Clinical efficacy and safety of biosimilar epoetin: focus on epoetin zeta

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Abstract: Biosimilars have been developed for several biologic therapeutic agents, including erythropoiesis-stimulating agents. Biosimilars cannot be assumed to be completely identical to the reference product. Several regulatory bodies have issued stringent guidelines to regulate the licensing of biosimilars. These guidelines, although share a unified aim of ensuring the safety and efficacy of biosimilars, show several differences. Such differences may reflect the difficulties facing regulatory bodies in defining a biosimilar, identifying sensitive means to assess equivalence in efficacy, and designing robust methodologies to monitor long-term safety. This review will discuss some of the aspects of differences in licensing requirements for biosimilars, comparing the European Medicines Agency guidelines and the American Food and Drug Administration guidelines. The pathway adopted by the manufacturer of a biosimilar (epoetin zeta) to gain licensing within the European market will be assessed, analyzing its compliance with the European Medicines Agency guidelines for the approval process. Since many patients are likely to be switched from original drugs to biosimilars in future, there is a need to establish strict guidelines on interchangeability and substitution of biosimilars and original products and to make it an integral part of the pre-registration assessment of any biosimilar in future. Eventually, long-term, observational post-marketing data will provide further reassurance on safety and tolerability of biosimilars.

Keywords: biosimilars, erythropoiesis-stimulating agents, epoetin zeta, biologic therapeutic agents

Introduction

It has been over 6 years since the first biosimilar erythropoietin (EPO) has been approved for marketing within the European Union (EU). Since then several other biosimilars (EPO preparations and others) have been licensed in Europe. While some preparations are widely used in clinical practice nowadays, others found it difficult to penetrate a highly competitive marketing environment. Despite this long duration of clinical experience, and the increasing number of patients receiving biosimilar preparations, controversies regarding safety, tolerability, and efficacy of biosimilars continue to draw the attention of clinicians, stakeholders, and patient groups. To regulate the marketing of biosimilars in clinical environment, regulatory bodies have provided extensive and strict guidelines for the approval of biosimilars. Some inconsistencies and differences in these guidelines may make it difficult to spread the use and maximize the benefits of these low cost preparations in wider clinical practice. This paper will discuss some of the differences in the current regulatory approval processes focusing mainly on the safety and efficacy of biosimilars. The second part of this paper will...
discuss how these guidelines have influenced clinical trial design of one of the earliest biosimilars to be licensed in Europe: epoetin zeta.

**Safety and efficacy of biosimilars: regulatory approval process**

It is difficult to provide an accurate tool to evaluate the safety and efficacy of biosimilars. This has been clearly demonstrated in the complexity and the relative inconsistencies of the guidelines issued by different international regulatory bodies for the approval of biosimilars. The European Medicines Agency (EMA) was the first regulatory authority that issued guidelines for biosimilars in 2005. This framework was replicated in local specific guidance issued by some non-EU countries like Japan, Korea, and the People’s Republic of China. The World Health Organization (WHO) has also issued a guideline as a general framework, establishing regulatory requirements for the approval of biosimilars. In February 2012, US Food and Drug Administration (FDA) released draft guidance documents for biosimilars, and in 2014 issued another draft document on “clinical pharmacology data required to support a demonstration of biosimilarity to a reference product.” The following part of this review article will compare the EU with the US regulatory approaches to evaluate the potential differences with respect to their implications for characterization of the safety and efficacy profile of a proposed biosimilar product.

**Definition of a biosimilar**

Although manufacturers of biosimilars have to conduct and establish their own strategies to develop the respective biosimilar drug, they do not have access to the full development dossier of the reference product; in fact, they only have access to the finished reference product. This knowledge gap faced by biosimilar developers cannot be addressed through any of the existing regulatory guidelines. While the EMA concentrated on the origin of biosimilars in their definition “biotechnologically derived proteins”, the FDA definition acknowledged the challenge in defining biology in general as “a mixture of 100 closely related, complex biological substances that, in aggregate, make up the active component”. Nevertheless, both definitions make it clear that the manufacturing process of any biosimilar is far more complicated than small molecular weight drugs. As a result, any minor changes to the original manufacturing process may result in alterations to the secondary, tertiary, and quaternary structure of the molecule, which may have an impact on the efficacy and safety of the drug. Thus, the demonstration of high similarity to the reference product regarding quality, efficacy, and safety is necessary and has to be demonstrated using a set of comprehensive comparability exercises.

**Selection of reference product**

In principle, only a reference product licensed in the EU or the US is accepted for any kind of testing during the approval process of a biosimilar drug. Furthermore, the same product has to be used throughout the entire development program. The EMA guidelines have also allowed the use of non-EU reference products in certain cases only for distinct nonclinical and clinical investigations. Such results may be bridged for final evaluation. Under this approach, it is the applicant’s responsibility to establish that the batches sourced outside the EU area are representative of the reference medicinal product authorized in the EU through an extensive analytical comparison. For the FDA approval, the type of bridging data needed should include data from analytical studies (eg, structural and functional data) that directly compares all three products (ie, the proposed biosimilar product, the US-licensed reference product, and the non-US-licensed product) and is likely to also include pharmacokinetic (PK) and, if appropriate, pharmacodynamic (PD) study data for all three products.

**Clinical pharmacology for approval of biosimilar epoetin**

**PK data**

The EU guidelines suggest a comparison between the similar biological medicinal product and the reference product in a single dose crossover study for the routes of administration applied for, usually including both subcutaneous (SC) and intravenous (IV) administration. Healthy volunteers are considered an appropriate study population. The FDA requires data on peak concentration (Cmax), lowest concentration measured following dosing (Cmin), concentration prior to the next dose during multiple dosing (C through steady state), and area under the plasma/blood concentration–time curve (AUC).

**PD data**

For the demonstration of efficacy for both routes of administration, the EMA suggests one of two options:

1. Two separate clinical trials: either the combination of a “correction phase” study using SC epoetin (eg, in a predialysis population) and a “maintenance phase” study using IV epoetin (eg, in a hemodialysis population); or alternatively, both the SC and the IV study may be performed in the maintenance setting if appropriately justified.
2. A comparable efficacy for one route of administration in a comparative clinical trial in addition to comparative single dose and multiple dose PK/PD bridging data in an epoetin-sensitive population (eg, healthy volunteers) for the other route of administration.

For the FDA, while data from a single or multiple studies may be acceptable, the guidelines set a clear definition of criteria needed for an acceptable biomarker of any study (Table 1). For certain biologics, it is difficult to identify a reliable PD marker; in this case, the FDA guidelines accept derived PK parameters as the primary basis for evaluating biosimilarity, and any residual PD marker may be used to augment the PK data.

**Definition of a biological drug that requires approval under biosimilar approval guidelines**

An important difference in the licensing pathway for certain biosimilar preparations between both areas is due to the licensing of the reference biologic preparation: In the US, for historical reasons, certain biologicals are regulated under the Federal Food, Drug, and Cosmetic (FD&C) Act and are subject to an abbreviated approval process for generic versions of conventional drugs while still protecting the patent rights of brand-name drug manufacturers. Such biological products include insulin, glucagon, somatropin, and low molecular weight heparins. In contrast, the EU regulation does not allow for the use of classical generics application procedures for marketing authorization of biosimilars for these classes of biologicals.

These differences, as well as others are summarized in Table 2.

**Epoetin zeta**

Epoetin zeta, originally SB309 (Norbitec, Uetersen, Germany), was the second epoetin biosimilar to receive EMA approval. With the international nonproprietary name of epoetin zeta, it has been traded subsequently as Silapo® by Stada (Bad Vilbel, Germany) and as Retacrit® by Hospira (Lake Forest, IL, USA).

Epoetin zeta is produced in Chinese hamster ovary (CHO) cells. The molecular weight of the glycosylated protein is 30.6 kDa according to the European Pharmacopoeia monograph, 40% of which are carbohydrate structures. As a biosimilar to epoetin alfa, epoetin zeta has the same amino acid structure and relatively similar carbohydrate composition with only minor differences in the glycosylation pattern.

Glycosylation patterns largely influence immunogenicity and half-life of therapeutic proteins. Glycosylation is dependent on the number of sialic acid residues attached to the protein molecules. The higher the number of sialic acid residues, the longer the half-life of the therapeutic protein. Both epoetin alfa and zeta have 14 sialic acid residues, both are short-acting drugs with a half-life of 6–8 hours when administered intravenously or 19–24 hours if administered subcutaneously. This short half-life allows epoetin zeta to be administered up to three times per week to treat anemia due to chronic kidney disease (CKD), a property that renders it ideal for administration to chronic hemodialysis patients who usually receive treatment three times weekly. One limitation of a short-acting erythropoiesis-stimulating agents (ESA) therapy is the need for a large storage space, and the risk of missed injections given the frequent need to administer the drug. This is one of the main motives to develop longer-acting ESAs administered fortnightly or monthly. Nevertheless, the predominant clinical practice in several renal units continues to provide short-acting ESAs to hemodialysis patients and longer-acting preparations to non-hemodialysis patients.

**Pharmacokinetics**

Two clinical trials comparing PK and PD of epoetin zeta and a reference product are discussed elsewhere. PK data showed that the 90% confidence interval (CI) for Cmax and AUC fell within the predefined ranges after the application of a correction factor allowing for differences in protein content.

**Efficacy**

The efficacy of epoetin zeta has been studied in three clinical trials that evaluated the efficacy of Epoetin zeta for either correction of anemia or as maintenance ESA therapy in dialysis patients. A correction factor was used to reanalyze original data with respect to dosage, in order to adjust for the higher protein content noted in epoetin alfa compared to...
As in the correction phase study, a correction factor was introduced to correct for differences in protein content of the two ESAs. In the maintenance phase study, this led to a widening of the revised 95% CIs for dosage of 3.086–13.917 IU/kg/week which were within the modified acceptance range of ±45 IU/kg/week. One limitation of the methodology of this study was the comparison of the ESA dose and hemoglobin (Hb) levels between both groups over the whole 12 weeks of the study duration. To provide better analysis, it would have been ideal to define a dose titration phase followed by a dose maintenance phase to allow for accurate comparison between both groups during the dose used in the maintenance phase only. Reanalyzing the data after reaching a steady state of Hb level will provide a better understanding of the need to apply a correction factor and its possible value for patients well maintained on ESA therapy.

The efficacy of epoetin zeta in correcting post-rental transplant anemia was assessed in 20 transplant recipients. Ten patients already receiving treatment with different ESA were switched to epoetin zeta (switch group) and ten ESA naïve...
of switching hemodialysis patients with CKD between epoetin alfa and zeta on Hb concentration, epoetin dose, and safety.\textsuperscript{8,19,25,26} Mean Hb concentration was maintained at target levels throughout the drug switch. In addition, the mean differences in Hb concentration and associated 95% CIs following the switch remained within prespecified equivalence limits (±10 g/L). The 95% CIs of the mean difference in weekly epoetin dose after switch also remained within the prespecified equivalence margins (±45 IU/kg). Both treatments were similarly well tolerated. No patient developed anti-epoetin antibodies or pure red cell aplasia (PRCA) during the study.\textsuperscript{26}

The safety and efficacy of epoetin zeta and epoetin alfa have also been compared in patients with renal anemia undergoing chronic hemodialysis.\textsuperscript{10} In a maintenance study, patients received epoetin zeta (n=232) or epoetin alfa (n=230) for 28 weeks after an open run-in period of 12–16 weeks, during which time the dose of epoetin was adjusted. There was no statistically significant difference in Hb levels between both groups in the last 4 weeks of the study. In addition, the 95% CI of the difference in mean ESA dose was within the 45 IU/kg/week equivalence range. There were no differences in tolerability between treatment groups, and no patient developed anti-epoetin antibodies.\textsuperscript{10}

Results of post-authorization safety cohort observational study including anemic patients with CKD receiving hemodialysis and who were treated with epoetin zeta administered intravenously for up to 1 year have recently been released. The study was a part of post-approval risk-management plan as required by the EMA. The study included safety data from more than 1,600 patients in four European countries. Approximately, 94% of patients were treated previously with an ESA. The primary objective of PASCO I was to determine the incidence of adverse events of special interest in patients being treated with epoetin zeta for renal anemia. In the patients studied, treatment of renal anemia with epoetin zeta was found to have a safety profile consistent with that found in the epoetin zeta registration trials with the same route of administration and in line with other ESAs.\textsuperscript{27}

Discussion

Regulatory approval designed to ensure therapeutic equivalence for biosimilars differs from that for generic drug formulations. The approval process addresses the complex formulation and manufacturing of therapeutic proteins. Because manufacturing process can affect molecular similarity, regulators face challenges in establishing efficient and appropriate review and approval pathways to ensure equivalent therapeutic efficacy.
and safety. Both EMA and FDA have developed robust guidelines to help biosimilar manufacturers gain marketing approval for their products. Despite the close similarity of the main structure of the guidelines produced by both bodies, some differences do exist. Such differences in approval guidelines reflect the complexity of biosimilar manufacturing process and the difficulty in establishing a robust and standardized pathway to assess their safety and efficacy.

The goal of comparability studies, needed for biosimilar approval process, is not to improve drug efficacy or patient outcome, but merely to show similar safety and efficacy to the originator product and help bring the overall cost of therapy down. Biosimilarity will be adequately assessed only if a suitable patient population and a clinical endpoint, sensitive enough to detect any difference between the products tested, are chosen for any clinical evaluation.

One important potential benefit of developing biosimilars is to allow switching of patients from the original drug. This switch could be at the prescriber (interchanging), the dispensing, or the pharmacist level (substitution). Both regulatory bodies avoided defining the trial requirements to adequately evaluate drug switching. It has been suggested that, to evaluate interchangeability, a prospective, double-blind, double crossover design trial would be ideal. Such a design is not a requirement for any biosimilar licensing based on current guidelines.27

Epoetin zeta (Retacrit) was one of the earliest biosimilar epoetins licensed in the EU.29 The application dossier submitted to the EMA contained comprehensive preclinical and toxicology data. The clinical data included several well powered studies that showed similar efficacy and safety of epoetin zeta compared with the comparator (epoetin alfa). In addition, a crossover design, Hb maintenance study was also presented. This represents an attempt by the biosimilar developer to evaluate the potential interchangeability between the biosimilar and the reference product, an issue that has not been clearly discussed in the current guideline.

In the maintenance hemodialysis study,9 switching from epoetin alfa to zeta increased the dose requirement by approximately 10%–15%. Switching from epoetin zeta to alfa reduced the dose requirement by around 10% and increased Hb levels by approximately 10%.9,18 This dose difference needs to be considered before switching from an innovator to a biosimilar for cost-saving purposes. If the switch provides a possible 10% price reduction, such a switch is unlikely to provide any effective cost saving given the potential need for dose increase. In addition, the dose conversion needs to be carefully evaluated in long-term studies involving patients requiring higher ESA dose, since it is not clear whether the 10% correction factor remains the same across the whole spectrum of anemic dialysis patients, given the wide variation in ESA requirement in this patient group. In addition, it is not clear whether the same correction factor could be used for both initiation (correction of anemia) therapy as well as maintenance treatment. A detailed pharmacoeconomic model needs to be used to provide a realistic understanding of cost implication of any drug switch.

Clinical trials comparing epoetin zeta and a reference product identified the need to introduce a correction factor to compensate for the difference in protein contents. This step is useful in helping clinician for dose conversion whenever drug conversion/substitution is considered. If it is agreed that no exact biosimilarity can be established between two products, an estimate of the correction factor required for calculating dose conversion should be a part of any drug registration application dossier.

The safety profile for epoetin zeta was shown to be similar to that of epoetin alfa, the reference product. Eight studies reported the safety of epoetin zeta in patients with renal anemia. Of those, there are four clinical trials,9,10,16,18 three observational studies,14,30,31 and one post-hoc analysis based on two clinical trials.26 Again there was no reported cases of anti-epoetin antibodies or PRCA associated with the administration of epoetin zeta in these trials. The same was also reported in a large post-authorization observational study involving more than 1,600 CKD patients. A second larger post-authorization non-interventional, multicenter, longitudinal observation study to estimate the incidence of PRCA, neutralizing antibodies, lack of efficacy, and thromboembolic events under treatment with Retacrit epoetin zeta administered subcutaneously in patients with renal anemia, expected to recruit over 6,000 patients, is currently underway.

Nowadays, it is a frequent practice to switch patients between different ESA preparations. This could be dictated by need to modify overall treatment (starting hemodialysis or switching from hemodialysis to peritoneal dialysis); or by other factors such as contractual or supply issues. With the expanding experience with different ESA preparations, it is not an infrequent practice to switch patients from epoetin to longer-acting preparations such as darbepoetin and methoxy polyethylene glycol-epoetin beta (and vice versa) and also switch patients on epoetin from the IV to the SC route (and vice versa).32,33 The conversion ratio between different innovator ESAs is hugely variable19 and dependent on previous ESA dose requirements. It is also important to know that a routine clinical practice should involve the monitoring of Hb response to administered ESA and titrating the dose of ESA.
accordingly. The same is required of any switch between an existing ESA and a biosimilar epoetin. Given the need to introduce a correction factor for recalculating epoetin zeta in published trials, it is important to interpret these figures cautiously since data from adequately controlled clinical trials cannot always be extrapolated to clinical practice.

Much is made of the potential risk of inducing antibody-mediated PRCA with biosimilar epoetins. It is also important to note that this is an extremely rare condition affecting 1:10,000 CKD patients treated with ESA.\(^1\)\(^2\) It is likely that the very stringent regulations imposed by the EMA and FDA on biosimilar production\(^1\)\(^2\) mean that the chances of inducing a more immunogenic product are probably very small. Nevertheless, it is important to note that PRCA is only one aspect of immunological response to therapeutic proteins. There are several other untoward immunological reactions that may develop in response to foreign protein (Table 3). The ill-fated experience with peginesatide (Supplementary material) is a constant reminder that, regardless of how extensive pre-registration trials could be, it is very difficult to predict the immunological response to any product; the fact that only post-marketing long-term observational studies could ensure the safety of any future product may be argued that biosimilars are potentially identical to reference product, but changes in glycosylation and secondary, tertiary, and quaternary structures with production of biosimilars are well recognized, and therefore long-term safety cannot be guaranteed. Only long-term, real-life clinical experience could provide the definitive answer. It is essential to establish fully independent registries to monitor drug-induced adverse events; such bodies may play a role in identifying and comparing relative long-term safety of different biologics. The initiative of the British Renal Association to establish a PRCA registry within the Rare Disease Groups\(^3\) represents an important step that may increase patient awareness and may stimulate research that helps evaluate relative safety of different ESAs.

**Conclusion**

The last 6 years have enriched our experience on the safety and usefulness of biosimilar EPOs. Although regulatory guidelines have streamlined the marketing authorization process for biosimilars, the most important data to reassure the safety of these products will rely on post-marketing data, whether a part of well-structured pharmacovigilance plan, or from individual self-reported clinical experience. Post-marketing data of epoetin zeta provide an excellent example of how clinical experience will influence the decision-making process of choosing a biosimilar. Only long-term post-marketing data will provide the needed reassurance of long-term safety and tolerability of any future biosimilar.

**Disclosure**

The author reports no conflicts of interest in this work.

**Table 3** Potential untoward immunological responses to therapeutic proteins

<table>
<thead>
<tr>
<th>Reaction</th>
<th>Mechanism</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anaphylaxis</td>
<td>IgE against therapeutic protein, basophil activation or other mechanisms</td>
<td>Peginesatide(^2)</td>
</tr>
<tr>
<td>Cytokine storm</td>
<td>Receptor cross linking leading to lymphocyte proliferation, release of several inflammatory cytokines</td>
<td>TGN1412(^2)</td>
</tr>
<tr>
<td>Lupus like syndrome</td>
<td>Drug-induced immune complex causing organ damage</td>
<td>Anti-cytokine therapy, Adalimumab(^3)</td>
</tr>
<tr>
<td>Antidrug antibody</td>
<td>Neutralizing antibodies, binding to the therapeutic protein and the normal endogenous protein</td>
<td>ESA induced pure red cell aplasia (PRCA)(^4)</td>
</tr>
</tbody>
</table>

Abbreviations: IgE, immunoglobulin E; ESA, erythropoiesis-stimulating agent.

**References**


**Supplementary material**

**The peginesatide story**

Peginesatide is a synthetic, dimeric peptide that is covalently linked to polyethylene glycol. The amino acid sequence of peginesatide is unrelated to that of erythropoietin (EPO) and is not immunologically cross-reactive with EPO. Peginesatide binds to and activates the human EPO receptor, stimulating the proliferation and differentiation of human red cell precursors in vitro in a manner similar to other EPO-stimulating agents. In Phase II and III studies in dialysis and pre-dialysis patients, peginesatide administered once monthly was as effective as epoetin alfa given thrice weekly (dialysis patients) or darbepoetin given once weekly (non-dialysis patients), in correcting anemia of chronic kidney disease as well as maintaining hemoglobin within the desired target range.\(^1\)\(^2\) Despite published concerns regarding the safety of therapeutic pegylated proteins,\(^1\) peginesatide was licensed for treatment of anemia in dialysis patients in the US.

In July 2012, a large dialysis organization with 2,100 centers in the US started treating dialysis patients with peginesatide, with a robust risk evaluation protocol. Although registration trials revealed no new toxic effects, eight cases of anaphylaxis and hypotension among patients in the pilot initiative were reported. As a result, the manufacturer updated the product label with a warning that serious allergic reactions, including anaphylaxis reactions and hypotension, may occur in patients who receive peginesatide.\(^4\)

Six months after pilot initiation, and after more than 60,000 doses of peginesatide were administered to more than 19,000 patients, five patients died from severe anaphylaxis and cardiorespiratory arrest. There were 1.4 anaphylaxis and hypotension events per 1,000 patients.\(^5\) On February 22, 2013, after the review of data from the pilot initiative, the dialysis organization discontinued administration of peginesatide. On February 23, the manufacturer voluntarily recalled the drug. The recognition of anaphylaxis and hypotension resulted in removal of peginesatide from the market. If it was not for the strict pharmacovigilance protocol initiated by the dialysis provider which implemented the pilot conversion, the immunological potential of the drug would have been missed for several months. This case clearly demonstrates the importance of post-authorization monitoring, pharmacovigilance, and risk mitigation plan.

**References**