

# Anti-VEGF therapy in the management of retinopathy of prematurity: what we learn from representative animal models of oxygen-induced retinopathy

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**Abstract:** Retinopathy of prematurity (ROP) remains a leading cause of childhood blindness, affecting infants born prematurely. ROP is characterized by the onset of delayed physiological retinal vascular development (PRVD) and followed by pathologic neovascularization into the vitreous instead of the retina, called intravitreal neovascularization (IVNV). Therefore, the therapeutic strategy for treating ROP is to promote PRVD and inhibit or prevent IVNV. Vascular endothelial growth factor (VEGF) plays an important role in the pathogenesis of ROP. There is a growing body of studies testing the use of anti-VEGF agents as a treatment for ROP. Intravitreal anti-VEGF treatment for ROP has potential advantages compared with laser photocoagulation, the gold standard for the treatment of severe ROP; however, intravitreal anti-VEGF treatment has been associated with reactivation of ROP and suppression of systemic VEGF that may affect body growth and organ development in preterm infants. Therefore, it is important to understand the role of VEGF in PRVD and IVNV. This review includes the current knowledge of anti-VEGF treatment for ROP from animal models of oxygen-induced retinopathy (OIR), highlighting the importance of VEGF inhibition by targeting retinal Müller cells, which inhibits IVNV and permits PRVD. The signaling events involved in mediating VEGF expression and promoting VEGF-mediated angiogenesis, including hypoxia-dependent signaling, erythropoietin/erythropoietin receptor-, oxidative stress-, beta-adrenergic receptor-, integrin-, Notch/Delta-like ligand 4- and exon guidance molecules-mediated signaling pathways, are also discussed.

**Keywords:** vascular endothelial growth factor, retinopathy of prematurity, intravitreal neovascularization, oxygen-induced retinopathy model, physiological retinal vascular development

## Introduction

Retinopathy of prematurity (ROP) was first recognized in the late 1940s as a blinding disease affecting only premature infants.<sup>1</sup> Now ROP remains a leading cause of childhood blindness worldwide. ROP is characterized by abnormal retinal vascular development with first delayed physiological retinal vascular development (PRVD) with vaso-attenuation centrally, and subsequent vasoproliferation as intravitreal neovascularization (IVNV).<sup>2</sup> Clinical studies showed that vascular endothelial growth factor (VEGF) levels were increased in ocular fluid of patients with ROP<sup>3</sup> and intravitreal injections of anti-VEGF agents effectively decreased intraocular VEGF levels.<sup>4,5</sup> VEGF is required for retinal vascularization and maturation of retinal neurons in preterm infants.<sup>6-8</sup> Therefore, inhibition of VEGF might potentially interfere with these processes. A multicenter randomized clinical trial evaluated the efficacy of intravitreal anti-VEGF (bevacizumab) for stage 3 ROP compared with

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laser photocoagulation of the peripheral avascular retina.<sup>9,10</sup> The outcomes showed that intravitreal anti-VEGF permitted regression of ROP; however, there was reduced serum VEGF for up to 2 months<sup>5,11</sup> and reactivation of ROP at 14.4 weeks after initial treatment with bevacizumab.<sup>12</sup> Studies are needed regarding dosing, systemic safety, optimal treatment window, and long-term effect of anti-VEGF treatment in ROP. To improve the therapeutic effect of anti-VEGF treatment in ROP, it is important to gain insight into the roles of VEGF in PRVD and IVNV. For this purpose, animal models with oxygen-induced retinopathy (OIR) are often used.

## Animal models of OIR

Animals develop their retinal vasculature after birth; therefore, most animal OIR models develop some of the pathologic features of human ROP. Of these OIR models, the mouse and rat OIR models are the most studied.

### Mouse OIR model

Mouse OIR model was created by Smith et al<sup>13</sup> in 1994. In the mouse OIR model, newborn pups at postnatal day 7 (p7) are exposed to a hyperoxic environment with 75% inspired oxygen level for 5 days. Pups develop avascular retina from vaso-obliteration of newly formed capillaries in the center retina at p12. After pups are moved to room air, avascular central retina becomes hypoxic and intravitreal neovascular tufts are formed at the junctions of vascular and avascular retina at p17. When day 7 pups are placed into 75% oxygen

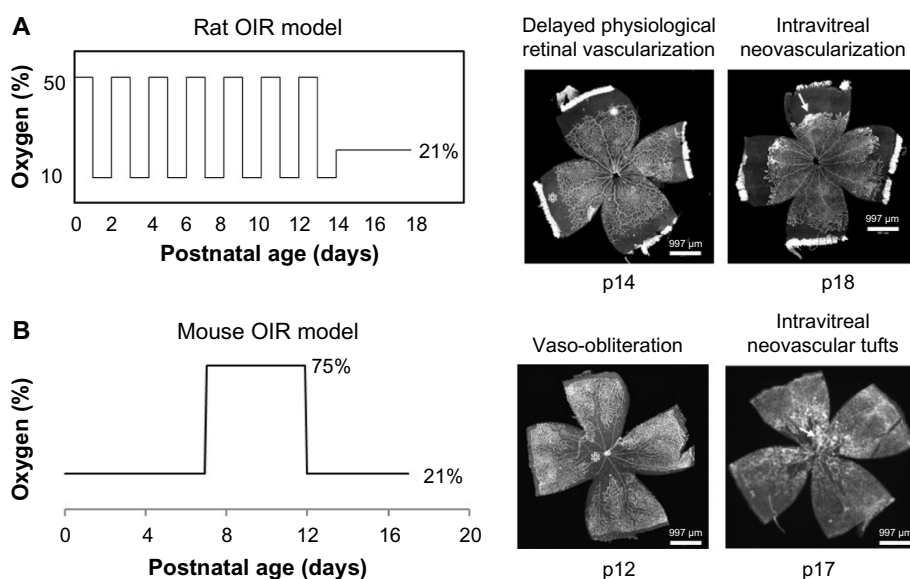
environment, they already complete the primary plexus vascularization in the retina, which is different from preterm infants whose retinas are incompletely vascularized. Therefore, the mouse OIR model does not mimic similar pathologic conditions as that seen in human ROP. However, the mouse OIR model is very useful to study the signaling pathways implicated in human ROP using transgenic mice.

### Rat OIR model

In 1994, Penn et al<sup>14</sup> created a rat OIR model using newborn pups. In this model, rats are placed into a regulated oxygen environment and exposed to repeated fluctuations of oxygen cycled between 50% and 10% oxygen every 24 hours for 14 days. The inspired oxygen produces arterial oxygen extremes in rat pups similar to the levels in human preterm infants who develop severe ROP. The rat ROP model develops delayed PRVD in the peripheral retina at p14 and IVNV at the junction of vascularized and avascularized retina at p18; features similar to those seen in human ROP. The rat OIR model is considered the most representative model of ROP (Figure 1).

### Other animal OIR models

There are some other animal models of OIR, for example, the beagle OIR model,<sup>15</sup> in which newborn dogs on p1 are exposed to 100% oxygen and returned to room air on p5. The beagle OIR model is very useful to test drug doses and translate them into treatment for human preterm infants. Kitten



**Figure 1** Models of rat (A) and mouse (B) OIR and retinal flat mounts stained with lectin to visualize the vasculature.

**Notes:** \*avascular retinal area; white arrow points to area with intravitreal neovascularization. Reprinted from *Ophthalmology*, Volume 122, Hartnett ME. Pathophysiology and mechanisms of severe retinopathy of prematurity, Pages 200–210, Copyright 2015, with permission from Elsevier.<sup>45</sup>

**Abbreviations:** OIR, oxygen-induced retinopathy; p, postnatal day.

OIR model,<sup>16</sup> in which newborn kittens on p3 are exposed to 80% oxygen for up to 112 hours, is also an important animal model in studying the mechanisms of ROP, particularly the effect from hyperoxia.

## Anti-VEGF treatment in animal models of OIR

VEGF expression in the retina is increased when IVNV develops in both mouse and rat OIR models. Therefore, these two models are suitable to test the effect of anti-VEGF treatment on IVNV. Tremendous advances have been achieved in understanding the roles of VEGF in ROP from animal studies. Here, different approaches are discussed in inhibiting retinal VEGF in the OIR models.

### Inhibition of retinal VEGF

To inhibit retinal VEGF, neutralizing antibody against VEGF is often used. In the mouse model of OIR, intravitreal injection of antibody against mouse VEGF (all the splice variants) at p16 effectively reduced neovascular area in the retina at p17, potentially via a mechanism involving blocking leukocyte infiltration into the retina.<sup>17</sup> Another study evaluated the effects of anti-VEGF treatment on retinal development. In that study, intravitreal injections of aflibercept, a VEGF Trap, which prevents VEGF from binding to vascular endothelial growth factor receptors (VEGFRs), at p14 and avascular retinal area (AVA) and neovascular area were analyzed at p17.<sup>18</sup> The findings from this study showed that aflibercept treatment not only decreased neovascular tufts, but also increased AVA. Pups treated with aflibercept also showed decreased neuroretinal functions with reduced B-wave amplitude in electroretinography test at p21 and the reduced B-wave persisted at p42 when retinal vascularization recovered. Histologic analysis also showed that aflibercept-treated eyes had thinner retina with disruption of outer nuclear layer and loss of bipolar and amacrine cells at p42.

The effects of intravitreal injection of a neutralizing antibody to rat VEGF<sub>164</sub> on PRVD and IVNV has also been evaluated in the rat model of OIR.<sup>19</sup> At p12, while rats were still in an environment of fluctuating inspired oxygen, rat pups received intravitreal antibody against rat VEGF<sub>164</sub> at several doses or isotype IgG as control, and AVA and IVNV were analyzed in retinal flat mounts. Compared with IgG-injected pups, pups injected with 50 ng anti-VEGF antibody had significantly reduced IVNV and AVA at p18, but increased IVNV and AVA at p25, suggesting anti-VEGF antibody slowed the regression of IVNV and impaired physiological retinal vascularization. At p25, most IVNV regressed in a

form of fanning; however, some retinas treated with 50 ng anti-VEGF antibody showed atypical vessel growth in the form of plaques. This comparatively increased and “plaque-like” IVNV at p25 was associated with increased VEGF and VEGFR2 activation and increased levels of the hormone, erythropoietin, which has angiogenic properties.<sup>20,21</sup> Pups treated with anti-VEGF antibody also showed reduced weight gain and systemic VEGF levels, suggesting that systemic effects occurred from intravitreal anti-VEGF treatment.

The findings from both OIR models raised concerns about the use of anti-VEGF antibody to inhibit pathologic neovascularization in the developing retina of preterm infants, because retinal VEGF was important for PRVD and systemic VEGF was important for postnatal growth.

### Targeting splicing variants of VEGF

The *VEGF* gene consists of eight exons, which are spaced by seven introns. Through alternative exon splicing, at least five splice variants in human and three in mouse and rat are generated, and each has different biological functions.<sup>8</sup> The most studied human splice variants (mouse and rat analogs in parentheses) are soluble VEGF<sub>121</sub> (VEGF<sub>120</sub>), which is soluble protein, cell-associated VEGF<sub>189</sub> (VEGF<sub>188</sub>), which is sequestered in the extracellular matrix (ECM) through its heparin binding domain, and VEGF<sub>165</sub> (VEGF<sub>164</sub>), which has intermediate properties as it is a secreted protein but also it can bind to cell surface and ECM via the heparin binding domain.<sup>22</sup> Of the three splice variants, VEGF<sub>165</sub> is the predominant one in human and characterizes most of the VEGF properties in promoting angiogenesis and vascular permeability. By in situ mRNA hybridization, mRNA of these three splice variants was detected in rat retina,<sup>23</sup> but only VEGF<sub>164</sub> mRNA was significantly upregulated in the retina of OIR pups compared with room air raised pups or pups exposed only to hypoxia (10% oxygen) at the same developmental age,<sup>24</sup> suggesting that VEGF<sub>164</sub> was upregulated by fluctuating oxygen similar to what occurs in premature infants and may be involved in pathologic angiogenesis, IVNV, induced by OIR model. Besides these VEGF splice variants with proangiogenic activity, new splice variants of VEGF, called VEGF<sub>xxx<sup>b</sup></sub>, were identified. Instead of exon 8a in VEGF<sub>xxx</sub> splice variants, VEGF<sub>xxx<sup>b</sup></sub> has alternate exon 8b, which encodes six different amino acids at C-terminus.<sup>25</sup> Therefore, VEGF<sub>xxx<sup>b</sup></sub> has the same length as VEGF<sub>xxx</sub> with different terminal six amino acids. VEGF<sub>165<sup>b</sup></sub> protein was first identified in retinal cell carcinoma and its downregulation was associated with tumor growth.<sup>25</sup> In human umbilical vein endothelial cells, VEGF<sub>165<sup>b</sup></sub> inhibited VEGF<sub>165</sub>-mediated

endothelial cell proliferation and migration,<sup>26</sup> suggesting that VEGF<sub>165b</sub> has antiangiogenic activity. Another study also reported that VEGF<sub>165b</sub> had neuroprotective effect in vivo and in vitro through inhibition of caspase 3.<sup>27</sup> Therefore, modulating VEGF<sub>165b</sub> expression level or the ratio between VEGF<sub>165</sub> and VEGF<sub>165b</sub> has been considered for diseases that involve pathologic angiogenesis and neuronal damages, such as diabetic retinopathy,<sup>28</sup> age-related macular degeneration,<sup>29</sup> and cancer.<sup>30,31</sup>

In the retina, VEGF<sub>165b</sub> was detected in the developing vasculature of human fetal eyes,<sup>32</sup> suggesting the important role of VEGF<sub>165b</sub> in PRVD. A study also showed that VEGF<sub>165b</sub> was not only antiangiogenic, but it also protected the retina from ischemia-induced damage and showed cytoprotective effect for retinal pigment epithelium.<sup>33</sup> In the rat model of OIR, VEGF<sub>165</sub>, but not VEGF<sub>165b</sub>, increased in the retina,<sup>34</sup> which led to comparatively reduced expression level of retinal VEGF<sub>165b</sub>. Administration of recombinant human VEGF<sub>165b</sub> by intravitreal injections significantly reduced neovascular tufts without delaying PRVD. Compared with retina treated with anti-VEGF antibody, VEGF<sub>165b</sub> treatment also reduced retinal vessel tortuosity in the mouse OIR model. In addition, intravitreal injection of a serine arginine protein kinase inhibitor, SRPIN340, which was shown to inhibit splicing of VEGF gene into VEGF<sub>165</sub> without affecting splicing of VEGF<sub>165b</sub>, significantly reduced IVNV in both mouse<sup>35</sup> and rat OIR models.<sup>34</sup> These studies provide evidence that modulating VEGF splicing from proangiogenic factor VEGF<sub>165</sub> to antiangiogenic factor VEGF<sub>165b</sub> may be an effective and less neurotoxic approach to treat ROP, though more studies are needed to understand the molecular mechanisms.

## Cell-specific inhibition of VEGF by gene therapy vector

VEGF is an important factor in regulating the development of retinal blood vessels,<sup>36,37</sup> and it has also been recognized as a survival factor for a number of cells in the retina, including retinal pigment epithelium and retinal neurons.<sup>38</sup> In the rat model of OIR, anti-VEGF antibody introduced into the vitreous reduced vascular density in the inner and deep plexi,<sup>39</sup> indicating broad cell inhibition of VEGF in the retina affected PRVD. Therefore, reducing VEGF from pathologic levels to the levels required for PRVD would be a safer way to inhibit IVNV without interfering with PRVD.

In the rat OIR model, VEGF mRNA signal was detected in the retinal inner nuclear layer at the places where cellular retinaldehyde binding protein-labeled Müller cells were located, and this mRNA signal was increased in OIR-treated

pups,<sup>23</sup> suggesting that Müller cell-derived VEGF contributed to IVNV. To knock down Müller cell-derived VEGF, a lentivector with Müller cell-specific promoter CD44 (pFmCD44.1GW) was used to deliver a short hairpin RNA (shRNA) targeting rat VEGF (VEGFA) (Lenti-CD44-VEGFA shRNA). The shRNA was embedded in a microRNA30 context, which allowed shRNA to be expressed in specific cells. Lentivirus was delivered by subretinal injections at p8. Compared with control lentivector, which expressed a shRNA to luciferase (Lenti-CD44-luciferase shRNA), Lenti-CD44-VEGFA shRNA reduced retinal VEGF protein to the levels in retinas of room air raised pups at p18 and inhibited VEGFR2 activation in retinal vascular endothelial cells. Compared with control lentivector, IVNV was significantly reduced at p18 in retinas of VEGFA shRNA-treated pups. At p25, VEGFA shRNA-treated pups did not show increased IVNV. Another study evaluated the effect of anti-VEGF antibody and Lenti-CD44-VEGFA shRNA on physiological retinal vascularization.<sup>39</sup> Intravitreal injection of rat VEGF<sub>164</sub> antibody and Lenti-CD44-VEGFA shRNA resulted in a similar fold reduction of IVNV; however, retinas treated with Lenti-CD44-VEGFA shRNA had increased vascular density in the deep plexus, in contrast with the retinas treated with VEGF<sub>164</sub> antibody with reduced vascular density in both inner and deep plexi. Knockdown of pathologic Müller cell-derived VEGF by Lenti-CD44-VEGFA shRNA reduced the number of mitotic retinal vascular cells labeled by phosphohistone H3 and promoted cells dividing with cleaved angles at 60°–90°, which predicted ordered angiogenesis involved in PRVD.<sup>40,41</sup> In contrast, the retinas treated with VEGF<sub>164</sub> antibody showed pathologic angiogenic features with mitotic retinal vascular cells at 0°–60° cleavage angles, which predicted disordered angiogenesis as seen in IVNV. Lenti-CD44-VEGFA shRNA treatment did not reduce serum VEGF level and pup body weight gain at both p18 and p25, as the anti-VEGF treatment did. Altogether, these findings provide evidence that Lenti-CD44-VEGFA shRNA permits physiological retinal vascularization by restoring normal orientation of dividing vascular cells without causing systemic safety concerns.

In the mouse model of OIR, Müller cell-specific expression of endostatin using a self-complementary adeno-associated virus vector with a hypoxia-regulated glial fibrillary acidic protein promoter,<sup>42</sup> significantly reduced IVNV and vaso-obliteration in the central retina by a mechanism associated with reduction of VEGF in the retina.<sup>43</sup> Therefore, targeted inhibition of Müller cell-derived VEGF may be a safer and better approach to treat ROP compared with broad inhibition of retinal VEGF protein by VEGF antibody, even though

more studies are needed to evaluate the long-term effect on retinal functions.

## Signaling pathways involved in VEGF expression and VEGF-mediated retinal angiogenesis in the OIR models

VEGF is a key regulator of blood vessels growth in both physiological development<sup>6</sup> and pathologic neovascularization.<sup>44</sup> In the rat model of OIR, increased retinal VEGF was seen at p14 when PRVD is delayed, suggesting that increased VEGF alone may not be able to promote retinal angiogenesis, and other signaling events might be involved in retinal angiogenesis.<sup>21</sup> ROP is a complex disease, and a number of signaling pathways contribute to the development of ROP pathogenesis, including hypoxia, oxidative stresses, and inflammation-mediated signaling. Recent studies also showed that erythropoietin/erythropoietin receptor, beta-adrenergic receptor ( $\beta$ -AR), integrin, Notch/Delta-like-ligand 4 (Dll4) and exon guidance molecule-mediated signaling pathways are also implicated in retinal angiogenesis. The effects of these signaling pathways in VEGF expression and VEGF-mediated retinal angiogenesis are discussed.

### Hypoxia-dependent signaling

Oxygen plays important roles in the development of ROP pathogenesis. VEGF expression in retina is regulated by hypoxia-dependent signaling.<sup>45</sup> Hypoxia-inducible factor-1 (HIF-1), a transcriptional factor, is a heterodimer composed of subunits alpha (HIF-1 $\alpha$ ) and beta (HIF-1 $\beta$ ). HIF-1 $\alpha$  is an inducible subunit and its activity and stability are regulated by prolyl hydroxylases (PHD). In normoxia, PHD promote hydroxylation on proline residues located within the oxygen degradation domain of HIF-1 $\alpha$ , leading to the interaction of the von Hippel-Lindau protein with oxygen degradation domain to cause degradation of HIF-1 $\alpha$ . Hypoxia prevents proline hydroxylation from PHD and therefore increases the stability of HIF-1 $\alpha$ , which allows HIF-1 $\alpha$  nuclear translocation. By dimerizing with  $\beta$ -subunit and binding to hypoxia-responsive element in the promoter of VEGF gene, HIF-1 $\alpha/\beta$  regulates the transcription of VEGF in response to hypoxia.<sup>46,47</sup>

In the mouse OIR model, when mice were exposed to a hyperoxic condition with 75% inspired oxygen, retinal VEGF levels were reduced compared with room air raised mice. Stabilizing HIF-1/2 $\alpha$  by intraperitoneal injection of PHD inhibitor, dimethyloxalylglycine, at p6 and p8, significantly increased VEGF expression in the retina during hyperoxia

and reduced vaso-oblivation at p12 and vascular tortuosity and neovascular tufts at p17.<sup>48</sup> Hyperoxia treatment by exposing OIR mice to 75% oxygen for 3 or 24 hours at p17 caused a significant reduction in VEGF expression and VEGFR2 activation, and subsequent regression of neovascular tufts in the retina.<sup>49</sup>

In the rat OIR model, supplemental oxygen treatment by moving OIR rats to 28% oxygen instead of room air (21%) reduced retinal VEGF levels and IVNV.<sup>50</sup> Another study reported that inhibition of PHD by intraperitoneal injections of dimethyloxalylglycine at p3, p5, and p7 during 50% oxygen cycle significantly improved retinal vascularization at p14 and reduced IVNV at p21.<sup>51</sup>

### Erythropoietin/erythropoietin receptor signaling

Erythropoietin (EPO) is another oxygen-regulated growth factor. It is mainly produced in kidney in response to anemia and hypoxia and plays important roles in hematopoiesis.<sup>52</sup> In the mouse OIR model, like VEGF, EPO in the retina was downregulated during hyperoxia and upregulated in hypoxic retina at p17. Intravitreal injection of exogenous EPO reduced hyperoxia-induced vessel dropout and subsequent hypoxia-induced neovascular tufts<sup>53</sup>; knockdown of retinal EPO by intravitreal injection of a small interference RNA targeting mouse EPO reduced neovascular area.<sup>54</sup>

In the rat model of OIR, EPO was downregulated in retina with elevated VEGF at p14 when delayed PRVD developed.<sup>21</sup> Reduction in retinal EPO was regulated via a mechanism involving VEGF-activated signaling transducer and activator of transcription 3 (STAT3). Rescuing EPO expression in the retina by giving STAT3 inhibitor or administering exogenous EPO at p2, p4, and p6 reduced AVA.<sup>21</sup> In cultured human retinal microvascular endothelial cells (hRMVECs), EPO and VEGF synergistically induced cell proliferation through activation of STAT3.<sup>19</sup> These findings provide the first evidence for the interaction of VEGF with EPO in retinal angiogenesis, and targeting Janus kinase/STAT3 signaling can rescue endogenous EPO expression level, therefore, facilitating VEGF to promote PRVD and protecting neuroretina from hypoxia-induced dysfunction.<sup>55</sup>

The function of EPO is to signal through its receptor (EPOR). EPOR exists as homodimer and upon EPO binding, EPORs transduce the signaling through Janus kinase/STAT5.<sup>56</sup> Using *EPOR*<sup>-/-</sup>-rescued mice that have EPOR expression only in erythroid lineage, the role of EPO/EPOR in angiogenesis in response to hind limb ischemia has been established.<sup>57</sup> In this study, the investigators identified a

mechanism by which EPO/EPOR regulated ischemia-induced angiogenesis via a mechanism involving upregulation of VEGF and activation of VEGF/VEGFR signaling. In the rat OIR model and cultured hRMVECs, a different signaling was found that VEGFA upregulated and activated EPOR through activated VEGFR2, and activated EPOR interacted with activated VEGFR2 to enhance VEGF-induced proliferation of hRMVEC through exacerbating STAT3 activation. EPOR expression and activation was increased and activated EPOR by phosphorylation of EPOR in retinal vascular endothelial cells of pups at p18 when IVNV occurred,<sup>58</sup> providing evidence that EPO/EPOR interacted with VEGF/VEGFR2 in mediating pathologic angiogenesis in the retina. Therefore, modulating EPO/EPOR signaling may be a therapeutic target to inhibit the pathologic effect of VEGF in the development of IVNV without affecting the role of VEGF in PRVD.

## Oxidative stress

Cumulative evidence indicates that oxidative stress plays an important role in the development of pathogenesis of ROP.<sup>45</sup> Oxidative stress represents an imbalance between reactive oxygen species (ROS) production and antioxidants.<sup>59</sup> Overproduction of ROS can cause cell damage or induce apoptosis, but intracellular ROS can also function as second messengers to transduce signals involved in physiological<sup>60</sup> and pathological processes,<sup>61</sup> including regulation of VEGF expression in the retina.<sup>62</sup> Both hyperoxia and hypoxia can induce ROS generation.<sup>45</sup> In the mouse OIR model, mice deficient in glutathione peroxidase, one of the antioxidant enzymes, showed increased ROS generation, VEGF expression, and neovascular area in the retina.<sup>63</sup> Reduction of ROS production in aldose reductase-deficient mice reduced vaso-obliteration and neovascular tufts by downregulating VEGF expression in the retina.<sup>64</sup> Inhibition of ROS production by intravitreal injection of luteolin reduced neovascular tufts and the transcription of *vegf* gene.<sup>65</sup> Increasing Nrf2-regulated antioxidant gene expression by intraperitoneal injection of Ebselen, a mimic of glutathione peroxidase, protected retinal Müller cells and vessels from oxidative stress-induced injury and reduced oxidative stress-mediated retinal VEGF expression.<sup>66</sup> In the rat OIR model, hypoxia-induced accumulation of hydrogen peroxide in the choroid increased IVNV,<sup>67</sup> which was reduced by intraperitoneal injection of apocynin to quench retinal ROS.

NADPH oxidase is the main source of intracellular ROS generation in vascular endothelial cells.<sup>68</sup> Endothelial cell generated ROS are involved in promoting cell proliferation and migration.<sup>69</sup> Isoforms of NADPH oxidase, NOX1-5

and Doux1 and -2, are differentially expressed in tissues and cells. In rat and mouse OIR models, NOX2 colocalized with retina endothelial cells and macrophages and regulated physiologic and pathologic angiogenesis in the retina, through mechanisms involving apoptosis and inflammation.<sup>70,71</sup> In the rat model of OIR, NOX4 was colocalized in retinal vascular endothelial cells and its expression was increased at p18 when IVNV developed, but not at p14 when PRVD occurred; in hRMVECs, NOX4 interacted with VEGF-activated VEGFR2 to induce cell proliferation via activation of STAT3,<sup>68</sup> supporting the role of NOX4 in the development of IVNV.

Altogether, these studies highlight the importance of oxidative stress in regulating VEGF expression and interacting with VEGF/VEGFR2 signaling in retinal angiogenesis.

## Beta-adrenergic receptor

Retinal hypoxia is a stimulator for the development of IVNV in Phase II of ROP. Hypoxia increases norepinephrine levels in the rat brain and retina.  $\beta$ -ARs are the targets of norepinephrine and were found to be expressed in rat and mouse retina.<sup>72-74</sup>  $\beta$ -ARs belong to the superfamily of transmembrane G-protein coupled receptor. Upon agonist binding,  $\beta$ -ARs are activated to couple with heterotrimeric G proteins,  $G_\alpha$ s and  $G\beta\gamma$ , leading to disassociation of  $G_s$  from  $G\beta\gamma$ . The  $G_s$  activates adenylyl cyclase to increase intracellular cyclic adenosine monophosphate levels, which activates protein kinase A. Recently, the role of  $\beta$ -ARs in the regulation of VEGF expression and pathologic neovascularization has gained more attention. Of three isoforms of  $\beta$ -AR,  $\beta$ 1-AR and  $\beta$ 2-AR were found to be mainly expressed in Müller cells, while  $\beta$ 3-AR was detected in retinal capillaries. In the mouse model of OIR, in response to hypoxia,  $\beta$ -AR isoforms expressed differently with upregulation of  $\beta$ 3-AR in the retina at p17 when neovascular tufts develop, while the expression of  $\beta$ 1-AR and  $\beta$ 2-AR in the retina was unaffected by hypoxia.<sup>75</sup> Deletion of  $\beta$ 1/2-AR in mice abolished OIR-induced vascular abnormalities in the superficial plexus, improved vascular development in the deep plexus, and reduced retinal VEGFR2 activation, suggesting  $\beta$ 1/2-AR may promote the development of OIR via activation of VEGF signaling. This was supported by a study,<sup>76</sup> in which administration of eye drop propranolol to inhibit  $\beta$ -ARs activity reduced neovascular area, VEGF expression, and STAT3 activation. However, these findings conflicted with the findings from another study,<sup>75</sup> in which subcutaneous injection of  $\beta$ -AR agonist, isoproterenol, decreased retinal VEGF and reduced neovascular tufts through a mechanism involving reduction of  $\beta$ 2-AR expression and protein kinase A activity.

Activation of  $\beta_3$ -AR by subcutaneous injection of selective  $\beta_3$ -AR agonist, BRL 37344, increased neovascular tufts and VEGF expression in the retina in both wild-type and  $\beta_1/2$ -AR deleted mice, suggesting that  $\beta_3$ -AR in the retina was not activated in the OIR model, but once activated, it may have the potential effect in promoting pathologic angiogenesis.

Taken together, these studies established the role of  $\beta$ -ARs in OIR and hypoxia-induced VEGF expression and VEGFR2 activation in the retina; however, more studies are needed to discriminate isoform-specific effect.

## Integrin signaling

Initially, in angiogenesis, endothelial cells sprout from existing blood vessels that adhere to an ECM rich in vitronectin and fibrinogen via integrin. Integrins are the most important family of ECM receptors and function as heterodimers consisting of transmembrane  $\alpha$ - and  $\beta$ -subunits.<sup>76</sup> The major integrins on endothelial cells are  $\alpha_v\beta_3$ ,  $\alpha_v\beta_5$ ,  $\alpha_5\beta_1$ , and  $\alpha_6\beta_4$ . VEGF-induced endothelial cell migration is dependent on integrin-mediated communication between endothelial cells and the ECM.<sup>77</sup> A number of studies have shown that integrins are implicated in pathologic angiogenesis in the retina. Integrin  $\alpha_v\beta_3$  has been found localized in retinal neovascular tissue removed from patients with proliferative diabetic retinopathy.<sup>78</sup> In the mouse model of OIR, inhibition of  $\alpha_v\beta_3$  and  $\alpha_v\beta_5$  using a nonpeptidic antagonist reduced neovascular area, and VEGF and VEGFR2 expression in the retina.<sup>79</sup> Intraperitoneal or intravitreal injection of  $\alpha_v\beta_3$  integrin antagonist, tetraiodothyroacetic acid, from p12 to p15 inhibited neovascularization at p18 and angiogenic effects of EPO and VEGF in retinal endothelial cells.<sup>80</sup> In the retina, integrin  $\alpha_2\beta_1$  was strongly expressed in activated Müller cells of OIR mice and growth factor-stimulated endothelial cells. Mice deficient in  $\alpha_2\beta_1$  integrin showed reduced vaso-oblivation, neovascularization, and VEGF expression, suggesting  $\alpha_2\beta_1$  integrin was implicated in regulating hypoxia-induced VEGF production from Müller cells.

## Notch/Dll4 signaling pathways in VEGF-mediated angiogenesis

Angiogenic sprouting from a preexisting vessel is a process involving at least two different types of endothelial cells, stalk cells and tip cells. During angiogenic growth, a fraction of endothelial cells have tip cell behavior to initiate sprouting, and others, called stalk cells, stay quiescent to maintain the structural and functional integrity of the vessels and tissue perfusion. Both tip cells and stalk cells are regulated by VEGF and VEGFR signaling. A balance between

tip cell migration and stalk cell proliferation in response to extracellular VEGF gradients is believed to be important for normal vascular patterning.<sup>81</sup> VEGF regulates tip cell formation by inducing Dll4 expression in endothelial cells. Dll4 is the ligand of Notch receptors. Notch signaling pathways play critical roles in cell fate determination and differentiation. Dll4 gene expression was found to exclusively express in developing arteries and the tips of vascular sprouts. The roles of Notch receptors and ligand Dll4 in angiogenesis have been established based on findings from in vitro and in vivo studies. Activation of Notch signaling inhibited branching at the tip cell sprout and maintained a mature and quiescent phenotype of cultured human umbilical vein endothelial cells. In the retina, Dll4 expression was dynamically regulated in actively growing retinal vessels by VEGF.<sup>82</sup> Pharmacological inhibition of Dll4 by intravitreal injection of Dll4 antibody increased angiogenic sprouting in mouse retina with abnormally increased vascular density, which resulted in poor vascular network functions. Exposed to the OIR model, *Dll4*<sup>+/-lacZ</sup> mice with single allele deletion of *dll4* gene developed less avascular area in the center of retina at p12 and less neovascular tufts at p17. A hypothetical mechanism by which Notch/Dll4 regulates VEGF-mediated angiogenesis is well accepted. In angiogenic sprouting, VEGF induces Dll4 expression in tip cells, and increased Dll4 in turn activates Notch receptor and reduces VEGFR2 activation in an adjacent stalk cell, in which a strong expression of Jagged 1, another ligand for Notch receptor, antagonizes Notch activation on neighboring tip cells, which leads to tip cell growing toward VEGF gradient. Therefore, a feedback loop between Notch/Dll4 and VEGF/VEGFR2 signaling regulates tip cell migration and stalk cell proliferation in a polarized pattern, which is important for vascular patterning. All these together suggested that Notch signaling negatively regulated VEGF-mediated angiogenic sprouting involved in both physiological and pathological angiogenesis.

## Axon guidance molecules and vascular patterning

Capillary tip cells and axon growth cones use the same signaling cues to regulate polarized direction. Angiogenic endothelial cells express some axon guidance receptors including Roundabout 4 (Robo4), Eph family receptor (Ephrin), UNC5B, and PlexinD1.<sup>81</sup> Genetic deletion of these receptors results in defects in vascular patterning, suggesting axon guidance receptor signaling is involved in vascular development. Several studies have reported that Slits and Robos signaling was implicated in VEGF-

mediated angiogenesis. Robos are single transmembrane receptors for Slits. Activation of Robo4 by Slit2 inhibited VEGF-induced angiogenic effects including cell migration, tube formation, and permeability *in vitro*.<sup>83</sup> In mouse retina, Robo4 showed endothelial-specific and stalk cell-centric expression. *Robo4<sup>AP/AP</sup>* mice, in which exons encoding the immunoglobulin repeats required for the interaction with Slits were deleted, showed increased VEGF-induced vessel permeability, suggesting that Robo4 was important in maintaining vessel integrity by antagonizing VEGF-induced angiogenesis and permeability.<sup>84</sup> In the mouse model of OIR, *Robo4<sup>AP/AP</sup>* mice showed increased neovascular tufts and intravitreal injection of Slit2 reduced neovascular tufts in wild-type mice, but not in *Robo4<sup>AP/AP</sup>* mice, suggesting Robo4/Slits contributed to pathological angiogenesis in the retina.<sup>83</sup> However, a recent study has also reported that Slit2 was essential for retinal angiogenesis signaling through Robo1 and Robo2, not Robo4.<sup>85</sup> In this study, by *in situ* hybridization, Slit2 mRNA signal was detected in retinal bipolar neurons underneath the retinal vasculature. Mice with *slit2* deletion in the retina showed reduced vessel branching and outgrowth, suggesting that Slit2 was selectively required for retinal angiogenesis of postnatal mice. By analyzing postnatal retinal vascular development in *Robo1<sup>-/-</sup>* mice and *Robo1<sup>-/-</sup>Robo2<sup>ΔEC</sup>*, which have global deletion of Robo1 and conditional knockout Robo2 in endothelial cells, the effects of Slit2 in retinal angiogenesis were found signaling through Robo1, and in the absence of Robo1, endothelial Robo2, but not Robo4, was found to function as Slit2 receptor in endothelial cells. Interestingly, Robo1 and Robo2 were also implicated in VEGF-induced Rac1 activation and endothelial migration. All these findings provided evidence of Robos/Slits signaling in regulating vessel patterning and guidance, which are important in both PRVD and IVNV.

In summary, VEGF plays a fundamental role in the pathogenesis of ROP. However, ROP is a multifactorial disease. Better understanding the mechanisms and signaling events involved in VEGF-mediated retinal angiogenesis would help us to develop a safer and effective therapy for ROP.

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## Disclosure

The author reports no conflicts of interest in this work.

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