Does ethylene gas collapse on polymerization to polyethylene?

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Abstract: In this paper, we consider the question whether ethylene gas on polymerizing to linear polyethylene (PE) collapses from a coil-like state to a globular state on completion of the reaction. Our calculations seem to indicate that this is the case. In an attempt to explain this phenomenon, we define a statistic called the average atmosphere of a self-avoiding walk (SAW). We further demonstrate by means of exact enumeration that the expectation of this average and its variance differ for SAW with uniform probability distribution and growing SAW. For growing PE, we use the distribution used for growing self-avoiding walks with attraction between atoms being accounted for by means of an empirical potential energy function.

Keywords: coil, globular, average atmosphere, self-avoiding walk, repulsion, attraction

Introduction

The mathematics that governs the equilibrium statistical mechanics of polymer molecules in dilute solution is well studied for the past many decades.1 If we consider a single polymer chain in isolation, we have to deal with two scenarios: the polymer chain in (a) good solvent and (b) poor solvent. In good solvent, the only interaction between the atoms of the polymer chain is repulsion, and this is best modeled by the self-avoiding walk. This explains in a satisfactory way the critical exponent of mean square end-to-end distance (radius of gyration) obtained from experiment. In poor solvent, there are two types of interactions between atoms in the polymer chain, repulsion and attraction. This is best modeled by the use empirical potentials such as Lennard–Jones potential (LJP) and Kihara-type potentials. The salient feature of the use of this potential in the calculation of the mean square end-to-end distance is the existence of a phenomenon called polymer collapse that is also observed experimentally.2,3 The collapse of the chain from a coil to globular structure is observed for the chain as a whole and also locally at certain portions of the chain.2,3

Polymerization commonly occurs by means of two reaction mechanisms: (a) addition and (b) condensation. Calculations of the mean square end-to-end distance on growing polymer chains undergoing addition polymerization in good solvent (repulsion interaction only) were extensively carried out ∼30 years ago.4–6 However, no calculations have been carried out on growing polymer chains using potentials for both attraction and repulsion. Recently, the model for addition polymerization was extended to accommodate condensation polymerization7 using the depth first-search technique.8 In this paper, we describe calculations on the growth of polyethylene (PE) from ethylene gas by chain or addition polymerization. Similar calculations on polymer chains growing...
by the condensation mechanism under the influence of both attraction and repulsion have also been carried out.9

Our calculations on PE reveal that the phenomenon of polymer collapse will occur only after the reaction is complete. This is explained in terms of the fact that the probability distribution for equilibrium statistical mechanics of the polymer chain (uniform probability distribution) is different from the probability distribution of polymer chains that grow by addition polymerization (growing self-avoiding walk distribution [GSAW]), the time scales for reorientation at equilibrium and nonequilibrium being vastly different. To reinforce this argument, we examine a statistic that has recently been studied in detail, the atmosphere of self-avoiding walks and polymer chains.

The atmosphere in self-avoiding walks and GSAWs

The existence of the connective constant for self-avoiding walks \( \mu = \lim_{n \to \infty} c_n^{-1/n} \), where \( c_n \) is the cardinality of the set of self-avoiding walks of \( n \) steps, has been known for many decades now.1 However, the past decade has seen the development of highly sophisticated Monte Carlo (MC) algorithms to estimate this quantity to a high degree of accuracy.10–12 These authors have defined the atmosphere of a self-avoiding walk as the number of vacant (unoccupied) sites available for growth of an \( n \)-step self-avoiding walk. It is seen that the expectation of the atmosphere in self-avoiding walks is given by \( \frac{c_{n+1}}{c_n} \), where \( c_n \) is the cardinality of the set of self-avoiding walks of \( n \) steps. All algorithms mentioned earlier are improvements of the Rosenbluth and Rosenbluth algorithm first proposed –50 years ago.13 The Rosenbluth and Rosenbluth algorithm samples from a probability distribution that is based on the atmosphere of the walk and is hence different from the uniform distribution and then uses an estimated weight factor to extrapolate statistics obtained from these calculations to those expected from the uniform distributions. Improvements such as the (i) pruned and enriched Rosenbluth sampling, (ii) flat histogram Rosenbluth sampling, and the (iii) generalized atmospheric Rosenbluth sampling all use a similar weighting technique to extrapolate to the uniform distribution.10–12

Statistics estimated by the probability distribution that is based on the atmosphere of a self-avoiding walk is important for the following reason.

It is well established that the kinetics of polymerization (growth of a polymer molecule) depends on the fast motions of what is usually called the head of the molecule.14 It is also well established experimentally that the fast and slow motions of the polymer molecule in dilute solution in a good solvent differ by a very large order of magnitude.15,16 What this implies (if we assume Gibb’s hypothesis that the time average is equal to the ensemble average is true) is that while the equilibrium statistics of a polymer in dilute solution in a good solvent obey the uniform distribution, the statistics of a growing polymer chain in dilute solution obey a distribution that is based on the atmosphere of the growing molecule. Since the polymerization is an extremely fast reaction, there is little scope at present for experimental verification that the average dimensions of a growing polymer molecule in the gas phase or in solution remain the same after the reaction is complete. Hence, it is necessary to study the statistics of a GSAW (kinetically growing walks).

A unified model for self-avoiding walks growing by addition and condensation polymerization was recently proposed.7 In this model for an \( n \)-step self-avoiding walk \( P_n \) we define \( \lambda(P_n) \) as the average number of vacant lattice sites available to the walker as he starts from the origin until he reaches the site occupied by the terminal step and \( \mu_i(n) \) as the expectation of this average, where probabilities are calculated according to the uniform distribution, and \( \mu_i(n) \) as the expectation of this average where probabilities are calculated according to the GSAW distribution. In this paper, we report values of \( \mu_i(n) \) and \( \mu_i(n) \) by exact enumeration for two and three dimensions and observe that the expectation and standard deviation of the average atmosphere are different for both types of walks. This difference in the probability distribution is used to explain the absence of polymer collapse in kinetically growing PE.

Methods

Definitions and theory

In an earlier paper,7 we had defined the probabilities for self-avoiding walks that grow by looking ahead by an arbitrary number of steps ahead. We define the same quantities of walks looking only one step ahead as follows.

Let \( S_{i+1} \) be the set of self-avoiding walks of \( i+1 \) steps starting at the origin. Let \( P_i = p(0), \ldots, p(i) \) be the sites of an \( i \)-step self-avoiding walk starting at the origin. Let \( F_{i+1}(P) \) denote the subset of walks in \( S_{i+1} \) for which \( P_i = p(0), \ldots, p(i) \) are the first \( i+1 \) sites and \( \left| F_{i+1}(P) \right| \) is the cardinality of this subset. Let \( P_{i+1} = p(0), \ldots, p(i), p(i+1) \) be the sites of an \( i+1 \)-step self-avoiding walk starting at the origin whose first \( i+1 \) sites are \( (0), \ldots, p(i) \).

We now define the probability of the \( i+1 \) step being along sites \( p_i \) and \( p_{i+1} \) in a GSAW as:
\[
\mu(i) = \frac{1}{|F_r(P_i)|}, F_{r+1}(P_i) > 0.
\]

where \(\mu(i)\) is a conditional probability. It is the probability of the \(i\)th step being along sites \(P_i\) that the walk has survived up to \(i\) steps. The probability of the walk \(P_{n+1} = p(0), \ldots, p(n), p(n+1)\) defined in terms of the GSAW model is given by:

\[
\text{Prob}(P_{n+1}) = \prod_{i=1}^{n+1} \mu(i)
\]

where \(\mu(i)\) is given by Equation 1.

We now define a random variable \(\mathcal{A}(P_n) = \sum_{i=1}^{n} |F_r(P_{i-1})| \), where \(|F_r(P_{i-1})|\) is defined in Equation 1 with \(|F_r(P_{i})| = 2d\), where \(P_0\) is the origin and \(d\) is the dimension of the lattice. The expectation of \(\lambda\) under the GSAW is given by:

\[
\mu_i(n) = \sum_{r_1 \in S_n} \mathcal{A}(P_n) \text{Prob}(P_n),
\]

where \(\text{Prob}(P_n)\) is given in Equation 2. Similarly, expectation of \(\lambda\) under the uniform distribution is given by:

\[
\mu_i(n) = \frac{\sum_{r_1 \in S_n} \mathcal{A}(P_n) c_n}{c_n},
\]

where \(c_n\) is the cardinality of the set of \(n\)-step self-avoiding walks. To illustrate further, we calculate \(\mu_i(4)\) and \(\mu_i(4)\) in two dimensions as follows:

\[
\mu_i(4) = \frac{12}{4} \times 2 \times 8 + \frac{13}{4} \times 84 = 3.194444
\]

\[
\mu_i(4) = \frac{12}{4} \times 2 \times 8 + \frac{13}{4} \times 84 = 3.21
\]

We further define: \(\mu_i = \lim_{n \rightarrow +\infty} \mu_i(n)\) and \(\mu_i = \lim_{n \rightarrow -\infty} \mu_i(n)\). In this paper, compare \(\mu_i\) and \(\mu_i\) with the connective constant \(\mu = \lim_{n \rightarrow +\infty} e^{\mu_i}\).

For the purpose of the aforementioned comparison, we also need the standard deviations related to \(\mu_i(n)\) and \(\mu_i(n)\) We therefore, define the second moment of \(\mathcal{A}(P_n) = \sum_{i=1}^{n} |F_r(P_{i-1})| \) as \(\sigma_i(n) = \sum_{r_1 \in S_n} \mathcal{A}(P_n)^2 \text{Prob}(P_n) \) and \(\sigma_i(n) = \sum_{r_1 \in S_n} \mathcal{A}(P_n)^2 c_n \), giving for the standard deviation:

\[
\sigma_i(n) = \sqrt{\mu_i(n) - \mu_i(n)^2}
\]

The model used for PE

We have chosen to use the united atom/Ryckaert–Bellmans\(^{17}\) model for isolated PE chains. In this model, each atom “m” in the chain is characterized by a Lennard–Jones 12-6 potential given by

\[
U(r_{ij}) = 4\varepsilon \left( \frac{\sigma_{ij}^6}{r_{ij}^{12}} - \frac{\sigma_{ij}^6}{r_{ij}^6} \right) |i- j| \geq 4
\]

Here, \(r_{ij}\) is the distance between the \(i\)th and \(j\)th atoms, \(\varepsilon / k_B = 72\) K and \(\sigma = 3.923\) Å. While the LJP accounts for the non-bonding interactions in the chain, the local interactions are taken care of by the torsional potential given by:

\[
E_i(\phi_i) = k_B \sum_{m=0}^{5} a_m (\cos \phi_i)^n
\]
distribution, known as GSAW, in our simulation. Again, we have used two potential functions, LJ and KCCP, to model the non-bonding interactions between the chain segments. Thus, we get two sets of results, one using the LJ and the other using KCCP with each of these probability distributions. In addition, the calculations using KCCP have been carried out at four temperatures, ie, 1,000, 413, 298, and 100 K. Both exact enumeration and MC simulation have been carried out for each of these.

At the beginning of the calculation, the configuration is set to the all-trans chain. The all-trans configuration is the one with the lowest local energy, while the configuration with alternate gauche conformations has the highest. In our program, the digit “0” represents a trans conformation (ω = 0°), “1” for gauche+ conformation (+120°), and “2” for gauche− (−120°) conformation. Hence, an all-trans chain of N bonds may be represented by a series of N-2 zeroes (starting with the second bond up to N-1th bond). Certain parameters such as the temperature T, θ, p or σ, U₀ or ξ, and the gas constant R are all defined outside the main program. An outline of the steps involved in both programs is discussed in brief.

Uniform probability distribution

Exact enumeration

For a given number of bonds N, the exact enumeration method involves the generation of $3^{N-2}$ configurations starting with the initial all-trans configuration. For each such configuration, its total energy is calculated as the sum of local and non-local energies. The statistical weight thus determined is multiplied to the square of the end-to-end distance of the configuration. A configuration consisting of the end-to-end distance squared is given by Equation 6, and the characteristic ratio $C_n$ by Equation 7.

\[
<r^2> = \frac{\sum_{i=1}^{N_c} r_i^2 \exp(-E_i / RT)}{\sum_{i=1}^{N_c} \exp(-E_i / RT)}
\]

The characteristic ratio $C_n$ is given by:

\[
C_n = <r^2>/N(l^2)
\]

MC simulation

In the MC simulation using uniform distribution, each sample is generated starting from the beginning by random step-by-step sampling of the torsion angles. Each of the torsion angles (0, +120, or −120) is selected with equal probability, and a complete N-mer chain is constructed in sequence. Once a chain is built up, its atomic coordinates are generated for $N+1$ atoms. The local energy which takes care of interactions up to four skeletal bonds is calculated using Equation 2, for the whole chain. The distance between atoms “i” and “j” is calculated for every pair $i$, $j$ such that $|i-j| \geq 4$. Configurations in which any pair of atoms are at a distance less than $\sigma (1.53+3.923 \text{ Å for LJ and } 1.53+3.15 \text{ Å for Kihara})$ are rejected.\textsuperscript{19} These values are passed on to another subroutine where the non-local energies, operative between all chain segments that are further apart by four or more skeletal atoms, are calculated using either Equation 3 or 4 depending on the potential function used. Sum of the local and non-local energies of a particular chain gives its total energy $E$, that is, $E = E_L + E_NL$. Using $E$, the statistical weight of the configuration is calculated as $\exp(-E/k_BT)$ or $\exp(-E/RT)$ (depending on the units used for $E$), where $R$ is the universal gas constant.

The end-to-end distance “r” of the generated configuration is calculated which is squared and then multiplied with the statistical weight corresponding to that configuration. If $N_c$ is the total number of chains generated (sample size) during the simulation, then the ensemble average of the mean square end-to-end distance is given by Equation 6, and the characteristic ratio $C_n$ by Equation 7.

GSAW probability distribution

Exact enumeration

Starting with the all-trans configuration, all of the $3^{N-2}$ configurations are generated. During the generation of each configuration, at each bond position, the following steps are performed. Each bond position is attempted with the 0, 1, and 2 conformations. The second bond position is set at 0 (by symmetry considerations). The third bond position is tried with 0, 1, and 2. For every attempt at a bond position, the statistical weight is calculated. The sum of the three statistical weights at each bond position is also computed. The statistical weight corresponding to the conformation being sampled is divided by the sum of the three statistical weights gives the probability of selection of that conformation at that bond position. This probability is stored. The process is repeated for $N-2$ bonds in a configuration, each time generating the probability. Finally, we get a product of these $N-2$ probabilities which is the total probability of that configuration, say Tot_P. The sum of the squares of the end-to-end distance, $r^2$, for each configuration is multiplied with its Tot_P. This whole procedure is repeated for $3^{N-2}$ configurations. The mean square end-to-end distance is then computed as

\[
<r^2> = \sum_{i=1}^{3^{N-2}} (r_i^2 \ast \text{Tot}_P)
\]
MC simulation

Here again, each sample is generated by starting with the torsional angle of the third bond. In GSAW, we sample ahead by one bond position. The third bond position is selected by calculating the \( E_i \) for the three rotational isomeric states using Equation 2. Their corresponding weights are determined using the equation \( \exp(-E_i / RT) \). A random number is generated which is compared with the probabilities (statistical weight of each state divided by the sum of the statistical weights) for the three states, and the third bond position is selected accordingly in a random fashion. Its coordinates are then generated. From the fourth bond onward up to \( N-1 \)th bond, each bond position is attempted with each of the three states, and during each attempt, atom coordinates are generated along with the total energy and the corresponding statistical weight. The statistical weight corresponding to a particular state divided by the sum of the three statistical weights gives the probability of that conformation at the bond position. A random number is generated which is compared with each of these probabilities, and the bond conformation at that position is selected. This process is repeated until \( N-2 \) bond conformations are generated by this procedure. Configurations in which any pair of atoms are at a distance less than \( 1+\sigma \) (1.53+3.923 Å for LJ and 1.53+3.15 Å for Kihara) are rejected.\(^{19}\) The end-to-end distance square is calculated for that particular configuration. In this manner, \( N \) chains are generated, and their end-to-end distance squared, \( r^2 \), values are summed up. The mean square end-to-end distance is calculated as

\[
<r^2> = \frac{\sum_{i=1}^{N} r_i^2}{N}
\]

and the characteristic ratio \( C_n \) is calculated as given in Equation 7.

To sum up briefly: In the GSAW probability distribution, each of the \( N-2 \) bond positions is attempted with 0, 1, and 2 conformations. During each such attempt, we sample one bond position ahead. Suppose the second bond is set with the conformation 0. For the third bond position, the statistical weight determined from the local energy calculations as per Equation 2 is stored in, say, \( w[0] \), \( w[1] \), and \( w[2] \). Now, \( w[0] / (w[0] + w[1] + w[2]) \) gives the probability of the conformation 0 at that bond position. Similarly, the other two probabilities are computed. A random number is then generated which is compared with each of these probabilities and a conformation is selected randomly for that bond position. This process is repeated for the \( N-1 \) remaining bonds when a configuration sample is said to be generated. The square of the end-to-end distance of that configuration is calculated. Using this technique, \( N \) chains are generated, and their end-to-end distance squared value, \( r^2 \), is summed up.

Results and Discussion

We use the depth first-search algorithm described in earlier papers\(^3-8\) to calculate values of \( \mu_n(n), \sigma_n(n), \mu_c(n), \sigma_c(n), \) and \( C_n^{1/a} \) in two and three dimensions. For such an algorithm that uses the depth first-search tree, the number of vertexes at each level of the tree is equal to the number of self-avoiding walks for the number of steps equal to that level. Here, the level of a vertex in a tree is the length of the path from the root of the tree to that vertex. If we use the notation used in Ref. 1 (borrowed from genealogy), \( \bar{A}(P_n) = \sum_{n=1}^{\infty} F_n(P_{n-1}) \) represents the average number of children of each vertex along the path from the root of the tree to the vertex at the \( n-1 \)th level (including the 2d children of the root). That is, the average number of children along the path from the root to the vertex in question, excluding the number of children of that vertex (leaf). The advantage in using this algorithm is that we need only single run of the program that uses this algorithm to calculate \( \mu_n(n), \sigma_n(n), \mu_c(n), \sigma_c(n), \) and \( C_n^{1/a} \) simultaneously.

Results of exact enumeration for two and three dimensions

In Table 1, we report exact enumeration values of \( \mu_n(n), \sigma_n(n), \mu_c(n), \sigma_c(n), \) and \( C_n^{1/a} \) in two dimensions. In Table 2, we report exact enumeration values of \( \mu_n(n), \sigma_n(n), \mu_c(n), \sigma_c(n), \) and \( C_n^{1/a} \) in three dimensions.

Results of real PE chain

The results of our computations for the characteristic ratio vs the number of bonds for the uniform probability distribution and GSAW distribution for PE with the LJP at 1,080 K are plotted in Figure 1. This computation was carried out primarily to check our program for the uniform distribution with previously published results.\(^{15}\) It is clear from Figure 1 that polymer collapse occurs at ~20 bonds for the uniform distribution (in agreement with earlier calculations on the same system), whereas for GSAW, there is no collapse clearly up to 180 bonds.

In Figures 2–5, we plot the results of our computations for the characteristic ratio vs the number of bonds for the uniform probability distribution and GSAW distribution for PE with the KCCP at 1,000, 413, 298, and 100 K. It is
Table 1: Exact values of $\mu_G(n)$, $\sigma_G(n)$, $\mu_U(n)$, $\sigma_U(n)$, and $C_n^{\text{rms}}$ in two dimensions

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Table 2: Exact values of $\mu_G(n)$, $\sigma_G(n)$, $\mu_U(n)$, $\sigma_U(n)$, and $C_n^{\text{rms}}$ in three dimensions

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<td>4.889902</td>
</tr>
</tbody>
</table>

Figure 1: Plot of the characteristic ratio vs the number of bonds in PE with LJ at 1,080 K for uniform and GSAW distributions.

Abbreviations: PE, polyethylene; LJ, Lennard–Jones potential; GSAW, growing self-avoiding walk; UM, uniform.
observed that in all cases, polymer collapse occurs between eight and ten bonds (ie, for octane, nonane, or decane) for the uniform distribution, whereas collapse does not occur up to 180 bonds in GSAW in all cases. This implies that we observe polymer collapse for uniform walks in our exact enumeration calculations.

**Discussion**

It was mentioned at the very beginning of the paper that the mathematics that governs the equilibrium statistical mechanics of polymer molecules in dilute solution is well studied for the past many decades. However, the mathematics of growing polymer chains has not been studied. Moreover, when one studies both experimentally and theoretically the effect of the degree of polymerization (molecular weight), one usually uses samples of increasing molecular weight to study the size. There are no experimental studies that examine the size of polymer molecules as the polymerization proceeds, simply because the reactions are simply too fast to measure the average

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**Figure 2** Plot of the characteristic ratio vs the number of bonds in PE with Kihara potential at 1,000 K for uniform and GSAW distributions. **Abbreviations:** PE, polyethylene; GSAW, growing self-avoiding walk; UM, uniform.

**Figure 3** Plot of the characteristic ratio vs the number of bonds in PE with Kihara potential at 413 K for uniform and GSAW distributions. **Abbreviations:** PE, polyethylene; GSAW, growing self-avoiding walk; UM, uniform.
dimensions such as the mean square end-to-end distance and mean radius of gyration.

The main finding of this study was that the growing PE chains do not collapse, whereas PE chains using KCCP collapse very early (in our exact enumeration computations in all cases). This is a startling finding since what it means is that ethylene gas on polymerizing to linear PE collapses from a coil-like state to a globular state on completion of the reaction. This can be explained by using our exact enumeration calculations in Tables 1 and 2. The probability distributions are totally different. The GSAWs have also been called the “myopic self-avoiding walk”\textsuperscript{1}. What this implies is that the growing walker does not see the attractive part of the potential in GSAWs, and hence, polymer collapse is not observed. On the other hand in the uniform self-avoiding walk, the walker sees both the attractive and repulsive part of the potential, and hence, after a certain number of steps, polymer collapse is observed.
As a final point of discussion, the transition that is observed is the converse of that reported by Yamamoto et al.\textsuperscript{20,21} for crystallization from a globular state to a chain-folded crystallite (eg, Figure 2 in page 1977 in the work of Yamamoto\textsuperscript{20}).

**Conclusion**

In this paper, we are able to predict that ethylene on polymerizing in the gas phase collapses from a coil-like structure to a globular structure. From a mathematical standpoint, this can be attributed to the fact that the probability distributions governing the statistical mechanics at equilibrium and non-equilibrium are different.

**Disclosure**

The authors report no conflicts of interest in this work.

**References**