Autologous chondrocyte implantation for cartilage repair: current perspectives

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Abstract: Over the last decade, regenerative treatment options have become the more routinely used techniques for treatment of cartilage defects, with predictable outcomes for their specific indications. For large chondral lesions in young patients, in particular, autologous chondrocyte implantation is the treatment of choice for restoration of joint health. This review focuses on indications, results, and outcome predictors of autologous chondrocyte implantation in comparison to other regenerative treatment procedures and discusses improvement options and future perspectives for autologous chondrocyte transplantation. As research activities are increasing in the field of regenerative joint therapy, recent developments may help to overcome remaining limitations step by step.

Keywords: ACI, cartilage repair, matrix- associated autologous chondrocyte transplantation, microfracture, osteochondral transplantation

Introduction
Chondral injuries of the knee have a high incidence. Sellards et al1 report chondral injuries in 10%–12% of individuals. Widuchowski et al2 reviewed 25,124 knee arthroscopies to quantify the prevalence, location, and grade of chondral lesions. Approximately 60% of the patients had cartilage defects, of which 67% were supposed to be focal. The main locations were retropatellar and medial. In their series of more than 30,000 arthroscopies of the knee, Curl et al3 found high-grade cartilage lesions (Outerbridge grade III and IV) in over 60% of the patients. The incidence of chondral injuries shows its high impact on the society, as it is generally agreed that the persistence of cartilage defects is a risk factor for joint dysfunction exacerbation, which finally leads to severe osteoarthritis.

However, cartilage lesions can remain symptomless over a long period of time. This may lead to a delayed evaluation and treatment of cartilage injuries that can have tremendous consequences for the joint.

This emphasizes the importance of an adequate treatment of cartilage lesions at the right time in order to prevent early onset and development of osteoarthritis.

Defect size seems to be an important determining factor for progression of cartilage lesions to osteoarthritis. Since the introduction of the technique of autologous chondrocyte implantation (ACI) by Brittberg et al4 in 1994, large size cartilage defects can also be successfully treated regeneratively.

This paper focuses on indications, advantages, and disadvantages of ACI techniques in comparison to other current regenerative treatment options and discusses future perspectives in cartilage treatment by ACI.
Current treatment options for cartilage repair
Bone marrow stimulation techniques

Among reparative options for cartilage treatment, bone marrow stimulation procedures are the most commonly applied techniques worldwide due to their simplicity and low costs. The aim of this technique is to recruit bone marrow cells via creating a communication between cartilage lesions and subchondral bone to gain access to potential cartilage precursor cells. Stem cells migrate from the marrow cavity to the fibrin clot of the defect and lead to the formation of a fibrocartilaginous tissue. In a systematic review, Mitroofer et al found that microfracturing provides effective functional improvement for at least 2 years. Steadman et al, who described the modern technique of microfracture, reported long-term satisfactory results. In smaller defects, microfracture shows promising results concerning mobility, reduction of pain, and return to sport. However, recent reports show that over time, the results of microfracture are getting worse, especially in active patients and in those with larger chondral defects 5 years after the procedure. Additionally, the effects of microfracture are related to the patient’s age, and so older patients do not seem to profit from this specific treatment. The repair tissue response can be unpredictable; fibrous soft, spongiform tissue combined with central degeneration is frequently found, and patients may have to adjust their activity level to that of their knee function. Another reason for the deterioration of the clinical outcome after microfracture over time might be the development of subchondral sclerosis, cysts, or the formation of intraskeletal osteophytes. A complication rate of up to 50% after microfracturing is described in literature. The results published in the literature suggest using these procedures only in the treatment of acute and small lesions, and not in large cartilage defects.

Recently, the technique of microfracturing has been modified to a microdrilling technique. The idea of drilling holes through the damaged cartilage area into the subchondral bone marrow space to stimulate repair tissue was first described by Pridie. Thermal necrosis was a potential disadvantage that could affect the outcome. The improved modern microdrilling version with arthroscopically applicable narrow-caliber drills up to 4 mm in depth is more reproducible and less traumatic. Therefore, defect preparation and treatment seem to be better controllable. However, no prospective clinical trial has shown significant improvement of the microdrilling over the original microfracture technique yet.

Autologous osteochondral transplantation

Focal (osteo-)chondral defects may also be addressed with osteochondral autograft transplantation (OAT). It is the only method to transfer hyaline articular cartilage into the defect area. Harvesting and subsequent implantation of autologous osteochondral plugs is performed in a one-step procedure. The plugs are frequently taken via a small incision from a non-weight-bearing area such as the medial or lateral margin of the trochlea or the intercondylar notch. This procedure guarantees a tissue transfer of viable osteochondral units that aims to integrate via bone-to-bone healing, since the mature cartilage tissue has limited healing potential and rarely fully heals to surrounding cartilage. The fast bone-to-bone integration allows a rehab program with a rapid increase in weight bearing. In the early 1990s, Hangody conceived and perfected the mosaicplasty technique, which uses multiple small diameter osteochondral plugs that can be implanted also through an arthroscopic approach, and good results have been reported at long-term follow-up, particularly for small defects. Especially deep focal chondral defects with affection of the subchondral plate or small cartilage lesions with pathologies of the subchondral bone like cysts may be responsive to a treatment with OAT. In controlled randomized prospective studies, Gudas et al showed significantly better clinical results after 12, 24, and 36 months comparing OAT versus microfracture. However, with increasing defect size, complication rate rises due to integration problems and donor site morbidity. So, treatment of a chondral defect larger than 3–4 cm² with OAT is no longer recommended in literature.

Osteochondral allografts

Large osteochondral defects remain a problem for reconstructive surgery and regenerative treatment. Osteochondral allografts might be a therapeutic alternative. Besides the technically demanding procedure, graft availability is an important issue, which severely reduces the possibility of using these procedures in daily clinical practice. Since stored grafts do not maintain their biological and biomechanical characteristics, due to loss of chondrocyte vitality and worsening of biomechanical properties, fresh osteochondral allografts are currently used to preserve tissue vitality. Chondrocyte survival is diminished after freezing, even with existing cryopreservation techniques. However, vital chondrocytes are important to maintain the cartilage matrix, which is critical for long-term functioning of the graft. On the contrary, fresh allografts show satisfactory

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long-term results with graft survival and satisfactory clinical outcome.\textsuperscript{28} Incorporation of these grafts is dependent upon the healing of host bone to allograft bone by creeping substitution.\textsuperscript{29} Immunology of the fresh allografts is not considered clinically important at this time. However, graft DNA is detectable over more than 30 years after transplantation in the osteochondral allograft.\textsuperscript{30} Unfortunately, although this technique has been successfully used for the treatment of large chondral defects, there are still some concerns. The low availability and the difficulties in the preservation and management of the fresh allografts and the possible risk of disease transmission reduce the indication and the wider use of this procedure.\textsuperscript{31}

**Autologous chondrocyte transplantation**

In recent years, matrix-guided autologous chondrocyte transplantation (MACT) for the treatment of large full-thickness articular cartilage defects is becoming more popular. Brittberg et al\textsuperscript{4} first introduced the technique of the ACI in 1994. Particularly for the treatment of cartilage defects larger than 3 cm\textsuperscript{2}, the ACI revealed superior long-term success.\textsuperscript{31–33} The conventional technique is accompanied with periosteum harvest and fixation over the cartilage defects via large skin incisions. Autologous chondrocytes were injected underneath the periosteal flap. Hypertrophy of the periosteum with high rate of revision arthroscopies\textsuperscript{34} and the risk of transplant failure of up to 20% are major drawbacks of the conventional ACI.

The MACT was developed to address these problems. In a first arthroscopy, small osteochondral plugs are taken from the non-weight-bearing cartilage adjacent to the lateral femoral notch. Then the chondrocytes are isolated, cultured, and seeded on biodegradable scaffolds. Approximately 3 weeks after the first arthroscopy, the cell-seeded scaffolds are implanted in cartilage defects with sutures or biodegradable devices like plugs or anchors.

With the new technique of the MACT, some disadvantages of the ACI could be eliminated.\textsuperscript{35} The rate of hypertrophy of the transplant or periosteum was reduced by the matrix-guided technique.\textsuperscript{35,36}

Compared to other reconstructive therapy options for cartilage defects, like microfracturing or OAT, the MACT shows the best quality of the regenerated tissue.\textsuperscript{37}

Especially for full-thickness cartilage defects larger than 4 cm\textsuperscript{2}, the MACT is the recommended therapy in literature. Other cartilage therapy procedures failed to improve the clinical outcome of large cartilage defects.\textsuperscript{10,38}

For deep osteochondral defects, the MACT can be combined with bone augmentation like cancellous bone grafting or autologous bone transplantation, eg, from the iliac crest.

In a controlled randomized prospective study, Bentley et al\textsuperscript{39} showed significantly better outcome results after ACI compared to OAT.

The best clinical results of the MACT can be seen in traumatic chondral lesions and in osteochondrosis dissecans. On the other hand, degenerative cartilage defects and chronic lesions are still problematic to treat. Especially, patients with a long history of pain show a significantly worse outcome after MACT.\textsuperscript{32,34,39–44}

In a recently published study, Vanlauwe et al\textsuperscript{45} compared ACI with microfracture and showed a significant improvement of patients’ outcome after MACT when the symptoms of the cartilage lesion did not last more than 3 years. On the other hand, in patients with clinical symptoms more than 3 years, ACI failed to improve the functional outcome significantly compared to microfracture. The earlier a biological cartilage repair is performed, the better are the clinical results.\textsuperscript{10,39,41,45} Thus, primary cartilage defects should be treated as soon as possible to improve the long-term outcome.\textsuperscript{10,45}

Another problem for biological cartilage repair besides the delay of treatment is the localization of the defect. Results of all treatment options behind the patella are worse than in other parts of the knee joint.\textsuperscript{31,46–49} Probably the special biomechanical situation in the retropatellar area is the reason for the higher rate of cartilage treatment failure. As this is not a problem of a specific cartilage repair procedure, the necessity arises to address all pathologies for a successful cartilage treatment behind the patella. Comparable to osteotomy or meniscal repair in the femorotibial part in the retropatellar area, all pathologies like maltracking of the patella or dysplasia should be corrected.\textsuperscript{50}

In a controlled randomized prospective study for large size chondral defects (4–10 cm\textsuperscript{2}), the outcome after MACT was significantly better after 2 years compared to microfracture.\textsuperscript{51} Similar long-term results were seen for active patients comparing MACT with microfracture.\textsuperscript{4} In another randomized prospective study, Crawford et al\textsuperscript{52} saw significantly more therapy responders in the MACT group compared to the microfracture group after 6, 12, or 24 months. These results correlated to the clinical and functional outcome of the patients in the KOOS (Knee Injury and Osteoarthritis Outcome Score) and IKDC (International Knee Documentation Committee) score.

The reason for the superior results after MACT compared to microfracture might be the better defect filling, histological results, and the lack of osteophytes in the defect site or
the regenerated tissue, which can be predominantly seen 4 or 5 years after microfracture (Figure 1).

However, if microfracture fails as primary procedure for treatment of a chondral defect, the risk of a treatment failure after the secondary performed MACT rises significantly. For that reason, some authors do not recommend microfracture as a first-line treatment, especially for larger defects. On the other hand, there are reports in literature that show good results of MACT even as a second-line therapy procedure. Additionally, the age-related effects of a cartilage therapy seem to be less significant with the MACT in comparison to microfracture.

Macroscopic and histological findings play an important role after MACT. For the evaluation of the quality of the regenerated tissue, not only histological findings but also the amount of defect filling, the surface quality, and the integration into the surrounding native cartilage are important.

It has been shown that differentiated complete defect filling correlates with good clinical results. On the other hand, incomplete defect filling with undifferentiated scar tissue reveals unsatisfying scoring results with ongoing pain and worse joint function of the patients. This effect can be particularly seen in larger chondral defects. In a pilot study, we reported that the transplant quality is adequate at the time of surgery of MACT. We retrospectively reviewed 125 patients with large localized cartilage defects (mean defect size $5 \text{cm}^2$) of the knee who were treated with MACT. Portions of the cell–matrix constructs that were not implanted in the cartilage defects were further cultured and tested for their potential to form articular cartilage. In vitro assessment of the cell–matrix implants showed chondrogenic differentiation with positive staining for glycosaminoglycans and collagen II in all cultures. Enzyme-linked immunosorbent assay showed an increase of collagen II production. Clinically, we observed an improvement in median IKDC score from 41 to 67 points at last follow-up. So cartilage extracellular matrix deposition shows adequate implant quality for MACT at the time of implantation and justifies the use for treatment of large cartilage defects.

### Treatment recommendations for chondral injuries

In their review, Niemeyer et al give a concise overview on important scientific background and the results of clinical studies discussing advantages and disadvantages of ACI and other cartilage treatment options. They describe the biology

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**Figure 1** Different repair mechanisms of regenerative treatment procedures (MACT compared to microfracture).

**Notes:** Case of a 42-year-old patient with two chondral lesions (ICRS Grade 4) in the left knee. The defect of the medial femoral condyle was treated by matrix-associated ACI and in the trochlea femoris by microfracture. **(A and B)** During high tibial osteotomy for axis correction 8 weeks after index cartilage treatment an arthroscopy of the left knee was performed. **(A and B)** clearly show the different repair mechanisms of the specific cartilage treatments. At 8 weeks after matrix-associated ACI, a thin cell layer covers the treated cartilage defect of the medial femoral condyle **(A)**. At the same time point, a bloody superclot formation can be seen after microfracture in the trochlea femoris. **(C and D)** One year after cartilage treatment, again an arthroscopy of the left knee was performed during implant removal. Both defects were filled completely with a biomechanically favorable and more nativelike cartilage repair tissue after matrix-associated ACI **(C)** compared to microfracture **(D)**.

**Abbreviations:** MACT, matrix-guided autologous chondrocyte transplantation; ICRS, International Cartilage Repair Society; ACI, autologous chondrocyte implantation.
and function of healthy articular cartilage, the present state of knowledge concerning potential consequences of primary cartilage lesions, and the suitable indication for ACI. On the basis of current evidence, an indication for ACI is given for symptomatic cartilage defects starting from defect sizes of more than 3–4 cm², in the case of young and active sports patients at 2.5 cm². Smaller lesions are supposed to be treated by bone marrow stimulating techniques like microfracturing. However, the status of the subchondral bone should influence the decision-making process for cartilage therapy. Smaller defects with pathologies of the whole osteochondral unit are best treated with OAT. For large and deep osteochondral lesions, a combination of MACT and bone augmentation techniques is the favorable treatment option.

**Future perspectives in treatment of chondral injuries**

As all regenerative treatment options show not only advantages but also limiting disadvantages, research activities are performed to improve different aspects like tissue quality and functional outcome over time (Table 1).

**Developments in bone marrow stimulating techniques**

Regarding the microfracture technique, coverage of the prepared and treated chondral defect site by a biomaterial is becoming more and more popular. To overcome the shortcomings of the microfracture technique, this enhanced procedure was first described by Bark et al. The autologous matrix-induced chondrogenesis (AMIC) reveals promising results in terms of functional outcome. In a perspective study, Gille et al investigated 27 patients up to 62 months, with a mean defect size of 4.2 cm². Approximately 87% were satisfied with an increase in functional outcome scores like International Cartilage Repair Society, Tegner, Cincinnati, etc. In another study, the same authors found a significant decrease of pain in the VAS (visual analog scale) at 1 and 2 years postoperatively. Kusano et al also detected significant improvements in functional scores and VAS after 29 months, but magnetic resonance imaging (MRI) findings showed generally incomplete or inhomogeneous tissue filling. Comparing AMIC with the original microfracturing technique, Anders et al found no significant differences in the IKDC or Cincinnati score at 1 or 2 years follow-up. A recent study has shown an improvement in repair tissue quality by enhancing microfracture with a chitosan-based biomaterial (BST-CarGel; Piramal, Laval, QC, Canada). Mixed with autologous blood, it stabilizes the clot and enhances marrow-derived repair in the microfractured cartilage lesion. Using this technique, Stanish et al saw an equivalent clinical benefit compared to microfracturing alone, but a greater defect filling and superior repair tissue quality in MRI evaluation. Further studies and long-term results will show whether enhanced microfracture techniques are really capable of overcoming the shortcomings of the original procedure. However, it is in doubt whether the modifications make microfracture-based techniques more appropriate for the treatment of large size chondral defects.

Another development in bone marrow stimulation techniques is microdrilling. In an animal model, Chen et al

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**Note:** Recent or future development options for improvement of different cartilage treatment techniques and their potential advantages or disadvantages.

**Abbreviations:** ACI, autologous chondrocyte implantation; MSCs, mesenchymal stem cells; OA, osteoarthritis.
compared this “micro-Pridie”-drilling method with standard microfracturing histologically. While microfracture caused compacted bone around the created holes that sealed them off from viable bone marrow, drilling cleanly removed bone from the holes and provided access channels to narrow stroma. Heat necrosis was not seen in the drilling group. However, there is no prospective clinical study that shows superior outcome of the drilling technique compared to microfracturing.21

Developments in ACI
Controlled randomized studies and meta-analyses showed that ACI is the treatment of choice for large cartilage defects, showing superior outcome compared to other regenerative procedures.65 The technique has been improved over the last years to address some limitations of the first-generation ACI. By using matrix-associated ACI, the revision rate could be reduced compared to the periosteal covered ACI.72 As described earlier, complications like graft hypertrophy related to the periosteal flap or insufficient regenerative cartilage related to restricted function of the injected chondrocytes were addressed by the matrix-associated technique.35 By the development of the matrix-guided technique, the complexity and morbidity of the procedure could be reduced. The first long-term data, 10 years after MACT for treatment of chondral defects, show significantly improved clinical and radiological outcome measures in patients with symptomatic traumatic cartilage lesions.73 The use of scaffolds in a three-dimensional culture system helps to optimize chondrocyte transplantation from not only a biological but also from a surgical point of view. However, delamination or disturbed fusion to the surrounding native cartilage and subchondral bone are still problems for the third-generation ACI. Niethammer et al14 reported a revision rate of 23.4% after MACT. The reasons were bone marrow edema, arthrofibrosis, and partial graft deficiency. In these cases, arthroscopically performed revision surgery resulted in a significantly improved clinical outcome.

Preconditioning of the cell-seeded scaffolds prior to implantation into the chondral defect might be another way of improvement for ACI procedures. Crawford et al52,75 described a novel technique using tissue-engineered bovine type I collagen scaffold seeded with autologous chondrocytes, which were preconditioned in a bioreactor including the application of hydrostatic pressure prior to implantation. In clinical trials, the authors saw advantages for treatment of medium- and large-sized chondral lesions after 2 years, with respect to the IKDC, KOOS, and Short Form-36. Long-term results are needed to analyze a possible advantage compared to other scaffold-based ACI procedures without biomechanical preconditioning.

Smart biomaterials for cartilage therapy
Further improvements of the currently used biomaterials are needed to reduce degradation products that might affect the implanted cells. Recently developed materials have improved their biocharacteristics and biocompatibility, so that their degradation products cause less damage to repair and native tissue than synthetic materials.76

Smart biomaterials should not only provide proper adjustment to tissue environment but also induce integrative regeneration of the repair tissue.77 Biomatrices could be informative for cells in various ways. The three-dimensional architecture could guide cells toward mechanically crucial spots within the tissue and allow them to form chondrons.78,79 Scaffolds can offer a matrix for an organized cell distribution to mimic even the site-specific mechanical properties at different compartments of the knee. Additionally, the matrix polymers utilized could be metabolically active themselves, either within the polymer or from the degradation products released in controlled resorption. For example, collagen peptides may contain bioactive sequences like integrins,80 or hyaluronan may act chondroprotectively. Furthermore, the scaffold could have variable attachment sites for informative biomolecules such as growth hormones or even relevant genetic information (plasmids).77 In vivo polymerizing hydrogels with or without cells can be injected into the defect site in a less invasive arthroscopic way, offering surgical and biological potential advantages.81

For pathologies involving the subchondral bone, several authors highlighted the need for biphasic scaffolds for restoration of the whole osteochondral unit and to mimic the different biological and functional requirements. Preliminary results of some osteochondral scaffolds are promising;52 however, there are reports that show a missing integration at the subchondral bone level with the formation of cysts and edema after implantation of artificial osteochondral plugs for treatment of focal osteochondral lesions.83

Currently, most of the ACI procedures have to be performed in a two-step procedure with a period of cell culture in between. Two subsequent operations and consecutively high costs are the disadvantages of these regenerative treatments, and so a one-step procedure would be preferable.

The aim of future investigations with smart biomaterials might be the “in situ” regeneration of cartilage defects by a single-step procedure. Chondroinductive and chondroconductive
scaffolds could help to simplify the procedure and reduce comorbidity and probably recovery time. Matrices might be rehydrated with autologous stem cells that migrate into the scaffold to regenerate the defect.

Potential cell types for treatment of chondral injuries

Appropriate cell types might also affect the complexity of ACI and simplify surgical procedures.

Allogenic chondrocytes can help reduce donor site morbidity. In combination with a biocompatible and chondroductive matrix, allogenic chondrocytes harvested from neonatal donors or from donor’s knee joints within 24 hours of death may be used in a single-stage procedure. Preliminary results demonstrated a safe and effective treatment for cartilage defects with a mean lesion size of 2.7 cm². Clinical outcomes showed significant improvement over baseline and favorable histological repair tissue 2 years postoperatively. Dhollander et al. reported midterm results after implantation of alginate beads containing human mature allogenic chondrocytes in cartilage lesions of the knee. Twenty-one patients were followed for an average period of 6.3 years, and a significant improvement in WOMAC (Western Ontario and McMaster Universities Arthritis Index) scores and VAS could be observed. However, four failures occurred, and MRI evaluation with the MOCART (magnetic resonance observation of cartilage repair tissue) score only showed moderate scores.

Autologous mesenchymal stem cells (MSCs) are a potential cell source for a single-step cell-based treatment of large cartilage defect. MSCs have a better proliferation rate than chondrocytes and a high potential for differentiation, including chondrogenesis. Nejadnik et al. analyzed the clinical outcome of patients treated with autologous MSCs compared to patients treated with first-generation ACI for large cartilage defects in the knee. After 2 years, a similar functional outcome regarding IKDC, Lysholm, or Tegner score was found. The authors concluded that using bone marrow-derived MSCs in cartilage repair is as effective as chondrocytes for articular cartilage repair. In addition, it required one less knee surgery, reduced costs, and minimized donor site morbidity. However, at the moment in some countries, regulatory burdens might be a problem for implementing the use of autologous MSCs in daily clinical practice.

Regenerative treatment of early osteoarthritis

With increasing knowledge, ACI has become a more routinely used technique with predictable outcome and results. Improvements may help to push the limits of the procedure. In their survey, Li et al. found that orthopedic surgeons complain about a treatment gap for patients with early osteoarthritis of the knee. Regenerative treatment options are especially in the focus of research to fill this gap for these younger patients. In a systematic review, de Windt et al. analyzed 502 patients in the age group 36–57 years who were treated by articular cartilage repair for early osteoarthritis. An ACI was performed in 75% of the patients. In the follow-up only up to 9 years, 2.5%–6.5% of the patients had to be converted to arthroplasty. Particularly, ACI shows regenerative potential under early osteoarthritis conditions. Hollander et al. analyzed biopsies of the repair tissue 16 months after ACI of patients with or without radiological signs of osteoarthritis. Interestingly, 67% of the biopsies of patients with osteoarthritic revealed development of hyaline cartilage, whereas only 36% of biopsies of patients without signs of osteoarthritis showed articular cartilage formation. Minas et al. followed 153 patients (mean age: 38.3 years) up to 11 years after treatment with ACI for early osteoarthritis. Approximately 8% had to be converted to arthroplasty. However, 50%–75% of the remaining patients improved in WOMAC subscales. ACI treatment in patients with early degenerative changes resulted in reduction in pain and increase in function, so 92% were able to delay the need for arthroplasty. Hence, ACI may offer improved quality of life for young patients with osteoarthritic changes. However, further studies have to be performed to clarify the limitations of regenerative treatment options under early osteoarthritis conditions.

Conclusion

With increasing knowledge, ACI has become a more routinely used technique with predictable outcome and results. As research activities are increasing in the field of regenerative joint therapy, recent developments help to overcome remaining limitations step by step. Simplification of regulatory burdens is needed to transfer rising knowledge and developments into daily clinical practice.

Disclosure

The authors report no conflicts of interest in this work.

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