Micellar emulsions composed of mPEG-PCL/MCT as novel nanocarriers for systemic delivery of genistein: a comparative study with micelles

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Abstract: Polymeric micelles receive considerable attention as drug delivery vehicles, depending on the versatility in drug solubilization and targeting therapy. However, their use invariably suffers with poor stability both in vitro and in vivo conditions. Here, we aimed to develop a novel nanocarrier (micellar emulsions, MEs) for a systemic delivery of genistein (Gen), a poorly soluble anticancer agent. Gen-loaded MEs (Gen-MEs) were prepared from methoxy poly(ethylene glycol)-block-(ε-caprolactone) and medium-chain triglycerides (MCT) by solvent-diffusion technique. Nanocarriers were characterized by dynamic light scattering, transmission electron microscopy, and in vitro release. The resulting Gen-MEs were approximately 46 nm in particle size with a narrow distribution. Gen-MEs produced a different in vitro release profile from the counterpart of Gen-ME. The incorporation of MCT significantly enhanced the stability of nanoparticles against dilution with simulated body fluid. Pharmacokinetic study revealed that MEs could notably extend the mean retention time of Gen, 1.57- and 7.38-fold as long as that of micelles and solution formulation, respectively, following intravenous injection. Furthermore, MEs markedly increased the elimination half-life ($t_{1/2}$) of Gen, which was 2.63-fold larger than that of Gen solution. Interestingly, Gen distribution in the liver and kidney for MEs group was significantly low relative to the micelle group in the first 2 hours, indicating less perfusion in such two tissues, which well accorded with the elongated mean retention time. Our findings suggested that MEs may be promising carriers as an alternative of micelles to systemically deliver poorly soluble drugs.

Keywords: genistein, micellar emulsions, stability, pharmacokinetics, tissue distribution

Introduction

Genistein (Gen), 4′,5,7-trihydroxyisoflavone (Figure 1), is a highly active compound found in soybean, and has gotten close attention recently due to potent bioactivities.1-3 It is shown that Gen has good potential in anti-oxidation, antiproliferation, and antitumor. Accordingly, it is highly preferred to use for prevention and treatment of cardiovascular diseases,4 osteoporosis,5 and various cancers, such as breast, prostate, skin, and ovarian cancer.6-8 The underlying mechanisms involve inhibition of protein tyrosine kinases and NF-κB, induction of apoptosis, downregulation of genes associated with cell proliferation, etc.5-11 However, the therapeutic benefits of Gen remain challenging owing to its poor solubility (~1.43 μg/mL)12 and low bioavailability. There is an intrinsic demand for developing a suitable formulation to address the systemic delivery of Gen.

To enable and improve the systemic delivery of poorly soluble drugs, various nanotechnology-based drug delivery systems have been explored. These promising
carriers include liposomes,13 micelles,14 nanoemulsions,15 lipid nanoparticles,16,17 and polymeric nanoparticles.18,19 Among these, micelles have been extensively investigated for smart delivery of poorly water-soluble or insoluble drugs, especially polymeric micelles.20 Polymeric micelles are assembled by amphiphilic block copolymer that have a hydrophobic core and a hydrophilic shell in structure. The core serves as a reservoir to solubilize and load drug, whereas the shell has the ability to increase the stability of colloidal particles. Micelles with a suitable PEG chain can effectively avoid phagocytosis by the reticuloendothelial system (RES) following opsonization by complement proteins, thus prolonging the body circulation time of nanoparticles.21 However, micellar carriers are accompanied by some shortcomings, including drug expulsion from the carrier, dissociation upon dilution by the blood stream, and accelerated blood clearance phenomenon.22 To ameliorate the drug loading and biofate of micelles, it is workable to introduce a lipophilic component into the micellar system whereby to intensify the core stability and reduce the drug expulsion. The participation of lipophilic component can create a new nanostructure that is neither different from micelle nor from emulsions. This new nanostructure can be deemed as micellar emulsions (MEs), as illustrated in Figure 2, which may be potential as drug delivery system. Till date, MEs have not been investigated for systemic delivery of poorly soluble drugs as an alternative of micelles.

In this work, methoxy poly(ethylene glycol)-block-(ε-caprolactone) (mPEG-PCL) and medium-chain triglycerides (MCTs) were used to prepare MEs, aiming to improve the Gen loading and achieve a good systemic delivery. The performance of MEs and suitability as injectable nanocarriers was evaluated by in vitro release, physiological stability, pharmacokinetics, and tissue distribution with Gen-loaded micelles (Gen-MEs) as reference.

Materials and methods

Materials
Genistein and Tween 80 were purchased from Aladdin Chemicals (Shanghai, People’s Republic of China). MCT was kindly gifted by Gattefosse (Shanghai, People’s Republic of China). The copolymer of mPEG-PCL was synthesized in our laboratory as described.21 Deionized water was prepared by a water purification system (Sichuan Wortel Water Treatment Equipment Co., Ltd., Chengdu, People’s Republic of China). All other chemicals were of analytical grade and used as received.

Preparation of Gen-loaded micellar emulsions
Gen-loaded micellar emulsions (Gen-MEs) were prepared by the solvent-diffusion technique as previously described with minor modification.24 Briefly, Gen, MCT, and mPEG-PCL at the ratio of 4/5/50 (w/w) were dissolved in hot absolute ethanol, and then rapidly injected into deionized water (1:5, v/v) under agitation. Self-assembly occurred upon the solvent diffusion into the aqueous phase, resulting in formation of Gen-MEs. Afterward, the organic solvent was removed from the system under reduced pressure by a rotatory evaporator (Rotavapor R-210, Buchi, Uster, Switzerland). Finally, Gen-MEs were appropriately condensed to reach a Gen concentration of 4 mg/mL. Factors affecting the performance of Gen-MEs were screened, including the ratios of MCT/mPEG-PCL, drug/mPEG-PCL, and organic/aqueous...
phase volume. Gen-micelles, as a reference preparation, were prepared following the same procedure.

**Characterization of Gen-MEs**

The particle size and ζ potential of Gen-MEs were measured by Zetasizer Nano ZS (Malvern Instruments, Malvern, UK). To measure the particle size and zeta potential, 50 μL of Gen-1-MEs sample was diluted with deionized water to 1 mL, and then subjected to laser diffraction after equilibration for 2 minutes at 25°C. The data were processed using the build-in software based on the output of particle size and ζ potential.

The morphology of Gen-MEs was examined with transmission electron microscopy (TEM, JEM-1230, JEOL, Tokyo, Japan). Briefly, an aliquot of Gen-MEs was placed on a carbon-coated copper grid and allowed to dry at room temperature. The grid loading Gen-MEs particles were then subjected to TEM inspection, and micrographs pertaining to nanoparticles were taken at an acceleration voltage of 100 kV.

For measurement of entrapment efficiency (EE) and drug load (DL), the free Gen in MEs system was separated by ultrafiltration centrifugation with Amicon® Ultra-0.5 centrifugal filter (molecular weight cut-off [MWCO] 50 kDa, EMD Millipore, Billerica, MA, USA). Briefly, 400 μL of sample was transferred to a centrifugal filtration tube and centrifuged at 10,000 × g for 10 minutes. The Gen concentration in the filtrate was quantified by established high performance liquid chromatography (HPLC) method below. To quantify the total drug, Gen-MEs were dissolved with methanol, and Gen concentration was analyzed by HPLC after suitable dilution. EE was defined as the percentage of Gen encapsulated in MEs to the total Gen in the formulation, and calculated by the equation:

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EE(\%) = \left(1 - \frac{C_{\text{free}}}{C_{\text{tot}}} \right) \times 100\%.
\]

DL could be estimated from the equation of DD (％) = M_{\text{free}} \cdot EE/(M_{\text{free}} + M_{\text{enc}}) × 100%. C_{\text{free}} and C_{\text{tot}} were respectively the free and total Gen concentration in the MEs quantified based on HPLC; M_{\text{free}} and M_{\text{enc}} denoted the amount of drug and all excipients used in the formulation quantified based on weighing.

**In vitro release study**

The in vitro release of Gen from MEs was performed using a dialysis bag (MWCO 8,000–14,000 Da). To mimic the release behavior of MEs in the physiological conditions, simulated body fluid (SBF) was adopted as the release medium and prepared as described previously. In brief, 2 mL of Gen-MEs or Gen-micelles was dialyzed against 900 mL of SBF (pH 7.4) containing 2% (w/v) Tween 80 at 37°C under stirring. At predetermined time points, 500 μL of sample was withdrawn and immediately replenished with the same volume of fresh medium. Gen concentration in the external medium was determined by HPLC, and the percentage of drug release was calculated as mean ± SD (n=3).

**Stability study**

The physical stability of Gen-MEs and Gen-micelles was investigated upon storage at 25°C and 4°C for 1 week. During the storage period, the particle size as well as its distribution was monitored by Zetasizer Nano ZS (Malvern Instruments, Malvern UK). Moreover, the physiological stability of Gen-MEs and Gen-micelles in the blood was evaluated in vitro using SBF. Briefly, 2 mL of preparations loading Gen was added into 200 mL of SBF and maintained at 37°C with stirring. The particle size was measured in real time upon circulation.

**Quantification of genistein**

Genistein concentration in the in vitro samples was determined by HPLC. Instrument was the same as the one used in our previous report. Gen was eluted by a Syncronis C18 column (5 μm, 4.6 mm ×250 mm, Thermo Fisher Scientific, Waltham, MA, USA) guarded with a precolumn at 40°C and detected at 262 nm. Twenty microliters of sample was injected into the HPLC system running at a flow rate of 1.0 mL/min. The mobile phase was composed of 70% methanol and 30% water with 0.1% phosphoric acid.

The in vivo samples were quantified by UPLC-QTOF/MS (ACQUITY UPLC, Waters, Milford, MA, USA). Instrument configuration and parameter settings referred to the previous publication. Chromatographic separation was performed on an ACQUITY UPLC BEH column (2.1×50 mm, 1.7 μm). A gradient elution was applied using formic acid in water (0.1%, mobile phase A) vs formic acid in acetonitrile (0.1%, mobile phase B) at a flow rate of 0.45 mL/min. The gradient elution program was 5% B at 0–1 minutes, 5%–95% B at 1–3 minutes, 95% B at 3–3.5 minutes, and 95%–5% B at 3.5–4 minutes. Quantitation was performed based on the full scan analysis and extracted ion chromatograms using MassLynx version 4.1. A typical chromatogram for Gen analysis was shown in Figure 3A with drug elution at 2.72 minutes, and the extracted ion chromatogram was included in Figure 3B.

**Pharmacokinetic study**

Pharmacokinetic study was performed in Sprague-Dawley rats (male, 220–250 g). The animal experiment protocol was...
reviewed and approved by the Experimental Animal Ethical Committee of Jinan University (Guangzhou, People’s Republic of China). The rats were fasted overnight prior to administration but allowed free access to water. Experimental rats were randomly divided into three groups (n=5), namely Gen-MEs, Gen-micelles, and Gen solution group, where a co-solvent of water/alcohol was used to prepare Gen solution formulation. The rats were administrated through intrajugular injection with a dose of 10 mg/kg. At 0.083, 0.25, 0.5, 1, 2, 4, 6, 8, 12, and 24 hours after administration, 0.25 mL of blood was collected into heparinized tubes via the jugular vein and centrifuged at 5,000×g for 8 minutes to collect the plasma.

A deproteinization procedure was employed to extract Gen from the plasma prior to analysis. Briefly, 100 µL of plasma sample was mixed with 400 µL of acetonitrile containing 1 µM SNX-2112 (internal standard) and vortexed vigorously for 3 minutes. After centrifugation at 13,000×g for 10 minutes, the supernatants were transferred into clean tubes and evaporated with Concentrator Plus (Eppendorf, Hamburg, Germany) at 30°C. The residues were reconstituted in 200 µL of 50% acetonitrile and then subjected to UPLC-QTOF/MS analysis after centrifugation.

**Tissue distribution**

Tissue distribution of Gen after dosing was determined in SD rats. The rats were randomly divided into two groups (n=5) and intravenously administrated with Gen-MEs or Gen-micelles, respectively, at the dose of 10 mg/kg. At 2, 10, and 24 hours after injection, the rats were sacrificed by cervical dislocation, and the organs of heart, liver, spleen, lung, kidney, and intestine were harvested. The tissue samples were washed with ice-cold saline and weighted after cleaning with filter paper.

The tissues were homogenized with saline solution at the ratio of 1:2 (w/v) under ice bath condition. Then, 200 µL of homogenate was mixed with 800 µL of acetonitrile containing 0.5 µM SNX-2112. The mixtures were vortexed and centrifuged at 13,000×g for 10 minutes at 4°C. The supernatants were collected and dried under vacuum using
Concentrator Plus at 30°C. The dried residues were reconstituted into 200 µL of 50% acetonitrile. Gen in the extracted residuals was quantified by UPLC-QTOF/MS.

**Results and discussion**

**Characterization of Gen-MEs**

The solvent-diffusion technique was frequently used to prepare drug-loaded micelles or nanoparticles. In the preliminary experiment, it was found that the ratios of Gen/mPEG-PCL, MCT/mPEG-PCL, and aqueous/organic phase upon preparation impacted the formulation properties of MEs. In order to gain a suitable formulation, we investigated the effect of those factors on the particle size and distribution of Gen-MEs by varying the level of one factor but keeping others unchanged. The effects of formulation variables on the formulation properties are shown in Figure 4.

The ratio of MCT/mPEG-PCL had little effect on the particle size of MEs (Figure 4A). High ratio of MCT resulted in the increase of MEs’ particle size and wide distribution. MCT can entrap into the hydrophobic segment of mPEG-PCL, expand the core volume, and affect the self-assembly process, thereby raising the diameter of MEs when more MCT used. Nevertheless, the increase in particle size was not sharp along the gradient, indicating that the participation of MCT would not cause significant change of colloidal structure. Considering the advantage of small particle size in retarding phagocytosis, a low ratio of MCT/mPEG/PCL (1:10) was selected. Likewise, the amount of drug affected the performance of MEs that a high proportion of Gen would significantly increase the particle size of MEs (Figure 4B), other than the drug load. The drug load increased with the increase of drug amount below 1:12.5. Furthermore, the drug load was significantly greater than that of micelles group (no MCT), indicating an appropriate amount of MCT can enhance the drug load by solubilization. Another factor affecting the properties of MEs was the volume ratio of organic phase vs aqueous phase upon preparation (Figure 4C). At a high organic/aqueous phase ratio, the formed MEs were relatively

*Figure 4* Formulation screening of Gen-MEs.

**Notes:** The effects of MCT/mPEG-PCL ratio (A), drug/mPEG-PCL ratio (B), and organic/aqueous phase volume ratio upon injection (C) on particle size as well as PDI of Gen-MEs (n=3, mean ± SD).

**Abbreviations:** Gen-MEs, genistein-loaded micellar emulsions; MCT, medium-chain triglycerides; mPEG-PCL, methoxy poly(ethylene glycol)-block-(ε-caprolactone); PDI, polydispersity index.
large and tended to precipitate drug due to supersaturation. A low organic/aqueous phase ratio was favorable to MEs assembly and keep them stable. Thus, the ratio of ethanol to water was set as 1:5 to produce Gen-MEs.

Taking above together, a final formulation was confirmed that consisted of 40 mg Gen, 450 mg mPEG-PCL, and 50 mg MCT. Gen-MEs prepared from such formulation was 46.1 nm in particle size with a polydispersity index of 0.087, and the ζ potential was −0.545 mV (Figure 5A). The nature of small size can avoid rapid clearance of nanoparticles from the body and prevent capture by the RES. Of note, there were almost no changes in particle size and ζ potential of Gen-MEs when compared with the blank carriers. This indicated that the devised MEs were rather suitable for Gen loading. Figure 5B shows that MEs were spherical in morphology as observed by TEM. The EE and DL of MEs toward Gen were 92.6% and 5.1%, respectively. The concentration of Gen in MEs could be up to 4.0 mg/mL, a value satisfactory for intravenous administration.

**In vitro release**

Figure 6 shows the release profiles of Gen from Gen-MEs and Gen-micelles. The release of Gen increased as a function of time, indicating that Gen could be continuously released into the dialysis medium. In the first 12 hours, the releases of Gen from two carriers were fast and similar in kinetics. After that, it could be observed that a slower release proceeded for MEs formulation, which was distinct from the micelles. At 48 hours, the accumulative release of Gen-micelles was 17.7% higher than that of Gen-MEs. The difference in drug release could be attributed to the disparity of Gen appetite with carrier materials. The release profiles of two preparations were fitted using various model equations and best conformed to the first kinetic process. From the release kinetics, it could be speculated that the major portion of drug would be retained in carriers in the first several hours following administration. A slow release also imparted MEs a long circulation lifetime relative to the micelle carriers. From this point, MEs as injectable carriers of Gen were superior to the micelles, which may be influential in its tissue distribution.

**Physical and physiological stability**

Stability is an important parameter for formulation that determines the reproducibility of function and the safety of use. The particle size changes of Gen-MEs and Gen-micelles as a function of time are presented in Figure 7. It was showed that two nanocarriers varied little in particle size and polydispersity index at 4°C (Figure 7A), demonstrating an excellent physical stability at low temperature. However, at room temperature, the change of
particle size for Gen-micelles was evident in comparison with that of Gen-MEs (Figure 7B), which may be caused by aggregation of micelles or growth of micellar cores. Besides, drug recrystallization took place in the case of Gen-micelles, accompanying the formation of acicular crystals (Figure 7C), whereas Gen-MEs showed no drug expulsion from MEs. Those results indicated that MEs possessed a better compatibility with Gen and inhibitory effect on recrystallization than micelles.

When injected into the body, the nanosuspensions will be largely diluted by the blood stream that possibly results in dissociation or damage of carriers. Insights into the behavior of nanocarriers in the physiological fluids are highly helpful to understand the biofate of them. The particle size changes of Gen-MEs and Gen-micelles upon circulation in an excess of SBF are shown in Figure 7D. The particle size of two formulations gradually increased with the time as circulating in the SBF. The particle size of Gen-MEs increased from 46.1 to 97.4 nm, whereas it changed from 48.2 to 124.8 nm for Gen-micelles within 12 hours. In terms of Gen-micelles, the change in particle size was more evident after 12 hours, indicating that MEs had an improved stability against dilution relative to Gen-micelles. This can be ascribable to the reinforced cohesion of hydrophobic core due to the recruitment of MCT that enhanced the robustness of the core via hydrophobic interaction.\(^{29}\) The increase of mean particle size for micelle system was speculated from the amalgamation of small particles as a result of Ostwald ripening and aggregation after disassembly of micelles.\(^{30}\) This phenomenon could also be explained by apparent Gen precipitation due to thermodynamic instability. Therefore, MEs can be regarded as a betterment of micellar carriers by which the drug loading and physiological stability are expected ameliorative.

Figure 7 The stability of Gen-MEs characterized by particle size distribution.
Notes: A short-term (1 week) stability investigation on storage performed at 4°C (A) and 25°C (B); physical changes in appearance for Gen-MEs and Gen-micelles after 1 week of preparation (C); the physiological stability in the blood estimated with pH 7.4 SBF (D).
Abbreviations: Gen, genistein; Gen-MEs, Gen-loaded micellar emulsions; SBF, simulated body fluid.
**Improved pharmacokinetics**

The plasma drug concentration vs time curves after intravenous administration of Gen-MEs, Gen-micelles, and Gen solution are shown in Figure 8. Pharmacokinetic parameters of Gen are extracted by WinNonlin software using a two-compartment model and listed in Table 1. The blood drug concentrations of Gen-MEs were always higher than those of Gen-micelles and Gen solution. The elimination half-life \((t_{1/2})\) of Gen was notably extended through using MEs or micelles formulation compared with Gen solution. In the case of Gen-MEs, the AUC\(_{0-\infty}\) was 8.48 \(\mu\)M*hour that was 1.52- and 2.86-fold as much as that of Gen-micelles and Gen solution, respectively. The mean retention time (MRT) of Gen-MEs was also significantly higher than that of Gen-micelles and Gen solution, respectively, 1.57- and 7.38-fold of them. In contrast, the clearance (CL) for Gen-MEs group was significantly lower than that of Gen-micelles and Gen solution, especially in the periphery compartment (as signified by CL\(_p\)), indicating a longer circulation half-life hold by MEs. The pharmacokinetic results demonstrated that MEs are ended with improved systemic circulation and reduced blood elimination in contrast with micelles. The amelioration in pharmacokinetics can be attributed to the contribution of MCT that strengthens the hydrophobic core and lowers the probability of disassembly of nanocarriers. In this study, MEs were originally explored for systemic delivery of Gen, possibly also suitable for other anticancer drugs.

**Tissue distribution**

Tissue distribution of drug determines the drug concentration in the target site and has profound effect on therapeutic prevention and toxicology. Gen levels in the tissues of heart, liver, spleen, lung, kidney, and intestine, delivered with the form of MEs and micelles, are presented in Figure 9. It could be observed that Gen was promptly distributed into the selective tissues after administration and followed a varied decline in different organs. In the heart, the drug distribution was lowest and dropped slowly with the time (Figure 9A), indicating that nanocarriers with PEG shell can reduce the drug perfusion into the heart, and thus reduce the cardiac toxicity. However, there was no significant difference between MEs and micelles. In the organs of liver, spleen, lung, and kidney (Figure 9B–E), rapid attenuation in drug distribution happened after 2 hours. As an exception, the Gen distribution in the intestine was remarkably higher than in other tissues and it actually raised with the time (Figure 9F). It was indicative that an intestinal secretion likely involved in the disposition of Gen, which may be potential to topically treat the intestinal cancers.

As for the drug distribution between Gen-MEs and Gen-micelles, it was also significantly different in the liver, kidney, and intestine. As known, the liver and kidney are the vital organs responsible for drug metabolism and elimination. Micelles are easily sequestered into the RES, such as the liver, spleen, and lymphatic tissues.\(^11\) Dissociation of micelles under the action of blood stream accelerated the process of drug distribution into the organs in charge of drug elimination. Higher accumulation in the liver and kidney represented a rapid elimination from the body. It was clear that Gen-MEs showed less distribution in the liver and kidney than Gen-micelles at 2 hours, a key time point for distribution, which in turn elongated the elimination half-life. This was also consistent with the result of pharmacokinetics.
The research on tissue distribution has lent a strong support that MEs possess a better physiological stability than micelles as circulating in the body.

**Conclusion**

This study demonstrated the suitability of MEs as an alternative of micelles for systemic delivery of Gen. MEs were specially designed based on micelles whereby to improve the stability of carriers and the tissue distribution of delivered drug. The nanocarriers of MEs possessed better physical and physiological stability compared with micelles. Pharmacokinetic study showed that MEs could significantly prolong the blood circulation half-life and enhance the AUC of drug. The results of tissue distribution indicated that MEs could make the payload less perfusion into the liver and kidney relative to micelles. Taken together, MEs have been proved suitable for systemic delivery of Gen, which may be promising as novel nanocarriers of poorly soluble drugs for improvement of pharmacokinetics.

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**Disclosure**

The authors report no conflicts of interest in this work.

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