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ORIGINAL RESEARCH

# Sophoridinol derivative 05D induces tumor cells apoptosis by topoisomerase I-mediated DNA breakage

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Abstract: Sophoridine is a quinolizidine natural product of Sophora alopecuroides and has been applied for treatment of malignant trophoblastic tumors. Although characterized by low toxicity, the limited-spectrum antitumor activity hinders its further applications. 05D, a derivative of sophoridine, exhibits a better anticancer activity on diverse cancer cells, including solid tumors, and hematologic malignancy. It could inhibit topoisomerase 1 (top1) activity by stabilizing DNA-top1 complex and induce mitochondria-mediated apoptosis by promoting DNA single- and double-strand breakage mediated by top1. Also, 05D induced HCT116 cells arrest at G1 phase by inactivating CDK2/CDK4-Rb-E2F and cyclinD1-CDK4-p21 checkpoint signal pathways. 05D suppressed the ataxia telangiectasia mutated (ATM) and ATM and Rad3related (ATR) activation and decreased 53BP level, which contributed to DNA damage repair, suggesting that the novel compound 05D might be helpful to improve the antitumor activity of DNA damaging agent by repressing ATM and ATR activation and 53BP level. In addition, the priorities in molecular traits and druggability, such as a simple structure and formulation for oral administration, further prove 05D to be a promising targeting topoisomerase agent.

Keywords: topoisomerase inhibitor, topoisomerase 1, DNA breakage, sophoridinol, anticancer, apoptosis, cell cycle

#### Introduction

Sophoridine (Figure 1A, left) is a quinolizidine natural product extracted from Sophora alopecuroides and has been applied for its antivirus<sup>1,2</sup> and anti-inflammatory<sup>3,4</sup> activities and for the improvement of cardiac function.<sup>5</sup> Its anticancer activity was first discovered in 1977 and it obtained the clinical study approval in 1993.<sup>6,7</sup> Given that it is highly effective on malignant trophoblastic tumors and its lower toxicity, in 2005, sophoridine injection was approved by the Chinese Food and Drug Administration to treat malignant trophoblastic tumors.<sup>6</sup> Meanwhile, reports also demonstrated that sophoridine has inhibitory effect on only malignant trophoblastic tumors and has almost no effect on other tumor cells,<sup>6,7</sup> which suggest a limited-spectrum antitumor activity. Thus, exploring a novel compound derived from sophoridine that both has low toxicity and possesses a broad antitumor spectrum would be beneficial to the anticancer drug development.

Our lab has been engaged in sophoridinic structure modification and has recently found that sophoridinic acid with a three-ring structure scaffold exhibited a better antiproliferative activity compared with its parent sophoridine with four-ring scaffold.<sup>8</sup> Based on the earlier results, after a series of structure modifications, we found a novel compound, 05D

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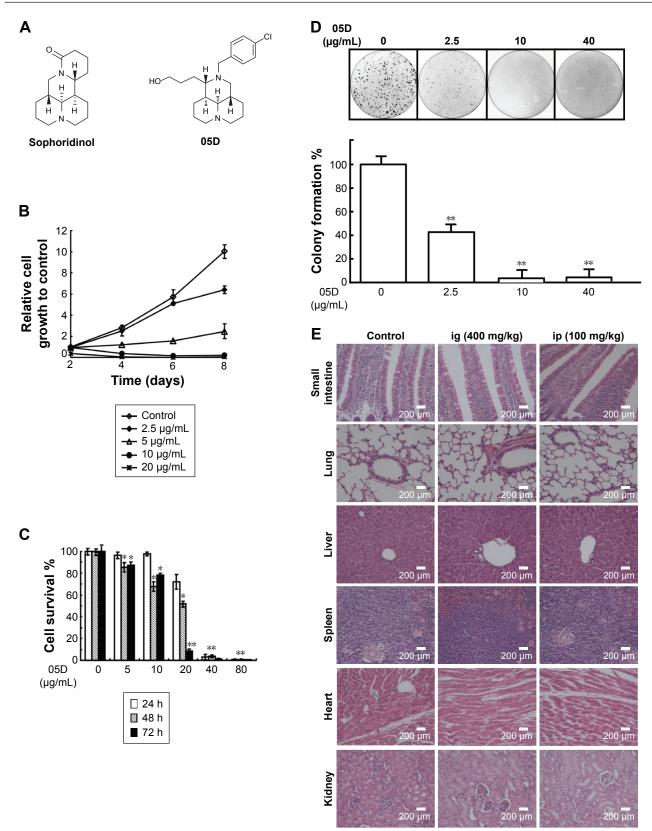


Figure 1 05D suppresses cancer cell proliferation in a time- and dose-dependent manner.

**Notes:** (**A**) Structures of sophoridinol and 05D. (**B**) HCT116 cells were treated with the indicated concentrations of 05D and cell survival was detected by SRB assay at 2, 4, 6, and 8 days after addition of 05D, and time-dependent curve was plotted. (**C**) 5, 10, 20, 40, and 80  $\mu$ g/mL 05D was added to HCT116 cells and the culture continued for 24, 48, and 72 hours. Cell vitality was examined and dose-dependent curve was plotted. (**D**) HCT116 cells were seeded in six-well plate at 1,000/well. After 24 hours, various concentrations of 05D were added and the incubation continued for 7 days. Then visible colonies were counted. Colony formation rate = (number of colonies/number of seeded cells) ×100%. (**E**) HE staining of tissues from the control group, ig (400 mg/kg) group, and ip (100 mg/kg) group, magnification: ×200, Scale bar, 200  $\mu$ m. \**P*<0.05; \*\**P*<0.01. **Abbreviations:** ig, intragastric; ip, intraperitoneal; h, hours; HE, hematoxylin and eosin.

Groups	Dosage (mg/kg)	Administration route × time	Number of mice		Body weight (g), mean $\pm$ SD	
			Beginning	End	Beginning	End
Control	0	ig ×I	4	4	23.3±0.65	29.6±1.67
05D	200	ig ×I	4	4	24.4±0.37	29.4±1.34
	400	ig×l	4	4	22.3±0.89	28.3±1.83
Control	0	ig×l	4	4	23.3±1.13	30.1±2.12
05D	25	ip ×I	4	4	23.8±1.05	32.8±2.22
	50	ip ×I	4	4	23.2±2.25	30.2±3.15
	100	ip ×I	4	4	22.6±1.22	30.2±2.38

#### Table I Acute toxicity study of 05D

Abbreviations: ig, intragastric; ip, intraperitoneal.

(Figure 1A, right), that not only has a lower toxicity (Table 1), but can also inhibit the growth of various tumor cells (Table 2), compared with its parental sophoridine. Also, it possesses good water solubility. Although researchers had verified previously that the anticancer mechanism of sophoridine was to target topoisomerase 1 (top1), they did not further elucidate the inhibitory mechanism on top1 and its further effects on cancer cells by which way tumor cell death is induced.

In the present study, we demonstrated that novel compound 05D could inhibit proliferation of various tumor cells and has a low toxicity. It could inhibit the activity of top1 by stabilizing DNA–top1 complex and exerts its anticancer activity by facilitating DNA breakage mediated by top1 in cells and then triggering mitochondrial pathway of apoptosis.

#### **Materials and methods**

#### Reagents and cells

Hydroxycamp tothecin (HCPT) and etoposide (VP16) were purchased from Sigma-Aldrich (St Louis, MO, USA). Anti-y-H2AX, anti-caspase 3, anti-caspase 7, anti-PARP, anti-phospho-DNA-PK, anti-DNA-PK, anti-phospho-ataxia telangiectasia mutated (ATM), anti-phospho-ATM and rad3-related (ATR), anti-ATM, anti-ATR, anti-cdc25A, anti-phospho-cdc25A, anti-phospho-cdc2 anti-cdc25A, anti-cdc2, anti-E2F, and anti-cyclinD1 antibodies were purchased from Cell Signaling Technology (Danvers, MA, USA), y-H2AX antibody conjugated fluorescein isothiocyanate (FITC) was from BD (Franklin Lakes, NJ, USA). Anti-β-actin antibody was obtained from Sigma-Aldrich, and peroxidase-conjugated goat antimouse or goat antirabbit secondary antibodies were purchased from ZSGQ-BIO Company (Beijing, People's Republic of China). pBR322 DNA and top1 were purchased from Beijing Liuhe Tong Trade Co., Ltd. (Beijing, People's Republic of China). All animal and cell experiments were approved by the Ethics Committee of Institute of Medicinal Biotechnology, Chinese Academy of Medical Sciences (IMBF20060302).

#### Cell lines

Human non-small-cell lung cancer A549, human fibrosarcoma HT1080, human glioblastoma U87-MG, human hepatoma HepG2, human breast cancer MCF-7, human colon carcinoma HCT116, human breast cancer MDA-MB-231, human colon carcinoma HCT116 p53KO, and human leukemia cells K562 were obtained either from Cell Center of the Institute of Basic Medical Sciences (Beijing, People's Republic of China) or from American Type Culture Collection (Manassas, VA, USA). A549, HT1080, U87-MG, HepG2, MCF-7, HCT116, MDA-MB-231, and HCT116 p53KO cells were all cultured in Dulbecco's Modified Eagle's Medium with 10% fetal bovine serum in the presence of 5% CO<sub>2</sub> at 37°C. K562 cells were cultured in 1640 medium with 10% fetal bovine serum. Anchorage-dependent cells in the exponential growth phase were harvested with 0.25% trypsin-0.02% ethylenediaminetetraacetic acid (EDTA) solution and resuspended in the specified medium. Suspension cells were subcultured regularly. Only single cells with viability over 95% (trypan blue exclusion) were used.

#### Cell growth inhibition assay

Cell growth inhibition was determined using sulforhodamine B (SRB) assay.<sup>9</sup> Cells were seeded in 96-well plates at

Table 2 IC50 of 05D	or sophoridine in various tumor cell lines
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Tumor cell lines	IC50 (µg/mL)		
	05D	Sophoridine	
Human non-small-cell lung cancer A549	4.31±0.21	>40	
Human fibrosarcoma HT1080	5.5±0.11	>40	
Human glioblastoma U87-MG	5.07±0.86	>40	
Human hepatoma HepG2	4.6±0.25	>40	
Human breast cancer MCF-7	2.8±0.24	>40	
Human colon carcinoma HCT116	5.6±0.11	>40	
Human breast cancer MDA-MB-231	10.4±0.9	>40	
Human colon carcinoma HCT116 p53KO	10.33±0.6	>40	
Human leukemic cells K562	10±0.58	>40	

 $4 \times 10^{3}$ - $8 \times 10^{3}$ /well, and were treated with increasing concentrations of compound 05D and incubated for 24 or 48 hours. The cells were fixed with 50% trichloroacetic acid (Sigma-Aldrich), and then 0.4% (w/v) SRB in acetic acid (1%) was added. SRB-bound cells were solubilized with 10 mM Trizma base. The absorbance was read at 492 nm. Growth inhibition (%) was calculated at each concentration and the IC50 was calculated by SigmaPlot (Systat Software, Inc., San Jose, CA, USA). Assays were repeated three times and the results are computed as mean and standard deviation.

## Colony formation assay

Colony formation assay was performed as described in Wang et al.<sup>10</sup> Briefly, HCT116 cells were seeded in six-well plate at 1,000/well. After 24 hours, escalating doses of 05D were added and the incubation was continued for 7 days. Then cells were fixed with methanol for 15 minutes and stained with 0.5% crystal violet for 15 minutes at room temperature. A colony is defined to consist of at least 50 cells. Visible colonies were counted. Colony formation rate was calculated as colony formation rate = (number of colonies/number of seeded cells) ×100%.

## Acute toxicity study

Single-dose toxicity tests were performed in mice. 05D was given by intragastric (ig) route at a dose of 200 and 400 mg/kg, respectively, or by intraperitoneal (ip) route at a concentration of 25, 50, or 100 mg/kg. Mice survival was observed for 7 days after administration, and body weights were recorded and tissue was isolated at the end of survival observation.

# Top I - and Top $2\alpha$ -mediated DNA relaxation assay

The DNA relaxation assay was based on a procedure described previously.<sup>11</sup> Briefly, 2  $\mu$ L of 10x reaction buffer with 1 mM adenosine triphosphate (top2 $\alpha$ ) or without adenosine triphosphate (top1), 0.5  $\mu$ g of supercoiled pBR322, 1 unit of top1 or top2 $\alpha$  (Topogen, Port Orange, FL, USA) and various concentrations of 05D or HCPT were mixed in a total of 20  $\mu$ L of reaction buffer. Relaxation was performed at 37°C for 30 minutes and stopped by the addition of 2.5  $\mu$ L of stop solution (100 mM EDTA, 0.5% sodium dodecyl sulfate [SDS], 50% glycerol, 0.05% bromophenol blue). Electrophoresis was performed in 1% agarose gel (Sigma-Aldrich) in 0.5x Tris-Borate-EDTA (TBE) at 4 V/cm for 1.5 hours. DNA bands were stained with the nucleic acid dye ethidium bromide (EB) and photographed with 300 nm ultraviolet transilluminator.

# Top I -mediated DNA cleavage

DNA cleavage assays were performed as described previously.<sup>12-14</sup> A 117 base pair (bp) DNA oligonucleotide from Sangon Biotech (Shanghai, People's Republic of China) encompassing the previously identified top1 cleavage sites identified in the 161 bp fragment from pBluescript SK(-) phagemid DNA was employed. This 117 bp oligonucleotide contains a single 5'-cytosine overhang, which was 3'-end labeled by fill-in reaction with  $[\alpha-32P]$ -dGTP in reaction 2 buffer (50 mM Tris-HCl [pH 8.0], 100 mM MgCl2, 50 mM NaCl) with 0.5 U of DNA polymerase I (Klenow fragment; New England BioLabs, Hitchin, UK). Unincorporated 32PdGTP was removed using mini Quick Spin DNA columns (Hoffman-La Roche Ltd., Basel, Switzerland), and the eluate containing the 3'-end-labeled DNA substrate was collected. Approximately 3 nM of radiolabeled DNA substrate was incubated with recombinant top1 (60 U) in 20 µL of reaction buffer (10 mM Tris-HCl [pH 7.5], 50 mM KCl, 5 mM MgCl2, 0.1 mM EDTA, and 15 µg/mL bovine serum albumin [BSA]) at 25°C for 30 minutes in the presence of various drug concentrations. The reactions were terminated by adding SDS (0.5% final concentration), followed by the addition of two volumes of loading dye (80% formamide, 10 mM sodium hydroxide, 1 mM sodium EDTA, 0.25% xylene cyanol, and 0.25% bromophenol blue). Aliquots of each reaction mixture were subjected to 16% denaturing polyacrylamide gel electrophoresis. Gels were dried and visualized by using a phosphoimager.

#### Comet assay

DNA breakage was measured with a neutral comet assay (Trevigen, Gaithersburg, MD, USA), as described in the manufacturer's procedures and the literature.15 The treated cells were embedded in agarose on a slide and subjected to lysis followed by electrophoresis under neutral conditions. During electrophoresis, the damaged and fragmented negatively charged DNA migrated away from the nucleus toward the anode. The amount of migrated DNA was a measure of the extent of DNA damage. To detect DNA, the slides were stained with SYBR Gold (Thermo Fisher Scientific, Waltham, MA, USA) staining solution. The slides were examined by fluorescence microscopy, and the results were analyzed with the comet analysis software CASP (CASP, Wroclaw, Poland) to quantify DNA damage.<sup>16</sup> For each drug concentration, three independent assays were conducted in which comet tails were analyzed in a minimum of 50 randomly selected cells in each assay, and the parameter reflecting the DNA damage was represented as tail moment (TM; percentage of DNA in tail/tail length).<sup>17</sup>

### Western blot

Whole-cell lysates were used for immunoblotting, as described previously.<sup>18</sup> The cells were lysed using a lysis buffer (50 mmol/L Tris-HCl [pH 8.0], 150 mmol/L sodium chloride, 1.0% Triton X-100, 0.5% sodium deoxycholate, 0.1% SDS, protease inhibitor). Twenty micrograms of protein lysate was resolved by SDS-polyacrylamide gel electrophoresis and analyzed by immunoblotting with the specified antibodies (the dilution ratio of all primary antibodies was 1:1,000 and the secondary antibody was 1:3,000, usually 3  $\mu$ L of the aforementioned antibodies was used in the assay). The immunoreactive signals were revealed using the enhanced chemiluminescence (EMD Millipore, Billerica, MA, USA) method and visualized with a ChemiImager 5500 imaging system (Alpha Innotech, San Leandro, CA, USA).

#### Immunofluorescent microscopy

 $\gamma$ -H2AX was detected by immunofluorescent microscopy as described in Rojas et al.<sup>17</sup> Cells were treated with different concentrations of 05D and fixed in 4% paraformaldehyde, and then permeabilized with 0.5% Triton X-100 (Sigma-Aldrich) in phosphate-buffered saline containing 5% BSA. Cells were incubated with  $\gamma$ -H2AX (phospho-Ser139) antibody FITC-conjugated at 30-fold dilution in 5% BSA in phosphate-buffered saline overnight at 4°C, and were mounted with mounting medium Vectashield (Vector Laboratories, Peterborough, UK) and sealed with nail polish.  $\gamma$ -H2AX and nuclear staining were viewed with a Olympus FV500 Confocal Laser Scanning Microscope (Olympus Corporation, Tokyo, Japan).

# Analysis of cell cycle distribution

Flow cytometric analysis was performed as described in our previous report.<sup>11</sup> HCT116 cells were treated with 5, 10, 20, and  $40 \mu g/mL 05D$  for 24 hours, and were harvested and fixed with 75% ethanol at  $-20^{\circ}$ C overnight. Cells were stained with propidium iodide (25 mg/mL) and RNase A (200 mg/mL) at 37°C for 30 minutes. The DNA content was analyzed with a FACScan flow cytometer (COULTER Epics XL; Beckman Coulter, Inc., Fullerton, CA, USA).

#### Cell apoptosis assay

Qualitative analysis of apoptotic cells was accomplished with Annexin V-FITC Apoptosis Detection Kit (Beyotime, Haimen, People's Republic of China), as described previously.<sup>19,20</sup> Briefly, cells were treated with increasing concentrations of 05D and after 48 hours, the cells were collected for flow cytometry analysis by FACScan flow cytometry.

# HE staining of tissue sections

HE staining of tissue sections was performed as described in Zhao et al.  $^{\rm 20}$ 

# Results

# 05D suppresses cancer cell proliferation in time- and dose-dependent manner and has a low toxicity

To evaluate the effect of compound 05D on the proliferation of tumor cells, 2.5, 5, 10, and 20 µg/mL 05D were added to the human colon carcinoma cells HCT116 and cell vitality was detected after incubation for 2, 4, 6, and 8 days. Results showed that 05D significantly inhibited cell growth in a time-dependent manner (Figure 1B). At the concentration of 5 µg/mL, cell survival was ~55% of control after 4-day treatment with 05D and ~20% following 8-day treatment. At 20 µg/mL, the number of living cells was only 25% of control after 2-day treatment and decreased to 2% after 8 days. Meanwhile, we also observed its dose-dependent effect with concentrations of 5, 10, 20, 40, and 80 µg/mL at 24, 48, and 72 hours (Figure 1C); for example, at 48 hours, the vital cell counts at 5, 10, 20, 40, and 80 µg/mL were 85.4%, 67.7%, 51.6%, 3.8%, and 0.5%, respectively, of the control.

Given that colony formation analysis is the gold standard of cytotoxic agent effects, we utilized colony formation assay to further assess the inhibitory effect of 05D. HCT116 cells were seeded in six-well plate at 1,000/well and incubated with escalating concentrations of the compound for 7 days prior to colony count. Results showed that 05D could inhibit colony formation of HCT116 cells in a dose-dependent manner, and at 2.5  $\mu$ g/mL (Figure 1D), a concentration that inhibited cell proliferation only slightly (~10%), it could dramatically inhibit colony formation and the inhibitory rate was ~60%, indicating a higher ability to reduce cancer growth.

Also, we studied the inhibitory effect of 05D on other cancer cells, and results showed that 05D could suppress the proliferation of malignant cells, including lung cancer, fibrosarcoma, glioblastoma, hepatoma, breast cancer, and leukemia (Table 2), indicating a wide anticancer activity. Correspondingly, we also determined the effect of sophoridinol (the parent nucleus of 05D) on these tumor cells and found that there was almost no inhibitory effect at 40  $\mu$ g/mL.

Acute toxicity study of 05D was performed to assess its toxicity, and results showed that no death of mice was observed either by ig administration (200 or 400 mg/kg) or ip administration (25, 50, 100 mg/kg) (Table 1). In addition, 05D did not influence the body weight of mice also. These results suggest that 05D is considerably safe in vivo with a lower toxicity. HE staining of various tissues showed that there were no obvious pathologic changes observed between control and test groups (Figure 1E).

# 05D inhibits top1 activity by promoting DNA-top1 complex formation

Then we examined the effect of 05D on top1 activity by unwinding assay. As shown in Figure 2A, 05D inhibited top1 relaxation activity in a dose-dependent manner and at 0.4 mg/mL, 05D could completely inhibit top1 activity. Similarly, we also detected the inhibitory effect of 05D on top2 $\alpha$  by relaxation assay, and results revealed that 05D did not affect top2 $\alpha$  activity even at a concentration up to 1 mg/mL (Figure 2B). The coculture of DNA and 05D showed that 05D did not influence DNA topology and did not lead to DNA breakage directly (Figure 2C). Meanwhile, we examined the effects of 05D precursor sophoridinol on top1 and top2 $\alpha$  and at 2 mg/mL, we did not observe any inhibitory effect on topoisomerase (data not shown). The earlier results indicate that 05D only inhibited top1 activity and had almost no effect on top2 $\alpha$ . Among top1 inhibitors, most agents act by stabilizing DNA-top1 cleavage complex. So, we continued to perform top1-mediated DNA cleavage assay to detect whether 05D suppressed top1 activity by stabilizing DNA-top1 complexes. As shown in Figure 2D, in the presence of 05D, broken DNA bands that represented the DNA-top1 cleavage complexes were visualized, indicating that 05D could facilitate DNA-top1 cleavage complex formation in vitro.

# 05D leads to cell DNA breakage, $\gamma$ -H2AX foci formation, and represses ATM and Rad3-related (ATR) and ataxia telangiectasia mutated (ATM) activation

The earlier results show that 05D could induce DNA breakage mediated by top1 in vitro. We evaluated its in vivo effect by observing whether it could induce DNA breakage in cells, since DNA strand breakage in cells, especially double-strand breakage, was the initial mechanism of top1 inhibitor inducing apoptosis.<sup>21,22</sup> Alkaline single-cell gel electrophoresis (for single-strand breakage detection) and neutral single-cell gel electrophoresis (for double-strand breakage detection) were used to evaluate its effect on DNA breakage. Results showed

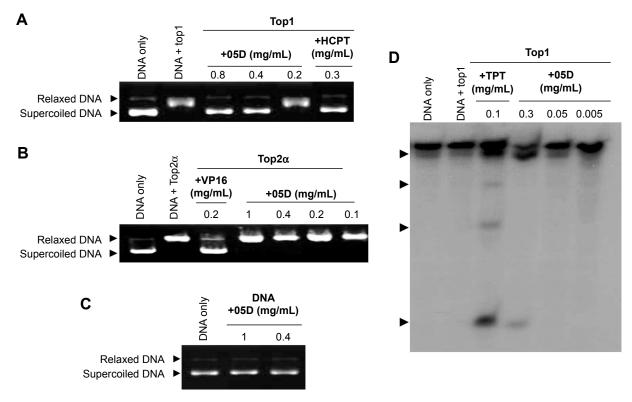


Figure 2 05D inhibits top1 activity by promoting DNA-top1 complex formation.

Notes:  $0.5 \ \mu g$  of supercoiled plasmid pBR322DNA was incubated in the presence of (**A**) top 1 or (**B**) top $2\alpha$  or (**C**) in the absence of topoisomerase and various concentrations of 05D or the indicated agents for 30 minutes. The reaction was stopped and the reaction products were separated in 1% agarose. (**D**) 3'-end-labeled 117 bp oligonucleotide was reacted with top1 (60 U) in the presence or absence of indicated concentrations of the compound. Arrowheads indicate the migration positions of DNA fragments cleaved by top1 in the presence of compounds.

Abbreviations: bp, base pair; top I, topoisomerase I; top $2\alpha$ , topoisomerase  $2\alpha$ .

that comet cells with comet tail were all visualized either in alkaline or neutral condition (Figure 3A), indicating that 05D could induce DNA single- and double-strand breakage in cells. The quantitative data revealed that 05D caused increase of TM in a dose-dependent manner, either in alkaline or neutral electrophoresis. In alkaline conditions, the TM was approximately 3.8-fold of the control at the highest concentration ( $40 \mu g/mL$ ) and in neutral conditions, the TM was up to 40-fold of the control, indicating an extensive DNA double-strand breakage.

Given that the histone variant H2AX is phosphorylated rapidly on Ser139 ( $\gamma$ -H2AX) in response to DNA doublestrand breakage<sup>23</sup> in cells, we examined the  $\gamma$ -H2AX level and results showed that the  $\gamma$ -H2AX expression significantly increased after the addition of 05D and its elevation was in a dose-dependent manner (Figure 3B). Then we utilized immunofluorescent analysis to examine whether  $\gamma$ -H2AX foci were formed (a  $\gamma$ -H2AX focus represents a DNA damage site in nucleas)<sup>24</sup> in the presence of 05D, and results showed that 05D could cause the formation of  $\gamma$ -H2AX foci in the nuclei of HCT116 cells after 24-hour treatment and these foci were also observed after 1-hour incubation (Figure 3C), indicating that not only could 05D lead to DNA breakage in a short time, but also this damage was persistent in response to 05D.

DNA damage usually evokes repair-associated pathways and their activation is contributed to the repair of broken DNA. So, we measured the repair-associated proteins and results showed that DNA damaging sensor DNA-PK was activated in the presence of 05D, but the phosphorylated levels of ATM and ATR attenuated, although their activation was the key to repairing the damage. Meanwhile, we also observed that the level of 53BP1 decreased (Figure 3C).

# 05D causes G1 phase arrest by suppressing CDK2/CDK4–Rb–E2F and cyclinD1–CDK4–p21 checkpoint signaling pathways

The impact of 05D on cell cycle progression was evaluated by flow cytometric analysis. HCT116 cells were treated with various concentrations of 05D (5, 10, 20, and 40  $\mu$ g/mL) for

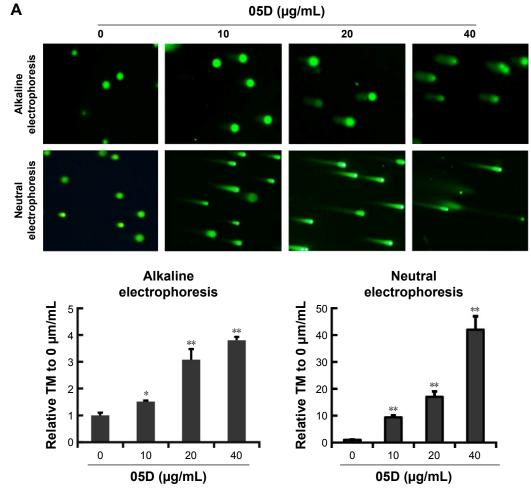


Figure 3 (Continued)

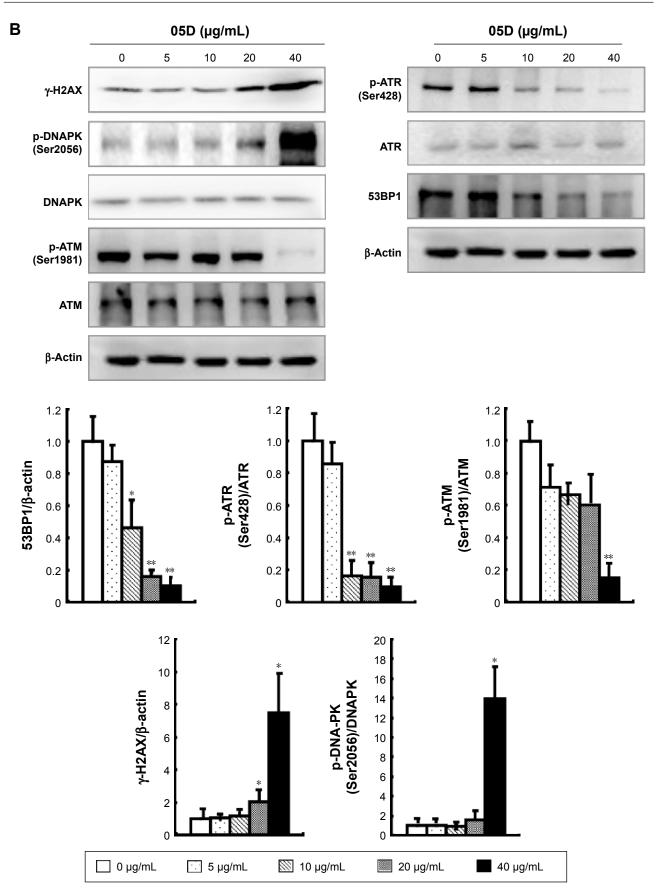


Figure 3 (Continued)

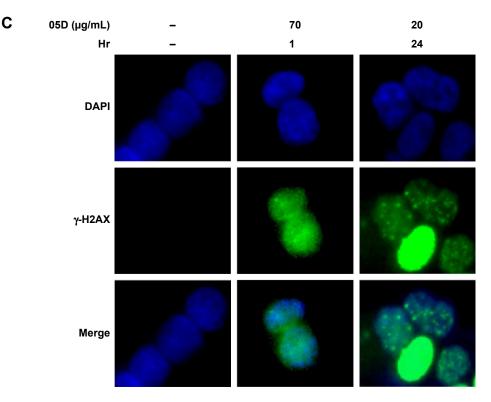


Figure 3 05D leads to cell DNA breakage,  $\gamma$ -H2AX foci formation, and represses ATR and ATM activation.

**Notes:** (**A**) HCT116 cells were treated with increasing concentrations of 05D for 1.5 hours, and alkaline and neutral comet electrophoresis assays were performed. The quantitative analysis was performed with the comet analysis software CASP, and the parameter TM was employed to evaluate DNA damage. Assays were repeated three times and the mean value of TM was normalized to the control (0  $\mu$ g/mL). (**B**) HCT116 cells were treated with indicated doses of 05D for 24 hours. Damage-associated proteins were detected by Western blot analysis and the quantitative analysis is displayed. \**P*<0.01. (**C**) HCT116 cells were treated with 70  $\mu$ g/mL 05D for 1 hour or 20  $\mu$ g/mL for 24 hours.  $\gamma$ -H2AX foci were tested with  $\gamma$ -H2AX antibody conjugated with FITC and nucleus was stained with DAPI. Cells were observed with a Confocal Laser Scanning Microscope.

Abbreviations: DAPI, 4',6-diamidino-2-phenylindole; ATM, ataxia telangiectasia mutated; ATR, ATM and Rad3-related; FITC, fluorescein isothiocyanate; TM, tail moment; Hr, hours; DNAPK, DNA-dependent Protein Kinase.

24 hours prior to cell cycle analysis, and results showed that HCT116 cells were blocked at G1 phase in a dose-dependent manner. At the concentration of 40  $\mu$ g/mL, ~80% cells were blocked at G1 phase that was significantly higher than control (40% cells in G1 phase) (Figure 4A).

Then we assessed two checkpoint signal pathways, CDK2/ CDK4–Rb–E2F and cyclinD1–CDK4–p21, that play a key role in the regulation of G1–G2/M transition and whose inactivation would induce cells arrested at G1 phase,<sup>22,25,26</sup> and results showed that 05D could strongly repress the activation of CDK2/CDK4–Rb–E2F and cyclinD1–CDK4–p21 pathways (Figure 4B). Meanwhile, the levels of phosphorylated cdc2 and cyclin E2 decreased and Cdc25A level increased; these changes also contributed to G1 phase arrest (Figure 4B).

# 05D induces cell apoptosis through mitochondria-mediated apoptotic pathway

Cell apoptosis was assessed by flow cytometric analysis and results showed that 05D could extensively induce HCT116

cell apoptosis in a dose-dependent manner and at lower concentrations of 3 and 9  $\mu$ g/mL, the apoptotic cells were 6.3% and 13% of the total cells, respectively, and at the higher concentration of 27  $\mu$ g/mL, the apoptotic cells were up to 80.5% (Figure 5A), indicating that 05D plays its anticancer role by inducing apoptosis. Then we examined the corresponding apoptosis signaling pathways and results showed that 05D could lead to the activation of final apoptotic signal proteins caspase 3 and caspase 7 and mitochondria-mediated apoptotic signal protein caspase 9, but the signal proteins of nonmitochondrial-mediated apoptosis, such as caspase 2, caspase 8, and caspase 10, were not activated (Figure 5B), suggesting that 05D induced cancer cell apoptosis by mitochondrial-mediated apoptotic pathway.

#### Discussion

Although top1 inhibitors such as camptothecin and hydroxycamptothecin have been widely used clinically for tumor treatment for several decades, the dose-dependent toxicity always disturbs their applications.<sup>27</sup> Also, weak

water solubility and the difficulties in de novo synthesis due to their large molecular structure also limited their further employment and exploration.<sup>28,29</sup> Our reported novel structure compound 05D, compared with hydroxycamptothecin, has a couple of priorities in molecular traits and druggability, such as a simple structure and special scaffold, providing considerable opportunities of formulation for oral administration, thus indicating that it belongs to a novel family of antitumor agents with ideal druggability characteristics.<sup>8</sup>

Anticancer activity of 05D suggested that the novel compound possessed wide spectrum of anticancer effects compared to its nucleus, that is, 05D could not only inhibit proliferation of solid tumors, including non-small-cell lung cancer, hepatoma, colon carcinoma, ovarian carcinoma,

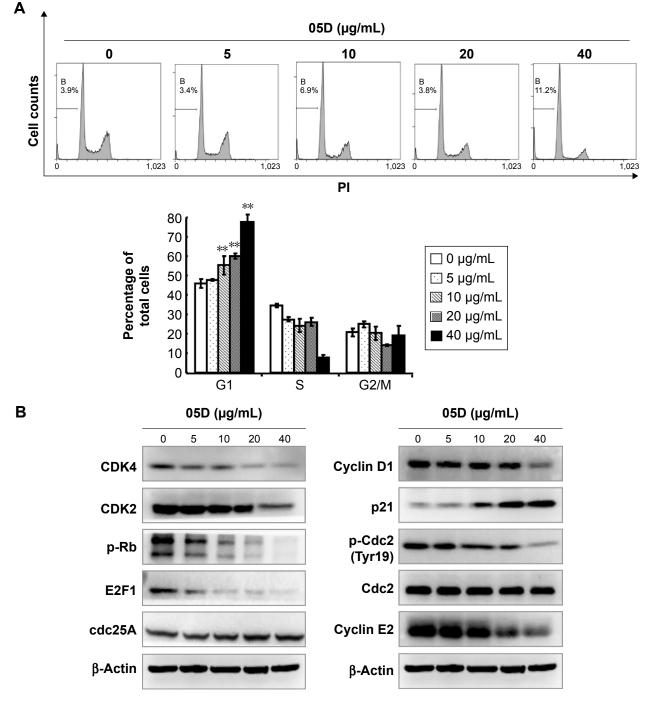


Figure 4 (Continued)

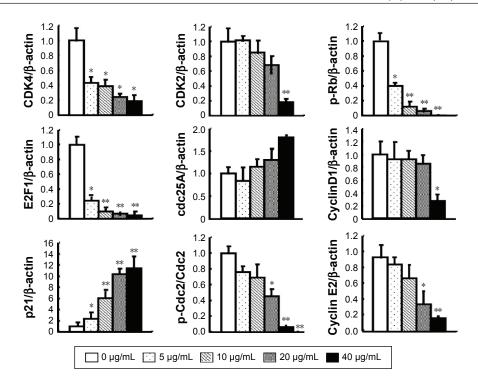


Figure 4 05D causes G1 phase arrest by inactivating CDK2/CDK4–E2F–Rb and cyclinD1–CDK4–p21 checkpoint pathways. Notes: (A) HCT116 cells were treated with various concentrations of 05D (5, 10, 20, and 40  $\mu$ g/mL) for 24 hours and cycle analysis was performed by flow cytometric analysis. (B) Cell cycle arrest-associated protein was analyzed by Western blot and the quantitative analysis is displayed. \**P*<0.05; \*\**P*<0.01. Abbreviation: PI, propidium iodide.

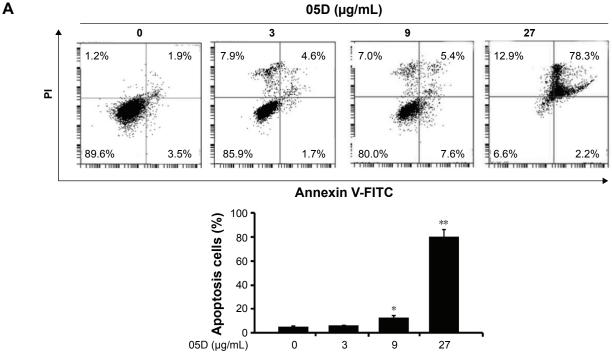


Figure 5 (Continued)

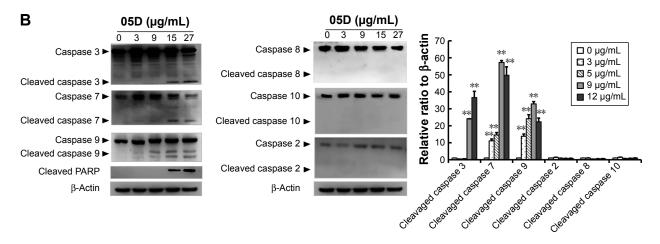


Figure 5 05D induces cells apoptosis by mitochondrial apoptotic pathway.

Notes: (A) HCT116 cells were treated by indicated concentrations of 05D for 48 hours and cell apoptosis was performed by flow cytometric analysis. (B) The cell apoptosisassociated protein was analyzed by Western blot and the quantitative analysis is displayed. \*P<0.05; \*\*P<0.01. Abbreviations: FITC, fluorescein isothiocyanate; PI, propidium iodide; PARP, poly (ADP-ribose) polymerase.

glioblastoma, and so on, but also suppress the growth of hematologic malignancies such as erythroleukemia. Toxicity assay showed 05D did not lead to death of mice either by ip or ig administration, suggesting it was considerably safe in vivo.

As a derivative of sophoridinol, 05D could still depress top1 activity like its parent and its inhibitory activity on top1 was higher than sophoridinol. Further results of top1mediated DNA cleavage showed that 05D could induce DNA-top1 cleavage complex formation. Meanwhile, we found that there were four DNA cleavage bands in topotecan (TPT)<sup>20</sup> (a derivate of camptothecin)-treated group and only two DNA cleavage bands observed in high concentration group of 05D, suggesting that intercalative sites of TPT and 05D were distinct in top1-cleavaged DNA. This difference in intercalative style might be helpful for the combined application of TPT and 05D to improve the antitumor efficacy. Then, we demonstrated that 05D could sustain irreversible DNA breakage, although it did not directly damage DNA, and this nonreversible broken DNA usually initiates the apoptosis, as evidenced in our study.

Generally, DNA damage activates DNA repair pathways. Among them, homologous recombination and nonhomologous end joining repair for broken DNA are the two major repair styles in response to DNA breakage.<sup>29</sup> Activation of ATM and ATR and an increase in 53BP1 level are usually the keys in the repair of homologous recombination and nonhomologous end joining, and their activation usually attenuated the effect of DNA damage that was critical to the efficacy of DNA damaging compound.<sup>30,31</sup> In our study, 05D could decrease or even eliminate phosphorylated level of ATR and ATM and 53BP1 level, suggesting that 05D might have a potential to enhance the effect of ATM- or ATR-activated DNA damaging drugs by repressing DNA breakage repair pathway.

#### Conclusion

Compound 05D exhibits antiproliferative activity in a variety of tumor cell lines, including liver, colon, lung, and breast hematopoietic malignancy. It could inhibit top1 activity by stabilizing DNA–top1 complex and induce cell DNA breakage and apoptosis, but depress ATM and ATR activation and 53BP level, which might be attributed to the improvement of therapeutic effectiveness of DNA damaging agents. In addition, the priorities in molecular traits and druggability indicate sophoridinol derivative 05D is a novel and promising antitumor agent with ideal druggability characteristics.

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#### Disclosure

The authors report no conflicts of interest in this work.

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