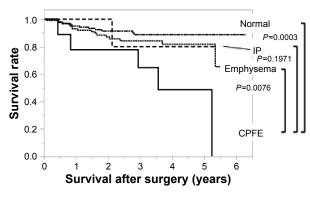


Figure 2 Disease-specific survival in the four groups: Kaplan–Meier curves for disease-specific survival.

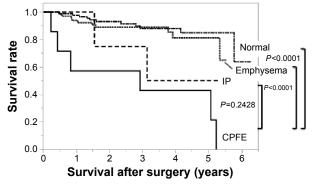
**Notes:** The disease-specific survival at 5 years was 48.6% in the CPFE group, 81.7% in the emphysema group, 80% in the IP group, and 88.6% in the normal group. Comparing with the CPFE group, there was significant difference in the normal group (P=0.0003, log-rank test) and the emphysema group (P=0.0076, log-rank test).

**Abbreviations:** CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia.

significant difference in the normal group (P=0.0003, logrank test) and the emphysema group (P=0.0076, log-rank test) but no difference in the IP group (P=0.1971).

In patients with pathological stage I disease, the cumulative survival at 5 years was 21.4% in the CPFE group, 81.1% in the emphysema group, 50% in the IP group, and 84.9% in the normal group, respectively (Figure 3). Comparing with the CPFE group, there was significant difference in the normal group (P<0.0001, log-rank test) and the emphysema group (P<0.0001, log-rank test) but no difference in the IP group (P=0.2428, log-rank test).

We also attempted to clarify risk factors for long-term overall mortality (Tables 5 and 6). Univariate analysis



**Figure 3** Overall survival in the four groups with pathological stage I disease: Kaplan–Meier curves for overall survival with pathological stage I disease.

**Notes:** The cumulative survival at 5 years was 21.4% in the CPFE group, 81.1% in the emphysema group, 50% in the IP group, and 84.9% in the normal group, respectively. Comparing with the CPFE group, there was significant difference in the normal group (P<0.0001, log-rank test) and the emphysema group (P<0.0001, log-rank test) but no difference in the IP group (P=0.2428, log-rank test).

**Abbreviations:** CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia.

identified age, sex, smoking index, %FVC, %DL $_{\rm co}$ , %DL $_{\rm co}$ , %DL $_{\rm co}$ , alveolar volume (V $_{\rm A}$ ), type of operative procedure, and pathological staging as significant factors (Table 5). Using these factors, we identified CPFE as an independent risk factor according to the Cox proportional hazard model (P=0.009). Age, %FVC, pathological stage III, and pathological stage IV were also independent risk factors (P=0.007, 0.006, 0.012, and 0.002, respectively).

### **Discussion**

The present study found that CPFE was an independent prognostic factor for patients with lung cancer undergoing surgery. The CPFE group had a poorer prognosis than any other groups in terms of overall survival. These results were consistent with a previous report.8 There was a significant difference among the four groups for respiratory failure as a cause of death but no significant difference in the rates of cancer death. However, the disease-specific survival of CPFE patients was also poorer than that of normal and emphysema groups. Kumagai et al pointed out that patients with CPFE had a high prevalence of cancer recurrence. 8 They reported poor progression-free survival after operation and poor overall survival after recurrence in lung cancer patients with CPFE who underwent surgery. They suggested that CPFE patients have risk factors for lung cancer progression, including smoking, emphysema, and fibrosis. CPFE patients often cannot undergo an optimal chemotherapy after cancer recurrence because of a poor Eastern Cooperative Oncology Group Performance Status and AE after the administration of chemotherapy. Since our study found that four of eight CPFE patients with stage I disease experienced cancer recurrence, CPFE might have a high risk of cancer recurrence, as previously reported.8 Furthermore, five CPFE patients had chemotherapy or radiation because of cancer recurrence and two of five patients died due to respiratory failure after these therapies. Therefore, careful treatment planning should be undertaken for CPFE patients with any stage of lung cancer, because surgery and chemotherapy may lead to respiratory deficiency.

Assessment of respiratory function is useful for the prediction of prognosis of CPFE patients. The results of lung function testing in patients with CPFE differed markedly from that of patients with idiopathic pulmonary fibrosis (IPF) and that of patients with emphysema. The mean values of lung volumes of CPFE patients are near normal, but the DL<sub>CO</sub> values are markedly decreased. Hyperinflation and high compliance of the emphysematous areas of the lungs probably compensate for the volume loss due to

Table 5 Univariate analysis of prognostic factors using Cox proportional hazard model

Variable	Hazard ratio	95% CI	95% CI	P-value
Age	1.054804	1.000	1.0007	0.002
Sex				
Female	Reference			
Male	2.830	1.452	6.203	0.0015
BMI	0.965	0.893	1.038	0.350
Smoking index	1.000	1.000	1.000	0.010
Pulmonary function data				
%FVC	0.979	0.969	0.990	0.0007
%FEV <sub>1.0</sub>	0.993	0.982	1.004	0.263
FEV <sub>10</sub> /FVC	1.007	0.984	1.029	0.511
%DL <sub>co</sub>	0.982	0.969	0.994	0.004
%DL <sub>co</sub> /V <sub>A</sub>	0.983	0.968	0.997	0.023
Type of procedure				
Segmentectomy/partial resection	Reference			
Lobectomy	1.441	0.716	3.309	0.322
Pneumonectomy	4.200	1.265	12.634	0.021
Pathological stage				
ı	Reference			
II	2.498	1.209	4.878	0.014
III	3.829	1.974	7.167	0.0002
IV	2.087	0.336	6.979	0.365
Group				
Normal	Reference			
CPFE	6.147	2.515	13.730	0.0002
Emphysema	2.021	1.126	3.720	0.018
IP	2.843	0.665	8.425	0.139

**Abbreviations:** CI, confidence interval; BMI, body mass index; %FVC, percentage of forced vital capacity; FEV<sub>1,0</sub>, forced expiratory volume in I second; %DL<sub>CO</sub>, percentage of diffusion capacity of the lung for carbon monoxide; V<sub>A</sub>, alveolar volume; CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia.

Table 6 Multivariate analysis of prognostic factors using Cox proportional hazard model

Variable	Hazard ratio	95% CI	95% CI	P-value
Age	1.087	1.022	1.16	0.007
Sex				
Female	Reference			
Male	2.495	0.720	12.26	0.160
Smoking index	1.000	0.999	1.000	0.305
Pulmonary function data				
%FVC	0.968	0.946	0.99	0.006
%DL <sub>co</sub>	0.989	0.966	1.012	0.377
%DL <sub>co</sub> /V <sub>A</sub>	0.995	0.966	1.026	0.791
Type of procedure				
Segmentectomy/partial resection	Reference			
Lobectomy	2.731	0.857	10.24	0.091
Pneumonectomy	3.656	0.612	21.89	0.152
Pathological stage				
I	Reference			
II	2.288	0.766	6.302	0.132
III	3.370	1.308	8.510	0.012
IV	36.35	4.241	234.6	0.002
Group				
Normal	Reference			
CPFE	8.004	1.712	34.62	0.009
Emphysema	1.562	0.635	4.146	0.337
IP	5.996	1.079	27.79	0.041

**Abbreviations:** CI, confidence interval; %FVC, percentage of forced vital capacity; %DL<sub>CO</sub>, percentage of diffusion capacity of the lung for carbon monoxide; V<sub>A</sub>, alveolar volume; CPFE, combined pulmonary fibrosis and emphysema; IP, interstitial pneumonia.

fibrosis of the lower lobes, while pulmonary emphysema and fibrosis may have synergistic effects on  ${\rm DL_{CO}}$  and exercise hypoxemia.

CPFE might be underrecognized in patients with subnormal or normal spirometry if  $DL_{CO}$  and/or exercise blood gases are not measured. Compared with the normal group, CPFE patients had low FEV<sub>1.0</sub> and DL<sub>CO</sub> and similar FVC and FEV<sub>1.0</sub>/FVC. In addition, patients with CPFE tended to die of respiratory failure more often than the normal and emphysema patients (Tables 4 and 5).

 ${
m DL_{CO}}$  measures the ability of gas to diffuse across the alveolar–capillary membrane and assesses the surface area and pulmonary capillary blood volume available for gas exchange. The preoperative  ${
m DL_{CO}}$  expressed as percentage of predicted has a higher correlation with postoperative deaths than the  ${
m FEV}_{1.0}$  expressed as percentage of predicted. Our study showed %DL $_{{
m CO}}$  was a significant factor for overall survival in the univariate analysis. Some studies found that a reduced predicted postoperative  ${
m DL}_{{
m CO}}$  was strongly associated with the risk of pulmonary morbidity, mortality, and poor long-term survival following lung resection.  $^{12,13}$ 

Similar to previous reports, our study showed no significant difference in long-term survival between the CPFE and IP groups.  $^{3,8,14,15}$  This suggests that IP has a higher impact on the outcome of lung cancer patients than emphysema. However, Schmidt et al clarified the importance of evaluation for emphysema in CPFE patients.  $^9$  They reported the efficiency of the composite physiological index that was calculated from the following formula:  $91 - (0.65 \times \text{\%predicted DL}_{CO}) - (0.53 \times \text{\%predicted FVC}) + (0.34 \times \text{\%predicted FEV}_{1.0})$  in IPF. This index was a powerful predictor of mortality in IPF patients. They showed that change in FEV $_{1.0}$  was the best predictor of mortality in CPFE. Therefore, evaluation of the air obstruction status in CPFE patients may still be important.

Our study showed that CPFE patients with lung cancer also had a high risk of death due to respiratory failure caused by bacterial infection or AE. In this study, one patient with CPFE died due to AE of IP, and two died due to bacterial pneumonia. These types of death might be associated with impaired immunity, which is involved in the pathogenesis of CPFE. Aging lung and cellular senescence have been reported to be involved in the pathogenesis of IPF and emphysema. <sup>16</sup> Exposure to a variety of toxic substances such as those in smoking can contribute to the acceleration of pulmonary senescence. Premature aging can impair lung function by inducing systemic and/or local changes in the immune system, impairing the complex pulmonary

mechanisms of defense against infection and stimulating a local and/or systemic inflammatory condition. This phenomenon and surgical stress may lead to deterioration of the pulmonary immune responses.

This study has several limitations. First, this was a retrospective and uncontrolled study performed at a single institution. The results may have been subject to selection and treatment bias. The indications for therapy were not uniform for all patients, thereby limiting the evaluation of the effects of treatment. Second, this study included a relatively small number of patients with CPFE. Therefore, there may be several confounding variables. Third, high-resolution chest CT was not always utilized, and the pathological evaluation of fibrotic lesions was not always performed.

## **Conclusion**

In conclusion, lung cancer patients with CPFE who undergo surgery have a worse prognosis than surgical lung cancer patients with emphysema alone or surgical lung cancer patients with normal lung because they tend to die of respiratory failure. The intensive evaluation of preoperative CT images and assessment of  $\mathrm{DL}_{\mathrm{CO}}$  are important for planning cancer treatment for CPFE patients, and great care should be taken when deciding on specific treatments.

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