Monitoring cancer stem cells: insights into clinical oncology

Abstract: Cancer stem cells (CSCs) are a small, characteristically distinctive subset of tumor cells responsible for tumor initiation and progression. Several treatment modalities, such as surgery, glycolytic inhibition, driving CSC proliferation, immunotherapy, and hypofractionated radiotherapy, may have the potential to eradicate CSCs. We propose that monitoring CSCs is important in clinical oncology as CSC populations may reflect true treatment response and assist with managing treatment strategies, such as defining optimal chemotherapy cycles, permitting pretreatment cancer surveillance, conducting a comprehensive treatment plan, modifying radiation treatment, and deploying rechallenge chemotherapy. Then, we describe methods for monitoring CSCs.

Keywords: cancer stem cells, glycolytic inhibition, watchful waiting, rechallenge, immunotherapy

Introduction

In 1889, Paget proposed the “seed-and-soil” theory of cancer based on autopsy records of 735 women with fatal breast cancer. He found that metastasis was common in some organs because the “seed” (tumor cells) and “soil” (specific organs) were compatible. Recently, this theory was refreshed with the idea that cancer arises from stem cells (CSCs), which are a small fraction of tumor cells. This cell subset can repopulate the entire tumor tissue and is the root of tumor progression, disease recurrence, and metastases. In addition, CSCs are predictive of poor prognosis.

For example, colorectal cancer patients with stem-like subtypes (high expression of Wnt signaling and myoepithelial and mesenchymal genes, and low expression of differentiation markers) had the shortest disease-free survival. Liu et al identified a 186-gene “invasiveness” gene signature by comparing differential gene expression of breast CSCs and normal breast epithelia. Interestingly, “invasiveness” gene signature was associated with poor prognosis for several cancers such as medulloblastoma and breast, lung, and prostate cancers.

CSCs (“seeds”) reside in and are regulated by niches (“soil”) composed of an extracellular matrix, and differentiated and stromal cells, such as fibroblasts, vascular endothelial and inflammatory cells, and mesenchymal and hematopoietic stem cells. These cells produced factors that trigger multiple signaling pathways in CSCs to promote self-renewal, invasion, metastasis, and tumorigenicity. In addition, niches induced differentiated cancer cells subsequently to dedifferentiate into CSCs, and niches sheltered CSCs from xenobiotics, X-rays, and ions, which explains CSC resistance to chemotherapy and conventional radiotherapy.

Recent findings in pancreatic ductal adenocarcinomas indicate that niche function is complicated. First, stroma was a physical barrier to chemotherapy against...
CSCs, but stroma restrained tumor growth, as evidenced in studies of transgenic mice with pancreatic cancer and deleted myofibroblasts. The animals had less overall immune infiltration, and increased CD4+CD25+ regulatory T cell infiltration in the tumor stroma, as well as a greater CSCs phenotype and decreased survival. Also, Sonic hedgehog-deficient tumors had less stroma, which accelerated tumor growth. These data will hopefully inform novel strategies to treat cancer.

Methods for eradicating CSCs
As previously described, CSCs are essential for tumor initiation and progression. Therefore, selectively targeting and reducing chemotherapy-resistant and radio-resistant CSCs may reduce cancer. CSCs may be eradicated by the following methods.

Surgery
Theoretically, surgically resecting a tumor can directly remove CSCs. However, there are some issues that need to be addressed. Circulating tumor cells (CTCs) are present in peripheral blood and have CSC features associated with poor progression-free and overall survival in metastatic disease. CTCs are reported to appear in preoperative peripheral blood of patients with operable diseases, including breast and colorectal cancers and hepatocellular carcinoma. Therefore, existing CTCs may proliferate and cause tumor recurrence. This idea was confirmed through observations that a high proportion of these cells were associated with shorter disease-free survival. Work by Kim et al indicated that CTCs not only caused distant metastases but also led to primary site relapse via “tumor self-seeding”. Recently, a subset of CSCs in CTCs was identified in operable hepatocellular carcinomas and colorectal cancers and hepatocellular carcinoma. Therefore, existing CTCs may proliferate and cause tumor recurrence. This idea was confirmed through observations that a high proportion of these cells were associated with shorter disease-free survival. Work by Kim et al indicated that CTCs not only caused distant metastases but also led to primary site relapse via “tumor self-seeding”. Recently, a subset of CSCs in CTCs was identified in operable hepatocellular carcinomas and colorectal cancers and hepatocellular carcinoma. Therefore, existing CTCs may proliferate and cause tumor recurrence.

Glycolytic inhibition
Tumors undergo metabolic shifts involving the pentose phosphate pathway, glutamine-transporter genes, the tricarboxylic acid cycle, and acetyl-CoA carboxylase. Cancer treatments that target metabolic enzymes are under study and include targeting nucleic-acid and lipid synthesis, amino-acid metabolism/protein synthesis, glycolysis, the tricarboxylic acid cycle and mitochondrial metabolism, and fatty-acid and NAD metabolism. Of these, the Warburg effect (shift from oxidative phosphorylation to glycolysis) is an important metabolic change essential for cancer development. Data show that CSCs, unlike differentiated cells, rely on the Warburg effect or function and have increased enzymatic activity. CSCs also consumed more glucose and produced less lactate. Inhibiting glucose uptake by interfering with glucose transporter 1 expression or glucose transporter 3 expression significantly decreased self-renewal and tumorigenicity. Similar results were observed after direct inhibition of glycolysis.

Driving CSC proliferation
Cancer cell dormancy contributes to treatment failure, and may be regulated by several mechanisms including the serine/threonine protein kinase AKT pathway, the P38 pathway, and noncoding RNAs. Specifically, CSCs are quiescent, so encouraging proliferation will increase chemotherapeutic efficacy. Recent data indicate that various mechanisms underlie the switch between CSC dormancy and proliferation. For example, the Fos family transcription factor Fos-related antigen 1 decreased the proportion of CSCs in vitro, causing dormancy loss and increasing chemosensitivity in vitro and in vivo. The bone morphogenetic protein (BMP) 7/BMP receptor 2/p38/N-myc downstream-regulated gene 1 axis was also implicated in dormancy and recurrence of prostate CSCs in bone, and withdrawal of BMP7 induced CSC growth. Farnesyl transferase inhibitors are necessary for autophagy of breast CSCs to maintain dormancy and avoid apoptosis. Therefore, withdrawal of farnesyl transferase inhibitors offers hope for driving CSC proliferation.

Immunotherapy
In niches, CSCs were surrounded by many functional immune cells. CSC marker Oct4-reactive CD4+ and CD8+ T cells appeared in peripheral blood from both healthy individuals and ovarian cancer patients, and ascites contained Oct4-specific T cells, which can kill ovarian CSCs. In studies of prostate cancer, dendritic cells (DCs) pulsed with CSCs had greater tumor-specific immune responses both in vitro and in vivo, compared with DCs pulsed with differentiated tumor cells. Similar results were observed by Pellegatta et al, who reported that in glioma, DCs loaded with CSCs could cure both neurosphere-derived tumors and adherent cell-derived tumors, whereas DCs loaded with adherent cells did not cure neurosphere-derived tumors.

Aldehyde
dehydrogenase isoform 1 (ALDH1A1)+-specific cytotoxic T lymphocytes (CTLs) could target ALDH+ CSCs from head and neck squamous cell carcinoma, breast carcinoma, and pancreatic carcinoma, decreased tumor growth and metastases, and prolonged survival.39 Autologous tumor-infiltrating lymphocytes and a peripheral CTL clone recognized and killed CSCs of malignant fibrous histiocytoma.40

However, CSCs use several mechanisms to escape tumor immune responses; specifically, they expressed small amounts of major histocompatibility complex class I and II molecules and greater amounts of anti-apoptotic proteins such as bcl-2, bcl-xl, or survivin. Also, CSCs release immunosuppressive factors such as transforming growth factor beta, indoleamine deoxygenase, galectin-3, B7-H1, interleukin-4, and interleukin-10.41,42 Moreover, in pancreatic cancer, regulatory T cell function has been confirmed to be promotion of CSC self-renewal.43 In addition, differentiated tumor cells around CSCs comprise a protective barrier between them and CTLs, and compete with the latter for metabolic resources such as glucose.44 Therefore, many immune cells such as CTLs, CD4+ T cells, DCs, and cytokines may be involved in immunoregulation of CSCs. Targeting CSCs or destroying the immunosuppressive microenvironment may be a potential therapy for cancer.

Hypofractionated radiotherapy
Several studies indicate that CSCs are radio-resistant compared with non-stem cells due to greater fractions of CSCs observed after irradiation.44–47 However, irradiating glioma stem cells (2 Gy) does not alter tumorigenicity, but 5 Gy irradiation does. Similar results were noted for CSC survival after irradiation.44

Monitoring CSCs in clinical oncology
CSCs are key to tumorigenesis and prognosis,2 so monitoring them can yield data for treatment decisions. According to the Response Evaluation Criteria in Solid Tumors (RECIST), response effects include complete response, partial response, stable response, and progressive response. Tumor volumes can be measured, but few studies focus on CSC population changes after treatment. In a pilot study by Sprenger et al,48 biopsy and tumor specimens were compared, and 99 patients with rectal cancer with tumor regression after preoperative radio-chemotherapy had unique changes in fractions of CD133-expressing cells (44 patients had more; 55 had less). Increased CD133+ cancer cells were correlated with increased distant cancer recurrence, poorer disease-free survival, and worse cancer-specific overall survival. Targeted treatment such as surgery, glycolytic inhibition, driving CSC proliferation, immunotherapy, or hypofractionated radiotherapy is used, and CSCs may be reduced or stabilized, which helps with tumor control, or may be increased, which causes treatment failure (Figure 1).

CSCs undergo either asymmetrical or symmetrical division. Asymmetrical division generates two different daughter cells, a CSC and a progenitor cell, and the CSC number is the same. When CSCs proliferate through symmetrical division, CSCs increase, and ultimately, the tumor progresses.49 Therefore, when CSCs divide asymmetrical, chemotherapy and conventional radiotherapy are appropriate treatments; when CSCs divide symmetrically, targeted treatments depicted earlier are recommended. Therefore, symmetrical division of CSCs in cancer patients is undesirable, but how division pathways are selected is unclear.

Selecting optimal chemotherapy cycles
Most advanced tumors, such as stage IV lung cancer and locally advanced breast cancer, should be treated with a chemotherapy-based treatment with cycle number determined by clinical trial results or recommendations of an expert panel. Instead, cycle numbers can be guided by CSC monitoring. Specifically, when proliferative cells are killed by chemotherapy, CSC homeostasis may be disturbed, and CSCs may be triggered to divide. When CSCs are quiescent or are dividing asymmetically, chemotherapy could be continued until CSCs begin symmetrically dividing or when side effects are unacceptable.

Genomic abnormalities are associated with tumor initiation, tumor progression, and therapeutic resistance. Deep sequencing can be used to identify transcriptional events such as gene fusions or deletions, novel or cancer-associated isoforms, and putative novel noncoding RNAs, the functions of which could be further studied.50 Due to technical progress with isolation of single tumor cells, cell lysis, nucleic acid extraction, and amplification, single-cell sequencing can be used to study intratumoral heterogeneity.51 Using genomic profiles of CSCs and differentiated cells, a specific tumor type can be subdivided into several genomic subtypes that may have different prognoses and different treatment responses to drugs. Combined with real-time CSC and differentiated cell monitoring, drug resistance can be identified, drug treatment can be timed to coincide with CSC division patterns, and cycle length and number can be tailored to the patient.
Watchful waiting

For some tumors (prostate and ovarian cancers), a treatment period that can prevent recurrence is unknown, so watchful waiting is best. For example, in ovarian cancer, ~60% of patients with advanced disease suffered recurrence after undergoing first-line chemotherapy. The MRC OV05/EORTC 55955 trial assessed the most effective time to administer chemotherapy for relapsed ovarian cancer, comparing early treatment prompted by increased tumor marker CA125 with delayed treatment in response to clinical symptoms or symptomatic relapse. Early initiation of chemotherapy did not improve overall survival or quality of life compared with delayed chemotherapy. However, treatment effects targeting CSCs were not assessed. In many studies, the prevalence of ovarian CSCs was positively correlated with recurrence and a shortened disease-free interval after complete remission. Therefore, early treatment to target CSCs may offer better clinical outcomes compared to delayed treatment at symptomatic relapse.

CSCs may be reliable surrogates for treatment response

Poor treatment results occur when tumors shrink but CSCs increase; better responses involve tumor growth and fewer
CSCs. When complete response is achieved, the CSC population should be measured to confirm treatment success. When a recovered immune system can eliminate CSCs after chemotherapy, observation may be rational, or further treatments (such as glycolytic inhibition and immunotherapy) may be required.

Modifying radiation
Tumor biology-guided adaptive radiotherapy is promising for treating cancer. With the recognition of different biological characteristics of CSCs and differentiated-like cells, therapeutic strategy has been proposed theoretically. Alfonso et al\textsuperscript{57} developed an individual cell-based model in which they assumed that CSCs and differentiated-like cells are randomly distributed before treatment, and the length of CSCs cycle is significantly longer than that of differentiated-like cells. Then, they become concentrated as the tumor grows. Therefore, different radiation doses can be used to enhance tumor control, especially by boosting radiation in areas of numerous CSCs. However, this model was not based on the real distribution of CSCs. Helical intensity-modulated radiotherapy (H-IMRT) integrates spiral computed tomography and a linear accelerator, which delivered radiation from 360° angles with a moving couch and intensity modulation through a binary multileaf collimator. This offered better intensity-modulated radiation dose distributions than other advanced photon radiotherapy methods. Also, image guidance can monitor patient/organ displacement and inform strategies for daily treatment modifications.\textsuperscript{58,59} A successful example is that H-IMRT could overwhelmingly minimize hippocampus dose to decrease the nervous system impairment when delivering whole-brain radiotherapy.\textsuperscript{60} We believe that the integration of monitoring CSCs and H-IMRT may guide radiation treatment modifications as CSCs could be irradiated with hypofractionated radiotherapy, and other cancer cells could be treated with conventional radiotherapy. This may offer better local control and fewer adverse events.

Rechallenge chemotherapy
In general, tumors that relapse >6 months after treatment may still be sensitive to previously applied chemotherapeutic agents and tumors that relapse <6 months are considered to be resistant. Rechallenge chemotherapy (RC) is a chemotherapeutic regimen identical to the first-line protocol. Nagano et al\textsuperscript{61} evaluated RC efficacy as second-line chemotherapy for patients with relapsed non-small-cell lung cancer (NSCLC). They reviewed 28 cases of consecutive NSCLC patients who received RC as second-line chemotherapy and compared outcomes with those of 38 consecutive NSCLC patients who were treated with docetaxel, a standard second-line chemotherapeutic agent. Median survival and 1-year survival in the RC group were significantly better than that of the docetaxel group, and for many in the RC group, the interval from the end of first-line chemotherapy to relapse was <6 months (median 5.0 months; range 1.6–36.1 months). This implied that there are still many NSCLC patients who relapsed <6 months after first-line chemotherapy, and may benefit from RC. Why this occurred is unclear. In our opinion, the CSC theory may suggest a potential mechanism. We proposed that RC was efficacious for those who relapsed <6 months because CSCs can differentiate into different daughter cells. Some daughter cells are sensitive to chemotherapeutic agent X and were killed after this first-line therapy was applied. In contrast, daughter cells sensitive to chemotherapeutic agent Y would be killed by that drug. Due to the plasticity of CSCs, upon relapse, three events may have transpired – A: CSCs differentiated into daughter “X” cells would still be sensitive to drug X; B: If CSCs divided symmetrically, the tumor may be multidrug resistant; and C: if CSCs differentiated into daughter “Y” cells, drug X would not be useful, but drug Y would (Figure 2). Therefore, upon relapse, RC may be effective for event A, but methods for differentiating among these events have not been established.

Targeted therapy
Several signaling pathways, including the Wnt, Notch, Hedgehog, and phosphatase and tensin homolog (PTEN)/mammalian target of rapamycin (mTOR)/PTEN pathways, regulate CSC self-renewal.\textsuperscript{52} Agents inhibiting these pathways induce apoptosis and decrease tumorigenicity of CSCs.\textsuperscript{63,64} Because CSCs are a small fraction of tumor tissue, RECIST is not a sensitive method for evaluating the effect of these agents. In particular, there is growing recognition that improvements in our ability to measure the stem cell pool might offer additional insights into a tumor’s response to treatment, beyond what can be currently measured by RECIST. For example, the PTEN/mTOR/STAT3 pathway is very important for survival, proliferation, and tumorigenicity of breast CSCs.\textsuperscript{65} Everolimus, an oral inhibitor of mTOR, alone or in combination with other agents has antitumor effects on breast CSCs.\textsuperscript{66–68} Treatment with everolimus plus docetaxel significantly decreased tumor volume, whereas everolimus alone was less effective.\textsuperscript{66} Nodal and activin, members of the transforming growth factor beta superfAMILY, were recently recognized to be important regulators of self-renewal and tumorigenicity of pancreatic CSCs.\textsuperscript{69,70} As expected, adding a nodal/activin receptor inhibitor to gemcitabine treatment did not affect pancreatic cell growth.

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initially; however, at long-term follow-up, it did reduce tumor cell growth.\textsuperscript{70} Thus, RECIST-based judgment of treatment effects should be interpreted with caution, and new methods of imaging CSCs should be applied.

In lung cancers with epidermal growth factor receptor (EGFR)-activating mutations, ALDH1A1-negative cancer cells are more sensitive to tyrosine kinase inhibitors (TKIs) than ALDH1A1-positive CSCs. In vitro, an EGFR TKI-resistant cell line overexpressed ALDH1A1 and induced CSC proliferation. Clinical studies indicate that lung cancers resistant to EGFR TKIs and chemotherapeutic drugs had more ALDH1A1-positive cells compared with other lung cancers.\textsuperscript{71–73} These data indicate that monitoring CSCs in tumors may reveal acquired resistance to TKIs. More studies are needed to confirm whether CSCs are reliable predictors of targeted therapy agent.

**Rational methods of CSC monitoring**

Many are working on better methods to detect CSCs and to determine whether they can function as reliable surrogates for response, and whether they might represent important targets for novel drug therapies. However, there are extremely few studies that help us better understand the ultimate role of CSCs in cancer diagnosis, response evaluation, drug development, and prognosis. Positron-emission tomography/computed tomography (CT) is superior to other diagnostic imaging systems, such as CT and magnetic resonance imaging (MRI) because it depicts glucose uptake of tumor cells which can distinguish between viable tumor cells and fibrotic lesions. Yoshii et al\textsuperscript{74} observed that CSCs could be overlooked by positron-emission tomography/CT due to low uptake of radiolabeled $^{18}$F-2-fluoro-2-deoxy-D-glucose by CSCs. Then investigators made efforts to apply the reliable markers of CSCs to image them in vivo, and had gained some initial achievement.

Labeling CSCs with fluorescent protein, luciferase, superparamagnetic iron oxide (SPIO) nanoparticles, ferritin, or micron-sized iron oxide particles, and observing CSC behavior with optical imaging or MRI is also under study.\textsuperscript{75–80} Wang et al\textsuperscript{75} reported that SPIO has low toxicity to CSCs and can be used to tracked glioblastoma CSCs.

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**Figure 2** Model of changes of CSCs and differentiated cells upon relapse.

**Notes:** Tumor tissue consisting of cancer stem cells (red circles), daughter X cells (gray polygons, which are sensitive to chemotherapeutic agent X), and daughter Y cells (purple polygons, which are sensitive to chemotherapeutic agent Y). First-line chemotherapy using agent X kills most daughter X cells. Then, upon relapse, three events may have transpired—\textbf{A}: Cancer stem cells differentiated into daughter X cells; \textbf{B}: CSCs divided symmetrically; and \textbf{C}: CSCs differentiated into daughter Y cells. Each event may offer different treatment results after second-line chemotherapy using agent X or Y.

**Abbreviation:** CSCs, cancer stem cells.
However, iron not only distributes to CSC daughter cells but also can be absorbed by nearby macrophages, so this will diminish the sensitivity and specificity of the signal. Using the MRI reporter gene ferritin, instead of SPIO, is a solution. Liu et al suggested that a labeled dual-function bioluminescence system (modified codon-optimized version of luciferase fused with fluorescent proteins) was more sensitive. As few as ten breast CSCs were identified in vivo. Intravital microscopy offers a resolution of up to 1 μm, so this was used to observe single-labeled CSCs and determine a relationship between CSCs and the microenvironment. CSCs and their descendants were noted to be close to the vasculature, offering the first in vivo evidence that CSCs are responsible for tumor propagation. Induced colon tumors in Lgr5-eGFP (Lgr5, intestinal CSC marker) mice with azoxymethane and dextran sodium sulfate. Using confocal laser endomicroscopy, they observed that over time, Lgr5+ cells migrated to the colonic luminal surface, expanded, and formed adenomas. This work provided in vivo images of early colon tumorigenesis and may be useful for early tumor prevention and treatment. With micron-sized iron oxide particles, high-resolution MRI could be used to measure metastasizing breast carcinoma cells in the brain at the single-cell level. However, no reports are available to describe high-resolution MRI for visualizing single CSCs. Therefore, the progressing techniques make monitoring single cell of CSCs in vivo possible. However, the requirement of in vitro cell labeling suggests that human use is a few years away.

The second strategy includes constructing a fluorescent ligand or magnetic nanocrystal complex, and ligand–receptor interactions permit visualization of CSCs by MRI or optical imaging. Tsurumi et al used a fluorescently labeled CD133-specific monoclonal antibody AC133.1 to quantify CD133-positive glioblastoma stem cells and colon CSCs. There was initial evidence that noninvasive antibody-based in vivo imaging of tumor-associated CD133 is feasible. A similar method was used to image glioma tumors as small as 2–3 mm. Thereafter, other molecular tracers were used to track different CSCs. For example, a GSC-targeting peptide-binding nestin protein was used to count glioma stem cells, and hyaluronic acid-binding CD44 was used to measure gastric CSCs. For a single-luciferase model, at least 2,500 luciferase-positive cells are required.

The third imaging method was established using biological characteristics of CSCs. For example, CSC phenotypes in glioma and breast cancer had reduced 26S proteosome activity, so CSCs could be easily tracked in vitro and in vivo when cells were transfected with ZsGreen (fluorescent protein and substrate of 26S proteosome). Cu-diacetyl-bis (N4-methylthiosemicarbazone, Cu-ATSM) is an imaging agent that targets hypoxic tumors as well as a potential agent for internal radiotherapy of tumors due to its beta-particle and auger electron emission. Cu-ATSM localized preferentially to regions with a high density of CD133+ colon CSCs and decreased CD133+ cells and metastatic ability.

The studies presented here were animal studies, so applications in humans are pending more research. Also, sensitivity, specificity, safety, and noninvasiveness must be established. With the development and integration of innovative techniques, tracking single CSCs in humans may be practical.

Recently, Miranda-Lorenzo et al reported that CSCs of pancreatic ductal adenocarcinoma, colorectal carcinoma, hepatocellular carcinoma, and NSCLC could emit autofluorescence and that autofluorescent cells have CSCs phenotypes (ie, self-renewal capacity, expression of pluripotency-associated genes, high tumorigenicity, invasiveness, and chemoresistance). Autofluorescence was due to riboflavin accumulation in membrane-bound cytoplasmic structures of CSCs which had high ABCG2 transporter activity. Therefore, autofluorescence is an intrinsic characteristic of CSCs, and a novel method for isolating or monitoring CSCs in vivo.

CTCs, which have stem cell-like characteristics, may be important to gastric cancer metastasis, and identifying stem cell-like CTC subsets may offer more useful information than CTCs. A promising approach is to identify stem cell-like CTCs in the peripheral blood in an adjuvant setting and before and after salvage therapy, but this method requires large blood samples. Also, removal of the cells from cancer patients may alter their intrinsic properties. To address these potential problems, Galanzha et al used in vivo flow cytometry and intrinsic photothermal and photoacoustic characteristics of stem cell-like CTCs, labeling them with golden carbon nanotubes bioconjugated with folate and antibodies specific to the CD44 receptor. This enabled high sensitivity quantification of circulating stem cell-like CTCs in live breast cancer-bearing mice. More work is required to confirm whether these low toxic molecular contrast agents can be used in humans.

**Conclusion**

CSCs have many malignant features such as chemotherapeutic and radiotherapeutic resistance and tumorigenicity and are responsible for tumor progression, disease recurrence, and metastasis. We suggest that CSCs may reflect the true
treatment response and monitoring them may be helpful to resolve a lot of clinical problems.

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