Characteristics of reversible and nonreversible COPD and asthma and COPD overlap syndrome patients: an analysis of salbutamol Easyhaler data

Veronika Müller1
Gabriella Gálfy1
Márta Orosz1
Zsuzsanna Kováts1
Balázs Odler1
Olof Selroos2
Lilla Tamási1
1Department of Pulmonology, Semmelweis University, Budapest, Hungary; 2Semeco AB, Angelholm, Sweden

Abstract: The choice of inhaler device for bronchodilator reversibility is crucial since suboptimal inhalation technique may influence the result. On the other hand, bronchodilator response also varies from time to time and may depend on patient characteristics. In this study, patients with airway obstruction (forced expiratory volume in 1 second [FEV1]/forced vital capacity [FVC] ratio <70% in chronic obstructive pulmonary disease [COPD]; <80% in asthma) were included (n=121, age: 57.8±17.3 years). Bronchodilator reversibility (American Thoracic Society/European Respiratory Society criteria) was tested in patients with COPD (n=63) and asthma and COPD overlap syndrome (ACOS; n=12). Forty-six asthmatics served as controls. Reversibility was tested with 400 μg salbutamol dry powder inhaler (Buventol Easyhaler, Orion Pharma Ltd, Espoo, Finland). Demographic data and patients’ perceptions of Easyhaler compared with β2-agonist pressurized metered dose inhalers (pMDIs) were analyzed. American Thoracic Society/European Respiratory Society guideline defined reversibility was found in 21 out of 63 COPD patients and in two out of 12 ACOS patients. Airway obstruction was more severe in COPD patients as compared with controls (mean FEV1 and FEV1/FVC predicted both P<0.0001). Average response to salbutamol was significantly lower in COPD patients compared with asthma controls (P<0.0001).

Reversibility was equally often found in smokers as in never-smokers (33% vs 34%). Nonreversible COPD patients had higher mean weight, body mass index, and FEV1/FVC compared with reversible COPD patients. Most patients preferred Easyhaler and defined its use as simpler and more effective than use of a pMDI. Never-smokers and patients with asthma experienced Easyhaler somewhat easier to use than smokers and patients with COPD. In conclusion, a substantial part of patients with COPD or ACOS showed reversibility to salbutamol dry powder inhaler.Nonreversible patients with COPD were characterized by higher weight and body mass index, and a higher FEV1/FVC ratio. Most patients preferred Easyhaler compared with a pMDI.

Keywords: ACOS, asthma, bronchodilator reversibility, COPD, Easyhaler, salbutamol

Introduction

Chronic obstructive pulmonary disease (COPD) is a common disease. It has been estimated that 23 million people in Europe are living with COPD stage II–IV and that 17 million have stage I disease.1 The annual cost of COPD in Europe, including health care costs, has been estimated to be €141 billion. Furthermore, the prevalence of the disease is predicted to increase until at least 2030.1

For diagnosis, patients should have a ratio between forced expiratory volume in 1 second (FEV1) and forced vital capacity (FVC) of less than 70% postbronchodilator (<65% in patients above the age of 65 years).2 In recent years, it has become evident that several phenotypes of COPD exist.4 An additional phenotype is the asthma and COPD overlap syndrome (ACOS).5
There are differences but also similarities between COPD and asthma. In contrast to asthma, COPD is characterized by airflow limitation that is not fully reversible. If this would always be true, the physiological difference between COPD and asthma could consequently be defined in the degree of reversibility of the actual airway obstruction.

In both asthma and COPD, the key diagnostic tool is measurement of lung function. If airway obstruction is present, reversibility to a RABA should be tested. However, asthma patients may be nonreversible when the baseline FEV₁ is close to the predicted normal value, and patients with COPD may show significant reversibility. The “Understanding Potential Long-term Impacts on Function with Tiotropium” study showed that at baseline 54% of the more than 5,000 patients with moderate-to-severe COPD had a reversibility when tested with 80 µg ipratropium followed by 400 µg salbutamol of ≥12% and ≥200 mL. Two-thirds of the patients showed an improvement of ≥15% of FEV₁ predicted normal.

Many studies show that the individual COPD patient’s response varies from time to time and depends on patient characteristics. As a consequence, treatment guidelines no longer advocate reversibility testing as part of routine assessment of patients with COPD. ACOS is a challenging phenotype of obstructive airway disease with a physician diagnosis of both asthma and COPD. These patients may have a history or evidence of atopy, smoking >10 pack-years, bronchial hyperresponsiveness, and postbronchodilator FEV₁ <80% predicted and FEV₁/FVC <70%. Methacholine inhalation provocation test may demonstrate significant bronchoconstriction. Bronchodilator reversibility is a key diagnostic feature including ≥15% increase in FEV₁ or ≥12% and ≥200 mL increase in postbronchodilator FEV₁ in separate measurements.

Formulations of salbutamol include pressurized metered dose inhalers (pMDIs), dry powder inhalers (DPIs), and solutions for nebulization. It is known that choice of the correct inhaler device is crucial since suboptimal inhalation techniques can result in reduced drug delivery and efficacy and it should be adjusted to different patients, hence the device used for reversibility testing may influence the result. A meta-analysis of randomized controlled trials comparing the same drug delivered by different devices concluded that efficacy outcomes do not differ significantly, and that pMDIs and DPIs are equally efficacious in clinical settings, although studies have shown that there are fewer inhalation errors with some DPIs. Therefore, from that point of view it may be an advantage to perform reversibility testing by using a DPI. Clinical studies have shown the DPI Easyhaler (Orion Pharma AB, Espoo, Finland) to be easy to use and preferred by patients compared with a pMDI.

**Aim of the study**

The aim of this data analysis was to evaluate bronchodilator response to salbutamol Easyhaler in patients with clinically diagnosed COPD and ACOS with confirmed airway obstruction, and in patients with asthma. Different smoking habits and demographic characteristics of enrolled patients were analyzed. All patients received standard care for their obstructive lung disease. Additionally, patients’ rapid-acting β₂-agonist use and perception of the easiness of salbutamol delivery formulations were also assessed.

**Ethical approval**

The study was approved by the Institutional Ethics Committee of the Department of Pulmonology at Semmelweis University. No informed consent was obtained from the participants as it was a noninterventional retrospective data analysis of real-life data collected on regular visits of the patients. No intervention was done for the sake of the study.

**Materials and methods**

**Study design and patients**

This real-life study had a cross-sectional design and involved 151 Caucasian outpatients or inpatients from the Department of Pulmonology, Semmelweis University, Budapest, Hungary. Patients were consecutively recruited during their regular visits or before discharge from the department. COPD and ACOS patients were included in the main analysis and asthma patients served as controls. All patients underwent spirometry, and if airway obstruction was confirmed (FEV₁/FVC <80% in asthma; <70% in COPD), bronchodilator reversibility was conducted. A total of 121 patients showed airway obstruction at the time of the test. All patients were asked not to take regular bronchodilator medications 12 hours before the testing.

Male and female patients aged above 18 years were eligible for inclusion in the study if: 1) they had a diagnosis of COPD or asthma confirmed by chest physician; 2) diagnosis confirmed at least 6 months before reversibility testing; 3) they had not changed their maintenance medication within the last 4 weeks prior to reversibility testing; 4) they had no acute exacerbation within the last 4 weeks prior to reversibility testing, but could have been hospitalized for rehabilitation purposes. Patients were enrolled regardless of their smoking history or habit. Data on sex, age, diagnosis, body mass index (BMI), and smoking history were collected.
Spirometry measurements

Spirometry was performed using an electronic spirometer (PDD-301/s, Piston, Budapest, Hungary) according to the American Thoracic Society (ATS) guidelines. Data on FEV₁, FVC, total lung capacity, residual volume, and airway resistance were collected. Lung function was also expressed as FEV₁/FVC and as FEV₁/% predicted normal. In cases of confirmed obstruction, 400 µg salbutamol delivered via the DPI Easyhaler (Buventol Easyhaler, Orion Pharma) was administered and measurements were repeated 15–20 minutes after inhalation.

Device preference for inhaled rapid-acting β₂-agonists

Patients were asked about their former use of rapid-acting β₂-agonists. Patients answered questions comparing Easyhaler DPI or classic pMDI with respect to the easiness of use, perceived bronchodilator effect, and effects on sputum expectoration.

Statistical analysis

Data were analyzed with the IBM SPSS 20.0 statistical program package. Differences between groups were tested by one-way analysis of variance. Categorical variables were compared by chi-square test. Correlations were tested with Pearson’s two-tailed correlation. The level of significance was set at 0.05.

Logistic regression model was used to discover deeper relations with reversibility. The model included the following independent variables: sex, weight (kg), BMI (kg/m²), smoking, and equipment (inhaler); and as the dependent variable: reversibility.

Results

Clinical data of the patients

A total of 151 patients with airway obstruction were tested. The analysis included 121 patients (72 women and 49 men) who had airway obstruction. There were 63 patients with COPD and 12 with ACOS. The control group consisted of 46 patients with asthma. The sex distribution in relation to diagnoses is shown in Figure 1. The majority of the patients were outpatients. The patients with COPD were older (mean age 63 years) compared with the patients with asthma (mean age 50 years).

There were 37 (30%) current smokers, 46 (38%) ex-smokers, and 38 never-smokers (32%). Thirty-three of the 37 smokers (89%) had COPD. The distribution of diagnoses in relation to smoking habits is shown in Figure 2.

The baseline characteristics of the patients are summarized in Table 1. The COPD patients had significantly lower baseline FEV₁, FEV₁/% predicted, and FEV₁/FVC ratio than the patients with asthma. The COPD patients had higher mean values for airway resistance and residual volume than the patients with asthma. Total lung capacities did not differ between the groups. BMI mean values were similar in all groups.

The group of patients with ACOS was of a similar mean age as the patients with COPD, but had lung function values
Postbronchodilator lung function

The mean lung function values of the patients after inhalation of 400 µg salbutamol via Easyhaler are shown in Table 2. The mean improvement in FEV$_1$ was +0.12 L in the COPD group, which was significantly lower than the reversibility in the control asthma group (+0.26 L). In the ACOS group, the mean increase in FEV$_1$ was exactly the same as in the asthma group, +0.26 L. Reversibility defined according to ATS/European Respiratory Society (ATS/ERS) criteria was seen in 41 patients (34%). A higher proportion of the asthma and COPD patients demonstrated reversibility compared with patients in the ACOS group (Figure 3). A total of 21 patients with COPD (33%) improved ≥12% and ≥200 mL in FEV$_1$, compared with 18 patients with asthma (39%). Only two out of 12 patients in the ACOS group (17%) showed reversibility.

Influence of clinical factors on reversibility

Smoking status did not influence reversibility. Approximately one-third of not only the never-smokers but also the active smokers demonstrated reversibility (Figure 4).

Table 2 Lung function values after inhalation of 400 µg salbutamol via Easyhaler dry powder inhaler

<table>
<thead>
<tr>
<th>Variable</th>
<th>COPD (n=63)</th>
<th>ACOS (n=12)</th>
<th>Controls (asthma) (n=46)</th>
<th>Overall P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Post-FVC (L)</td>
<td>2.50±0.85</td>
<td>3.05±0.75</td>
<td>3.30±1.23*</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Post-FVC% predicted</td>
<td>79.08±18.34</td>
<td>87.58±18.94</td>
<td>94.72±18.39*</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Post-FEV$_1$ (L)</td>
<td>1.44±0.65</td>
<td>2.05±0.57</td>
<td>2.24±0.96*</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Post- FEV$_1$/FVC</td>
<td>55.27±17.46</td>
<td>73.17±15.80</td>
<td>77.09±19.12*</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Post-TLC (L)</td>
<td>6.18±1.38</td>
<td>6.00±0.83</td>
<td>6.11±1.30</td>
<td>0.904</td>
</tr>
<tr>
<td>Post-TLC% predicted</td>
<td>112.35±21.10</td>
<td>91.58±30.10</td>
<td>109.19±18.91</td>
<td>0.011</td>
</tr>
<tr>
<td>Post-RV (L)</td>
<td>3.41±1.21*</td>
<td>2.56±0.75</td>
<td>2.53±0.77</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Post-RV% predicted</td>
<td>161.85±51.03</td>
<td>116.92±34.07</td>
<td>135.74±45.59</td>
<td>0.002</td>
</tr>
<tr>
<td>Post-Raw (kPa/s)</td>
<td>0.45±0.28*</td>
<td>0.24±0.07</td>
<td>0.31±0.14</td>
<td></td>
</tr>
</tbody>
</table>
A comparison between reversible and nonreversible COPD patients is shown in Table 3. The nonreversible COPD patients had significantly higher mean weight, BMI, and higher FEV₁/FVC ratio compared with the reversible patients with COPD. The low sample size in the ACOS group did not provide enough data for a statistical comparison between reversible and nonreversible patients, as only two ACOS patients exhibited airway reversibility with salbutamol.

**Patients’ evaluation of Easyhaler dry powder inhaler**

The majority of the patients were using an inhaled rapid-acting bronchodilator (RABA) at the time of the study (Figure 5). Daily bronchodilator use was more common in patients with COPD than in patients with asthma.

The patients found the DPI Easyhaler easy to use and easier than a pMDI (Figure 6). The patients subjectively scored the Easyhaler device to have a greater bronchodilating capacity than a pMDI, to be more effective than a pMDI in inducing expectoration, and in helping in morning activities.

Inhalator perceived differences were not influenced by reversibility.

**Discussion**

Testing of airway reversibility is an important diagnostic tool when investigating patients with obstructive airway diseases, particularly in patients with asthma. Traditionally, asthma has been considered a reversible airway disease in contrast to COPD. However, in recent years, it has been recognized that many patients with a clinical diagnosis of COPD, and without signs of asthma, may exhibit a considerable reversibility when tested with rapid-acting β₂-agonists or anticholinergics. A. However, to know about reversibility when planning the treatment of patients with COPD is clinically important.

It is important to emphasize that COPD patients with reversible airflow obstruction might also include cases of ACOS. This newly introduced entity into Global Initiative for Asthma and Global Initiative for Chronic Obstructive Lung Disease (GOLD) guidelines is mainly based on clinical appearance and physician’s judgment. However, repeatedly measured reversibility might increase the number of ACOS patients in a COPD cohort and consequently have impact on therapy. This is important because having ACOS predicts a low health-related quality of life and these patients have a high rate of hospitalizations.

The logistic regression model could predict in 74% of the cases whether a patient was reversible or not. Only weight was a single significant predictor of reversibility. No other statistically significant or clinically important relationships were found.

---

**Figure 3** Reversibility in patients with COPD, ACOS, and asthma (%).

Abbreviations: ACOS, asthma and COPD overlap syndrome; ATS/ERS, American Thoracic Society/European Respiratory Society; COPD, chronic obstructive pulmonary disease.

**Figure 4** Reversibility in relation to smoking habits in patients with COPD, ACOS, and asthma (%).

Abbreviations: ACOS, asthma and COPD overlap syndrome; ATS/ERS, American Thoracic Society/European Respiratory Society.
compared with patients with asthma or COPD alone.\textsuperscript{22} In a recent study, ACOS and COPD patients were found to have a similar degree of airflow limitation.\textsuperscript{23} In our study, baseline lung function of ACOS patients was similar to asthmatics and better than that of COPD patients. ACOS and asthmatic patients even showed similar degree of hyperinflation, which was milder than the hyperinflation detected in COPD patients. However, the explanation of these diverging observations cannot be stated as the maintenance therapy of the three patient groups remains unknown. Our study showed that despite maintenance treatment considered to be adequate for good management of the patients, 121 (80\%) of the originally included 151 patients demonstrated airway obstruction. Of these 121 patients, 41 (34\%) demonstrated reversibility according to ATS/ERS guidelines when tested with 400 $\mu$g salbutamol via Easyhaler; 21 patients with COPD (33\%), two with ACOS (17\%), and 18 with asthma (39\%). These figures appear to be low and indicate that the patients may have had a long-lasting airway disease with already permanent fixed airway obstruction. However, we do not know whether a different and higher percentage of reversibility would have

| Table 3 Characteristics of reversible and nonreversible patients with COPD |
|-----------------------------|-----------------------------|-----------------------------|
| Variable                  | COPD reversible | COPD nonreversible | $P$-value |
| Age (years)               | 61.6            | 62.4            | 0.790 |
| Male (N)                  | 10             | 20             | 0.783 |
| Female (N)                | 13             | 30             | 0.783 |
| Current and ex-smokers (N)| 17             | 44             | 0.969 |
| Nonsmokers (N)            | 2              | 5              | 0.969 |
| Weight (kg)               | 64.0           | 77.1           | 0.003 |
| Height (cm)               | 165.4          | 166.7          | 0.564 |
| BMI (kg/m\(^2\))          | 23.3           | 27.7           | 0.001 |
| FEV\(_1\) (L)             | 1.38           | 1.62           | 0.188 |
| FEV\(_1\)/FVC             | 52.1           | 60.4           | 0.068 |
| FEV\(_1\)% predicted      | 53.7           | 62.5           | 0.010 |
| FVC (L)                   | 2.58           | 2.53           | 0.798 |
| FVC% predicted            | 80.7           | 77.9           | 0.537 |

Abbreviations: BMI, body mass index; COPD, chronic obstructive pulmonary disease; FEV\(_1\), forced expiratory volume in 1 second; FVC, forced vital capacity.

Figure 5 Patients' use of a rapid-acting bronchodilator (RABA) at the time of the study in relation to diagnoses (%).

Abbreviation: COPD, chronic obstructive pulmonary disease.

Figure 6 The patients' experience of ease of use of Easyhaler and pMDI.

Abbreviations: COPD, chronic obstructive pulmonary disease; pMDI, pressurized metered dose inhaler.
been demonstrable if the patients had been tested also with salbutamol via a nebulizer or pMDI, due to the known different efficacy of different inhaler devices containing same active compound. It should also be acknowledged that the percentage of reversible patients only represents the percentage at that time point as reversibility is known to vary significantly from time to time both in asthma and COPD. In another recent study in patients with stable COPD but not receiving anti-inflammatory treatment, 31 out of 48 patients (65%) were found to have airway reversibility with salbutamol.

Several controlled clinical studies have previously compared the bronchodilating efficacy of equal single or cumulative doses of salbutamol delivered via a standard pMDI and the DPI Easyhaler. To the best of our knowledge, this is the first real-life study analyzing one-time reversibility with the salbutamol Easyhaler in patients with COPD and ACOS on regular maintenance therapy for their diseases. The reversibility testing was performed when the patients visited the clinic for regular follow-up visits or just before they were discharged from the hospital. At the time of testing they did not have any new respiratory symptoms. The notable number of patients with reversibility in both diseases can be considered high in a population on regular bronchodilator and/or anti-inflammatory treatment.

It is obvious that an increase in FEV1 in a reversibility test of <8% or <150 mL are likely to be within measurement variability. To understand reversibility in patients, it is important to know the reversibility in healthy subjects. In a worldwide survey (14 centers), the Burden of Obstructive Lung Disease study reported the response to inhaled salbutamol. The results indicated that an increase in FEV1 of more than 300 mL is very unlikely to arise by chance. This equates to a 12% increase from baseline in people with normal lung function. Similar absolute changes in FEV1 were also seen in the healthy comparator data reported by the Evaluation of COPD Longitudinally to Identify Predictive Surrogate Endpoints investigators.

The definition of bronchodilator reversibility has three components. The first is the assessment of the short-term (<20 minutes after the test) change in lung function, usually FEV1, after inhalation of a RABA. It could be a β2-agonist, an anticholinergic agent, or both. Second, the change in FEV1 needs to be greater than the change that would be expected by chance and this is expressed as a change greater than 12% of the baseline value. However, if the baseline value is high, a large absolute change in FEV1 is needed for a positive response, but small changes close to the between-test variability in the measurement can be enough to suggest reversibility when the pretest FEV1 is low. To overcome this requirement, a third pragmatic component was added after the Intermittent Positive Pressure Breathing study, namely a requirement of at least a 200 mL absolute change. These values are now included in the ATS/ERS statement on airway reversibility and in the Global Initiative for Asthma and GOLD documents.

To define actual airway obstruction in our series of patients, we used a fixed FEV1/FVC ratio of <70% in patients with COPD and <80% in patients with asthma. There is some controversy regarding the cutoff values for FEV1/FVC in patients with COPD. Early GOLD documents used the <70% cutoff, but in 2004, the ERS and ATS issued a statement advocating the use of the lower limit of normal instead of a fixed criterion. The lower limit of normal is age corrected and defined by the lower fifth percentile of the reference population. It can be calculated by subtracting 1.64 times the standard deviation from the mean, that is, the expected value. One argument for discarding the fixed FEV1/FVC ratio of <0.70 is that it can lead to a COPD diagnosis in nonsmoking elderly not having the disease. Nevertheless, we used the fixed ratio as our patients had an established diagnosis of COPD (or asthma) and the question of overdiagnosing elderly was not relevant.

Easyhaler is a DPI with a consistent fine particle dose across a wide range of inspiratory flow rates and high lung deposition, and could therefore be a simple and reliable alternative for airway reversibility testing. It has been found easy to use and considered to come close to an ideal inhalation device by some investigators.

Patients in our study expressed a preference for the use of Easyhaler compared with their earlier inhalation devices. The high acceptance of Easyhaler is in line with the results of previous studies, where Easyhaler has been compared with other devices. Importantly, selection of a proper inhaler in agreement with patients’ preferences is a key issue for achieving best possible control of patients with obstructive lung diseases.

Our study has obvious limitations. We do not know the reversibility when, for example, a pMDI with or without a spacer would have been used in the same patients. The same is true for using an anticholinergic drug for reversibility testing. We do neither know the reproducibility of the testing as only one test with salbutamol DPI was performed and the majority of the patients were used to pMDIs. If the percentage of patients being reversible at a specific time point may be constant, it only determines the percentage of
the population that is reversible at that time, not the response of the individual patient.

Thirty percent of the patients in the study were smokers, the majority of them having COPD. An unexpected finding at the first glance was that reversibility was often seen equally in smokers as in never-smokers. The most logical explanation might be that the nonsmokers had lung function values close to their predicted normal values and therefore, reversibility was not possible to demonstrate. It is important to note that smokers are more often considered to have COPD than asthma, however, our former study confirmed that with more effective drug delivery, better asthma control can also be achieved in smokers.47

Conclusion
Our results indicate that despite ongoing maintenance therapy, a substantial part of patients with COPD or asthma showed reversibility to salbutamol DPI. This might represent undertreatment and the need to reassess maintenance medication. Possible cases of ACOS might also be identified. Nonreversible patients with COPD were characterized by higher weight and BMI and a higher FEV1/FVC ratio. Patients’ preferences of the device might increase compliance with treatment and adherence, so possibility to try different formulations of the given drugs should be offered.

Acknowledgments
The authors would like to thank Istvan Mezes, VIT Ltd and Balázs Odler for the statistical analysis of data, Orion Pharma AB, Espoo, Finland for supporting the study, and Semeco AB, Vejbystrand, Sweden for editorial assistance.

Disclosure
VM, LT, GG, and MO have no shares in any pharmaceutical companies, and had consultant arrangements with AstraZeneca, Berlin Chemie, Boehringer Ingelheim, Chiesi, GSK, Novartis, Orion, and Takeda. The authors report no other conflicts of interest in this work.

References


**International Journal of COPD**

**Publish your work in this journal**

The International Journal of COPD is an international, peer-reviewed journal of therapeutics and pharmacology focusing on concise rapid reporting of clinical studies and reviews in COPD. Special focus is given to the pathophysiological processes underlying the disease, intervention programs, patient focused education, and self management protocols.

**Submit your manuscript here:** [http://www.dovepress.com/international-journal-of-chronic-obstructive-pulmonary-disease-journal](http://www.dovepress.com/international-journal-of-chronic-obstructive-pulmonary-disease-journal)