

# Video-assisted thoracic surgery reduces early postoperative stress. A single-institutional prospective randomized study

Christos Asteriou<sup>1</sup>  
 Achilleas Lazopoulos<sup>1</sup>  
 Thomas Rallis<sup>1</sup>  
 Apostolos S Gogakos<sup>1</sup>  
 Dimitrios Paliouras<sup>1</sup>  
 Kosmas Tsakiridis<sup>2</sup>  
 Athanasios Zissimopoulos<sup>3</sup>  
 Drosos Tsavlis<sup>4</sup>  
 Konstantinos Porpodis<sup>4</sup>  
 Wolfgang Hohenforst-Schmidt<sup>5</sup>  
 Ioannis Kioumis<sup>4</sup>  
 John Organtzis<sup>4</sup>  
 Konstantinos Zarogoulidis<sup>4</sup>  
 Paul Zarogoulidis<sup>4</sup>  
 Nikolaos Barbetakis<sup>1</sup>

<sup>1</sup>Department of Thoracic Surgery, Theagenio Cancer Hospital, Thessaloniki, Greece; <sup>2</sup>Cardiothoracic Surgery Department, Saint Luke Private Hospital, Panorama, Thessaloniki, Greece; <sup>3</sup>Nuclear Medicine Department, University General Hospital of Alexandroupolis, Democritus University of Thrace, Alexandroupolis, Greece; <sup>4</sup>Pulmonary Department-Oncology Unit, "G Papanikolaou" General Hospital, Aristotle University of Thessaloniki, Thessaloniki, Greece; <sup>5</sup>Medical Clinic I, "Fuerth" Hospital, University of Erlangen, Fuerth, Germany

Correspondence: Paul Zarogoulidis  
 Pulmonary Department-Oncology Unit,  
 "G Papanikolaou" General Hospital,  
 Aristotle University of Thessaloniki,  
 Exohi 1100 57010 Thessaloniki, Greece  
 Tel +30 697 727 1974  
 Fax +30 231 099 2424  
 Email pzarog@hotmail.com

**Background:** Video-assisted thoracic surgery (VATS) has been shown to effectively reduce postoperative pain, enhance mobilization of the patients, shorten in-hospital length of stay, and minimize postoperative morbidity rates. The aim of this prospective study is to evaluate neuroendocrine and respiratory parameters as stress markers in cancer patients who underwent lung wedge resections, using both mini muscle-sparing thoracotomy and VATS approach.

**Methods:** The patients were randomly allocated into two groups: Group A (n=30) involved patients who were operated on using the VATS approach, while in group B (n=30), the mini muscle-sparing thoracotomy approach was used. Neuroendocrine and biological variables assessed included blood glucose levels, C-reactive protein (CRP) levels, cortisol, epinephrine, and adrenocorticotrophic hormone (ACTH) levels. Arterial oxygen (PaO<sub>2</sub>) and carbon dioxide (PaCO<sub>2</sub>) partial pressure were also evaluated. All parameters were measured at the following time points: 24 hours preoperatively (T<sub>1</sub>), 4 hours (T<sub>2</sub>), 24 hours (T<sub>3</sub>), 48 hours (T<sub>4</sub>), and 72 hours (T<sub>5</sub>), after the procedure.

**Results:** PaO<sub>2</sub> levels were significantly higher 4 and 24 hours postoperatively in group A vs group B, respectively (T<sub>2</sub>: 94.3 vs 77.9 mmHg,  $P=0.015$ , T<sub>3</sub>: 96.4 vs 88.7 mmHg,  $P=0.034$ ). Blood glucose (T<sub>2</sub>: 148 vs 163 mg/dL,  $P=0.045$ , T<sub>3</sub>: 133 vs 159 mg/dL,  $P=0.009$ ) and CRP values (T<sub>2</sub>: 1.6 vs 2.5 mg/dL,  $P=0.024$ , T<sub>3</sub>: 1.5 vs 2.1 mg/dL,  $P=0.044$ ) were found increased in both groups 4 and 24 hours after the procedure. However, their levels were significantly lower in the VATS group of patients. ACTH and cortisol values were elevated immediately after the operation and became normal after 48 hours in both groups, without significant difference. Postoperative epinephrine levels measured in group A vs group B, respectively, (T<sub>2</sub>: 78.9 vs 115.6 ng/L,  $P=0.007$ , T<sub>3</sub>: 83.4 vs 122.5 ng/L,  $P=0.012$ , T<sub>4</sub>: 67.4 vs 102.6 ng/L,  $P=0.021$ ). The levels were significantly higher in group B.

**Conclusion:** This study confirmed that minimally invasive thoracic surgery, by means of VATS, significantly reduces the acute-phase response and surgical stress, while enables better postoperative oxygenation.

**Keywords:** VATS, stress, markers, randomized controlled trial (RCT)

## Introduction

Not many people may argue that in the last decades thoracic surgery has made a very important step toward minimally invasive techniques by utilizing video-assisted thoracic surgery (VATS), with respect to both diagnosis and treatment of early-stage lung cancer, while numerous studies support the prevalence of VATS over the classic open thoracotomy approach.<sup>1-5</sup> VATS lung resections are safe and equally effective as lung resections achieved using thoracotomy.<sup>6,7</sup>

The role of minimally invasive techniques in abdominal surgery and the impact they exert in metabolism, inflammatory, and immunological response has been well-described over the last years in the international literature.<sup>8</sup> Moreover, certain studies focused on their importance in thoracic surgery.<sup>9</sup> Increased surgical stress and systemic inflammatory response are associated with higher rates of morbidity and mortality. Furthermore, in cancer patients, open thoracotomy approach induces large immunologic changes, which may increase the rate of complications.<sup>10,11</sup>

The aim of this study was to evaluate in a prospective manner certain neuroendocrine and respiratory parameters, such as postoperative oxygenation and stress markers, in cancer patients who underwent lung wedge resections, using both mini muscle-sparing thoracotomy and VATS approach.

## Patients and methods

From September 2012 to January 2014, 60 patients undergoing elective pulmonary sublobar wedge resections were enrolled in this prospective, single-institutional, randomized study. After study approval by the Theagenio Cancer Hospital Institutional Research Ethics Committee and after written informed consent was obtained from all patients, blinded randomization, using computer-generated random allocations, was performed. The patients were randomly assigned to one of two groups: Group A (n=30) involved patients who were operated on using the VATS approach, while in group B (n=30), the mini muscle-sparing thoracotomy approach was used (Figure 1).

Demographic and preoperative patient data are shown in Table 1. Age <75 years, forced expiratory volume in

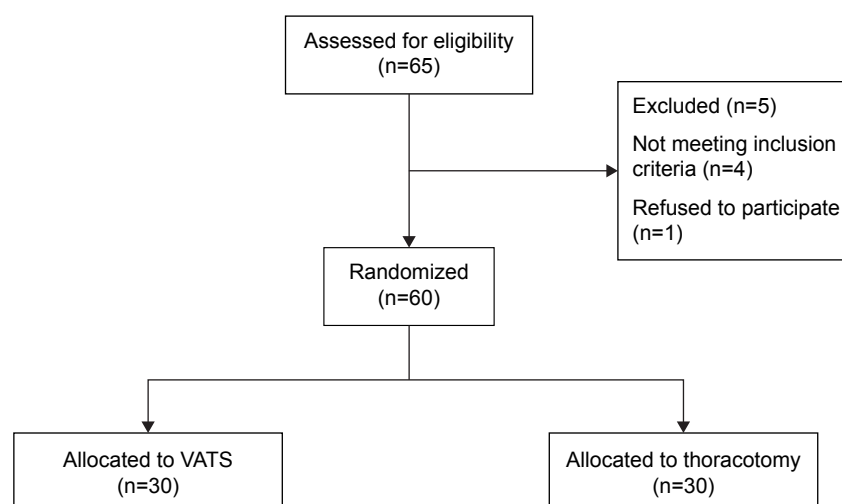
1 second  $\geq 1$  L, and American Society of Anesthesiologists Classification System  $\leq 3$  were defined as the inclusion criteria. Exclusion criteria involved emergency surgery and reoperation. The study is registered at [ClinicalTrials.gov](http://ClinicalTrials.gov), number NCT01397045.

## Surgical strategy

In group B, access to the pleural cavity was obtained by a limited (4–5 cm) posterolateral thoracotomy, preserving latissimus dorsi and partially dividing serratus anterior muscle. In VATS approach group, three stab incisions were made over the lateral thoracic wall (three holes technique). The lowest hole was located at the middle axillary line just above the level of the diaphragm and served as the entrance point for a 0° fiber-optic camera. The other two incisions were carried out at the posterolateral and the anterolateral thoracic wall, under direct thoracoscopic vision, in such a way as to facilitate the access to the pulmonary lesions. In both groups, a 60 mm endostapler was used in order to perform pulmonary wedge sublobar resection. Two 32 French chest tubes were placed upon the completion of the operation.

## Anesthetic and postoperative management

All patients received premedication and anesthesia induction using the same method. Tracheal intubation was achieved with the use of a double-lumen tube under bronchoscopic guidance in all cases. Patients' arterial blood pressure, electrocardiogram, central venous pressure, oxygen saturation (SpO<sub>2</sub>), end-tidal carbon dioxide (ETCO<sub>2</sub>), and blood gas values were constantly monitored. Postoperative systemic analgesia was identical for both groups. All patients were



**Figure 1** Flow chart depicting trial phases.

**Abbreviation:** VATS, video-assisted thoracic surgery.

**Table 1** Preoperative patients' characteristics per treatment group assigned

	VATS (n=30)	Thoracotomy (n=30)	P-value
Age (years)	57.7±18.7	60.8±14.2	0.132
Sex (male)	17 (56.7)	18 (60)	0.463
Height (m)	1.7±0.1	1.7±0.2	0.678
Weight (kg)	79.6±14.7	80.2±16.5	0.583
Body mass index (kg/m <sup>2</sup> )	27.3±4.1	27.4±5.1	0.734
FEV <sub>1</sub> (L)	2.3±0.7	2.4±0.7	0.415
FVC (L)	3.1±0.9	3.2±0.8	0.372
FEV <sub>1</sub> /FVC	0.7±0.2	0.7±0.3	0.653
ASA classification			
Class 1	7 (23.3)	9 (30)	0.732
Class 2	17 (56.7)	14 (46.7)	
Class 3	6 (20)	7 (23.3)	
Neoadjuvant therapy			
None	19 (63.3)	17 (56.7)	0.379
Chemotherapy	7 (23.3)	8 (26.7)	
Chemotherapy and Radiotherapy	4 (13.4)	5 (16.6)	
Coronary artery disease	5 (16.7)	4 (13.3)	0.208
Atrial fibrillation	3 (10)	4 (13.3)	0.765
Hypertension	16 (53.3)	18 (60)	0.456
Diabetes mellitus	14 (46.7)	12 (40)	0.319

**Note:** Data are mean ± standard deviation or absolute numbers (percentages).

**Abbreviations:** VATS, video-assisted thoracic surgery; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; ASA, American Society of Anesthesiologists.

mobilized from the first postoperative day, and the thoracic tubes were removed when output was <200 mL in 24 hours and no air leakage was present.

## Study endpoints

Neuroendocrine and biological variables assessed included blood glucose levels, C-reactive protein (CRP) levels, cortisol, epinephrine, and adrenocorticotrophic hormone (ACTH) levels. Arterial oxygen (PaO<sub>2</sub>) and carbon dioxide (PaCO<sub>2</sub>) partial pressure were also evaluated. All parameters were measured at the following time points: 24 hours preoperatively (T<sub>1</sub>) and 4 hours (T<sub>2</sub>), 24 hours (T<sub>3</sub>), 48 hours (T<sub>4</sub>), and 72 hours (T<sub>5</sub>), after the procedure. Significant perioperative variables were also recorded and analyzed: number of the segments resected, morphology of the lesion, major cardiopulmonary complications, in-hospital length of stay, and 30-day mortality. The primary endpoint of the study was the effect of VATS on epinephrine levels. Cortisol, ACTH, blood glucose, and CRP levels served as secondary endpoints.

## Statistical analysis

Power analysis was employed to detect appropriate sample size. We have calculated that the average morbidity rate in our institution using mini muscle-sparing thoracotomy approach is about 5%. We supposed that implementation of VATS approach is expected to reduce the corresponding rates up to 30%. Setting the level of type I error ( $\alpha$ ) at

0.05 and the desired power of the study (1- $\beta$ ) at 80%, we calculated that each group should include at least 30 subjects (G\*Power v. 3.1.2, Heinrich-Heine University, Düsseldorf, Germany). Statistical analysis was performed using the SPSS 17.0 software (SPSS, Chicago, IL, USA) for windows. Continuous data are presented as mean ± standard deviation, while categorical variables are presented as absolute numbers and percentages. Normal distribution was assessed by the Kolmogorov–Smirnov test. Univariate comparison of means was made using Student's *t*- and Mann–Whitney *U*-tests when appropriate. Comparisons between percentages were assessed using the chi-square test. The level of statistical significance was set at 0.05.

## Results

Perioperative clinical data are shown in Table 2. Duration of operation was shorter in group A; however, this did not reach statistical significance. The number of pulmonary segments resected was similar in both groups, as was as the morphology of the lesions. The pathology of each resected nodule is shown in Table 3. Tumor nodes metastasis staging of lung cancer patients and regimen of neoadjuvant therapy used is shown in Table 4. Furthermore, the location of resections did not significantly differ among the groups. No major cardiopulmonary complications or death were documented in the VATS group, while one patient died from pulmonary embolism on the sixth postoperative day in group B. Mean duration of hospitalization was significantly shorter in group A

**Table 2** Perioperative clinical parameters

	VATS (n=30)	Thoracotomy (n=30)	P-value
Duration of operation (minutes)	63.5±3.1	65.1±3.2	0.057
Segments resected	2.3±1.5	2.4±1.3	0.534
Location of segments resected			
Right upper lobe	4 (13.3)	4 (13.3)	0.695
Right middle lobe	3 (10)	6 (20)	
Right lower lobe	9 (30)	5 (16.7)	
Left upper lobe	6 (20)	7 (23.3)	
Left lower lobe	8 (26.7)	8 (26.7)	
Morphology of the nodule			
Non-neoplastic	11 (36.7)	14 (46.7)	0.876
Neoplastic primary malignant	4 (13.3)	3 (10)	
Neoplastic secondary malignant	15 (50)	13 (43.3)	
Major cardiopulmonary complications	0 (0)	1 (3.3)	0.986
In-hospital stay (days)	5.1±1.4	6.7±1.3	<b>0.011</b>
30-day mortality	0 (0)	1 (3.3)	0.986

**Notes:** Data are mean ± standard deviation or absolute numbers (percentages). Bold indicates a statistically significant value.

**Abbreviation:** VATS, video assisted thoracic surgery.

**Table 3** Pathology of resected nodules

	VATS (n=30)	Thoracotomy (n=30)	P-value
<b>Non-neoplastic</b>	<b>11 (36.7)</b>	<b>14 (46.7)</b>	0.546
Pulmonary hamartoma	4 (13.3)	5 (16.7)	0.312
Pulmonary granuloma	3 (10)	4 (13.3)	0.398
Chronic pulmonary inflammation	2 (6.7)	3 (10)	0.257
Pulmonary fibrosis with fibroblastic plugs	2 (6.7)	2 (6.7)	0.818
<b>Neoplastic primary malignant</b>	<b>4 (13.3)</b>	<b>3 (10)</b>	0.398
NSCLC – squamous cell carcinoma	0 (0)	1 (3.3)	0.432
NSCLC – adenocarcinoma	1 (3.3)	1 (3.3)	0.756
NSCLC – large cell carcinoma	1 (3.3)	0 (0)	0.432
Small-cell lung carcinoma	2 (6.7)	1 (3.3)	0.345
<b>Neoplastic secondary malignant</b>	<b>15 (50)</b>	<b>13 (43.3)</b>	0.414
Breast carcinoma	5 (16.7)	4 (13.3)	0.312
Adenocarcinoma of the colon	2 (6.7)	4 (13.3)	0.262
Carcinoma of the larynx	2 (6.7)	3 (10)	0.257
Papillary carcinoma of the thyroid gland	1 (3.3)	1 (3.3)	0.756
Malignant melanoma	2 (6.7)	0 (0)	0.388
Bile duct carcinoma	1 (3.3)	0 (0)	0.432
Femoral leiomyosarcoma	0 (0)	1 (3.3)	0.432
Ovarian carcinoma	1 (3.3)	0 (0)	0.432
Neuroendocrine carcinoma of low differentiation	1 (3.3)	0 (0)	0.432

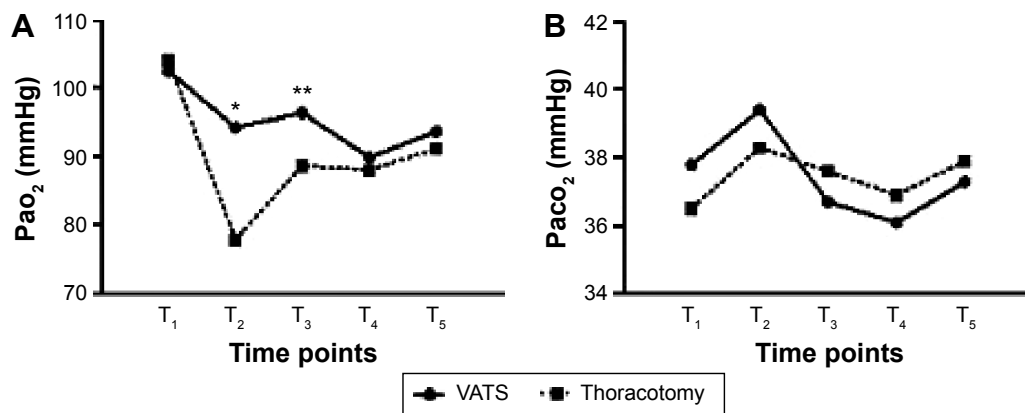
**Notes:** Data are absolute numbers (percentages).

**Abbreviations:** VATS, video assisted thoracic surgery; NSCLC, non-small-cell lung carcinoma.

**Table 4** TNM staging of lung cancer patients and regimen of neoadjuvant therapy used

Pathology	TNM stage	Neoadjuvant therapy
Squamous cell carcinoma	T2aN0M0 – IB	None
Adenocarcinoma	T1bN0M0 – IA	None
Adenocarcinoma	T2aN0M0 – IB	None
Large cell carcinoma	T2aN0M0 – IB	None
Small-cell lung carcinoma	T2bN2M1b – IV	Carboplatin and etoposide Radiotherapy
Small-cell lung carcinoma	T2bN1M0 – IIB	Gemcitabine and carboplatin
Small-cell lung carcinoma	T2bN2M0 – IIIA	Gemcitabine and carboplatin

**Abbreviation:** TNM, tumor nodes metastasis.



**Figure 2** Blood gas levels.

**Notes:** (A) Arterial oxygen (PaO<sub>2</sub>) (\* $P=0.015$ , \*\* $P=0.034$ ) and (B) carbon dioxide (Paco<sub>2</sub>) partial pressure data between groups at the measured time points: 24 hours preoperatively (T<sub>1</sub>) and 4 hours (T<sub>2</sub>), 24 hours (T<sub>3</sub>), 48 hours (T<sub>4</sub>), and 72 hours (T<sub>5</sub>), after the procedure.

**Abbreviation:** VATS, video-assisted thoracic surgery.

(5.1 vs 6.7 days,  $P=0.011$ ). No conversion from VATS to open thoracotomy was necessary.

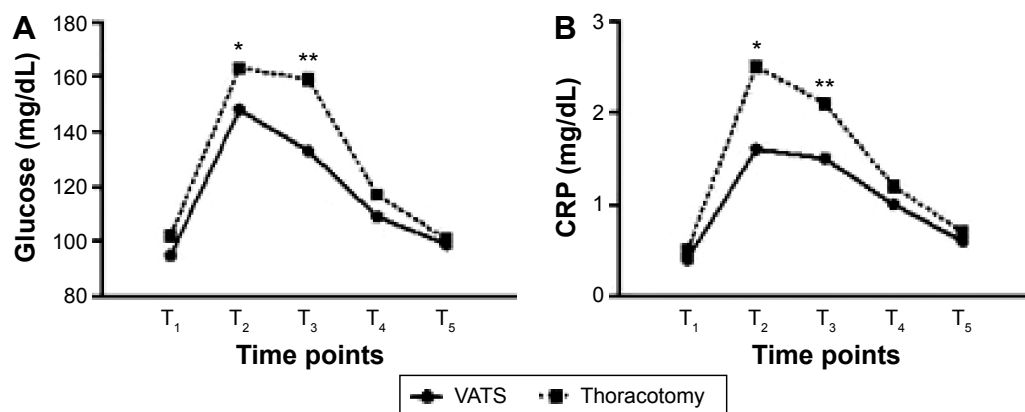
Preoperative values of neuroendocrine, biological, and respiratory variables were similar in both groups. Regarding respiratory parameters, PaO<sub>2</sub> levels were significantly higher 4 and 24 hours postoperatively in group A (T<sub>2</sub>: 94.3 vs 77.9 mmHg,  $P=0.015$ , T<sub>3</sub>: 96.4 vs 88.7 mmHg,  $P=0.034$ ), while Paco<sub>2</sub> levels did not differ between the groups (Figure 2). Blood glucose (T<sub>2</sub>: 148 vs 163 mg/dL,  $P=0.045$ , T<sub>3</sub>: 133 vs 159 mg/dL,  $P=0.009$ ) and CRP values (T<sub>2</sub>: 1.6 vs 2.5 mg/dL,  $P=0.024$ , T<sub>3</sub>: 1.5 vs 2.1 mg/dL,  $P=0.044$ ) were found to be increased in both groups 4 and 24 hours after the procedure. However, their levels were significantly lower in the VATS group of patients (Figure 3). ACTH and cortisol values were elevated immediately after the operation and became normal after 48 hours in both groups, without significant difference (Figure 4). Postoperative epinephrine

levels measured (T<sub>2</sub>: 78.9 vs 115.6 ng/L,  $P=0.007$ , T<sub>3</sub>: 83.4 vs 122.5 ng/L,  $P=0.012$ , T<sub>4</sub>: 67.4 vs 102.6 ng/L,  $P=0.021$ ) were significantly higher in group B (Figure 4).

## Discussion

The role of VATS in the treatment of patients with pulmonary nodules has been upgraded during the last years, and the majority of thoracic surgeons consider it as the most suitable approach. The advantages of VATS over thoracotomy have been well-established by numerous studies. Less postoperative pain, better mobilization of the arm and shoulder, shorter duration of hospitalization, and less morbidity in contrast to thoracotomy characterize VATS procedures.<sup>12–14</sup>

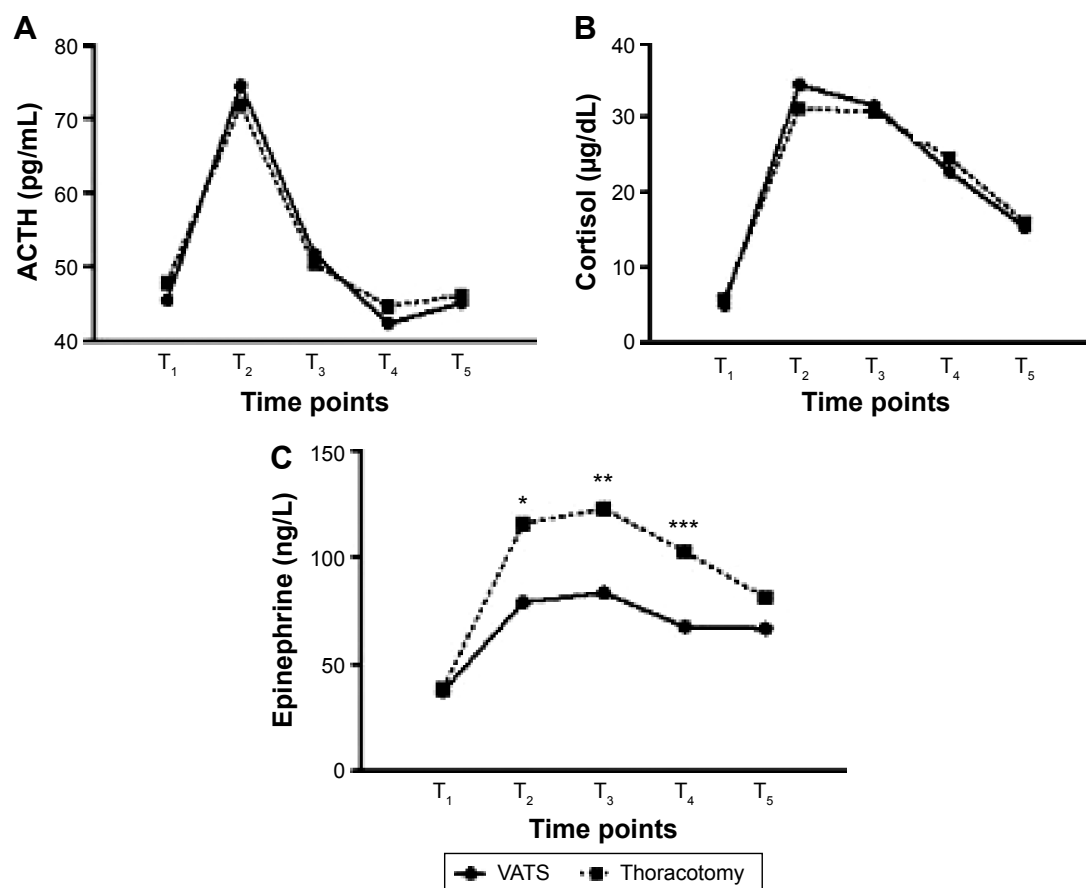
All patients who received neoadjuvant therapy, as shown in Table 1, were initially operated on for malignancy located in organs other than the lungs (eg, breast, colon, etc). Therefore, these first operations were followed by



**Figure 3** Glucose and c-reactive protein levels.

**Notes:** (A) Levels of blood glucose (\* $P=0.045$ , \*\* $P=0.009$ ) and (B) CRP (\* $P=0.024$ , \*\* $P=0.044$ ) between groups at the measured time-points: 24 hours preoperatively (T<sub>1</sub>), 4 hours (T<sub>2</sub>), 24 hours (T<sub>3</sub>), 48 hours (T<sub>4</sub>), and 72 hours (T<sub>5</sub>), after the procedure.

**Abbreviations:** VATS, video-assisted thoracic surgery; CRP, C-reactive protein.



**Figure 4** Hormone levels.

**Notes:** (A) Levels of ACTH, (B) cortisol, and (C) epinephrine (\* $P=0.007$ , \*\* $P=0.012$ , \*\*\* $P=0.021$ ) between groups at the measured time-points: 24 hours preoperatively (T<sub>1</sub>), 4 hours (T<sub>2</sub>), 24 hours (T<sub>3</sub>), 48 hours (T<sub>4</sub>), and 72 hours (T<sub>5</sub>), after the procedure.

**Abbreviations:** VATS, video-assisted thoracic surgery; ACTH, adrenocorticotrophic hormone.

neoadjuvant therapy when appropriate. Neoadjuvant therapy was performed before the pulmonary resection. The minimum time interval between the last therapy and the pulmonary resection was at least 1 month. It is unlikely that stress variables' levels could be affected after this time interval. Moreover, baseline measurements were not statistically significant, and both groups included similar proportion of patients who had received neoadjuvant therapy.

This study confirmed that VATS is associated with significantly higher postoperative PaO<sub>2</sub> values. Elevated PaO<sub>2</sub> levels imply optimum oxygenation, possibly attributed to the reduced postoperative pain experienced in patients operated using the thoracoscopic approach. Lower PaO<sub>2</sub> levels in the open-approach group correlate with ineffective postoperative respiratory function due to increased thoracotomy related pain.<sup>15</sup> PaCO<sub>2</sub> levels recorded were similar in both groups. CO<sub>2</sub> exchange in alveoli is independent from the approach used and does not relate to postoperative thoracotomy pain.<sup>16</sup>

The stress response to surgery, with the production of mediators, cytokines, acute-phase proteins, adrenocorticoids, and catecholamines is influenced by the degree of surgical

trauma, general anesthesia, and analgesics. Both general anesthesia and postoperative analgesic treatment were identical for all patients included in this study.

Lower insulin levels after induction of anesthesia and during surgery, along with decreased peripheral use of insulin due to perioperative increased "insulin resistance" effect, resulted in elevated concentration of blood glucose in surgical patients. Connection between elevated glucose levels after surgery and intensity of surgical injury has already been described.<sup>17</sup> In correlation with these findings, patients allocated to group B were characterized by significantly elevated blood glucose levels, which returned to normal on the third postoperative day. On the contrary, VATS group of patients experienced a milder postoperative hyperglycemia.

CRP is an acute-phase response marker produced in the liver. Along with interleukin-6, fibrinogen, and other proteins, CRP acts as an inflammatory mediator. Minimally invasive thoracic surgery, utilizing VATS, is associated with minor increase in serum levels of these mediators,<sup>18</sup> due to limited trauma. Reduced inflammatory response in group A was confirmed in this study.



Increase of ACTH during the operation results from stimulation of the anterior pituitary by hypothalamic releasing factors.<sup>19</sup> Surgery has been recognized as one of the most potent activators of ACTH secretion.<sup>17</sup> ACTH in turn stimulates the adrenal cortical secretion of glucocorticoids, thus contributing to elevated serum levels of cortisol. ACTH and cortisol levels are strongly related. No significant difference between two groups of this trial was recorded regarding postoperative measurements of these stress markers. As a result, the degree of surgical trauma itself does not significantly influence the stress response as far as ACTH and cortisol values are concerned. Identical changes in postoperative levels of these hormones in both groups are probably attributed to general anesthesia in combination with the extent of pulmonary resection.

Catecholamine levels increase as a result of the hypothalamic activation of the sympathetic autonomous nervous system and the stimulation of the adrenal medulla. Epinephrine is a strong stress indicator.<sup>17</sup> Postoperative epinephrine levels recorded in this study were significantly higher in group B in comparison with group A. In addition, even 72 hours after the procedure, these levels remained elevated. This finding is consistent with a strong correlation between the epinephrine concentration increase and the extent of the surgical trauma, which is also verified by several studies.<sup>18</sup>

In conclusion, this study confirmed that minimally invasive thoracic surgery, by means of VATS, significantly reduces the acute-phase response and surgical stress, while enabling better postoperative oxygenation. Larger randomized trials are expected to illuminate the impact of these important findings on the treatment of cancer patients and establish VATS as the approach of choice.

## Disclosure

The authors report no conflicts of interest in this work.

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