Aspirin-exacerbated respiratory disease: pathophysiological insights and clinical advances

John W Steinke
Jeff M Wilson
Asthma and Allergic Disease Center, Carter Immunology Center, Department of Medicine, University of Virginia Health System, Charlottesville, VA, USA

Abstract: Asthma and chronic rhinosinusitis are heterogeneous airway diseases of the lower and upper airways, respectively. Molecular and cellular studies indicate that these diseases can be categorized into unique endotypes, which have therapeutic implications. One such endotype is aspirin-exacerbated respiratory disease (AERD), which encompasses the triad of asthma, aspirin (or nonsteroidal anti-inflammatory drug) hypersensitivity, and nasal polyposis. AERD has unique pathophysiological features that distinguish it from aspirin-tolerant asthma and other forms of chronic rhinosinusitis. This review details molecular and cellular features of AERD and highlights current and future therapies that are based on these insights.

Keywords: leukotriene, cyclooxygenase, prostaglandin, aspirin-exacerbated respiratory disease, arachidonic acid

Introduction

The constellation of findings of asthma, aspirin (and nonselective nonsteroidal anti-inflammatory drug [NSAID]) hypersensitivity, and nasal polyposis encompasses the phenomena known as aspirin-exacerbated airway disease (AERD). Described by Samter and Beers in 1968, and formally called Samter’s triad, the defining feature of this disease is the worsening of respiratory symptoms following ingestion of cyclooxygenase-1 (COX-1) inhibitors such as aspirin and nonselective NSAIDs. Despite being a relatively minor fraction of all asthma and sinusitis cases, aspirin hypersensitivity is associated with increased severity of both upper and lower airway diseases. A recent meta-analysis indicates that 7% of asthmatics are aspirin-sensitive but that the prevalence doubles in severe asthmatics. Recent insights into AERD pathophysiology suggest that it represents a unique phenotype of airway disease. Unlike allergic asthma, this disease tends to develop in adulthood, occurs in patients without an atopic history, and displays a slightly higher prevalence in females. Hallmark features include eosinophilia, expression of Th2 cytokines, and elevated levels of cysteinyl leukotrienes (CysLTs). Recently, a prominent role for interferon (IFN)-γ in the maturation of eosinophil progenitors in AERD has been proposed. Based on these characteristics, it is not surprising that steroids and leukotriene pathway modifiers are the mainstays of therapy. Perhaps paradoxically, aspirin desensitization is a keystone therapy for patients who can tolerate the procedure. This review highlights some recent advances into the cellular and molecular mechanisms involved in AERD.
Role of eicosanoids

Perhaps most central to the underlying pathophysiology of AERD is the dysregulation of pro- and anti-inflammatory lipid mediators. For example, it is well established that proinflammatory CysLTs are markedly upregulated in AERD, whereas the prostanoitid prostaglandin E₂ (PGE₂) is constitutively decreased.³¹⁻¹³ The fundamental factors that contribute to this underlying dysregulation remain a source of active investigation, although work discussed later suggest a role for both Th1 and Th2 cytokines.

The enzymes COX and 5-lipoxygenase (5-LO) act as critical switches for regulating downstream production of prostanoids and leukotrienes (Figure 1), respectively, from a common pool of arachidonic acid precursors. Expression and regulation of these and other enzymes involved in eicosanoid metabolism are thought to be important in AERD pathogenesis.

COX-1 and COX-2 are prostaglandin synthases that convert arachidonic acid into prostaglandin H₂, which acts as a gateway to the synthesis of a number of prostanoids including other prostaglandins, thromboxanes, and prostacyclines (Figure 1). It has been demonstrated that expression of COX-2, but not COX-1, is markedly reduced in AERD.¹²,¹⁴,¹⁵ Given that microsomal prostaglandin E₂ synthase (mPGES-1), one of the downstream enzymes that generates PGE₂, is functionally coupled to COX-2, it is not surprising that PGE₂ is decreased in AERD.¹⁶ This prostaglandin has pleiotropic effects mediated by four different G-protein-coupled receptors (EP1–EP4); however, acting via the EP2 receptor, it exerts anti-inflammatory effects relevant to AERD by impairing eosinophil activation and mast cell degranulation.¹⁷⁻¹⁹ The importance of this pathway has been further demonstrated as PGE₂ inhalation into the lung protects against aspirin-induced bronchoconstriction.²⁰,²¹

In contrast to the impaired prostaglandin production, products of the 5-lipoxygenase pathway are markedly increased in AERD (Figure 1). This reflects increased expression of 5-lipoxygenase itself as well as the downstream enzyme leukotriene C₄ synthase (LTC₄S). This has been demonstrated in both lung and nasal polyps, largely localizing to mast cell and eosinophil populations.¹¹,¹²,²² Initially described as the slow-reacting substance of anaphylaxis, studies quickly discerned three distinct lipid mediators that contributed to bronchoconstriction observed in experimental models.²³ LTC₄ is the first product of this pathway but is readily converted to LTD₄, which itself is rapidly metabolized to the more stable LTE₄. Levels of LTE₄ are elevated in the urine and respiratory secretions of AERD subjects and are further increased upon aspirin provocation.²⁴,²⁵ Signaling is mediated, at least in part, through the G-protein-coupled receptors CysLT1 and CysLT2. Of these, CysLT1 is the high-affinity receptor preferentially binding LTD₄, whereas CysLT2 recognizes both LTC₄ and LTD₄ with equal affinity, albeit at a 10-fold lower affinity than the CysLT1 receptor.²⁶ Despite being able to mediate bronchoconstriction and other proinflammatory activities, LTE₄ does not bind avidly to either of these receptors.²⁷⁻²⁹ CysLT1, but not CysLT2, is upregulated in leukocytes from AERD patients as compared with aspirin-tolerant controls.³⁰,³¹ A prominent role for CysLT1 in this disease is further supported by its

---

**Figure 1** IL-4 and IFN-γ favor leukotriene pathway.

**Abbreviations:** IL-4, interleukin 4; IFN-γ, interferon-γ.
expression on airway smooth muscle and the capacity of CysLT1 receptor antagonists to ameliorate aspirin-induced bronchoconstriction. The importance of LTE4 has proved challenging, in part, owing to the elusive search for its cognate receptor. Recent reports suggest that LTE4 signals through P2Y12 or GPR99, although additional studies are needed to clarify their role in AERD.

In summary, AERD reflects a state of dysregulated eicosanoid metabolism, with a pathway programmed in favor of enhanced CysLT expression and signaling, against a backdrop of impaired PGE2 expression. Collectively, this action will lead to airway constriction and stimulation of eosinophil and mast cell degranulation, all key factors in AERD pathogenesis. Aspirin or nonselective NSAIDs further contribute to this dysregulation via COX-1 blockade and forcing arachidonic acid into the leukotriene synthesis pathway.

**Cells and cytokines**

One of the defining features of AERD is the presence of nasal polyps with pronounced eosinophilic infiltrate. Additional cellular players include mast cells, macrophages, and T-cells. Consistent with the eosinophilic predominance is the marked upregulation of eosinophil cationic protein (ECP), which is a marker of eosinophilic degranulation. A recent study has shown a greater increase in ECP levels, more than eosinophil numbers would predict, suggesting an increased activation state of eosinophils in AERD. This is especially interesting as eosinophilia is also pronounced in AERD compared with polyp-free sinus disease.

Notably though, these mediators are increased in AERD compared with polyp-free sinus disease.

Most studies have shown a cytokine and chemokine milieu consistent with type 2 immunity; however, recent work from our group and others suggests an important role for IFN-γ as well. We have shown that IL-4 and IFN-γ mRNA, but not IL-5 or IL-13, are upregulated in AERD polyp tissue and that eosinophils are the primary source of these cytokines. Interestingly, both IL-4 and IFN-γ increase LTC4S expression on mast cells and eosinophils, providing a mechanistic link for the upregulation of CysLTs seen in AERD. For IFN-γ, the effect was not direct but was mediated by promoting the maturation of eosinophils as measured by the upregulation of CCR3 and Siglec-8. Additionally, IL-4 has also been shown to inhibit COX-2 and mPGES-1. Taken together, this suggests that AERD may represent a “mixed” Th1/Th2 disease, where IFN-γ plays an important role in pathogenesis. The mixed nature of cytokine expression fits with the observation that AERD subjects are not atopic as in classical Th2 allergic diseases. The precise role of IFN-γ remains to be determined, because some other studies have not supported this finding, which may reflect the different techniques used to detect expression.

Recent work suggests that IL-33 may be another important cytokine involved in AERD pathogenesis. This alarmin-like cytokine is upregulated in the airway epithelial layer and has been shown to be dependent on CysLT expression in mouse models. It is able to function as a mediator of mast cell activation. This is intriguing, because as an innate cytokine, IL-33 represents another mechanism to explain eosinophilic and mast cell involvement in the absence of IgE-mediated atopy.

Additional factors that have recently been shown to be preferentially increased in AERD tissue include granulocyte-macrophage colony-stimulating factor (GM-CSF) and MCP-1, while tissue plasminogen activator (tPA) was decreased. Although the pathophysiological relevance of these findings is not clear, prior investigations offer hints. GM-CSF has previously been shown to enhance eosinophil survival in tissues in the absence of IL-5, and GM-CSF-mediated eosinophilic activation has recently been demonstrated in another mucosal inflammatory disease, colitis. Reduced tPA levels suggest a possible role for the fibrinolytic pathway to contribute to fibrin deposition and thus the remodeling seen in AERD.

Interestingly, platelet-associated leukocytes were increased in AERD and were shown to enhance LTC4S expression.

**Genetic studies**

Microarray and genome-wide association studies have been employed to identify genes relevant to AERD pathogenesis. Stankovic et al reported periostin upregulation in chronic rhinosinusitis polyps, although the levels were notably similar in aspirin-tolerant and -sensitive subjects in that study. Follow-up work assessing periostin protein levels with ELISA, however, did show a significant increase in AERD versus aspirin-tolerant asthma and also showed correlation in periostin levels with severity of sinus disease. This finding is consistent with recent work establishing periostin as a potential biomarker for Th2 inflammation, congruent with the
fact that it is upregulated by IL-4 and IL-13 and is associated with tissue eosinophilia. Expression profiling of peripheral blood mononuclear cells from AERD versus aspirin-tolerant asthmatics demonstrated ten genes that exhibited >8-fold change. Interestingly, traditional cytokine and chemokine genes related to type 2 immunity were not represented among these. The two genes that were validated with high sensitivity and specificity were CNKSR family member 3 (CNKSR3) and spectrin β nonerythrocytic 2 (SPTBN2), both of whose functions in AERD remain elusive.

An association to human leukocyte antigen (HLA) has been described in three separate genome-wide association studies of Korean subjects, with significant association of polymorphisms within the HLA-DPB1 gene being identified. The prevalence of these polymorphisms in other populations is not clear, although an earlier study in Polish subjects showed a similar result. Taken together, these studies have demonstrated novel markers and factors that could be important in AERD pathogenesis, although further investigation will be needed to assess their role in disease pathogenesis and possible utility as biomarkers.

Current and future therapies

In addition to standard asthma therapies such as inhaled corticosteroids and β2-agonists, a keystone of AERD management involves leukotriene blockade. Two classes of medications are in clinical use – the leukotriene receptor antagonists, which include montelukast and zafirlukst, and the 5-LO inhibitor zileuton. Controlled, prospective, placebo-controlled studies with montelukast and zileuton have both shown efficacy in aspirin-sensitive asthma as measured by improved forced expiratory volume in 1 second (FEV1) scores, decreased use of rescue inhalers, and an increase in asthma-quality-of-life measures. Based on their unique mechanism of actions, there is some thought that they may act in an additive way to ameliorate symptoms, although no prospective combined trials, or head-to-head trials, have been conducted in the AERD population. A single head-to-head trial in asthmatics, which did not address aspirin-sensitive asthma, specifically demonstrated modest superiority of zileuton compared to montelukast. Leukotriene receptor antagonists are often used as first-line therapy based on practical considerations (as they are less expensive) and have fewer side effects; however, zileuton may have superior efficacy in AERD based on patient survey data. Zileuton impairs all leukotriene production by virtue of 5-LO inhibition, whereas the clinically available leukotriene receptor antagonists selectively target CysLT1. As discussed previously, although CysLT1 is the high-affinity receptor for LTD4, leukotrienes also signal via CysLT2 and other LTE4 receptors. Given that CysLT2, like CysLT1, is upregulated in nasal polyps, this provides a mechanistic explanation as to why zileuton would have a broader antileukotriene activity than selective CysLT1 agents. Taken together, CysLT2 and other recently described putative leukotriene receptors, such as GPR99 and P2Y12, are potential targets for future research efforts in AERD-directed therapeutics.

On the one hand, while aspirin can trigger acute respiratory symptoms, aspirin desensitization followed by daily aspirin therapy leads to improved long-term symptoms in AERD subjects. The protocol is conducted by starting with small doses of aspirin and gradually achieving doses of 650–1,300 mg daily. Notably, although the approach is similar to traditional allergy desensitization, which addresses IgE-mediated reactions, the pathophysiology is distinct. The most significant improvements with aspirin desensitization relate to upper airway symptoms including smell and decreased polyp formation; however, asthma severity, use of steroids, and hospitalizations are also lessened. The beneficial mechanisms of aspirin are not entirely clear, though aspirin likely modulates multiple pathways involved in AERD pathogenesis. Our group and others have shown that aspirin blocks IL-4-activated signal transducer and activator of transcription 6 (STAT6), which is a key transcriptional regulator of CysLT1 and has known binding sites in the LTC4 promoter. This corresponds with an earlier work, which showed downregulation of CysLT1 on leukocytes from nasal mucosa following aspirin desensitization. Another study has shown downregulation of IL-4 and MMP-9 levels following desensitization.

Despite the use of leukotriene pathway inhibitors and aspirin desensitization, AERD remains a disease with high morbidity. Advances in AERD pathophysiology, however, offer promising future targets to better address this disease. Multiple monoclonal antibodies targeting immune pathways are in development or have clinical approval for related conditions. Mepolizumab is an anti-IL-5 monoclonal antibody that has been approved for severe eosinophilic asthma and has been shown in a small study to decrease nasal polyposis. As previously discussed, IL-5 is not a central mediator of AERD as compared to aspirin-tolerant chronic sinusitis; however, it is clearly elevated in AERD compared with healthy controls or those with chronic sinusitis without polyps, suggesting it may yet be a fruitful target. Recently, another anti-IL-5 drug, reslizumab, has been approved and may offer benefit similar to mepolizumab. Dupilumab is an
IL-4 α receptor antagonist that blocks both IL-4 and IL-13 signaling. Currently in clinical trials, it has shown benefit in a study of moderate-to-severe eosinophilic asthmatics in decreasing asthma exacerbations and improving FEV1. Based on the demonstrated role of IL-4 in AERD, this represents a potentially promising new therapy for aspirin-sensitive asthmatics. Another biologic with proven efficacy in allergic asthma is the anti-IgE monoclonal antibody omalizumab. Interestingly, despite the fact that IgE does not feature prominently in AERD pathogenesis, there have been multiple case reports of omalizumab benefiting AERD patients. Although the mechanism of action is not clear, these case reports indicate that further prospective studies should be considered. In addition to these biologics already in clinical use or preclinical development, future research efforts targeting novel pathways such as IL-33 or TSLP signaling should also be explored.

**Conclusion**

AERD is a disease of the upper and lower airways, featuring prominent eosinophilia and eicosanoid dysregulation. In addition to traditional type 2 immune mediators, recent work points to a role for IFN-γ. Current therapies include leukotriene modifiers and aspirin desensitization. Although AERD represents a minor constituent of all asthma, it represents a severe subset with high morbidity that warrants ongoing efforts at the level of basic research and clinical trials.

**Novel aspects**

AERD is a disease characterized by the overexpression and overresponsiveness of the cysteinyl leukotriene pathway. Additionally, there is underproduction of the protective prostaglandin E2. This creates a situation where following ingestion of aspirin or other NSAIDs, there is unconstrained release of inflammatory mediators that lead to symptoms associated with disease. Therapies that target the leukotriene pathway are somewhat effective in providing relief; however, their effect is not complete. Newer biologic therapies aimed at targeting the inflammatory cells themselves may offer enhanced benefit. Two of these that may have an immediate impact are the recently approved drugs mepolizumab and reslizumab, which target eosinophils in uncontrolled asthma. Although not approved for sinusitis, given the asthma component of AERD, these drugs will likely be prescribed, and it will be interesting to see if they improve sinus symptoms and disease severity as eosinophil numbers are decreased.

**Disclosure**

The authors report that John W Steinke is co-primary investigator on an NIH grant to study sinusitis. The authors report no other conflicts of interest in this work.

**References**


