

REVIEW

# miRNA-21 as a novel therapeutic target in lung cancer

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**Abstract:** Lung cancer is a leading cause of cancer death, and late diagnosis is one of the most important reasons for the high mortality rate. microRNAs (miRNAs) are key players in gene regulation and therefore in tumorigenesis. As far as lung carcinogenesis is concerned, miRNAs open novel fields in biomarker research, in diagnosis, and in therapy. In this review we focus on miR-21 in lung cancer and especially on how miR-21 is involved 1) as a biomarker in response or resistance to therapy or 2) as a therapeutic target.

**Keywords:** lung cancer, miR-21, therapeutic target, NSCLC, response to therapy

### Introduction

Lung cancer remains the number one cause of cancer-related deaths in both men and women, accounting for more than 1.4 million deaths per year. It is classified into two main histological subtypes, non-small-cell lung cancer (NSCLC), which accounts for 85% of cases, and small-cell lung cancer (SCLC).2 Although significant improvements have been made toward the early detection of the disease and early diagnosis and treatment, the 5-year overall survival (OS) rate for patients with NSCLC has not improved markedly.3 High mortality is mainly due to late disease presentation at an advanced stage, due to tumor heterogeneity, limited understanding of lung cancer biology, and largely, due to the development of resistance to therapies. Thus, the identification of novel treatment strategies is critical and essential for lung cancer management. Moreover, there is an urgent need to find accurate biomarkers which could detect lung cancer with high sensitivity and specificity in the early state of disease.

microRNAs (miRNAs) are endogenous small noncoding RNAs (17-22 nucleotides), which seem to play a crucial role in tumorigenesis by regulating gene expression at the posttranscriptional level.<sup>4,5</sup> The first miRNA was discovered in 1993 by Lee et al,6 and the term microRNA was first presented in 2001.7 Nowadays, more than 2,000 miRNAs have been identified in the human genome, and the vast majority of them are deregulated in cancer cells.<sup>4,8</sup> The fact that each miRNA has the ability to target multiple genes within a pathway9 makes miRNAs one of the most abundant classes of regulatory genes in humans, regulating up to 30% of human protein coding genes. 10,11 Due to their important role in many cancer types, miRNAs comprise some of the most attractive targets for therapeutic intervention in cancer today.

The biogenesis of miRNAs is a multistep process beginning in the nucleus where miRNAs are initially transcribed by RNA polymerase II into a precursor primary miRNA.<sup>12</sup> Then the primary miRNA is processed in the nucleus by the RNase III

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enzyme Drosha and the protein Pasha/DGCR8 into premiRNAs (approximately 70-nucleotide).<sup>13</sup> Subsequently, this pre-miRNA is transported out of the nucleus into the cytoplasm via Exportin-5,14 where Dicer1 cleaves the hairpin loop of pre-miRNA.15 The functional strand of the mature miRNA is then loaded together with Argonaute (Ago2) proteins<sup>16</sup> into the RNA-induced silencing complex (RISC)<sup>17</sup> and finally, the mature single-stranded miRNA is loaded into the RISC, which mediates the degradation or translation inhibition of target mRNA by binding to its seed sequence in the target mRNA's 3'-UTR (Figure 1). 18,19 miRNAs can be secreted extracellularly bound to lipoproteins<sup>20</sup> or secreted in cell-derived extracellular vesicles such as exosomes as a method of cell-to-cell communication.<sup>21</sup> Recently, Melo et al<sup>22</sup> showed that breast cancer-associated exosomes contain miRNAs associated with the RISC-loading complex and that these exosome miRNAs display cell-independent capacity to process pre-miRNAs into mature miRNAs.

miRNAs may act not only within cells, but also at other sites within the body via their circulation in peripheral blood and other body fluids.<sup>23</sup> Several studies have shown that serum and plasma miRNAs (called circulating miRNAs) present great promise as novel noninvasive biomarkers for the early diagnosis of various cancers due to their ease of access and long-term stability.<sup>24–26</sup> However, as the accurate quantification of circulating miRNAs was more challenging than expected recently, Ferracin et al<sup>27</sup> used droplet digital PCR technology in order to assess the absolute levels of nine of the most abundant miRNAs (miR-320a, miR-21-5p, miR-378a-3p, miR-181a-5p, miR-3156-5p, miR-2110, miR-125a-5p, miR-425-5p, miR-766-3p) in serum and

plasma of breast, colorectal, lung, and melanoma cancer patients and in healthy controls. According to the final data of this study, there is a clear indication that circulating miRNAs are present in the circulation at levels that can change according to the cancer status and that only one miRNA, namely miR-21-5p, was consistently increased in the plasma of all cancer patients.<sup>27</sup>

In this review we summarize recent advances in the identification and characterization of miRNAs in lung cancer and especially the role of miR-21 in lung cancer and how miR-21 may be used to facilitate patient diagnosis, prognosis, and response or resistance to therapy.

# miRNAs and biology of lung cancer

A growing number of studies suggest an important involvement of miRNAs in various steps of lung carcinogenesis. Several miRNAs are involved in the regulation of cell cycle and lung cancer growth, including in apoptosis, angiogenesis, invasion, and metastasis by targeting different or similar genes.<sup>28–31</sup>

# **Apoptosis**

The expression of B-cell lymphoma 2 (*BCL-2*) family gene, one of the central regulators of apoptosis, is regulated by numerous miRNAs. It has been reported that downregulation of miR-7 and miR-335 mediated induction of apoptosis in A549 cells via targeting *BCL-2* which subsequently led to an increase in the activities of apoptotic proteins caspase-3 and caspase-7 in cells with apoptotic nuclei. 32,33 Moreover, very recently Huang et al34 showed that miR-181 was downregulated both in NSCLC tissues and cell lines and that this

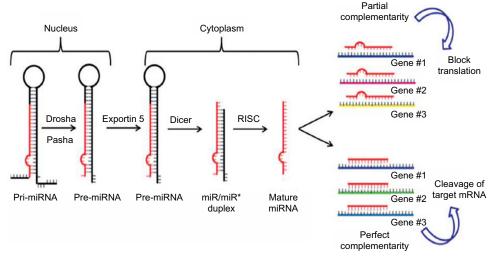


Figure I Biogenesis of miRNAs.

Note: \*Indicates that this is the precursor form of miRNA.

Abbreviations: miRNAs, microRNA; RISC, RNA-induced silencing complex.

reduction was associated with increased *BCL-2* levels. They suggested that miR-181 exerts its proapoptotic function mainly through targeting *BCL-2* expression.

# Angiogenesis

Phosphatase and tensin homolog (*PTEN*), a well-known regulator of Akt pathway, has been reported as a target gene of miR-494.<sup>35</sup> In this way, miR-494 has a proangiogenic activity through activation of Akt/eNOS pathway. In contrast, miR-200 inhibits angiogenesis through direct and indirect mechanisms especially by targeting interleukin-8 (*IL8*) and *CXCL1* secreted by the tumor endothelial and cancer cells.<sup>36</sup>

#### Invasion and metastasis

miR-21 posttranscriptionally downregulates the expression of the tumor suppressor *PTEN* and subsequently, stimulates growth and invasion in NSCLC.<sup>37</sup> Moreover, inhibition of miR-21 expression reduces proliferation, migration, and invasion of A549 cells by upregulating the expression of the programmed cell death protein 4 (*PDCD4*).<sup>38</sup> Li et al<sup>39</sup> showed that the expression of miR-183/96/182 cluster is strongly correlated with miR-200 and epithelial–mesenchymal transition in NSCLC as both of these miRNA families target *FOXF2*, which can potently regulate epithelial–mesenchymal transition, invasion, and

metastasis in lung cancers by transcriptional repression of E-cadherin and miR-200.

### miR-21

miR-21 is one of the most commonly observed aberrant miRNAs in human cancers and is one of the first miRNAs to be described as an oncomir. A large-scale miRNA analysis on 540 samples in six different types of solid tumors has shown that miR-21 was the only miRNA upregulated in all cancer types. 40 Several studies have shown that miR-21 is upregulated in breast, 41,42 ovarian, 43 colorectal, 44 prostate, 45,46 pancreatic, 47 thyroid cancer, 48 as well as in glioma. 49,50 Many studies have also clearly shown that miR-21 is deregulated in lung cancer. 51,52

In order to understand the way that miR-21 expression is involved in so many different types of cancer, many functional studies have been performed. These studies predicted and validated a number of target genes of miR-21; most of them are tumor suppressors. The most notable and experimentally validated direct targets of miR-21 are discussed in the following sections and Figure 2.

### **PTEN**

Inhibition of miR-21 in cultured hepatocellular carcinoma cells increased the expression of *PTEN* tumor suppressor, and

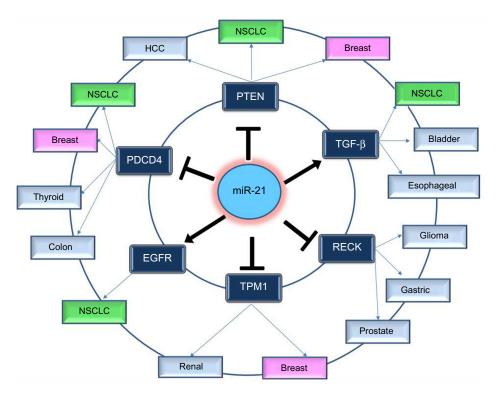


Figure 2 Notable and experimentally validated direct targets of miR-21.

Abbreviations: NSCLC, non-small-cell lung cancer; HCC, hepatocellular carcinoma; PTEN, Phosphatase and tensin homolog; PDCD4, programmed cell death protein 4; TPM1, Tropomyosin 1; EGFR, epidermal growth factor receptor; TGF-β, transforming growth factor-b.

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decreased tumor cell proliferation, migration, and invasion.<sup>53</sup> The effect of miR-21 on *PTEN* expression was also assessed in NSCLC cell lines and in NSCLC tumor samples; it was shown once more that miR-21 represses *PTEN* and stimulates growth and invasion in NSCLC.<sup>37,54,55</sup> High miR-21/low *PTEN* expression levels indicated a poor tyrosine kinase inhibitor (TKI) clinical response and shorter OS in NSCLC patients and moreover the in vitro assays have showed that miR-21 was upregulated concomitantly to downregulation of *PTEN* in PC-9 gefitinib resistant cells in comparison with PC-9 cells.<sup>56</sup> Overexpression of *PTEN* gene could also mimic the functions of miR-21 inhibitor in NSCLC cells, while siRNA-mediated *PTEN* knockdown could reverse the effects on NSCLC cells induced by overexpression of miR-21.<sup>54</sup>

### PDCD4

Knockdown of miR-21 in colon cancer cells led to reduced extravasation and distal metastasis because of the rise of the protein expression levels of *PDCD4*. 44 miR-21 negatively regulates *PDCD4* at the posttranscriptional level in colon cancer, 44 breast cancer, 57 and medullary thyroid carcinoma. 58 Moreover, inhibition of miR-21 expression reduces proliferation, migration, and invasion of A549 NSCLC cells by upregulating the expression of *PDCD4*. 38,59

# Tropomyosin I

This gene is a member of the tropomyosin (Tm) family, which plays an important role in cancer cell transformation<sup>60</sup> and is a direct target of miR-21. Tropomyosin 1 (*TPM1*) carries a putative miR-21 binding site, which is responsible for regulation by miR-21 at the translational level.<sup>61</sup> Inhibition of miR-21 leads to an increase in *TPM1* protein expression levels in breast cancer, but inhibition of *TPM1* through miR-21 overexpression results in progressive cell migration and invasion.<sup>61</sup> Moreover, the role of miR-21 as regulator in *TPM1* was also demonstrated in renal cell carcinoma.<sup>62</sup>

# Transforming growth factor-b

Overexpression of transforming growth factor-b (TGF-β) has been described in several tumor tissues, and it was demonstrated that it is associated with tumor progression and metastasis. <sup>63,64</sup> Researches have been performed to study the correlated expression of TGF-β and miR-21 in bladder tumors, <sup>65</sup> esophageal cancer, <sup>66</sup> and lung cancer. <sup>67</sup> In lung cancer, TGF-β1, a central pathological mediator of fibrotic diseases, enhanced miR-21 expression in primary pulmonary fibroblasts, and increasing miR-21 levels promoted the profibrogenic activity of TGF-β1 in fibroblasts. <sup>68</sup>

# Epidermal growth factor receptor pathway

A significant correlation between epidermal growth factor receptor (EGFR) and miR-21 levels in lung carcinoma cell lines and the suppression of miR-21 by an EGFR-TKI suggest that the EGFR signaling is a pathway positively regulating miR-21 expression.<sup>51</sup> The association of miR-21 expression with the acquired resistance to EGFR-TKIs in NSCLC cell lines, animal models, and advanced NSCLC patients was further studied by Li et al,69 who found that miR-21 could induce EGFR-TKI resistance through inhibiting PTEN and PDCD4 expression and activating the PI3K/Akt signal pathway. In addition to the miRNA microarray data showing higher level of miR-21 in EGFR mutation cases, the in vitro analyses using NSCLC cell lines also showed that the activated EGFR signaling upregulates miR-21 expression. A statistically significant positive correlation was observed between miR-21 expression level and p-EGFR level in NSCLC cell.51,70

#### **RECK**

miR-21 regulation of *RECK* expression was detected in several types of cancer such as in glioma<sup>71</sup> and in prostate cancer.<sup>72</sup> *RECK* decreases the amount of active *MMP-2* and *MMP-9* and inhibits metastatic activity in vitro and in vivo through modulation of these MMPs, which are known to be involved in cancer progression.<sup>73</sup>

# miR-21 as a prognostic and diagnostic biomarker in lung cancer

Yanaihara et al74 were the first group that analyzed miRNA microarray data of lung cancer specimens from NSCLC adenocarcinoma patients and indicated that high miR-155 expression was a significantly unfavorable prognostic factor. On the basis of the microarray results, they further selected miR-17, miR-21, and miR-155 to validate in three additional cohorts of NSCLC adenocarcinoma patients and finally suggested that elevated miR-21 expression is associated with worse prognosis in NSCLC patients.<sup>75</sup> Additionally, Lu et al<sup>76</sup> studied in a cohort of 357 stage I NSCLC patients the expression levels of several miRNAs and found the prognostic value of two miRNA signature. The prognostic value of mature miR-21 in NSCLC was first shown in 2008; in this study it was shown that miR-21 was upregulated in fresh frozen NSCLC tissues in respect to corresponding adjacent noncancerous tissues and that this overexpression correlated with OS.<sup>52</sup> Two meta-analyses summarized the data from different studies and concluded that overexpression of miR-21 was an independent prognostic factor for NSCLC.<sup>77,78</sup> Moreover, in a meta-analysis study from a total of 141 prognostic miRNAs, it was identified that four miRNAs (miR-155, -21, -34, and let-7) appeared more frequently, and miR-21 and miR-155, especially, were found to be independent prognostic markers associated with unfavorable survival and recurrence in lung cancer.<sup>79</sup>

Multiple studies have confirmed since then that high expression of miR-21 in plasma and tissues is correlated with worse prognosis in NSCLC patients and could be an independent prognostic marker. 30,80-82 However, there are few studies focusing on the potential predictive value of miRNAs for early-stage NSCLC. A study performed by Tang et al<sup>80</sup> concluded that overexpression of circulating miR-21 and miR-155 in plasma and lower expression of circulating miR-145 could distinguish lung cancer patients from healthy smokers with 69.4% sensitivity and 78.3% specificity and that this pattern is associated with poor survival. Liu et al<sup>81</sup> showed that serum miR-21 may be a potential novel biomarker for the diagnosis of NSCLC as miR-21 has been found to be significantly elevated in the serum of NSCLC patients with lower survival. Studies examining postoperative lung cancer patients also found that miR-21 serum levels significantly decreased in response to successful surgeries, with higher miR-21 expression corresponding to shorter survival time and disease recurrence.82 With regard to the plasma, Geng et al<sup>83</sup> showed that five plasma miRNAs (miR-20a, miR-145, miR-21, miR-223, and miR-221) could be used as promising biomarkers in early screening of NSCLC based on the results from plasma samples of 126 early-stage NSCLC patients, 42 noncancerous pulmonary disease patients, and 60 healthy controls.

# miR-21 as a predictive biomarker in lung cancer

One of the most important issues facing current cancer research is resistance to treatments such as chemotherapy, radiotherapy, and targeted therapies (Table 1). Recent studies are showing that miRNAs can be potentially used as predictive biomarkers in various types of cancer. Blower et al<sup>84</sup> first reported that variable miR-21 levels can change sensitivity to the cytotoxic action of drugs in opposite directions suggesting the existence of different mechanisms determining toxicity in cancer cell lines. Several studies have shown that miRNAs can control response to therapy in tumors.<sup>85,86</sup> Correlation of miR-21 expression and response to therapy has been studied in many types of cancer. More specifically in: 1) breast cancer where miR-21 overexpression mediates resistance

**Table 1** miR-21 controls key biological processes driving to tumorigenesis and therapeutic response in lung cancer

Target	Biological process	Resistance/ sensitivity	Reference
EGFR	Angiogenesis, survival, and proliferation	Gefitinib, TKI	92,96
BCL-2	Apoptosis	Platinum	90
PTEN	Proliferation	Gefitinib, cisplatin	56,91
Caspase-8, TRAF-7	Inhibition of NF-κB activation	TRAIL	94

**Abbreviations:** TKI, tyrosine kinase inhibitor; PTEN, Phosphatase and tensin homolog; EGFR, epidermal growth factor receptor; BCL-2, B-cell lymphoma 2.

to trastuzumab,<sup>87</sup> 2) leukemia where miR-21 expression is upregulated in the daunorubicin-resistant leukemia cell line,<sup>88</sup> and 3) gastric cancer where miR-21 overexpression induces resistance to cisplatin.<sup>89</sup>

Regarding miRNA expression at the primary tumor, it was reported that NSCLC patients with lower expression of miR-21 seem to have substantial benefits from an adjuvant platinum-based chemotherapy as compared with patients with higher miR-21 expression tumors. 90 Additionally, it was found that miR-21 induced the resistance of the NSCLC cell line to cisplatin via targeting the 3′-UTR of *PTEN* to decrease its expression posttranscriptionally. 91 Using miR-21 mimics and its inhibitor, Yang et al 91 found that exogenous miR-21 promoted cell survival when exposed to cisplatin treatment, whereas miR-21 inhibition led to the opposite function.

It is now well established that EGFR TKIs, such as gefitinib and erlotinib, constitute the best therapeutic option in first, second, and maintenance setting for NSCLC patients carrying EGFR mutations. 92 Unfortunately, half of the patients who initially respond to EGFR-TKI acquire resistance to EGFR-TKI within the 1st year, and in 50% of these cases, a secondary EGFR-T790M gatekeeper mutation has been identified. 92,93 miR-21 overexpression was found to reduce sensitivity to gefitinib in PC9 cells by downregulating PTEN and activating Akt and ERK pathways, which enhanced invasive ability and induced apoptosis.<sup>56</sup> Furthermore, in the same study, Shen et al<sup>56</sup> investigated whether alteration of miR-21/PTEN expression correlates with TKI sensitivity using 47 NSCLC tumor samples from TKI-treated patients. As expected, patients with progressive disease had significantly higher miR-21 levels and lower PTEN expression than patients having partial response and stable disease. These findings are all in agreement and suggest involvement of high miR-21/low PTEN expression in modulation of TKI sensitivity in NSCLC.56

In lung cancer, very recently, Jeon et al<sup>94</sup> have shown that overexpression of miR-21, miR-30, and miR-100 could

modulate the response to tumor necrosis factor-related apoptosis-inducing ligand by targeting fundamental tumor suppressor genes such as caspase-8, caspase-3, TRAF-7, and FoxO3a and oncogenes such as PI3K and cyclins. They report that in the resistant cells, downmodulation of caspase-8 and TRAF-7 by miR-21 and miR-100 activates NF-κB, which in turn, by a positive feedback loop, induces the transcriptional activation of miR-21 and miR-100.<sup>94</sup>

Circulating miR-21 in serum was recently shown to be an independent prognostic indicator for NSCLC patients who developed resistance to EGFR-TKI since it was found to be significantly increased in disease progression; high levels of circulating miR-21 is a poor prognostic factor for advanced NSCLC patients treated with EGFR-TKIs.<sup>69</sup> Plasma miR-21 was identified as an early detection and chemosensitivity biomarker in patients with advanced NSCLC.95 In this study, Wei et al<sup>95</sup> demonstrated that plasma levels of miR-21 were found to be significantly higher in the stable and progressive disease group than in the partial chemotherapeutic response group (cisplatin or carboplatin based), whereas no statistical significance was found between the partial chemotherapeutic response group and the control group. miR-21 overexpression in plasma of NSCLC patients was found to be an independent predictor of response to gefitinib; patients with higher expression of miR-21 in plasma had a significant improvement in OS following an adjuvant therapy with gefitinib compared to those with low expression. 96 Plasma miR-21 expression is associated with resistance to platinum-based chemotherapy in NSCLC; downregulation of miR-21 by a locked nucleic acid (LNA) anti-miR-21 in vitro and in vivo enhanced the sensitivity of A549 cells to cis-diamminedichloride platinum II (DDP) through apoptotic signaling.<sup>97</sup>

Regarding resistance to radiotherapy, Liu et al<sup>98</sup> reported that upregulation of miR-21 could inhibit the sensitivity of NSCLC cells to irradiation, while downregulation of miR-21 could significantly enhance the sensitivity of NSCLC cells to irradiation. The potential molecular mechanism of this resistance was further studied by Ma et al,<sup>99</sup> suggesting that the effects of miR-21 overexpression on A549 cell apoptosis enhanced by irradiation depend on the activation of PI3K/Akt signaling pathway.

It is important to point out that according to recent findings, exosomes also seem to get involved in the resistance to therapy in lung cancer. As Xiao et al<sup>100</sup> showed, exposure of A549 cells to DDP could cause cells to release more exosomes than in normal conditions, and the interaction of these exosomes with other A549 cells could increase the resistance of these A549 cells to DDP. When exposed to DDP, A549 cells

were stimulated and the expression level of miR-21 increased significantly both in exosomes and in cancer cells.

# miR-21 as a therapeutic target in lung cancer

Restoring or downregulating the expression levels of specific miRNAs could be a promising approach to treat cancer; there are two ways to develop miRNA-based therapeutics: 1) miRNA mimics known as "miRNA replacement therapy", which are used to restore a loss of function of tumor suppressor genes and 2) miRNA antagonists (antagomirs), which are synthetic RNAs complementary to a specific targeted miRNA that block its function and allow in this way its target mRNA to be translated. <sup>101</sup> This approach has a very limited use for clinical applications till now.

The first miRNA replacement therapy, MRX34, is a liposome formulate miR-34 mimic that is intravenously injected. 102 This potential drug has entered human clinical trials for patients with unresectable primary liver cancer or solid cancers with liver involvement (http://www.clinicaltrials.gov, NCT01829971). Besides, Santaris Pharma is conducting an early clinical trial, which is currently ongoing, using LNA-anti-miR-122 (PSC-3649) in healthy human subjects. The results of these above-cited studies will provide valuable information on the pharmacokinetic and safety profile of these potential drugs. Finally, inhibition of miR-21 was chosen as one of the promising therapeutic strategies for treating hepatocellular carcinoma, and a miR-21 inhibitor (RG-012) is currently being developed by Regulus Therapeutics.

Numerous studies in lung cancer cells lines use an LNAbased miR-21 (anti-miR-21) in order to knock down miR-21 expression. Anti-miR-21 significantly inhibited growth and induced death in A549 cells through apoptotic signaling<sup>51,97</sup> and protected against metastasis of human lung cancer cells through inhibition of NADPH oxidase. 103 In the in vivo study, a xenograft tumor assay was designed to manipulate the effect of anti-miR-21, meanwhile, tumor growth curve was recorded and immunohistochemistry of the xenograft tumor was performed.73 As expected, miR-21 inhibitor suppresses NSCLC growth in the xenograft model. Moreover, Xu et al<sup>97</sup> transfected A549 cells with LNA anti-miR-21 or scramble control into female nude mice followed by treatment with DDP or phosphate buffer saline and noticed that the size of the implanted tumors (A549 transfected with LNA anti-miR-21) treated with DDP were reduced in comparison with the controls, this enhanced the evidence that downregulation of miR-21 by LNA anti-miR-21 increased the in vivo chemosensitivity. As experiments with the xenograft carcinoma model indicate that one transfert transfection with anti-miR-21 is sufficient to cause substantial inhibition of tumor growth, this raises the possibility that anti-miR-21 may have potential therapeutic value.

# **Future perspectives**

miRNA-based therapeutics could be a remarkably smart way for targeting therapy in cancer, since one miRNA in charge of a specific function or mechanism can simultaneously target several downstream genes. miR-21 overexpression is involved in many oncogenic pathways of lung cancer and other malignancies. The development of a targeted therapeutic that aims to silence miR-21 seems to be very promising. However, there are some limitations such as how to reach a sufficient dose within the cells in order to achieve efficient miRNA inhibition and which is the right dose of miR-21. However, most studies so far have been performed in vitro, in vivo studies based on systemic or localized target delivery of anti-miR-21 are still required to be carried out. As miR-21 is overexpressed in most human tumors, therapeutic delivery of anti-miR-21 may still be beneficial for a large number of cancers for which no cure is available. However, there is no doubt that significant work remains to be done, and it is necessary to wait for the pharmacokinetic results of the clinical trials in order to evaluate the safety and the efficiency of these molecules.

### **Disclosure**

The authors report no conflicts of interest in this work.

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