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### ORIGINAL RESEARCH

# Experience with combination of nimotuzumab and intensity-modulated radiotherapy in patients with locoregionally advanced nasopharyngeal carcinoma

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Aim: To evaluate the efficacy and safety of using nimotuzumab in combination with intensitymodulated radiotherapy (IMRT) in the primary treatment of locoregionally advanced nasopharyngeal carcinoma.

Methods: Between December 2009 and December 2013, 38 newly diagnosed patients with stage III-IV nasopharyngeal carcinoma were treated with IMRT and nimotuzumab concomitantly. The distribution of disease was stage III in 20 (52.6%), stage IV A in 9 (23.7%), and stage IV B in 9 (23.7%). All the patients received at least two cycles of cisplatin-based neoadjuvant chemotherapy followed by nimotuzumab 200 mg/week concurrently with IMRT. Acute and late radiation-related toxicities were graded according to the Acute and Late Radiation Morbidity Scoring Criteria of Radiation Therapy Oncology Group.

Results: With a median follow-up of 39.7 months (range, 13.3–66.5 months), the estimated 3-year local recurrence-free survival, regional recurrence-free survival, distant metastasisfree survival, progression failure-free survival, and overall survival rates were 92.8%, 92.9%, 89.5%, 78.7%, and 87.5%, respectively. The median cycle for nimotuzumab addition was 6 weeks. Grade 3 radiation-induced mucositis accounted for 36.8% of treated people. No skin rash and infusion reaction were observed, distinctly from what is reported in cetuximab-treated patients.

**Conclusion:** Nimotuzumab plus IMRT showed promising outcomes in terms of locoregional control and survival, without increasing the incidence of radiation-related toxicities for patients.

**Keywords:** nasopharyngeal carcinoma, intensity-modulated radiotherapy, nimotuzumab

## Introduction

Concomitant chemoradiotherapy (CRT) is the standard of care for locoregionally advanced nasopharyngeal carcinoma (LA NPC), with established benefit in overall survival (OS).<sup>1</sup> But the treatment-related toxicities are also considerable. Grade 3-4 radiation-related mucositis was reported to range from 45.4% to 62% in several series.<sup>2-4</sup> Also the benefit of CRT is still uncertain in the intensity-modulated radiotherapy (IMRT) era, since the locoregional tumor control of nasopharyngeal carcinoma (NPC) has been significantly improved with the use of IMRT.<sup>5</sup> Therefore, it is vital for clinicians to explore new agents that could enhance the efficacy of IMRT, while inducing minimal toxicities when concomitantly was used with IMRT.

It has shown that overexpression of EGFR is a feature of a variety of human malignancies including NPC, and high EGFR expression was associated with poor prognosis.<sup>6-9</sup> Cetuximab, the most commonly used anti-EGFR antibody, combined

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with radiotherapy (RT), has been shown to improve survival in patients with locoregionally advanced head and neck squamous cell carcinoma (LA HNSCC).10 In NPC, the schedule of concurrent cetuximab-cisplatin-IMRT has achieved promising preliminary results. However, the relatively high rate of mucositis and acne-like skin rash limited its clinical application.11-13

Nimotuzumab is a humanized monoclonal antibody that is obtained by replacing a murine complementary-determining regions with a human framework. In the preclinical studies, nimotuzumab has demonstrated remarkably antiproliferative, proapoptotic, and antiangiogenic activities.<sup>14</sup> Nimotuzumab combined with irradiation or chemoradiation has shown clinical efficacy in improving locoregional control and OS in patients with LA HNSCC.15,16 However, there is little research focusing on nimotuzumab and IMRT for LA NPC. Therefore, the purpose of this study is to evaluate the safety and efficacy of nimotuzumab plus IMRT in patients with LA NPC.

# **Patients and methods** Patients and pretreatment

From December 2009 to December 2013, 38 patients (median 46 years; range 18-65 years) with stage III or stage IV NPC (histologically proven World Health Organization type II or III) were treated with nimotuzumab plus definitive IMRT in Fudan University Shanghai Cancer Center. The pretreatment workup included a complete historical physical examination, magnetic resonance imaging (MRI) scans of the head and neck, chest radiography, abdominal ultrasonography, emission computed tomography, and blood samples for hematological, biochemical, and serological estimations. All patients were staged according to the Union for International Cancer Control 2010 staging system. Clinical characteristics of the patients are listed in Table 1. The authors state that all procedures performed in the study were in accordance with the ethical standards of our Fudan University Shanghai Cancer Center research committee or have followed the principles outlined in the Declaration of Helsinki for all human or animal experimental investigations. For investigations involving human subjects, written informed consent was obtained from all individual participants included in this study.

### Intensity-modulated radiotherapy

All patients were treated with definitive IMRT, following a consistent dose and volume prescription policy. The gross tumor volume (GTV) was defined in accordance with the International Commission on Radiation Units and

Table I The clinical characteristics of 38 patients enrolled in the study

Patient	Number of patients n (%)		
characteristics	(n=38)		
Sex			
Male	25 (65.8)		
Female	13 (34.2)		
Age, years			
Median	46		
<46	18 (47.4)		
≥47	20 (52.6)		
WHO histologic type			
11	6 (15.8)		
III	32 (84.2)		
T stage			
ТІ	6 (15.8)		
T2	8 (21.1)		
Т3	13 (34.2)		
Τ4	11 (28.9)		
N stage			
N0	I (2.6)		
NI	7 (18.4)		
N2	21 (55.3)		
N3	9 (23.7)		
UICC stage			
III	20 (52.6)		
IV A	9 (23.7)		
IV B	9 (23.7)		
Nimotuzumab			
<6 cycles	8 (21.1)		
$\geq$ 2 cycles	30 (78.9)		

Abbreviations: UICC, Union for International Cancer Control; WHO, World Health Organization; T, tumor; N, lymph node.

Measurements Reports 50 and 62, including all gross primary tumors (GTV-P) and involved lymph nodes (GTV-LN) determined from clinical and imaging examinations. An additional 5 mm margin around the GTV-P and GTV-LN was required in all directions to define planning target volume (PTV-G and PTV-LN), respectively. The clinical target volume (CTV) was defined as the GTV plus 0.5-1 cm margin to encompass any subclinical disease, together with the areas of lymph nodes drainage. The CTV for high-risk (CTV-H) should include the entire nasopharyngeal cavity, the parapharyngeal space, pterygoid fossa, retropharyngeal lymph nodal regions, the posterior of the nasal cavity and the maxillary sinus, the anterior half of the clivus (when invaded, the whole clivus was covered), the skull base, the inferior sphenoid sinus and any high-risk nodal regions, including the regional lymphatics of level II, III, and V A and the station of the positive lymph nodes. The neck area of lymph node drainage was outlined according to the Recommendation by the Radiation Therapy Oncology Group (RTOG) consensus guidelines.<sup>17,18</sup> The CTV for low-risk (CTV-L) was recognized as the next station of the positive lymph nodes without any evidence of metastatic lymph nodes (generally level IV and level V B). Level I B was irradiated selectively only in patients who had extensive nodal involvement on the ipsilateral II A region or extracapsular extension of the II A lymph nodes. The PTV-C would cover CTV with a 3 mm margin in all directions. When the CTV is near the critical organs at risk (OAR), such as the spinal cord and brain stem, the margin would be contracted to be as small as 1 mm.

The OAR included spinal cord, brain stem, temporal lobes, optic nerves, chiasm, parotid glands, eyeballs, lens, temporomandibular joints, oral mucosa, and larynx. A 5 mm margin was added to the spinal cord and the brain stem to form the planning OAR volume during computerized optimization.

The treatment was performed with simultaneous integrated boost technique, using 6 MV photons. The prescribed radiation dose was 66 or 70.4 Gy to PTV-G (66 Gy for T1 and T2 stage and 70.4 Gy for T3 and T4 stage), 66 Gy to PTV-LN, 60 Gy to PTV-CTV-H, and 54 Gy to PTV-CTV-L, delivered in 30 or 32 fractions. Computerized optimization was used with fusion of MRI and treatment planning computed tomography images, to accurately delineate the target. Radiation was delivered once daily, five fractions per week, over 6 weeks. The volume of PTV encompassed by less than 95% of the prescription dose should not exceed 1%. More than 110% of the prescription dose was not allowed in or out of PTV. The dose to OAR was limited on the basis of the RTOG 0225 protocol.

## Chemotherapy

Nimotuzumab was administered concomitantly with IMRT at a dose of 200 mg weekly, which was diluted in 250 mL saline to obtain a 200 mg suspension and intravenously infused over 1 hour. Seventy-eight percent of the patients received at least six cycles of nimotuzumab during IMRT. All the patients were given two to three cycles of platinum-based neoadjuvant chemotherapy and 64.1% of the patients had one to two cycles of adjuvant chemotherapy. The most common regimens of neoadjuvant and adjuvant chemotherapy included TPF (docetaxel 60 mg/m<sup>2</sup>/day on day 1, cisplatin 25 mg/m<sup>2</sup>/day on days 1–3, and 5-fluorouracil 500 mg/m<sup>2</sup>/day on days 1–3), TP (docetaxel 60 mg/m<sup>2</sup>/day on day 1, cisplatin 25 mg/m<sup>2</sup>/day on days 1–3), and GP regimen (gemcitabine 1,000 mg/m<sup>2</sup>/day on days 1 and 8, cisplatin 25 mg/m<sup>2</sup>/day on days 1–3).

# Patient evaluation

The assessment of tumor response was performed twice after the completion of induction chemotherapy and 3 months after radiation, which was based on MRI according to Response Evaluation Criteria for Solid Tumors criteria. Systemic chemotherapy adverse effects were scored by using the National Cancer Institute Common Toxicity Criteria (NCI CTCAE, version 3.0), whereas RT-induced toxicities were scored according to the Acute and Late Radiation Morbidity Scoring Criteria of RTOG.

All the subjects underwent weekly examinations for treatment response and toxicities during radiation therapy. Patients were followed-up every 3 months in the first 2 years; every 6 months from the third to the fifth year, and then annually. Each follow-up included careful examination of the nasopharynx and neck nodes by an experienced doctor, MRI scan of the nasopharynx, chest computed tomography radiograph, and ultrasound of abdomen were performed 3 months after the completion of RT and every 6–12 months thereafter. Additional examinations were performed when it is indicated to evaluate local relapse or distant metastasis.

# Statistical analysis

All the statistical analyses were performed with the Statistical Package for Social Sciences (IBM Corporation, Armonk, NY, USA) software. The main purpose of this investigation was to evaluate the efficacy and adverse events of nimotuzumab combined with IMRT in LA NPC. The estimated OS, progression failure-free survival (PFS), local recurrence-free survival (LRFS), regional recurrence-free survival (RRFS), and distant metastasis-free survival (DMFS) were calculated by the Kaplan–Meier method. Univariate analysis was performed with log-rank test. Significant factors with a *P*-value <0.05 were included in a multifactor Cox model to determine the independent prognostic factors. The duration of survival was defined as the commencement date of chemotherapy to the event of interest occurring or the last follow-up for the patients alive.

# **Results** Response of disease

The overall response rates were 100% (complete remission [CR] 92.1%) and 100% (CR 92.1%), respectively, for lesions of nasopharynx and cervical lymph nodes 3 months after the completion of radiation. The residual tumor gradually disappeared or became less obvious at the following 6–9 months.

# Local control and survival rates

The median follow-up was 39.7 months (range, 13.3–66.5 months). The estimated 3-year LRFS, RRFS, DMFS, PFS, and OS rates were 92.8%, 92.9%, 89.5%, 76.3%, and

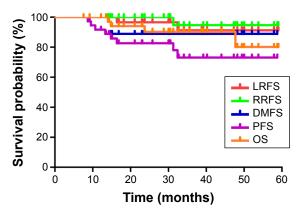


Figure I Kaplan-Meier curves showing LRFS, RRFS, DMFS, PFS, and OS of patients.

87.5%, respectively (Figure 1). The overall 3-year OS rates for the patients were 100% for stage T1–2 and 79.6% for stage T3–4 (P=0.039), respectively (Figure 2). The overall 3-year OS rates for the patients with adjuvant chemotherapy were 95.8% vs 55.6% for patients without adjuvant chemotherapy (Figure 3).

Altogether seven patients had experienced treatment failure. There were two patients with local recurrence alone and both of them died of uncontrolled epistaxis after re-irradiation. One patient had regional recurrence alone and received salvage surgery. He was still clinically free of disease at the last assessment. Three patients developed one or more distant metastases and all of them died of disease progression. One patient had both regional and distant failure who was still alive but failed to have a remission after aggressive chemotherapy. Details are shown in Table 2.

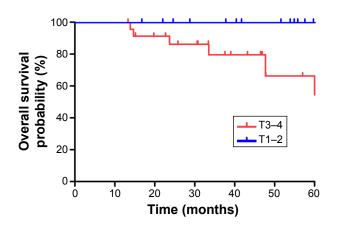


Figure 2 Kaplan–Meier curves showing overall survival for patients with T1–2 and T3–4 stage.

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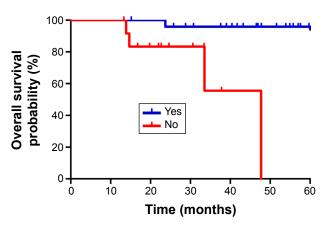


Figure 3 Kaplan–Meier curves showing OS for patients with adjuvant chemotherapy and without adjuvant chemotherapy.

A total of six deaths were recorded at the last follow-up, other than the five mentioned, the remaining one died of epilepsy attack, a cause not cancer-related and with no evidence of disease progression.

#### **Prognostic factors**

Potential prognostic factors including age, sex, stage, adjusted tumor (T) stage and lymph node (N) stage, chemotherapy, cycles of nimotuzumab as well as response at 3 months after the completion of RT were evaluated. Univariate analysis showed that T stage and adjuvant chemotherapy were significant prognostic factors for OS, favoring those with T1–2 disease and addition of adjuvant chemotherapy. However, patients with advanced T stage (T3–4) showed a marginally negative trend on OS vs those with earlier T stage in multivariate analysis (Table 3). We attributed that to the relatively small sample size and short time follow-up.

### Toxicity

The most common radiation-related acute adverse effects were stomatitis and skin reaction (Table 4). Oral mucositis was reported as grade 3 in severity in 14 (36.8%) patients. No grade 3 dermatitis was observed within the RT field. The majority of toxicities were mild or moderate, and patients

Table 2 Site and incidence of	of treatment failure
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Site	Number of patients		
	(n=7)		
Local only	2		
Regional only	I		
Regional and distant	I		
Distant only			
Lung, bone, brainª	I		
Bone only	2		

Note: "Brain metastases were histologically proven.

Abbreviations: DMFS, distant metastasis-free survival; LRFS, local recurrence-free survival; OS, overall survival; PFS, progression failure-free survival; RRFS, regional recurrence-free survival.

Variable	3-year LRFS		3-year RRFS		3-year DMFS	3-year PFS		3-year OS		
	%	P-value	%	P-value	%	P-value	%	P-value	%	P-value
Sex										
Male	95.2	0.742	88.9	0.279	84.0	0.136	69.6	0.130	80.6	0.313
Female	88.9		100		100		88.9		100	
Age										
<46	93.8	0.893	100	0.181	83.3	0.254	77.4	0.780	80. I	0.590
≥46	92.3		86.9		95.0		75.9		94.7	
Stage										
III	100	0.154	85.9	0.157	90.0	0.957	81.8	0.453	93.8	0.157
IV	85.9		100		88.9		70.7		81.5	
T stage										
TI-2	100	0.226	100	0.243	100	0.114	100	0.016	100	0.039
T3-4	87.7		88.2		83.3		61.9		79.6	
N stage										
N0-1	100	0.493	75.0	0.261	100	0.289	65.6	0.774	87.5	0.852
N2–3	91.2		96.2		86.7		78.4		87.9	
Adj CHT										
Yes	100	0.005	91.0	0.449	88.0	0.677	83.4	0.186	95.8	0.001
No	60.0		100		92.3		50.3		55.6	
Nimotuzumab										
<6 cycles	80.0	0.191	100	0.507	87.5	0.853	70.0	0.697	68.6	0.291
$\geq$ 6 cycles	94.7		91.4		90.0		77.0		92.8	

Table 3 Impact of prognostic factors on treatment results by univariate analysis

Abbreviations: Adj CHT, adjuvant chemotherapy; cycles, cycles of nimotuzumab; DMFS, distant metastasis-free survival; LRFS, local recurrence-free survival; OS, overall survival; PFS, progression failure-free survival; RRFS, regional recurrence-free survival.

recovered rapidly with or without symptomatic medication. During concurrent phase, no acneiform eruptions were found among these subjects.

Xerostomia was the most common late effect, and the degree of xerostomia appeared to decrease with time. At the time of analysis, most patients developed mild-to-moderate xerostomia and four patients had no subjective complaints of dry mouth.

Seven patients suffered unilateral or bilateral hearing impairment. One patient developed temporal lobe necrosis, diagnosed by MRI examination in the follow-up.

## Discussion

This study showed that nimotuzumab could be safely combined with IMRT in the curative treatment of LA NPC. This approach was associated with a relatively low rate of RT-related acute skin and mucosal toxic effects. In our study,

Table 4 Frequency of grade 2, 3, or 4 AEs during radiotherapy

AEs	Grade 2	Grade 3	Grade 4
	n (%)	n (%)	n (%)
Stomatitis	20 (52.6)	14 (36.8)	0 (0.0)
Dermatitis	4 (10.5)	0 (0.0)	0 (0.0)
Vomit	I (2.6)	2 (5.3)	0 (0.0)

Abbreviation: AEs, adverse events.

grade 3 radiation-induced mucositis accounted for 36.8% of treated people and no grade 4 mucositis was observed. No skin rash and infusion reaction were observed. The objective response rate at 3 months after the completion of radiation was 100%, with a 3 year OS of 87.5%. The tumor response rate and preliminary survival data of this combined strategy compared favorably with those previously reported by other centers.<sup>19–21</sup>

For many years, CRT is the standard treatment for LA NPC. The combination of radiation and concurrent chemotherapy has been demonstrated to be superior to radiation alone for LA NPC in both local control and OS. Table 5 summarizes the key features and results achieved at various centers.<sup>19–21</sup> The 3-year OS reported previously ranged from 83% to 94%, which were quite promising outcomes. But the CRT series had significantly exacerbated RT-related grade 3 or worse mucositis, with an incidence of 41%–78%.<sup>21–23</sup> Therefore, in this study, we evaluated the safety and efficacy of nimotuzumab as a concurrent agent to IMRT, aiming to find clinical merits especially in mitigating toxicities.

Given to the high rate of EGFR overexpression as well as its cognate and the consequent negative influences in treatment responses and survival in HNSCC, the EGFR antagonists have shown encouraging clinical outcomes in palliative and curative settings. A previous work showed

 Table 5 Treatment outcomes and adverse effects in selected studies treated with IMRT

	Center				
	NCC <sup>19</sup>	MKSCC <sup>20</sup>	PWH <sup>21</sup>	Our series	
Number of patients	195	74	63	38	
Year	2009	2006	2004	2015	
Median follow-up (months)	36.5	35	29	39.7	
Stage III/IV (%)	63	77	57	100	
Con CHT (%)	57	93	25	None	
Adj CHT (%)	35	93	0	65.8	
Dose to GTV-P (Gy)	70	70	66	66/70.4	
Dose per fraction (Gy)	2.0-2.12	2.34	2	2.2	
Fraction	33–35	30	33	30/32	
Add boosts	Br 10%	CB 80%	Br/3D 56%	None	
Time point (years)	3	3	3	3	
LRFS (%)	90	91	92	92.8	
RRFS (%)	NR	93	98	92.9	
DMFS (%)	89.2	78	79	89.5	
PFS (%)	79	67	NR	76.3	
OS (%)	94.3	83	90	87.5	
Grade 3/4 mucositis (%)	29	NR	41	36.8	
Grade 3/4 dermatitis (%)	2	NR	7	0	

Abbreviations: Adj CHT, adjuvant chemotherapy; Br, brachytherapy boost; CB, concomitant boost; Con CHT, concurrent chemotherapy; DMFS, distant metastasis-free survival; GTV-P, gross primary tumor volume of the nasopharynx; LRFS, local recurrence-free survival; MSKCC, Memorial Sloan Kettering Cancer Center; NCC, National Cancer Center; NR, not reported; OS, overall survival; PFS, progression failure-free survival; PWH, Prince of Wales Hospital; RRFS, regional recurrence-free survival.

that the rate of EGFR overexpression in NPC could be as high as 94%.8 Cetuximab, as the most commonly used monoclonal antibody, has been approved for the treatment of HNSCC in combination with radiation for locoregionally advanced disease and in combination with cisplatin-based chemotherapy for the first-line treatment of recurrent/ metastatic disease.<sup>10</sup> Bonner's study demonstrated that cetuximab significantly improved locoregional tumor control and survival when concomitantly used with RT compared with RT alone.<sup>24</sup> Other cetuximab-based studies in NPC also showed promising clinic outcomes, with 2-year PFS of 86.5%-89.3% and 3-year OS of 90.9%.11,13,25 However, all the studies reported unexpectedly higher incidence of grade 3-4 oral mucositis (56%-87%) and RT-related dermatitis (20%-25%).11-13,25 The frequency of oral mucositis was twice as common as the data in the current study. Grade 3 RT-related dermatitis (33%) was much more common than that in our study. Furthermore, administration of cetuximab resulted in more than 90% of treated patients being asymptomatic or having an itchy acneiform rash.<sup>11–13,25</sup> In contrast to that, no patients suffered severe skin rash during the treatment. To minimize cetuximab-related toxicities, novel EGFR-targeted agent was warranted.

Nimotuzumab, as a humanized Immunoglobulin G1 (IgG1) isotype monoclonal antibody with unique safety profile and low skin toxicity, has shown clinical benefits in the management of non-NPC HNSCC. The advantage of the

drug is that the affinity constant is quite lower than that of cetuximab, allowing for high tumor uptake and low normal tissues uptake.26 Nimotuzumab requires bivalent binding for stable attachment, which renders the agent to selectively binding to tumors with moderate-to-high EGFR levels. When EGFR expression is low as on the normal tissues, cetuximab still had high ability of binding because of its higher affinity constant.<sup>26</sup> In a phase II study of nimotuzumab plus RT for stage III-IV unresectable HNSCC, the nimotuzumab add-on group was superior to the placebo add-on group, resulting in a significantly higher complete remission rate (59.5% vs 34.2%, respectively) and longer median survival (20.31 vs 11.77 months, respectively) without exacerbation of radiation-related adverse events.<sup>15</sup> Similar results were also observed in another phase II study where nimotuzumab was combined with RT alone or CRT in treatment-naïve LA HNSCC.16 The phase II study was conducted in 2014 as a randomized, open-labeled, four-armed, multicenter investigation that employed a cohort of 92 LA HNSCC. The patients were divided into two arms, with half of them receiving CRT and the other half RT alone. In each arm, the participants were randomized to receive treatment regimen with or without weekly nimotuzumab in addition to their scheduled RT or CRT. The objective response rate (the sum of CR and partial remission [PR]) was significantly higher when nimotuzumab was added in both CRT arm (100% vs 70%, P=0.020) and RT arm (76.4% vs 37%, P=0.023).

Also, the addition of nimotuzumab in the CRT arm gave an improvement of 5-year OS rate by 31% (57% vs 26%, P=0.03) and lowered risk of death by 64% (HR =0.36, 95%) CI: 0.37, 1.56). In the RT arm, a trend of improvement in OS rate at 5 years was observed in patients with nimotuzumab (39% vs 26%, P > 0.5), albeit not reaching statistical significance. In the former study, the incidence of overall oral mucositis and dermatitis in the nimotuzumab group were only 20.1% and 10.3%, respectively. We attributed the low incidence to the relatively low prescribed radiation dose (with a mean cumulative dose of 6,030 cGy). In the latter study, there were no significant increases in the incidence of severe mucositis and dermatitis in the four arms and skin rash was observed in only 6.5% of the patients. All of these indicated that nimotuzumab plus RT could be selected in the design of the clinical trial of NPC.

Our experience suggested that nimotuzumab might be a good alternative for locoregionally advanced outpatients or patients who could not tolerate CRT. However, our results should be regarded as preliminary owing to the relatively small sample size and bias in patient selection.

# Conclusion

In conclusion, our study showed that concurrent administration of nimotuzumab with RT was well-tolerated and showed encouraging clinical activities. Further randomized, controlled, multicenter phase III clinical trials are needed to confirm the ultimate therapeutic gain.

## **Future perspective**

More clinical data of randomized trials directly comparing IMRT plus nimotuzumab with IMRT plus concurrent chemotherapy are needed to confirm the clinical efficacy of nimotuzumab.

## Disclosure

The authors report no conflicts of interest in this work.

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